



SECOND EDITION

ROME IN THE EAST

THE TRANSFORMATION OF AN EMPIRE



WARWICK BALL

Rome in the East

The Transformation of an Empire

Second edition

This new edition of *Rome in the East* expands on the seminal work of the first edition, and examines the lasting impact of the Near Eastern influence on Rome on our understanding of the development of European culture. Warwick Ball explores modern issues as well as ancient, and overturns conventional ideas about the spread of European culture to the East. This volume includes analysis of Roman archaeological and architectural remains in the East, as well as links to the Roman Empire as far afield as Iran, Central Asia, India, and China. The Near Eastern client kingdoms under Roman rule are examined in turn and each are shown to have affected Roman, and ultimately European, history in different but very fundamental ways. The highly visible presence of Rome in the East – mainly the architectural remains, some among the greatest monumental buildings in the Roman world – are examined from a Near Eastern perspective and demonstrated to be as much, if not more, a product of the Near East than of Rome.

Warwick Ball presents the story of Rome in the light of Rome's fascination with the Near East, generating new insights into the nature and character of Roman civilisation, and European identity from Rome to the present. Near Eastern influence can be seen to have transformed Roman Europe, with perhaps the most significant change being the spread of Christianity. This new edition is updated with the latest research and findings from a range of sources including field work in the region and new studies and views that have emerged since the first edition. Over 200 images, most of them photographs taken by the author, demonstrate the grandeur of Rome in the East. This volume is an invaluable resource for students of the history of Rome and Europe, as well as those studying the Ancient Near East.

Warwick Ball is a Near Eastern archaeologist who has excavated in Afghanistan, Iran, Iraq, Jordan, Libya and Ethiopia, and travelled extensively in most other countries in the region in his professional capacity. He has held posts with the British Institute of Afghan Studies in Kabul, the Department of Antiquities of Jordan and the British School of Archaeology in Iraq. The first edition of *Rome in the East* was *Choice* Outstanding Academic Book in 2000 and was awarded the James Henry Breasted Prize in 2001. Author of many other books on the history and archaeology of the region, Mr Ball now lives in Scotland.

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The Transformation of an Empire

Second edition

Warwick Ball

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**In memory of Palmyra
A city twice sacked**

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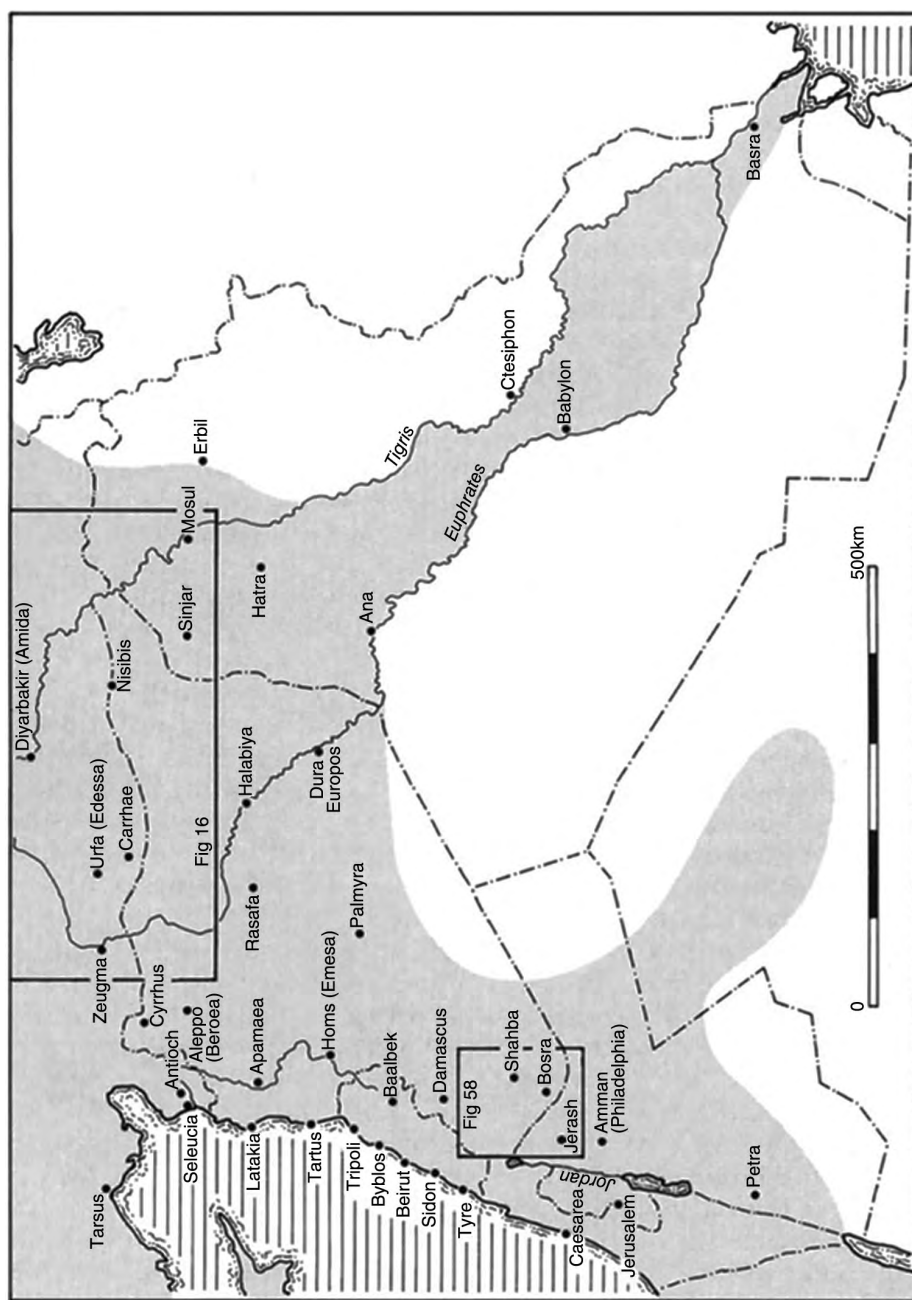


Figure P.1 General map to illustrate Rome in the East. Shaded area shows approximate limits of the Empire at its greatest extent

Preface

Coming to Roman studies as an outsider (I am a Near Eastern archaeologist, one whose experience furthermore has been mainly in areas east of the Roman frontiers), Classical Studies appears an awesome beast.¹ Greece and Rome lie at the heart of European civilisation and have formed, moulded, dominated and defined almost every aspect of Europe: its character, its identity, its ways of thought, and its buildings. Greece is usually acknowledged as the beginning of European civilisation, and Rome turned that civilisation into an empire. Europe has lain under its shadow ever since. This is as true for European nations that lay outside the Roman frontiers as those within: emperors of Bulgaria, Germany, Austria, Russia, Britain and Turkey styled themselves ‘Caesar’,² Poland and Ireland style their religion ‘Roman’, and most European capitals are adorned with triumphal arches, commemorative columns, colonnaded façades and other trappings of Imperial Rome. When Europeans spread beyond their borders, the forms of Greece and Rome were taken with them: both New Delhi and Washington, for example, the one a former imperial capital the other a current one, are expressed in Neo-Classical terms. Neo-Classical buildings are still being built, and science-fiction films portray inter-galactic capitals in a style instantly identifiable with ancient Rome. Is it really possible for anyone from this background – anyone forming a part of broader European culture, in other words – to be entirely objective about Greece and Rome? Perhaps only, say, a Chinese, Ugandan or Arab author can write truly objectively about the Romans (one would at the very least be curious of their viewpoint). But there again, the Chinese erect Neo-Classical public buildings in their new futuristic boom cities and the Ugandans practice Roman law – and Arabs lay under the Roman Empire longer than most of western Europe.

This makes the present subject: Rome in a non-European environment, specifically, the area of the Near East that was a part of the Roman Empire, all the more pertinent. It also makes the subject even more culturally loaded: any discussion of Rome in the East must not only address Rome from the perspective of the two thousand years of cultural baggage that a European author of necessity brings with it, but also the even larger cultural baggage of the Near Eastern environment where Rome found itself a newcomer.³ For we are faced with the conundrum that Rome ruled in the Near East longer than in most parts of western Europe, yet today Roman influence is more evident in, say, Australia than it is in, say, Jordan. This means that the history, epigraphy and material remains of the region come under minute scrutiny for evidence of Roman versus indigenous character.

Any book about Rome in the East, therefore, is ultimately as much concerned with modern issues as ancient, even when not consciously intended: the whole history, nature and morality of western involvement in the Middle East is one of the more important issues at stake in world politics as I write. In the words of Fernand Braudel, ‘To the historian, understanding the past and understanding the present are the same thing. A passion for history can hardly be expected to stop short at a respectful distance from the present day.’⁴

We look to Rome, therefore, for our own European cultural roots. But Rome itself looked East (Figure P.1). The Roman East had an impact upon Europe in a way that no other part of the empire did. Christianity is the most obvious legacy, but there were many other ways, more subtle but equally important, in which the East influenced Rome from the beginning of its imperial expansion. The East was Rome's greatest source of wealth. In the East was the only other great power – Iran – that ever matched Rome, both culturally and militarily. After contact with eastern royalty Rome itself became a monarchy. From mythological origins in Troy to Syrian emperors of Rome to oriental religious, intellectual and architectural influences, the East transformed Rome. Through this contact, Near Eastern civilisation transformed Europe. The story of Rome is a story of a fascination for the East, a fascination that amounted to an obsession. This is the story of that fascination.

East or West?

Alexander's conquests opened up the Mediterranean world, and ultimately Europe, to the ancient civilisations of the East. From this interchange Europe received a revolutionary new idea that would change the course of its history: Christianity. Alexander's conquest is often viewed as the end of the ancient Near East, but it was also a new beginning.⁵ Near Eastern civilisation lived on under Macedonian and subsequently Roman domination – indeed it remained a vital force.

Pompey the Great annexed the Seleucid Empire in 64 BC, and so the eastern idea of empire conquered Rome:⁶ Rome itself soon became an empire with an emperor to rule it. But the sophisticated world of the Near East where these rather raw republican Roman soldiers and administrators first found themselves was immeasurably older than Rome – far older, even, than the world of Greek civilisation to which the Romans felt they were the heirs. The Near East had seen great empires come and go for thousands of years before the Romans: Persian, Babylonian, and Assyrian, not to mention Egyptian, Hittite, and Mitanni empires before them and the first outward expansions of the Sumerian, Akkadian, and Phoenician cultures 5,000 and more years ago.

More important than these empires were the civilisations they bequeathed, alongside which our brash Romans must have appeared awkward backwater rustics. Ancient Near Eastern civilisation was a very homogeneous one. There was always a thread of cultural continuity that lasted an extraordinarily long time from its origins in the still little-understood fifth millennium BC until its eventual transformation and dissemination by the Romans. Indeed, these events marking the end of this Near Eastern continuum are nearer to our own times than the shadowy events over 7,000 years ago that marked its beginning. It was onto this stage – ultimately to be transformed by it – that the Romans stepped.

By the year AD 116 a soldier from Spain who was one of Rome's greatest emperors – Trajan – stood on the shores of the Persian Gulf, the first time that a ruler from Europe had straddled the waters of both the Atlantic and Indian Oceans. By the year 200, most of the antique lands of the Near East – from the Black Sea in the north to the Red Sea in the south, from the Mediterranean in the west to the foothills of Iran in the east – lay under Rome. At times, Roman arms even penetrated deep into the highlands of Iran and across the deserts of Arabia to Yemen; caravans carried Roman goods even further east to Central Asia, while merchant ships carried them across the seas to India and the Far East. The Atlantic had brought Rome to a full stop in the West, but the East seemed unlimited: the spread of Roman influence throughout the East appeared unstoppable.

Or was it rather the opposite: the spread of eastern influence to Rome? By the time of Rome's greatest extent, emperors whose origins lay in the East were beginning to rule Rome: the emperors Caracalla and Geta were half Punic, half Syrian, while Elagabalus, Severus Alexander, and Philip the Arab were wholly Syrian. Under the priest-emperor Elagabalus, the cult of Syrian Baal was proclaimed supreme in Rome, to the horror of the conservative Roman establishment. Of course, the cult of Baal did not catch on, but another 'oriental cult' would soon be triumphant.

Such Near Eastern influence at the heart of the Roman world helped change European history in two fundamental ways. Although not necessarily Christians themselves, such Syrian emperors and their entourages – and their popularisation of eastern cults – nonetheless made the spread of another eastern religion, Christianity, more acceptable. Moreover, from the time of the Syrian emperors Rome increasingly turned its back upon its ramshackle empire in Europe and looked more towards the East. In the end, Rome became more of a Near Eastern than a European power: Rome itself moved to the East, with the foundation of the New Rome at Byzantium ensuring the continuation of the Roman Empire for a further 1,300 years at the cost of leaving Europe to the barbarians (apart from Justinian's brief but ultimately unsuccessful attempt). It was finally extinguished in 1453 with the conquest of Constantinople by a people whose origins lay on the borders of China.

Constraints and considerations

Sources, perspectives and evidence

In describing Rome's fascination with the East, several considerations immediately present themselves. Books on Rome in the East are, for obvious reasons, usually written by Romanists; the history and remains are viewed from a perspective rooted in the Classical world – from Italy looking outwards, as it were. Such a perspective is perfectly valid, but a Near Eastern perspective is just as valid, indeed under-represented. Benjamin Isaac's admirable study is one of the few to remark on anti-oriental prejudice in modern scholarship when dealing with the Roman East, and Irfan Shahid's series of studies are among of the few to be written from the Near Eastern viewpoint. It is perhaps both significant and salutary that the one authority is an Israeli and the other an Arab.⁷ It might be forgivable for Gibbon, writing several hundred years ago, to contrast 'the valour of the west' with the 'decadence of the east' or to write that 'the troops of Europe asserted their usual ascendant over the effeminate natives of Asia'.⁸ But ever since the 1978 publication of Edward Said's famous (albeit controversial) work *Orientalism*, the field has lost both its innocence and its complacency. There are now many books that offer a corrective to Eurocentrism: the work of John M. Hobson or the several works by Jack Goody, for example, or my own recent quartet.⁹ The field generally is now more balanced than when this book was first published.

However, bias persists. One recent academic study sets out to subordinate much of history to a perceived Manichaean struggle between East and West. The Graeco-Persian Wars are described as 'titanic struggles between East and West' and all subsequent history is viewed both as a continuation and a confrontation. Roman history (whose empire is extravagantly described as stretching all the way to India!) is interpreted as supposedly continuing this epic struggle: Hannibal's invasion of Italy from North Africa, for example, is described how 'once again, Asia stood poised, as it had at Marathon, to enslave Europe' and the victory at Actium (Augustus' battle against Antony and Cleopatra) was 'one in which the future of a free and virtuous West had been preserved from extinction at the hands of a tyrannical

and corrupt East'.¹⁰ In Roman studies, one important specialist work on the Roman Near East constantly labours the lack of native 'character' – hence civilisation? – compared to Graeco-Roman cultural hegemony, elsewhere reasserting that 'this Empire [the Roman Near East] had been unambiguously Greek even before Constantine's conquest [*sic*] of the East in 324'.¹¹ In one admirable collection of source material for the Roman eastern frontier, a reservation is expressed about a major Persian source as 'solely expressing the Persian point of view', a reservation not expressed about the overwhelming amount of Greek and Latin sources (which, of course, express the Roman point of view).¹² Elsewhere in the same work, the western campaigns of Shapur I are dismissed as plundering raids and 'razzias', an archaic and derogatory term with overtones of raids by mere semi-civilised nomads. A Classicist would not dismiss, for example, Trajan's campaigns into Mesopotamia as 'razzias'.¹³ In another work, reservations are expressed about the one major non-Roman source that we do have, Josephus, as 'one-sided', reservations which are rarely expressed about our (usually one-sided) Roman sources when recounting Near Eastern events.¹⁴ Elsewhere, ritual prostitution is assumed to have taken place in the temple at Heliopolis merely because Eusebius (hardly the most unbiased source for paganism) says so, whereas Tacitus' account of ritual cannibalism on the part of the early Christians has long been rejected.¹⁵ The works cited, it must be emphasised, are not those of 'popular history' pandering to the prejudices of a mass audience, but are specialist works written by established scholars and published by academic and university presses. The viewpoints and opinions are not necessarily incorrect, but they do nonetheless underline the importance of offering a Near Eastern corrective.

The limitations of epigraphy

Much of the debate surrounding the position of Rome in the East and elsewhere stems from perceived 'identities', a subject that now comes in for increasing scrutiny.¹⁶ The over-importance attached to Greek inscriptions is perhaps the greatest source of misunderstanding of the nature of the Near East in the Roman period. Written sources – inscriptions and literature – are minutely combed through for Greek names and Greek terms, hence evidence of Hellenisation;¹⁷ Latin, Celtic, Hebrew and Viking names in Britain, for example (occasionally combined in just one person's name) hardly detract from the British 'character' of modern-day Britain, and Indonesians bearing Arabic names would be astonished at being labelled 'Arab'.

To some extent, western bias might be attributed to a perceived lack of native literary sources for the East. This is exaggerated. One of the greatest Latin language historians, Josephus, was a native of Palestine, while Ammianus Marcellinus, described as 'the last great Roman historian, whose writings stand comparison with those of Livy and Tacitus', was a native of Antioch. Herodian, Libanius and John Malalas were also from Antioch, Dio Cassius and Strabo from Anatolia, Procopius and Eusebius from Caesarea, to name but a few of the more important historians who hailed from the Near East.¹⁸ The eastern Roman world boasted as great a literary pedigree as European Rome did. Classical literary and historical traditions can generally be traced back to Homer and Herodotus – both, it must be noted, natives of Asia, not Europe – and to the Phoenician historical traditions of the end of the second millennium. The historian Sanchuniathon (or Sakkunnyaton), for example, wrote an eight-book history of the Phoenicians which survived in summary form.¹⁹ Sanchuniathon was a part of a long tradition of Phoenician historiography that probably had its origins in Ugaritic literature much earlier and in Mesopotamian historiography earlier still. The 'Classical' Near Eastern historians, such as Josephus, Ammianus and Eusebius, were as much a part of these eastern traditions as western.

Epigraphy is the source of much of the history of the Roman East. It is equally the source of much modern bias. The evidence of Greek inscriptions in the East has in many ways created more problems than it has solved.²⁰ It has resulted in a tendency to load too much on the evidence of a single Greek inscription – and distort the result. For example, an enquiry that was recorded in Greek on an inscription at Dmayr near Damascus has been taken as evidence that everybody concerned actually spoke the language.²¹ It does not necessarily follow that anyone, apart from the person who carved the inscription, actually ‘spoke’ it (and the mason might merely have copied it without understanding, let alone speaking it). Other Greek inscriptions in the Near East are regarded as evidence for the Greek ‘character’ and culture of an entire city or region. But the converse, Palmyrene inscriptions in Britain, Numidia or Dacia, is merely taken as evidence that ‘such soldiers could (at least) have access to persons who could compose brief texts in Palmyrene, and then have them inscribed’.²² Apart from the implied prejudice (most Greeks by definition were literate, a mere Palmyrene needed a scribe?) the fact that Greek inscriptions in the Near East might equally have been written by a small minority of ‘persons who could compose brief texts . . . and then have them inscribed’ is not considered. A mere mention of Ana on the Euphrates in a Greek document from Dura prompts the statement that ‘it is probable that Greek was also used, at least as an official language, at Anath(a) itself’, while western Mesopotamia is confidently stated as ‘bilingual and bicultural’ on the evidence of a *single* inscription. On the evidence of a few scraps of parchment and graffiti, the Jewish community at Dura Europos is pronounced ‘fully bilingual’.²³

But the inscriptions are merely evidence that Greek was used as a *lingua franca* (and then only by an elite) throughout an area where other languages were spoken, such as Nabataean, Arabic, Palmyrene, Aramaic, Phoenician, Hebrew, and doubtless many others which have left no trace. To administer such an area, an acceptable *lingua franca* would have been essential; Aramaic, the former *lingua franca*, would have been unacceptable to the administrators themselves. In any case, an indigenous *lingua franca* might have been unacceptable to rival regions (the Hebrews, for example, would not have countenanced Nabataean). The situation is comparable to India, where English is both a *lingua franca* and a unifier of diverse linguistic rivalries, and the Near East ‘no more “Greek” or even “Macedonian” than India became “British” in the nineteenth century’.²⁴ In the Roman East, the use of Greek was just this. It in no way implies any Greek ‘character’ or even much Greek culture or colonisation in the region, let alone the extinction of indigenous languages.

Ultimately, what does the evidence of comparatively few Greek documents amount to? The answer has to be very little. After all, the actual quantity of documents – inscriptions, some graffiti, and a few parchments – that has come down to us through the accident of survival is tiny. It does not remotely compare, either in quantity or quality, to the amount of documentation that has survived, for example, from the earlier Mesopotamian and Syrian civilisations. Here, in the cuneiform tablets, we have virtually entire state archives and a mass of other documentation at our disposal, from census records and epic literature to business transactions, private correspondence and school exercises. Such literacy was equally a feature of those parts of Syria that later came under the Roman Empire as well, as the archives of Ugarit and Ebla demonstrate. Indeed, even much of the Hellenistic history of the Near East is preserved in cuneiform documents, offering an important contrast (and often corrective) to the Greek accounts.²⁵ When compared to other periods and other places in Near Eastern history, therefore, the Greek documents are not adequate to enable such bold statements of language, culture or identity to be made.

In this context, it is worth comparing the situation of the over-used Greek inscriptions in the Near East with that of the lesser known native inscriptions for the same period: Aramaic, Palmyrene, Safaitic and Thamudic – and one should also remember the wealth of Hebrew literature as well (often overlooked). Inscriptions in native languages during the period of

Roman rule in the Near East vastly outnumber those in Greek and Latin (mostly the Safaitic ones, but there are others too: some 8,000 in Nabataean, for example).²⁶ The Safaitic inscriptions alone, numbering a remarkable 18,000 or so, are found mainly in the deserts of southern Syria and northern Jordan, with occasional finds as far away as Dura Europos, the Lebanese coast, western Iraq and even Pompeii. They cover a broad date roughly between 500 BC and AD 500, with the bulk between the first and the fourth centuries AD, precisely the period of most of the Greek and Latin inscriptions. They are found almost entirely in the desert areas and only rarely in settled parts (although one must be wary of attaching too much to this: it may only reflect a greater preservation of material remains in a desert environment, rather than any real distribution in antiquity). Their content is almost entirely related to nomadism, so it is difficult to escape from the conclusion that they may well have been written by nomads. A large number are graffiti, mainly giving onomastic and tribal information that sheds considerable light on personal genealogies, tribal treaties and religious invocations. Many give information on pasturing, animal husbandry and migrations. For quality of information they can be compared with the information from the Greek inscriptions. In quantity, they greatly outnumber the extant Greek and Latin inscriptions from the Near East.²⁷

This fact can no longer be ignored, even by those who only wish to acknowledge the Greek and Latin inscriptions. Even so, the Arabs (in the Safaitic inscriptions) are described pejoratively as having ‘used writing almost exclusively for genealogies, invocations to the gods, or ritual expressions of hope and prosperity, and displayed very little capacity for innovation or expression of complex ideas’.²⁸ Almost exactly the same can be said for the Greek and Latin inscriptions. The fact remains that most inscriptions in the Near East in the Roman period are in local languages, not Greek, and this was a mark of both continuity and strong indigenous character. The population spoke a Semitic language before the Macedonian and subsequent Roman conquests and there is every reason to suppose they continued using it in everyday life. Libanius in the fourth century AD writes of monks coming into Antioch from the country who spoke only native languages, and even in the far more Romanised provinces of North Africa, Septimius Severus in the second century found his own sister’s lack of Latin an embarrassment; St Augustine as late as fifth century bemoans how his local parishioners spoke only ‘Canaanite’ (Phoenician). In Egypt Greek lingered on until the eighth century, and then disappeared. The very rapidity and thoroughness of the spread of Arabic, closely related to Aramaic, immediately following the Muslim conquest is evidence in itself that the Semitic languages never disappeared and that Greek was merely superficial. ‘Aramaic remained the language of the people from the Mediterranean to the Persian Gulf. And so the shock of Muslim conquest had been sufficient to blot out, within a couple of generations, all linguistic trace of a millennium of Greek rule.’²⁹ There is virtually no linguistic survival of Greek and even less of Latin after the end of the Roman Empire in the East, unlike in Europe – there is no eastern equivalent of the Romance languages, and most Graeco-Roman place-names reverted almost overnight to their original Semitic versions (those in Judaea being a notable exception).³⁰

Like the use of English throughout the world today, the use of a particular foreign language carries a certain cachet, as a language of fashion, of internationalism.³¹ Similarly, Greek inscriptions referring to Greek civic institutions are cited as evidence for Hellenisation/Romanisation. Yet such institutions hardly differ from native institutions except in name: a *boule* is a *majlis* is a *qiriltai* (Greek, Arabic and Mongol words respectively). In other words, such evidence is more limited than it appears. The foundation myths of cities tracing their origins back to Greek mythology are nothing more than that: myths. In any case it is but a tiny proportion (1 per cent? 5 per cent? half of 1 per cent? – we have no way of knowing) of Hellenised administrators pandering to Roman vanity. Did the average Aramaean,

Phoenician, Jewish, Nabataean man or woman in the street really think that (or care whether) they were descended from Io? All this ‘evidence’ therefore is only dealing with an outer veneer of Romanised citizens. It does in any case ignore the vast amount of material evidence that points to both continuity from the pre-Classical period and to local indigenous character. In discussing Palmyra and Petra, for example, one important study emphasises that ‘Both superficially appeared to be cities rooted in Greece or in Rome, with details to support such an impression. On closer inspection both retained strong and decisive elements from their Arabian roots. Both resisted – that is, did not fully include – the full range of Hellenistic and Roman features. To the Roman or Greek traveller in antiquity, both must have seemed “oriental”. The degree of Romanization in the architecture and urban design was limited in both cases.’³² Or to take a modern parallel, a European traveller in the overwhelmingly neo-Classical surroundings of British Calcutta in the early twentieth century – or French Algiers or Portuguese Macau or Goa – would have felt no doubts about being in a wholly oriental environment.

Classical epigraphic and literary sources have been used, therefore, not only too uncritically, but too exclusively. This has distorted the true picture. One authority, for example, emphasises: ‘... how far our understanding is limited by what our sources happen to be interested in saying’ and that ‘Above all, the notion that there was a “Syrian” culture, embracing equally the zone of Syriac literature and Roman Syria, goes beyond our evidence’.³³ But the bulk of ‘our evidence’ is neither epigraphic nor literary sources. It is the material remains. Nowhere is this more so than in the Roman East, which has more material remains than any other part of the empire, with the possible exception of North Africa. Material remains have often been relegated to mere illustrative material by historians. But they reflect a more holistic picture of a society – and can considerably alter the historical picture provided by the more conventional sources. The suggestion of the Greek ‘character’ of the Near East during the Roman period is nothing more than modern wishful thinking.

Of course, it cannot be denied that centuries of Macedonian and (mainly) Roman rule left an indelible mark on the Near East: a Corinthian column is as unambiguous as a Greek inscription. That there was Romanisation is not in doubt, and Hellenism continued into Islam. But it is a mistake to become obsessed with gauging the degree of ‘Romanisation’ as if it was some measurable quantity: it was not a chemical formula. ‘Romanisation’ was in constant flux, it developed and continually changed; most of all, it was never a one-way flow.

The need, first, for an eastern viewpoint and second, to use the material remains as a source, are the two prime considerations in the present work. Only in this way can the main objective be achieved: to examine Rome’s involvement in the East and its ultimate transformation.

Terminology

The terms ‘Greek’ versus ‘Macedonian’, ‘Persian’ versus ‘Iranian’, and ‘Byzantine’ versus ‘Roman’ require clarification.

The over-use of the term ‘Greek’ to describe both the Seleucid Empire and much of the character of the Roman period in the East as well must be treated with the utmost caution.³⁴ The term ‘Graeco-Roman’ has rapidly gained almost universal acceptance to describe the Near East from Alexander to Muhammad. This is only partly valid. To begin with, such assumptions minimise the Macedonian element: ‘Macedonian’ soon becomes equated with ‘Greek’ in a haste to trace civilisation back to a semi-mythic Athens.³⁵ To continually characterise the resulting Seleucid successor state, as well as Roman rule in the East, as ‘Greek’ and ‘Graeco-Roman’ does an injustice both to Greek and Macedonian culture (not to mention

Roman and Near Eastern). The problem stems, presumably, from the use of the Greek language for inscriptions; the term is then taken out of context and continually used to describe the character of the period, the place and the culture as 'Greek'. Even 'Hellenistic' does not quite fit: it is a moot point whether the initial Macedonian conquests can be described as 'Hellenic', and the ensuing Seleucid state is characterised as much by Persian elements as Hellenic.³⁶ Hence, the terms 'Seleucid' or 'Macedonian' are preferred.

The terms 'Parthia' and 'Persia' are also problematic. Adopting the usage of the sources, most modern histories refer to Iran during the period we are dealing with as, successively, 'Parthia' and 'Persia'. From an eastern standpoint, both terms are unsatisfactory. 'Parthia', strictly speaking, corresponds only to the region east and south-east of the Caspian; to an Iranologist – or an Iranian – referring to parts of Mesopotamia as 'Parthia' (as many Classical sources do) is misleading. Similarly, 'Persia' is just that part of Iran which corresponds to the region adjacent to the Persian Gulf: modern Fars Province. The empires of both the Parthian and Persian (Sasanian) dynasties were multicultural, and neither dynasty used 'Parthian' or 'Persian' in a supra-national sense in the way that 'Rome' and 'Roman Empire' were used. To the Iranians, their empire was *Eranshahr*, 'Land of Iran', while to the Romans their empire was 'Rome'. For the Romans, the empire was defined by the capital; for the Iranians, the capital mattered little, the empire was defined by the land.³⁷ In addition, there was a strong element of continuity between the Parthian and Sasanian dynasties. Both used the royal title *Shahinshah*, 'King of Kings', both were Iranian nationalist in character, they shared linguistic and artistic traits, and both claimed continuity from the ancient Achaemenid kings.³⁸ Both 'Parthia' and 'Persia' are western appellations for the whole country and political structure; for the Iranians themselves the country has always been 'Iran' or 'Eranshahr'.³⁹ For these reasons, the term 'Iran' is generally preferred, although 'Parthian Empire' and 'Sasanian Empire' are also used where appropriate.

The terms 'Byzantium', 'Byzantine' and 'Byzantine Empire' also pose problems. The term 'Byzantine Empire' was coined comparatively late in European history to describe the eastern Roman Empire ruled from Constantinople as distinct and separate from the western Roman Empire ruled from Rome.⁴⁰ Scholars have debated about when the Roman Empire became 'Byzantine' ever since. In this way, the 'Byzantine Empire' was disinherited from the Roman legacy and mainstream European history, the origins of which are identified so much with Rome. The reasons were mainly religious, to assert the primacy of the Roman Church. 'Byzantine' rapidly became a pejorative term standing for everything that was degenerate, corrupt, 'oriental' – in other words, the perceived antithesis of 'Roman'. But it is important to remember that the Byzantine Empire never existed as an institution: it was always officially the 'Roman Empire' right down until the fifteenth century, ruled by Roman emperors in a continuous institutional line from Augustus to Constantine Dragases. Of course, it cannot be denied that long before the fifteenth century the character of the Roman Empire had changed fundamentally, but both the area and the period covered in this book is marked by continuity: the empire of the sixth century in the Near East was still much more like that of the second than, say, that of the Comnenids in the eleventh. Any division between 'Roman' and 'Byzantine' empires would introduce a note of artificiality. 'Roman Empire', therefore, is preferred.

There are a few more minor points of terminology that need to be noted. The term 'east' I have used imprecisely, but usually in the modern sense of east of the Bosphorus generally, with 'Roman East' more specific to the parts of the Near East ruled by Rome (with the above geographical caveats noted). Generally, native versions of Near Eastern personal names are preferred to the Greek or Latin. This is to underline their essentially Near Eastern character, which the Greek or Latin versions of their names have tended to obscure or to deny. Hence,

Palmyrene ‘Udaynath and Wahballath instead of Odaenathus and Vaballathus; Nabataean Maliku and ‘Obaydath, instead of Malichus and Obodas; Arab Mawiyya and al-Mundhir instead of Mavia and Alamoundaros, etc. However, when a Near Eastern name is far more familiar under its Latin version, I have used this. Hence, Zenobia instead of Zabba, Domna instead of Dumayma, Herod instead of Hairan, etc.

At the risk of inconsistency, I have also generally used the more familiar modern place names rather than the ancient versions. Hence, Jerash rather than Gerasa, Bosra rather than Bostra, Aleppo rather than Beroea, Jerusalem rather than Aelia Capitolina, etc. However, Laodicaea rather than Lattaqiya. I also generally use the Latin forms rather than the Greek: Posidonium, for example, instead of Posidonion, Seleucus instead of Seleukos. However, Dura Europos instead of Dura Europus. At the risk of offending purists, I have generally but not consistently used English plurals for Latin and Greek words: forums instead of fora, nymphaeums instead of nymphaea, tetrapylons instead of tetrapyla, etc. I hope purists are not offended, and that such inconsistencies do not detract from the overall theme.

Geographical limits

Strictly speaking, the ‘Roman East’ included the entire eastern Mediterranean, particularly Anatolia, one of the most important regions in the empire, as well as Greece, Crete, Cyprus, Egypt and Cyrenaica. For the purposes of this work, however, only the Near East is included – roughly the Arab countries of today as well as Israel – corresponding broadly to the Roman provinces of Syria, Palestine, Arabia and (briefly) Mesopotamia. Somewhat reluctantly, Anatolia is excluded (apart from occasional references). But limits must be imposed: such is the wealth of Roman remains in Anatolia that including it here would make this work impossibly long and would better form the subject of a separate study.⁴¹ The Near East forms a fairly self-contained unit, as much in modern terms as ancient (Herodian, for example, puts the dividing line between East and West not so much at the Bosphorus but at the Taurus Mountains).⁴² Egypt is also not included for much the same reasons.

Objectives

This is a work of synthesis, a general study of the history, architecture and archaeology of the Near Eastern provinces of the Roman Empire, of Roman penetration beyond the frontiers, and of the ensuing eastern influences that brought about Rome’s own transformation. The period covered is from the conquest of the Near East by Pompey in 63 BC to its loss by Heraclius in AD 636. The historical process is first outlined to provide a basic framework upon which the remainder of the book can be hung. This is of necessity relatively brief with many events glossed over, but essential to highlight some of the main themes of the book; the reader can be guided to the more detailed histories indicated in the references.⁴³ Much of the East that Rome entered comprised a patchwork of client kingdoms that were only indirectly ruled by Rome, a system of proxy rule (and occasionally proxy war) that proved very effective. The main ones were Emesa, Judaea, Nabataea, Palmyra, Edessa and later the tribal confederations of the Tanukh and Ghassan. All these states, while very different from each other, were in separate ways to have an important effect upon Rome. While usually tiny and invariably subservient to Rome, many were ruled from impressive capitals whose monuments were some of the greatest in the empire: Jerusalem, Petra and Palmyra, for example. The influence of Rome was felt east of the frontiers too, through military campaigns, trade, or artistic influences. Hence, the book also incorporates material from as far away from Rome as Yemen, Iran, Afghanistan, Central Asia, India and China.

Outside the client states, Roman rule was exercised most directly through the towns and cities, most notably the capital of Antioch. Many of these cities contain some of the most spectacular remains in the entire Roman world: Apamea, Rasafa, Caesarea, Tyre, Jerash. The pattern of settlement, rule and influence in the cities is contrasted with that observed in the countryside. Impressive though the remains of cities are, the countryside of the Roman East probably contains a greater concentration of ancient remains than anywhere else in the Mediterranean.

Finally, the resurgence of the East under Roman rule and the gradual influence of the East over Rome is examined. Much of this is apparent from a closer study of the material remains. This reveals fundamentally eastern influences at work that can be traced back deep into the cultural traditions of the ancient Near East, Iran and even India. In analysing eastern Roman architecture from within its eastern context, the nature of much of imperial Roman architecture as a whole is called into question. Ultimately, the Roman cultural presence in the East is demonstrated to be more superficial than is usually appreciated.

In understanding the strengths and domination of native culture under Roman rule, the gradual extension of Near Eastern culture into Europe becomes more explicable. The movement of peoples – and with it their ideas – from East to West is viewed as a part of this process and the rise of emperors of Rome from the East a logical outcome. This culminated in an oriental revolution in the Roman Empire: the adoption of an oriental religion and the move of the capital to the East. This ‘oriental revolution’ is one of the central facts of European history: it laid the foundations for the ensuing development of a ‘European’ culture and ‘European’ identity. The entire book can be viewed as the background to this central fact.

Genesis

A request for a second edition of a book is both a compliment and an opportunity: a compliment that it is still valid and a rare opportunity to review and refresh: a second bash. But also an opportunity to answer one’s critics – and ignore others. To correct some of the worst howlers – and doubtless introduce a few more. To feel satisfaction over arguments substantiated – and acute embarrassment over those proved wrong.

The first edition was written to provoke, hence its reception has proved gratifyingly varied. Soon after it came out it was viewed in some quarters in the USA as feminist (I was as astonished as I was flattered); it received a history prize in the USA, it was cited as *Choice* ‘academic book of the year’, and my discussion on the transition from Paganism to Christianity was cited in a Church of Scotland sermon. Even ten years later it formed the subject of an editorial in a major Australian newspaper.⁴⁴ Academic reviews gave equally varied but more detailed responses. Both Geoffrey Greatrex and Benjamin Isaac rightly criticised my loose terminology, the former for the misuse of the term ‘Syrian’, the latter for the misuse of ‘Semitic’. Michael Whitby found my overall approach to be ‘suspect’ (although reassuringly also found Millar’s parallel work to be just as suspect). Both Eberhard Sauer and David Kennedy found it ‘thought provoking’, but ‘unsettling’ (Kennedy) albeit ‘well worth reading’ (for Christmas; Sauer); for Jan Willem Drijvers the book evoked ‘irritation’; Kai Ruffing found it ‘tendentious’. A French reviewer faulted the work (rightly) for not using enough French sources, and then (to my delight!) described it as a ‘very bad’ book, warning that it might corrupt the young. (I was flattered at the – presumably unintended – comparison to Socrates).⁴⁵

As in all research based on the evidence of the material remains, subsequent field work can show one’s conclusions wrong, right or still debateable. Of the former, for example, my dating of the Gurgan Wall across north-eastern Iran to the Parthian period, based as it was on the earlier field-work of Kiyani, and my ensuing speculations have been proved conclusively

wrong by the remarkable new researches by Eberhard Sauer and his team.⁴⁶ On the other hand my suggestion that the very permanence of the stone structures of the limestone massif of northern Syria – the Dead Cities – gave a false impression of the settlement densities in the area was proved right by new field work on the Antioch Plain.⁴⁷

More controversial was my suggestion that Baalbek was the ‘long lost’ Temple of Emesene Baal. This prompted a satisfying debate. G. R. D. King, for example, concluded on the basis of recent archaeological investigations at the citadel mound at Homs that ‘while the current excavations do not prove him right, nor do they yet prove him wrong’. G. K. Young in another discussion concluded that ‘However attractive it might be to identify the missing temple/s with the suitably magnificent temple complex at Baalbek, the literary and epigraphic evidence simply does not allow us to do so.’ On the other hand, Alberto González García, the most recent entry into the fray, affirms that ‘the textual, archaeological and epigraphic evidence actually supports Ball’s thesis’.⁴⁸ Other opinions appear similarly equally divided between wrong, right and don’t know. I still stand by my argument, and hope that my re-examination of the evidence in the following pages strengthens it – or at least continues the debate.

Where possible, I have considered new archaeological investigations and historical studies and have adjusted some of my conclusions where appropriate. But on my own basic premise, that Rome in the East, its material remains and the Roman Empire as a whole can only be fully appreciated by incorporating the eastern perspective, I remain unreformed and wholeheartedly reaffirm. I hope the following pages consolidate this. The viewpoint is, perhaps, an extreme one – albeit a much-needed and long overdue one – and critics are doubtless right to point this out. But in being perhaps guilty of overstatement, it has only been made so by the necessity to offer a corrective. This is not merely a facile claim that ‘everything good comes from the east’, which some have levelled at this work and others written subsequently (meant both as a criticism and a misguided complement). On the contrary it is worth re-stating my conclusion, where I write that ‘Rome was the world’s most successful Empire, but in order to understand Rome and appreciate it fully, it is necessary to see it in all of its breadth and richness, not just as a “European” or “Western” civilisation. The influence of Rome on the East was profound, and it would be a mistake of the first order to underestimate that influence. But the process was invariably a two-way one. A failure to understand this process and this influence is a failure to appreciate Rome itself. Ultimately, it is a failure to appreciate the legacy of Roman civilisation. This legacy is as much eastern as western, as much oriental as occidental, both to Europe and the world as a whole.’

The initial work had a long gestation. The inspiration probably first came when working on Roman remains at Jerash in Jordan in the mid-1980s. After many years of the familiar shapes, forms and norms of Near Eastern archaeology and architecture, I suddenly found myself confronted by the far less familiar forms of that rather intimidating subject, Classical Architecture – and imperial Roman to boot. As well as the technical problems of producing a ‘new’ monument out of an apparently meaningless mass of rubble, there was the academic problem of working out what this Roman building looked like – and why. Here at least I was on familiar ground: the main building type I was studying, a tetrapylon or four-way arch, I knew from Iran, the *chahartaq* or Persian equivalent being one of the most fundamental ‘building blocks’ of Persian architecture. From there, I began to look at the other buildings at Jerash not as the Roman buildings they appeared to be, but as buildings in a Near Eastern context, simply to find some common ground with my own architectural knowledge. This applied in particular to that most ubiquitous feature of Roman cities in the East, the colonnaded street, when those long daunting lines of very Roman colonnades simply melted away to appear as something I had been familiar with for years: eastern bazaar streets. The rest followed.

At that time in the 1980s there was no single work on the Roman architecture in the East, some of the most impressive in the Roman world. The only general work that covered them was the relevant chapters in John Ward-Perkins' masterly *Roman Imperial Architecture* (still an invaluable study today). It was apparent, therefore, that a general overview of Rome in the East was long overdue. At first I intended it to be a straightforward work of synthesis aimed at an informed lay audience, intended to summarise and discuss the relationship between the historical evidence and the material remains of the eastern bounds of the Roman Empire and beyond. The material remains alone of this area deserved a higher place in the orbit of Roman architecture, being some of the most extensive and spectacular in the Roman world. Susan Raven's earlier excellent *Rome in Africa* (also published by Routledge) was the initial model (and also inspired my title). A secondary aim was archaeological: to let the material remains tell the story as much as possible, rather than the usual historical sources (such as A. H. M. Jones' classic *Cities of the Eastern Roman Provinces*). Then, soon after beginning the work in 1993, a third imperative quickly became apparent: in that year Fergus Millar's scholarly *The Roman Near East* was published. In some ways this seemed to anticipate my own book (indeed, I found it invaluable in writing mine), but I felt the overly Eurocentric perspective to be flawed: both the history of Rome in the East and its remains are better understood from a *Near Eastern* perspective.⁴⁹ Soon after it appeared, David Kennedy wrote in a review article of Millar that 'questions will arise, not just on points of detail and interpretation, but on the larger issues of the nature of the evidence, the possible approaches, and the validity of the whole. Challenge is inevitable and necessary.'⁵⁰ Kennedy's review appeared after my own 'questions' and 'challenge' of Millar's formidable work – the first edition of this book – was already in press. Ultimately, admiration of the undoubted scholarship of this (and other) works is tempered by the underlying bias it displays.

As work progressed, therefore, it soon became apparent that there were things that needed to be said about the presence, role and character of Rome in the East. In particular, much of the material remains had no counterpart in the Roman West (where there are no colonnaded streets, for example) and so could only be satisfactorily explained from a Near Eastern archaeological viewpoint, rather than the more usual Classical and western. This in turn seemed to demand an eastern perspective for Rome in the East as a whole, and with it a resulting reappraisal and new emphasis. In reassessing the interaction of Rome and the East and its legacy, it further became apparent that much new comment could usefully be made on the legacy of Roman civilisation as a whole and the beginnings of Europe. In some ways this represents a challenge to previous views, in other ways it presented new evidence for old theories (and new theories of old evidence), but it is only another perspective.

The work was then written, largely in snatched 'moments' when work priorities elsewhere and unrelated to this were demanding greater attention in the last years of the last century. Parts of it were inflicted upon long-suffering extramural classes in the Universities of Edinburgh, Glasgow and St Andrews, and their feedback was invaluable. An even more long-suffering growing family at the time was neglected as I disappeared for longer periods to work on the book (when they would be told that their father was 'roaming the east' – literal as well as literary as often as not).

Benjamin Isaac's earlier award winning *The Limits of Empire* (1990) was probably the first general work to focus solely on Rome in the Near East, followed soon by Fergus Millar's *The Roman Near East* (1993). Since my own book appeared there has been, if not quite a flood, many more works on the subject. Chief of these are probably Kevin Butcher's *Roman Syria and the Near East* (2003), Maurice Sartre's *The Middle East under Rome* (2005) and Michael Sommer, *Der römische Orient* (2006), but there are many others on more specific aspects.⁵¹ There has been a rash of books simply on Zenobia and a similar number on Julia Domna

providing long overdue insights into the feminine.⁵² At the time of going to press, a glance at a new archaeology book catalogue revealed some eight new titles all directly relevant to the issues covered in this book.⁵³ And there is the far larger number of articles in journals, not to mention more specialist studies, and the now increasing online resources, together representing a formidable body of scholarship. Clearly, while not exactly a genre, the subject is certainly a growth industry. Equally clearly, if one were to take on board all such works, this book would never be finished: as with any work of scholarship nowadays, it becomes outdated the moment it appears.

Much of my work on Rome in the East came to a head between 2008 and 2011 when I was invited to participate in the production of a major new exhibition at the Royal Academy in London on Syria. The exhibition was intended to be a ‘blockbuster’, on a similar major scale to previous Royal Academy exhibitions (such as their *Turks* in 2000) to display art treasures, drawn mainly from Syria itself but also from museums all over Europe and North America, to the British public – probably the greatest display of Syrian art treasures ever assembled under one roof and one of the biggest art treasure exhibition to be seen in London for many years. The periods covered were to be from the Bronze Age to the late Islamic; my own curatorial role was for the ‘Graeco-Roman’ period (with considerable discussion what to call that period from a Syrian perspective). The exhibition was due to open in the autumn of 2013 but was aborted in the summer of 2011 due to the civil war in Syria. It is a tribute to the Syrian antiquities authorities that on an official Academy visit in 2011 they agreed, within reason, to nearly all our requests – their greatest treasures coming to London. As a consequence of the Syrian War, the public may now never be able to view these artefacts. The quite appalling ongoing human and cultural destruction in Syria at the time of writing suggests that sadly such an exhibition might now never be possible.⁵⁴ But preparing for the exhibition, and revisiting the huge wealth of art treasures from the Roman period in Syria, reminded me just how much the ancient Mediterranean world as a whole owes to Syria and the Near East. The aborted exhibition was intended to emphasise this, as I hope the following pages do.

In preparing this new edition, I have tried to take into account the comments, corrections and recommendations from readers, colleagues and reviewers, as well as the publisher’s own anonymous reviewers. Of Routledge’s anonymous reviewers, one commented that a new edition should incorporate material from Greece, Anatolia and Egypt as well as the Near East, all of which was ‘the East’ to the Romans. In an ideal world this would be highly desirable, but also well-nigh impossible at least in a single volume, single author work. I have stuck, therefore, to my own area of expertise. Others require the new edition as an essential source book to be used by students. That it has been used by nearly all of Routledge’s academic reviewers as required reading in universities comes as both a surprise and a compliment. The book was not intended as a source in the first place, being written essentially as an extended essay rather than as a guide or source, and the new edition remains precisely that.⁵⁵ Nevertheless, I have incorporated as much new published material as possible. Even so, the huge amount of new material is utterly daunting as each new publication consulted points to a score more that ought to be taken into account.⁵⁶ The limitations of time and resources has meant that some essential new publications have not been consulted or only superficially so; I hope not too many. I can only apologise in advance therefore to those I might appear to have inadvertently ignored. No bibliography can ever be fully comprehensive or up-to-date, such is the sheer quantity and rate of new material that pours out on any subject now. I am therefore forced to be selective, concentrating on major studies, which students can then follow up in their bibliographies if necessary. Even so, the bibliography in this edition is nearly double that of the first.

To those acknowledged in the first edition, it is a pleasure to add the names of Wendy Ball, John Boardman, Ross Burns, Georgina Herrmann, Farès Moussa, Michael Roaf and

Eberhard Sauer for advice, discussions, comments and answers to specific questions. I would also like to thank Routledge's anonymous reviewers for advice and recommendations for the new edition. And to all, I can only apologise for advice ignored and mistakes that are solely my own. And finally, thanks to Amy Davis-Poynter, Elizabeth Thomasson, Lola Harre, and their colleagues at Routledge for their patience in dealing with my many queries and delays, and for seeing the work through press, as well as to Maria Whelan and Adam Bell for meticulous copy-editing and proof-reading.

Notes

- 1 Although not 'in charge of several sites . . . from Afghanistan to Jordan' as Sartre (2002) flatteringly states; I wish I had been.
- 2 Kaiser, Tsar and 'Qaysar-i Hind', to give Queen Victoria's correct Indian title. 'Kaysar' was also one of the titles of the Ottoman Sultans – indeed, when Ivan IV proclaimed himself Tsar (the first Russian to do so, adopting it from the Bulgarians) the Sultan refused to recognise it, claiming a monopoly on the title having inherited it from the Romans.
- 3 Or at least 'European' in a cultural sense: I am originally from Australia, albeit living by far the greater part of my life outside it.
- 4 Braudel 1981–4, 2: 231.
- 5 E.g. Roaf 1990: 214.
- 6 Although the Seleucids were European in origin, their idea of empire was almost wholly inherited from the Achaemenids.
- 7 Isaac 1992: 20–1; Shahid 1984a, 1984b, 1989. For an eastern perspective on the Seleucids, see for example, Sherwin-White and Kuhrt 1993.
- 8 Gibbon 1 (1900: 116, 118), the latter in the context of Septimius' campaign against Niger – although Gibbon can still be read with profit – and certainly enjoyment! – today.
- 9 Hobson 2004; Goody 1996, 2006, 2010; Ball 2009, 2010, 2012, 2015.
- 10 Pagden 2008: 3, 56, 62, 66, 76.
- 11 Millar 1993; 2013: 19.
- 12 Dodgeon and Lieu 1991: 5, referring to the Shapur inscription at Naqsh-e Rostam.
- 13 Dodgeon and Lieu 1991: 2. The *Oxford English Dictionary* defines 'razzia' (deriving from Arabic) as 'A raid, a foray; *spec. (Hist.)* a hostile Moorish incursion for purposes of conquest, plunder, capture of slaves, etc.' Butcher (2003: 53) is perhaps consciously answering my challenge when I criticised the use of this in the first edition when he writes of 'the razzias of Trajan, Lucius Verus and Septimius Severus [against the Parthians]'.
- 14 Smallwood in Williamson and Smallwood 1981: 144.
- 15 Millar 1993: 218.
- 16 See, for example, Mattingly 2011; Baird 2014: Chapter 5; the entire 1998 issue of *Mediterranean Archaeology* 11; Smith 2013: 7–13; Wood (ed.) 2013.
- 17 In this context, note the cautionary evidence in Birley's (1988a) seminal article on Latin names at Lepcis Magna. See also Kennedy's (1999: 103) cautionary note on the over-interpretation of Greek personal names.
- 18 W Hamilton and A. Wallace-Hadrill in the Penguin Classics edition of his work.
- 19 Aubert 1993: 23–5.
- 20 See Macdonald's (1998) cautionary remarks on the limitations of epigraphy and of 'epigraphists who indulge in fantastic philological gymnastics' (177), and of consequent perceptions of ethnicity in the Roman East.
- 21 Millar 1993: 318.
- 22 Millar 1993: 329.
- 23 Millar 1993: 449, 462, 471.
- 24 Boardman 2015: 63 referring to the Seleucid east. Isaac (2009: 45) also draws this parallel.
- 25 Sherwin-White and Kuhrt 1993. A careful re-reading by Potts (2005) of the cuneiform sources for Cyrus, for example, has resulted in a completely different (if controversial) interpretation from that based on the Greek sources alone.
- 26 Graf in Politis (ed.) 2007: 180.

- 27 A point emphasised by Graf 2001: 469. See also Trimmingham 1979: 92; Al Khraysheh 1992; MacDonald 1992; 1993. For Pompeii see Gysens in Cimino 1994: 79.
- 28 Sartre 2005: 294.
- 29 Ostler 2005: 377; see also 534–5 and Chapter 3 *passim*.
- 30 See in general Cotton *et al.* 2009.
- 31 I was struck, for example, on my first visit to St Petersburg at the sight of a bill-board advertising a German insurance company but entirely in English – aimed at a Russian audience.
- 32 Richardson 2002: 75. See also Boardman's (2015: 162–4) cautionary remarks on the nature of Roman remains in the east.
- 33 Millar 1993: 201, 493.
- 34 E.g. Millar 1993 throughout.
- 35 Note the cautionary remarks on this subject in Boardman 2015: 52–5.
- 36 See Sherwin-White and Kuhrt 1993. It is out of place here to discuss whether Alexander was a Hellenophile or Hellenophobe, but while the first interpretation is the most popular, the latter is equally likely.
- 37 Wiesehöfer 1996: 183–91.
- 38 Even Ardeshir I's much vaunted Sasanian claim that Rome should restore its western Achaemenid satrapies had its Parthian precedent under Artabanus III – see Herodian 6. 2; Dio 80. 3; Tacitus *Annals* 6. 31. There is much controversy concerning how much later Iranian dynasties identified with the Achaemenids. See Frye 1967: 249–50; Frye 1984: 278; Whitby 1994: 234–5; Wiesehöfer 1996: 130–6, 165–71; Roaf in Curtis *et al.* 1998.
- 39 Frye 1963: 23.
- 40 Although Procopius refers to 'Byzantium' and 'Byzantines', but never to the 'Byzantine Empire'.
- 41 E.g. Mitchell's (1993) magnificent two-volume study.
- 42 Herodian 3. 1. 4.
- 43 In particular the large number of works now covering the wars between Rome and Iran in the latter part of this history: e.g.: Dogeon and Lieu 1991; Blockley 1992; Greatrex and Lieu 2002; Howard-Johnston 2006; Dignas and Winter 2007.
- 44 Slattery 2010.
- 45 Greatrex 2001; Isaac 2002; Whitby 2001; Sauer 2005; Kennedy 2007: 19; Drijvers 2002; Ruffing 2004; Sartre 2002.
- 46 Sauer *et al.* 2013.
- 47 Casana 2004.
- 48 King 2002: 44–5; Young 2003; García 2013.
- 49 An approach 'with a host of confused and half-formed preconceptions about the "Orient"' as Patrich (in Politis (ed.) 2007: 79) comments. See also Kennedy 1998: 39 and 1999. Millar's overly Eurocentric bias is reasserted in his latest (2010) work, e.g. pp. 13, 14.
- 50 Kennedy 1999: 78. See also Raja's (2013: 31) remarks on the opposite approaches of myself and Millar.
- 51 E.g.: Young 2001; Ross 2001; Zahran 2001; Richardson 2002; Greatrex and Lieu 2002; Dignas and Winter 2007; Levick 2007; Edwell 2008; Fisher 2011; Segal 2013, to name just some of a far longer list. To some extent viewpoints are implicit in book titles: 'The Middle East *under* Rome' implies subservience, just as '*Roman* Syria and the Near East' and 'The *Roman* Near East' or '*Roman* Orient', the titles of Sartre 2005, Butcher 2003, Millar 1993 and Sommer 2006 respectively (italics added), imply possession – Roman character implied before opening the books, as opposed to 'Rome *in* the East', implying an outsider. This can be taken too far of course, but such impressions count.
- 52 Zahran 2003; Hemelrijk 2004; Levick 2007; Southern 2008; Winsbury 2010; Langford 2013.
- 53 Blomer *et al.* 2015; De Romanis and Maiuro 2015; Dijkstra and Fisher 2014; Galor and Bloedhorn 2015; Kemezis 2014; Liebeschuetz 2015; Lusnia 2014; MacDonald 2015.
- 54 This was written against the background of the destruction at Palmyra and the beheading of its site director.
- 55 In the same way that my earlier 1982 *Archaeological Gazetteer of Afghanistan* (currently in preparation for a new edition) was.
- 56 See note 50, above.

Abbreviations

AAAS	Les Annales archéologiques arabes syriennes
ADAJ	Annual of the Department of Antiquities of Jordan
AJA	American Journal of Archaeology
ANRW	Aufstieg und Niedergang der römischer Welt
ARAM	Journal of the Aram Society for Syro-Mesopotamian Studies
BEO	Bulletin d'études orientales
BSOAS	Bulletin of the School of Oriental and African Studies
CHI	Cambridge History of Iran
CRAI	Comptes-rendu de l'Académie des Inscriptions et Belles-lettres
Dam. Mit.	Damaszener Mitteilungen
IEJ	Israel Exploration Journal
IFAPO	Institut français du Proche-Orient
JNES	Journal of Near Eastern Studies
JRA	Journal of Roman Archaeology
JRS	Journal of Roman Studies
Meditarch	Mediterranean Archaeology
MEFRA	Les Mélanges de l'École française de Rome – Antiquité
PEQ	Palestine Exploration Quarterly
SAS	Proceedings of the Seminar for Arabian Studies
SHAJ	Studies in the History and Archaeology of Jordan
ZDPV	Zeitschrift des Deutschen Palästina-Vereins
ZPE	Zeitschrift für Papyrologie und Epigraphic

1 Historical background

To the Euphrates¹

The ghost of Alexander seems always to haunt those who venture east, the Romans no less than the Crusaders no less than Napoleon.² The Romans too considered themselves heirs to Hellenism. But they also suffered an inferiority complex. It was towards the east that conquerors cut their teeth: Alexander had, as it were, defined the very concept of conquest and empire. After the Punic Wars an empire almost by definition had to be eastern. From Pompey to Heraclius the road to glory lay eastwards, some like Pompey or Trajan achieving it, others like Crassus or Julian coming to grief. The East was also the source of wealth above all others, and the endless round of civil wars in the Roman Republic was costing money that the eastern coffers could recoup. Above all, the East was the only area which held another great power that could match Rome: Iran.

Rome and Iran

The history of Rome in the East was dominated by Iran more than any other single factor, first the Parthian then the Sasanian Empires. Iran was the greatest power to oppose Rome, so there is understandable Roman preoccupation with eastern policies. But the reverse was probably not as true for Iran, which – particularly under the Sasanians – faced great powers to the east as well, where it actually conquered a great empire: the Kushan. Sasanian–Kushan relations, therefore, where we have scarcely any documentary sources, were probably just as important a preoccupation for them as Sasanian–Roman relations are to us, where we have copious sources. The East was probably of more concern to Iran than Rome ever was: the Parthians established contacts with China, for example, before they did with Rome. The information that we have about the history of pre-Islamic Iran shows an overwhelming concern for the East – ‘Turan’ of the epics – rather than for the West.³ Much of the ancient Iranian perception of the Romans has survived in the imagery of medieval poetry. Here, ‘Rome’ – *Rum* in Persian – is merely a stock image for the exotic, for distance, for the barbaric, occasionally for mystery. In other words, it is similar to the medieval and later European imagery for the ‘Orient’.⁴ The foundation of Constantinople was seen as a direct consequence of Roman defeats by the Iranians. When Roman emperors are mentioned, it is usually in relation either to Jewish history (for example, Vespasian and Hadrian, who destroyed Jerusalem) or to Islamic history (for example, Heraclius, who lost the East to Islam). In both al-Tabari and al-Biruni the whole of the history of the Roman Empire from Tiberius to Heraclius is summarised with a mere king-list, as little more than a footnote to the story of Christ and a preface to the coming of Muhammad. One is left with the impression that, for Iran, Rome was simply a minor – even tributary – power.⁵

2 *Historical background*

The Romans may have had no ‘grand strategy’.⁶ But there were ‘grand preoccupations’ that were at times obsessive, and strategic considerations would follow from these. The East was the greatest of these preoccupations. For Iran was the only power in the ancient world that matched the Romans, defeating Rome on a number of occasions. Several authorities emphasise that in the frequent Rome–Iran wars, it was almost invariably Rome that was the aggressor. Indeed, the earliest Parthian overtures to Rome were peaceful.⁷ But apart from being a preoccupation that often amounted to aggression, the Romans rarely showed curiosity towards their great neighbour as the Greeks did: there is no Roman equivalent of Herodotus or Xenophon. No attempts were made at gaining worthwhile intelligence.⁸ Mark Antony’s disastrous campaign into Iran is a prime example, while Julius Caesar’s ‘grand strategy’ for an invasion of Iran displayed such colossal ignorance that, had it been implemented, it would have been as disastrous as Antony’s.

With the notable exception of Strabo and one or two other natives of the East, the Romans on the whole display ignorance of Iran. It is, for example, often indiscriminately called Persia, Parthia or even the anachronistic Media regardless of which dynasty is in power, and there is frequent confusion between the Aral, Caspian and Black Seas. Ammianus Marcellinus, who is otherwise one of the main Roman authorities on the East, seems to make no differentiation between Mede, Persian or Parthian. The *Chronicon Paschale* in the seventh century refers to Shapur as ‘Pharaoh’ and even as late as the eleventh century, Michael Psellus was still referring to Iran under the Seljuks as ‘Parthia’, while the Abbasids of the tenth century were called the ‘Assyrians’ and the Caliph at-Ta’i is referred to as ‘Chosroes’ the ‘Babylonian’.⁹ Nor did Rome ever develop any sound, consistent policy towards Iran other than the vague notion that one must clobber it every now and then when opportunity came one’s way. Even those attacks when they came – distinguished as often by disastrous defeats as by inconclusive victories – were markedly lacking any soundly thought-out strategy or war aims, let alone a policy to follow in the event of a total victory (i.e. what would one do on suddenly annexing territory that stretched to the Jaxartes and the Indus?)¹⁰

Hannibal and Antiochus the Great

The first act in Rome’s eastern involvement was also the last in its wars against Carthage. For it was Hannibal who, in encouraging the Seleucid Empire to defeat his sworn enemy, unwittingly brought Rome to the East for the first time – with his own and the Seleucid’s ultimate downfall as the outcome. In itself, it may be of minimal historical relevance, but it does at least serve to illustrate how entwined Rome and the East were from the very beginning of Rome’s imperial expansion.¹¹

Asia’s expansion into Europe began much earlier than Europe’s into Asia. During the course of this Asian expansion we see Phoenician merchant venturers charting the coast of Britain and Phoenician scribes bequeathing to Europe what is arguably one of the greatest single assets of its civilisation: the alphabet. Hannibal’s campaigns in Spain and Italy were the last episode in this long history. Rome’s Punic Wars first thrust Rome onto the broader Mediterranean stage and the eventual road to eastern expansion. With his armies defeated and his capital occupied, Hannibal was forced to flee eastwards. He sought sanctuary at the court of the only other major power in the Mediterranean that could resist Rome: the Seleucid kingdom of Antiochus III.¹²

Antiochus III, known as the Great, was a descendant of Seleucus Nicator, the Macedonian warlord who founded the Seleucid Empire following the death of Alexander. In many ways,

Antiochus' achievements were not far short of those of Alexander himself. The army that he built up, developed from both Macedonian and Persian models, was the first in the Hellenistic world to make cavalry a significant element. He campaigned as far as India in 209 BC, and in 204 he turned his war machine against the Seleucid kingdom's old rivals and fellow Macedonians, the Ptolemaic dynasty of Egypt, inflicting a crushing defeat on them at the Battle of Paneas at the headwaters of the Jordan. Antiochus was proclaimed conqueror of the East, the new Alexander and defender of Hellenism. Hannibal could find no truer champion against his own enemy. He warned Antiochus of the new threat from the West and urged him to turn his might against Italy. The combination of two of the ancient world's great generals together – the victor of Cannae and the conqueror of India¹³ – was a heady one that seemed to spell doom for the new power of Rome.

It was not to be. Despite Antiochus' efforts to restore Seleucid fortunes, the Seleucid kingdom had long begun its decline. Antiochus, despite Hannibal's urgings, was cautious: he had no wish to set his sights beyond Greece. Rome had already been making inroads into Greece, defeating Antiochus' forces at the historic battlefield of Thermopylae in 191 BC, and occupying most of Greece with little effort. Then in 190 Roman soldiers for the first time set foot in Asia. An army commanded by Rome's two greatest generals, the Scipio brothers, crossed the Dardanelles. With foes of the calibre of Antiochus and Hannibal, Rome put its greatest into the field. The two armies met at the Battle of Magnesia in Asia Minor in 189 BC, the Seleucid army of 75,000 opposed by a Roman army numbering only some 30,000. It was an historic battle between new and old legends: Roman legion met Macedonian phalanx. Both sides also fielded elephants: Rome's African versus the Macedonians' Asiatic. Despite the odds and some brilliant cavalry tactics by Antiochus, the Seleucid army was routed.¹⁴ Rome had arrived in Asia.

*Pompey the Great*¹⁵

It was Pontus and Armenia that brought Pompey eastwards. Adopting the old Iranian royal title of 'King of Kings' – as well as much of its mantle – King Tigranes of Armenia had expanded his kingdom over much of Anatolia and Syria at the expense of the declining Seleucids after 95 BC.¹⁶ As well as a challenge to Rome's new presence in the region following the Battle of Magnesia, Armenia – and the neighbouring kingdom of Pontus under Tigranes' father-in-law Mithradates – offered refuge for disaffected elements. Pontus and Armenia encouraged piracy on a large scale, and 'pirate' raids from Armenian and Pontic bases in Anatolia extended as far as Ostia (although those involved are only referred to as pirates by the Roman authors: when the Romans did it, it was, of course, legitimate maritime business and naval expeditions).

At first, Rome sent Lucullus, 'the first Roman to cross the Taurus with an army for warfare', to face this new challenge.¹⁷ He defeated Mithradates in 72 BC in a battle on the Granicus (where much was made of its being the site of an earlier defeat of Asiatics by Europeans, being Alexander's first victory over the Persians) when the Romans are said to have slaughtered some 300,000 soldiers and camp followers. He then defeated Tigranes in 69 BC in battle near the Armenian capital of Tigranocerta amidst further slaughter (and perhaps the world's first recorded use of chemical warfare).¹⁸ Lucullus defeated the Armenians again in 68 BC in a bid to capture the other Armenian capital of Artaxata. Indeed, it seems possible that this underrated general might have completely overrun Anatolia and forestalled Pompey's later conquest of Syria – he even briefly contemplated Iran – if he had not been recalled to Rome the following year.

4 *Historical background*

Lucullus' recall was possibly at the jealous instigation of Pompey (or at least the Roman moneylenders whose rapacious activities he had curtailed in Anatolia), who succeeded Lucullus as commander of the East. Pompey was invested with such power – 'Never did any man before Pompey set forth with so great authority conferred upon him by the Romans'¹⁹ – that his position was tantamount to that of an absolute monarch: the demands of the East had already embedded the concept of emperor in the Roman consciousness. With a massive force at his disposal, his first task was to clear the seas of pirates. This he achieved with astonishing success and little fighting (perhaps due partly to a deal struck with the pirates). Lucullus accused Pompey of simply being a bird of prey coming upon the carcass when others had slain the dead. Indeed, when the two rivals met in Galatia, Lucullus stooped to open personal abuse.²⁰ Pompey swept on to defeat Mithradates, first in a night-time battle and then, more decisively, in 66 BC. Tigranes surrendered to Pompey, but the humiliation forced him to commit suicide three years later – a sad end for a king who, more than any other, established a sense of Armenian pride which still survives to this day.²¹

Syria was the next to fall. It was not difficult for Pompey. Tigranes' previous conquest had rendered the Seleucid state largely meaningless. The Maccabaeen revolt and the subsequent Hasmonaeen kings had robbed it of Palestine; the rise of the Nabataean kingdom in the south had robbed it of its trade; claims for the throne by rival members of the Seleucid family had robbed it of any leadership; local Arab dynasties asserted independence in Edessa, Chalcis and Emesa. In the end, Pompey did not even extend token recognition to the last Seleucid claimant, Antiochus XIII, as a client king. The rump Seleucid Empire became the Roman province of Syria overnight. 'In this way the Romans, without fighting, came into possession of Cilicia, inland Syria and Coele-Syria, Phoenicia, Palestine, and all the other countries bearing the Syrian name from the Euphrates to Egypt and the sea.'²² What was arguably the greatest single territorial acquisition in Rome's history fell without even a blow. Nor did it attract much notice: neither Dio nor Plutarch devote more than a single line to it, mentioning the annexation simply as a footnote to Pompey's Anatolian campaigns.²³ Judaea was added the following year. Thus, Pompey's arrival in Antioch in the summer of 64 BC marked the end of one empire and the beginning of another. The end of an empire that had once stretched all the way to India founded by the ancient world's greatest hero, Alexander of Macedon. The remainder of Alexander's successor states soon also crumbled: Ptolemaic Egypt fell to Octavian in 30 BC and the last Greek kingdom in India fell to the Indo-Parthians in about 28 BC. But of all the successor states, the Seleucid kingdom was the one that incorporated the bulk of Alexander's conquests. With its end, the Romans became the heirs of Alexander.

Pompey has always been overshadowed by his rival and eventual destroyer, Caesar. Caesar, it is true, added an important province to Rome, but Pompey added a sophisticated empire. Caesar's rustic tribes from the north could not be compared to the roll-call of nations that were inscribed on Pompey's triumph which, even allowing for exaggeration, was the greatest that Rome had yet seen: 'Pontus, Armenia, Cappadocia, Paphlagonia, Media, Colchis, the Iberians, the Albanians, Syria, Cilicia, and Mesopotamia, together with Phoenicia and Palestine, Judaea, Arabia, and all the power of the pirates subdued by sea and land.'²⁴ In the days when the writing was on the wall for Republicanism in Rome, it was Pompey who pointed the way forward: Empire. Rome conquered an eastern empire; as a result the eastern concept of empire conquered Rome. Pompey, likened to no less a hero than Alexander, was hailed 'Imperator' by his army and proclaimed 'King of Kings', the ancient Persian title for an emperor. Ultimately, it was Pompey, not Caesar, who was awarded the epithet 'the Great'.²⁵

*Crassus, Carrhae and the Parthians*²⁶

In the heady first days after acquiring an eastern empire, blinded by the spectacular wealth that it brought in, Rome ignored the great power that loomed beyond: Iran. For it was no longer the humiliated Iran that Alexander left in the ashes of Persepolis, but a new power dominated by the last of the major Indo-Iranian tribal confederations to migrate westwards from Central Asia. These were the Parthians, ruled by the Arsacid dynasty.

Like the Medes and Persians before them, the Parthians were initially little more than a tribal confederation, until in about 238 BC they seized control of the trans-Caspian territories of the Seleucid Empire. Building up a power-base in what is now Turkmenistan, they were at first a Central Asian power with their capital, Nysa, not far from modern Ashkhabad. By the middle of the second century the Parthians were ready to invade Seleucid Iran. This culminated in Mithradates I being crowned King of Kings of a new Iranian empire in the old Iranian capital of Babylon in 141 BC. An 'Iranian Revival' was dawning.²⁷

The Parthian Empire is a shadowy one. We know remarkably little about it – surprising for an empire that lasted for nearly 500 years, the longest dynasty in Iranian history and the only serious challenger to the Romans. The Parthian period has been long neglected: scholarship has usually favoured the Sasanians – even modern Iranians tend to look upon the Parthians as merely an interregnum between the Achaemenids and Sasanians. There is, of course, a serious lack of documents, not only Iranian but also Roman. The little Roman literary evidence that we do have is heavily biased, without even the exuberant curiosity of Herodotus for the Iranians (and it is often forgotten that Herodotus grew up as a citizen of the Achaemenid Empire) or the admiration of Xenophon. But the Romans by no means had a monopoly on anti-Parthian bias: the Sasanians themselves suppressed Parthian history in their efforts to identify with a pre-Parthian past. The Parthians, therefore, are lost behind centuries of bias from both sides. To some extent modern scholarship also tends to look on the Parthian Empire only in terms of its relations with Rome. But for the Parthians themselves, the Romans were probably their least concern. Parthia was in origin a Central Asian power, and Central Asia remained in many ways both its heartland and its prime concern, even after the capital was transferred to Ctesiphon in Mesopotamia. For most of Iranian history, the greatest threats came from the east, not the west.

It may have been a shadowy empire, but it was a very vigorous one. While it has left us few historical sources, it has left a wealth of art that is almost as revealing. Parthian sculptures convey an aggressive image: proud-looking warriors, barely removed from their Central Asian steppe roots, sporting beards and long hair, clad in trousers and armed with broadswords.²⁸ Such an art conjures up images of warlords and campfires rather than the poetry and rose-gardens more often associated with Iranian culture. Such vigour re-established the self-confidence of Iranian civilisation after its humiliating setback at the hands of the Macedonians.

The achievements of the Parthian state were impressive. They soon re-established most of the old Persian Empire and extended their rule into India. Members of the Parthian royal house established separate dynasties elsewhere in the East: in Seistan, from which the Rustam cycle of the Persian epic poem the *Shahnameh* emerged; in India, where they ousted the Scythian successors to the Greek kingdom in Gandhara; and notably in Armenia (hence the cause of confrontation with Rome) where they outlasted the parent dynasty in Iran itself. The Parthians successfully fended off several Seleucid attempts to regain their lost territories, most notably that by Antiochus III in the second century BC, whose gains the Parthians recovered after his death. It was Mithradates II (the Great) more than any other

Parthian king who established the Empire on a firm footing. He was the first king of Iran since the Achaemenids to resurrect the ancient Persian title of King of Kings, or *Shahinshah*, he re-established Iranian rule in Babylonia and Seistan following revolts after the death of Mithradates I and established contacts with China.

Mithradates also sent his special envoy, Orobazes, to establish relations with the Roman Empire and offer an alliance. Orobazes met Sulla in Cilicia in 92 BC. It was an historic meeting, the very first between Roman and Iranian. The Chinese emperor had had the wisdom to send Iranian envoys back to Mithradates with gifts and messages of friendship. Sulla treated Orobazes with contempt offering merely vassal status to Rome. The affront sowed the seed of centuries of discord between the two powers.

Thus, with power, prestige, wealth – and hurt pride – it was a new Iran that faced Rome. Two new superpowers flexing their outward muscles, one claiming the mantle of Alexander, the other the mantle of Cyrus, both meeting at the Euphrates which formed their border. It only required a spark to ignite the inevitable. This was provided by the arrival in Syria of the avaricious Crassus, the Roman triumvir who was appointed proconsul of Syria in 55 BC. A combination of greed and a desire to emulate Caesar's conquests in Gaul prompted Crassus to set his sights on Iranian conquest. Ignoring both a treaty of friendship recently signed between Rome and the Parthian Empire and the true strength of the Parthian army, Crassus crossed the Euphrates and entered Mesopotamia.²⁹

Opposing Crassus was the Parthian General Suren, a commander of high calibre as well as one of the more remarkable men to dominate the period. Suren was a general who could choose his own ground, recognise the weaknesses of his enemy and use the distinctive tactics of his Iranian cavalry to complete advantage. But despite the personal description of Suren that has survived in Plutarch, he remains an enigma: we do not even know his name. 'Suren' (or 'Surenas') was the family name of a dynasty of princes from Seistan in south-eastern Iran, not a personal name. It has been suggested that the 'Suren' of Carrhae was none other than the greatest of all Iranian heroes: the legendary Rostam, hero of the *Shahnameh*, who also originated from Seistan. Such an explanation might explain the otherwise complete absence of Suren in Iranian sources, an astonishing lacuna for one of ancient Iran's greatest generals. The figure of Suren and his family certainly cast a long shadow over the subsequent centuries in the Near East: St Gregory the Illuminator, patron saint of Armenia, was supposedly a descendant, and many of the noble families of medieval Armenia traced their descent from him.³⁰

Rome and Iran collided in 53 BC, only eleven years after the Romans had entered Syria, at the open plains of Carrhae (modern Harran in south-eastern Turkey; Plate 1.1). Here, Iranian intelligence, through its agent the Arab king Ariamnes, had lured the Romans out of the protective covering of the hills into tailor-made cavalry country.³¹ Plutarch has left us with a vivid account of the battle, where we first learn of the famous 'Parthian shot' which has since passed into our language. Suren had a thousand heavy cavalry and several thousand light cavalry as well as a thousand baggage camels, altogether a force of some ten thousand. Crassus' army, at 40,000, easily outnumbered Suren's. But despite numerical superiority, the Roman army was mainly infantry, with little cavalry. This could little withstand both the merciless hail of arrows and the repeated cavalry charges of the Iranians when full combat came. The Roman army was annihilated, suffering its greatest defeat since Hannibal's wars. Crassus himself was killed in the mopping up operations³² along with 20,000 of his army. Ten thousand were taken off into captivity to the eastern fringes of the Parthian Empire. Iran had won its greatest victory since the great days of Darius.

Emperor Orodes' son, Pakores, ruled Mesopotamia on his father's behalf and later succeeded Suren as army commander. Pakores had the longer-term strategic vision that Suren



Plate 1.1 Modern Harran in south-eastern Turkey, site of the Battle of Carrhae

lacked, being able to follow up military victory with political consolidation, taking most of Syria from the Romans two years later. Crassus was succeeded in Syria by Cassius, who withdrew to Antioch. But Pakores' success excited suspicion from his jealous father, and Orodes withdrew Pakores back to Mesopotamia. The Romans, for their part, were by then distracted by the Civil War between the two surviving triumvirs, Pompey and Caesar.

Carrhae may have been the end of Crassus and tens of thousands of Roman lives, but it was not the end of the Parthian War. Rome had to respond – to retrieve captured standards as much as wounded pride. With Pompey now dead, it was left to the third triumvir, Julius Caesar. He came through Syria in 47 BC, following his Egyptian campaign. Apart from conferring a few administrative changes, he returned to Rome. From there Caesar pondered his own deification and, in 45 BC, proposed the grandly ambitious idea of invading Iran through Armenia, sweeping on past the Caspian to the Caucasus up through southern Russia and so back to Rome, picking off Germany and Gaul on the way. His assassination put paid to such an unrealistic plan. In the ensuing Civil War, there was even an extraordinary suggestion that, after Antony and Cleopatra's defeat at Actium, Antony might shift the theatre of operations from the eastern Mediterranean to the Persian Gulf. Cleopatra had actually assembled a fleet in the Red Sea ready to transport her forces there, only for it to be burnt by the Nabataeans at the request of the governor of Syria.³³

In the meantime, the Iranians returned in force to Syria under the joint command of Pakores – now reinstated in his father's favour – and the Roman renegade Labienus, a former associate of Brutus and Cassius. Parthian rule in the west was on the whole a benevolent one, contrasting with the early years of Rome's rule. The Syrians, smarting under Roman

8 *Historical background*

taxes, welcomed the Parthians. They thrived under Pakores' rule, feeling 'unusual affection for Pakores on account of his justness and mildness, an affection as great as they had felt for the best kings that had ever ruled them'.³⁴ Such accommodation from the population made Pakores' campaign an easy one. While Labienus' division was easily defeated by a force dispatched from Rome, Pakores was able to capture most of Syria, all of Palestine and large parts of Anatolia. Just as Orodes was proclaiming his son conqueror of the Romans, however, Pakores was killed in 37 BC in a trap set for him by the Roman General Ventidius, perhaps in alliance with the Judaeans.³⁵

Orodes abdicated in favour of his son Phraates IV, who consolidated his reign by promptly murdering his father and thirty of his brothers – as well as his own eldest son and a few leading families – thus plunging Iran into civil war. Mark Antony's subsequent disastrous Iranian campaign in 36 BC (discussed in Chapter 3) quickly reversed any advantage the Romans might have gained from Pakores' death and the ensuing Iranian weakness. However, Phraates IV returned the Roman standards captured from Carrhae to Augustus in 20 BC, together with as many Roman prisoners of war from Crassus' and Antony's debacles as could be rounded up.

Peace was established at a great ceremony on an island in the Euphrates in AD 1. The Iranian delegation was led by the half-Italian Emperor Phraates V, the son of Phraates IV.³⁶ The Roman delegation was led by Augustus' nominated successor and adoptive son, Gaius. Watched by the two armies drawn up on either bank, the two imperial delegations crossed over to the island in no-man's land and formally ended over half a century of war between the two powers. Thus, fifty-four years and tens of thousands of lives after Carrhae, the frontier between the Roman and Iranian empires reverted to where it had been in the first place: the Euphrates. It was as though Carrhae had never happened.³⁷

Beyond the Euphrates

After the Augustan peace, Roman borders in the Near East remained more or less static for over a century as the Roman and Parthian Empires enjoyed a period of stable, even friendly, relations. We even read of the Parthian ambassador to Rome urging Nero to change his capital. While there is only a single source for this anecdote, the story is a believable one, for the Iranians frequently changed theirs.³⁸ In the end, the capital remained at Rome and Nero simply burnt it down, but in the long term, the Iranians' recommendations were to prevail. Subsequent years were to see many more battles and some major wars fought in the East. It was in the East that Octavian brought to a final end the round of civil wars that had marked the last years of the republic. In the course of this we see Octavian, the republican general, both extinguishing some eastern monarchies and confirming others: the house of Ptolemy in Egypt, for example, came to an end, while that of Herod the Great in Judaea was given a new lease of life. In doing so, he returned home an emperor. Other major wars were to be fought, most notably the Jewish War fought by Vespasian and Titus (Chapter 2). But there was no expansion of any significance until the time of Trajan at the beginning of the second century AD.

Trajan and the ghost of Alexander

Annexing Arabia was perhaps inevitable after the annexation of Palestine. For the key to Palestine's security lay not in controlling the coasts – Rome's initial reason for entering Palestine – but in its hinterland beyond the Jordan. Control of the lands beyond the Jordan had a powerful economic as well as strategic attraction too, for beyond lay the wealthy Nabataean state with the trans-Arabian trade routes that it controlled (Chapter 2).

Trajan also needed to safeguard his territory before embarking upon a more ambitious campaign: Iran. Up until AD 114, the Romans were content to leave the Euphrates (Plate 1.2) as the boundary between the two empires. After 114 this policy changed. The main reason – apart, of course, from mere aggression – was the need to preserve the friendly client kingdom of Armenia as a buffer.³⁹ Whenever the balance in Armenia was upset – or looked like being upset – there would be war between the two great powers, with Armenia being divided on several occasions. Armenia’s position was similar to that of Afghanistan in ancient history’s ‘Great Game’ – not to mention that of Poland in the number of times it was partitioned.

Iran’s replacement of an Armenian king by one more sympathetic to its interests in AD 113 first brought Trajan eastwards, and he fought several campaigns in Armenia and Adiabene in 114 and 115.⁴⁰ He marched down the Euphrates in AD 116, capturing Ctesiphon. Here, he placed a puppet Parthian king on the throne, then continued down the Euphrates to the shores of the Persian Gulf. Gazing at a merchant ship about to embark for India, he thought enviously of Alexander’s opportunities and youth. Trajan reluctantly turned back, pausing at the ruins of Babylon and to offer sacrifice to Alexander’s memory in the room where he supposedly died. Trajan thus laid the ghost of Alexander, and turned his face westwards, leaving behind two new Roman provinces in upper Mesopotamia.⁴¹

Although Trajan’s advance into Iranian territory was one of the most successful campaigns by a European in the East since Alexander, it achieved little in the short term. His eastern conquests – apart from the Nabataean state – were relinquished by his successor Hadrian in the face of instability elsewhere. Or perhaps, given the inadequacy of our sources for the reign of Hadrian, the Romans were simply forced out of Mesopotamia by the Parthians.⁴² After all, Iran’s client remained on the Armenian throne, and the Parthians themselves



Plate 1.2 The Euphrates in Turkey with the walls of Diyarbakır (ancient Amida) in the distance. The extant walls are mainly Seljuk, but on Roman foundations

remained just as large a threat to Roman power as before. For while Rome could annex parts of Iranian territory and even occupy the capital of Ctesiphon, the roots of Iran's power lay further to the east, in the Iranian plateau and beyond to the original Parthian homeland east of the Caspian. The Iranians could always absorb the loss of their capital: they had others. Trajan's occupation of Mesopotamia made little difference to Iranian power. Trajan himself probably realised, when he thought longingly of emulating Alexander, that to conquer Iran it had to be all the way to India or nothing. Sensibly, Trajan turned back.

While Trajan's advance achieved little in the short term, there were major longer-term ramifications. To begin with, it created a precedent: in removing the Euphrates as a barrier in the Roman mind – more a psychological than a physical barrier (Plate 1.2)⁴³ – it made the eastern boundaries of the empire effectively open. To the west, the Atlantic halted further expansion, while the forests of the north and the deserts of the south made further expansion in those directions pointless. The Euphrates formed a useful line on the map; its removal meant that the East remained open.

Trajan's campaigns also brought a Roman emperor to the East on a semi-permanent basis, 'marking an important stage in the beginning of the cultural shift towards the East'.⁴⁴ Trajan resided in the East for three years, administering the rest of the empire quite effectively during the time. Antioch was his main imperial residence and in effect became the second capital of the Roman Empire. Successive emperors, both real and pretender, proclaimed themselves in Antioch (Chapter 4). This first followed Trajan's death when his successor Hadrian was proclaimed emperor there. After Trajan, therefore, Rome looked increasingly to the East: as the only place where real expansion still lay, as a natural heart of their own empire, as an alternative to Rome as a headquarters. The eventual transformation of Rome into an eastern empire had begun.

After Trajan, there were more and more incursions across the Euphrates, and even the establishment of short-lived Roman provinces. There was another war with Iran in AD 161–5 after the accession of Marcus Aurelius, again caused by the Romans refusing to accept a pro-Iranian king on the Armenian throne. This time Marcus Aurelius' co-emperor, Lucius Verus, took charge in the East, basing himself at Antioch for four years. For the first time Rome had an emperor for the East, ruling from an eastern capital. Once again Ctesiphon was taken, Seleucia on the Tigris sacked, and Iranian territory laid waste. But the apparent completeness of this and other Roman victories against Iran must be questioned as exaggerated: after all they appeared to have had little effect on real Iranian power and they resulted in few permanent gains for the Romans. In the end, we have only the Roman word for their victories – and the very vagueness and spuriousness of many Roman accounts of these campaigns (which even have Romans crossing the Indus at one point!) leave much to be questioned.⁴⁵

The real hero of the Parthian War was Avidius Cassius, a Syrian from Cyrrhus, rather than the indolent Lucius Verus.⁴⁶ The career of Avidius Cassius was to have important later ramifications. After the end of the Parthian War he was proclaimed emperor in AD 175 following premature reports of Marcus Aurelius' death. Avidius Cassius lasted only three months and his murder appears to mark the end of what seems to be only a footnote (although, significantly, he gained the allegiance of both Syria and Egypt and was immensely popular in Antioch).⁴⁷ But the matter did not end there: Lucius Verus had set a precedent for a Roman eastern emperor; Avidius Cassius set the precedent for a native of the East to become emperor of Rome.⁴⁸

*Septimius Severus and Mesopotamia*⁴⁹

Pescennius Niger in AD 193 was the next to be proclaimed emperor from Antioch by the Roman army in Syria. Again, Antioch became an imperial capital, and Niger received

delegations from all over the East, including Iran.⁵⁰ Unlike Avidius Cassius, Pescennius Niger was not a native of the East, but the man who eventually defeated him had the strongest associations of any emperor yet with the East.⁵¹ This was the North African of Phoenician descent, Septimius Severus, the Phoenician connection reaffirmed with his marriage to a Syrian princess (Plate 8.4 Chapter 8). He had already served in Syria as a rising young officer, and it was Niger's proclamation as emperor in 193 which brought Septimius Severus back there the following year. Now emperor himself, Septimius Severus was quick to suppress Niger's revolt. The two armies met first at Nicaea then more decisively at Alexander's historic battlefield of Issus. Herodian describes the outcome as the same as when Alexander defeated Darius there half a millennium before: the West defeated the East.⁵² Herodian's dramatic ironies aside, it seems clear that the idea of eastern and western empires was already beginning to form in Roman consciousness. Syria was definitely characterised as 'eastern' rather than 'western', even when the 'West' was commanded by a Libyan and the 'East' by an Italian. Indeed, remnants of Niger's defeated army fled to Iran, where they were able to teach some Roman military expertise which was quickly put to good use in new Iranian battle techniques.⁵³

Septimius Severus stayed on in Antioch to begin his long-cherished invasion of Mesopotamia, using Iran's support of Niger as a pretext. 'It was commonly said that Septimius Severus' motive for the Parthian War was a desire for glory, and that it was not launched out of any necessity.'⁵⁴ After suppressing another rival emperor in Britain (Clodius Albinus) he launched his invasion in 197. Like Trajan before, Septimius Severus failed to capture Hatra in a near disastrous siege the following year (Plate 1.3).⁵⁵ But he did capture Ctesiphon, taking the Parthian king by surprise – implying perhaps that there was a truce at the time. The city was looted and plundered by the Romans following their attack, and Herodian writes, 'Thus, more by luck than good judgement, Septimius Severus won the glory of a Parthian victory.'⁵⁶ Rome had arrived on the banks of the Tigris to stay (Plate 1.4).



Plate 1.3 Hatra



Plate 1.4 Seh Qubba overlooking the Euphrates in northern Iraq, probably the site of the Roman fort of *Castra Maurorum*, the easternmost Roman site excavated

Septimius Severus established his new conquests as the Roman province of Mesopotamia with its capital at Nisibis (Plate 1.5). In addition, he divided the old large province of Syria into two: Coele Syria and Syria Phoenice. Contrary to what one is led to expect by these new provincial names (Syria Phoenice refers to the coast and Coele or ‘Hollow’ Syria presumably refers to the rift valley inland), the divisions were north–south rather than east–west, with Coele Syria to the north. The reasons for this were not so much administrative as strategic, the need to limit the forces available to any single provincial commander.⁵⁷ With so many real and would-be emperors, from Vespasian to Niger, being proclaimed from a Syrian power-base, Septimius Severus wanted to ensure that no threats would arise to his own rule. He finally left Antioch in 202 after having spent seven of the ten years he had reigned so far in the East.

With the establishment of the limits of the empire on the Tigris, we see for the first time the beginnings of substantial Roman material remains east of Aleppo. Remains of the Roman garrison town at Singara (modern Sinjar in northern Iraq; Plate 1.6) are still extant, and more have been found further east. These include a military camp at ‘Ain Sinu and a milestone of Alexander Severus between Singara and the river, while remains at Seh Qubba on a bluff overlooking the Tigris probably represent the remains of the outpost of *Castra Maurorum* (Plate 1.4), the easternmost Roman site so far excavated.⁵⁸ More substantial Roman military remains have been excavated at Dura Europos (Figure 4.7, Plate 4.12), and the semi-desert areas that make up the present borderlands of Syria, Jordan and Iraq are covered with a complex system of Roman roads, frontier forts and border settlements (Figure 6.29).⁵⁹ While these mostly date from the later years – especially the military reforms of Diocletian – it is clear that with the crossing of the Euphrates by Septimius Severus, the Romans had come to stay.



Plate 1.5 The church of Mar Yakub at Nisibis



Plate 1.6 Remains of the Roman gate at Sinjar in northern Iraq

Septimius Severus' Mesopotamian conquests were followed in 216 by those of his son Caracalla, who defeated the unsuspecting Parthian king, Artabanus, outside Ctesiphon while pretending to be a suitor for his daughter.⁶⁰ Artabanus, having been twice tricked by both father and son, was determined not to be caught unawares again, and launched a massive invasion. The subsequent murder of Caracalla, however, deprived Artabanus of his satisfaction. In the face of the advancing threat from the wrathful Artabanus and his huge army, the Roman army hastily proclaimed the ill-prepared lawyer Macrinus as emperor.⁶¹

There followed a second great battle between Romans and Iranians on the fateful battlefield of Carrhae (Plate 1.1), with a result almost the same as the first, and the Romans looked like facing defeat once more at Carrhae.⁶² Doubtless the fate of Crassus was on Macrinus' mind, so he hastily sued for peace. Macrinus pointed out that Artabanus' grudge was a personal one against Caracalla, who was now dead, rather than the Roman Empire as a whole. Artabanus accepted the truce.

Thus, both sides retired from Carrhae. Two Roman leaders had met their end there, Crassus and Caracalla. It was the site of the first battle that the Parthians had fought against the Romans – and the last. Within a few years Artabanus and the Parthians were overthrown by a vigorous new Persian dynasty from the same heartland of ancient Persia whence hailed the Achaemenids: the Sasanians under their founder Ardeshir.

The end of the beginning

One should not, of course, view Rome's acquisition of its eastern empire overly in terms of the personalities involved and it is perhaps a mistake to condemn the vacuous Crassus or the unscrupulous Orodes too harshly. Rome's pursuit of its vendetta against Hannibal no doubt played its part, but Rome would still have come east without Hannibal. Personal ambition by the conquering founders – Lucullus, Pompey, Crassus, *et al.* – no doubt played a part too. But so did the importance of maintaining and ruling the growing Roman state sensibly. Pompey's extinction of the house of Seleucus without allowing it even the grace of client status was not so much Pompey's personal aggrandisement but sound policy in not allowing a token Seleucid court to act as a magnet for disaffected factions. Crassus may have been old and venal and Suren young and energetic, but in the end it was better arms, better supplies, better tactics, better intelligence and better command that won Carrhae. Lucullus may have been a better military commander than either Pompey or Crassus, but Lucullus lacked one thing that the others possessed: status. Pompey and Crassus were triumvirs – effectively co-emperors (or rather 'proto-co-emperors'). Hence, Pompey's appointment to the eastern command almost at the beginning of the eastern empire set a precedent that marked its end: so important did the eastern empire become that it needed an eastern emperor, eventually culminating in the division of the Roman Empire. In a sense, Pompey anticipated the eastern emperors. Similarly, Crassus' appointment as proconsul of Syria was more than mere avarice. The importance of Rome's eastern frontier was such that nobody of lesser status than triumvir and proconsul could fill the post. Rome may have blundered over Carrhae, but neither initial nor long-term policy in Syria lacked wisdom or foresight: its strategic position and wealth were too important to entrust to mere client kings or field commanders; only Rome's highest citizens would suffice.

For Iran's part, the pragmatic Orodes was right to concentrate more on tying a major land power like Armenia to his empire than a seaboard province like Syria – and to withdraw back behind the Euphrates after his headstrong son took Syria.⁶³ Syria would have been too distant for a people whose heartland lay east of the Caspian. For a land power, the Syrian deserts made a more defensible frontier than the Mediterranean. Most of all, from the Iranian

viewpoint the Roman invasion was merely an incident, probably perceived as nothing more than raids by barbarians on their western borders. Iranian sources are silent about Carrhae. Parthian sources were suppressed, it is true, by the Sasanians, but the little that has indirectly survived shows concern almost wholly with their eastern and northern borders, not the west.⁶⁴ The Parthians had little conception of the Romans as a great power, even less as a civilised one, and any perception they had would simply have been as raiders from across the distant western sea. Wars with the West, even a victory such as Carrhae, would barely rate a mention.

When compared to the massive expansion of Roman building activity from the second century onwards, Rome has left surprisingly little trace of the first century and a half of its rule there.⁶⁵ Few monuments survive and the archaeological evidence suggests a general decline, even depression, in the Near East for this period. In assessing the initial impact of Rome in the East in these early years, therefore, one must be wary of overestimating its importance, as the literary sources imply. Rome played a large part, it is true, but it probably had very little effect on either the character or the culture of the region. Rome's initial rule was characterised by exploitation, rapaciousness and avarice. The Romans came as conquerors, not civilisers, and their occupation was brutal and unpopular.⁶⁶ True, Judaea was the only place in the East to revolt on a large scale in this period, but the resentment was real, and the Iranians, as we have noted, were welcomed. Roman economic prosperity and cultural wealth, and with it the material remains, was not to come until later. The first centuries of Roman rule in the East, therefore, appear to have been a material decline.

Pompey had brought back from the East more than an empire: he brought the idea of an emperor. The West had no counterpart to the eastern concept of emperor. Hitherto the West had only kings, there was no precedent for any status above that, a 'super-king' or 'king of kings'. Iran had bequeathed to the East its ancient royal title of *shahinshah* or 'king of kings', as well as the tradition of royal purple. In the East, furthermore, the title went even beyond the concept of a mere king of kings, for he was a god as well: emperors were deified (a lesson not lost on Alexander some three centuries previously, and formalised by the Ptolemies and Seleucids). Both the colour purple and the title that went with it was taken over by the Parthian royal house as well as by Tigranes of Armenia, Pompey's main adversary.⁶⁷ Nor was the observation lost on the Romans. One of the first Romans to be hailed as 'Imperator' by his troops, Pompey was soon also to be hailed 'a king of kings' and the purple was to become synonymous with Roman imperium.⁶⁸ Herod's courting of Octavian after the Battle of Actium may have been mere sycophancy, but it emphasised an important message to Octavian: Herod was one of the most opulent of the monarchs of the East. Even though it was republican Rome that had made him king, the message of royalty did not escape Octavian. There would be no more Mariuses, Sullas or Gracchi: henceforth, Rome too had to have a monarch, an emperor to rule an empire, and the emperors were to become gods.⁶⁹

Following the death of Caracalla and the second Battle of Carrhae, Macrinus remained in Antioch, which he used as his capital to rule the empire. In taking up residence in the East, Macrinus also became more 'oriental', adopting oriental dress with studded cummerbunds and other eastern trappings that filled his entourage with misgivings.⁷⁰ Thus, Rome's first period of expansion into the East began with a Republican dictator bringing back the eastern concept of emperor; it ended with an emperor adopting a fashion that was ultimately to prove addictive.

The long retreat

The campaigns of Septimius Severus near the end of the second century represented the last major eastward expansion of the Roman Empire. While there would still be the occasional

Roman gains at the expense of the Iranians and even some great victories, the beginning of the third century was the beginning of a slow retraction of Rome's eastern empire. The next four centuries would be a long retreat culminating in the final evacuation of Rome's Near Eastern provinces in the face of the advancing Muslim Arabs in the seventh century.

But the victorious Muslims merely delivered the death blow to an eastern empire that was diminishing long before. For the intervening centuries saw the rise and fall of a new dynasty in Iran that did even more than the Parthians to restore Iranian might.

*Iran restored: Alexander and Artaxerxes*⁷¹

Parthian victories against Rome and Parthian vigour in general had re-established the self-confidence of Iranian civilisation, paving the way for a new dynasty of Iranian kings. These were the Sasanians. Members of the Parthian royal family lived on as rulers of Armenia in the west and Seistan in the east, but it was the Sasanian royal family who, originating in the same heartland of Persia as the ancient Achaemenid kings, consciously proclaimed a renaissance of Achaemenid civilisation.⁷² Their first ruler was Ardeshir I. He is occasionally referred in the sources as Artaxerxes (of which the name Ardeshir is a later variation), perhaps a conscious archaism in referring back to the ancient Achaemenids.⁷³ Although the Sasanians were a dynasty of warrior emperors, their foundation was religious: Ardeshir hailed from a line of high-priests who were hereditary priests of the important temple of Anahita at Istakhr in southern Iran.⁷⁴ Ardeshir overthrew the last Parthian king, Artabanus V, in 224, to proclaim a new empire that was to last for the next 430 years. The event sent shock-waves to Rome.

Ardeshir was not the only emperor descended from eastern high-priests. So was his counterpart in Rome. For Emperor Severus Alexander was descended from the high-priests of Baal at Emesa, a Syrian family that had been brought to the forefront of Roman politics by his grandmother Julia Maesa and her sister Julia Domna (Chapter 8). The wars of the previous generations between the Romans and Parthians had ended in stalemate, with a truce between Macrinus and Artabanus following Carrhae. But the truce meant nothing to Artabanus' usurper, and the Romans even less. Ardeshir viewed the Romans as occupiers of lands that were Iranian by historic right. Accordingly, he overran the Roman province of Mesopotamia and demanded the return of all of Rome's Near Eastern possessions.⁷⁵

Rome was stunned. In an impassioned speech in Rome Severus Alexander characterised the threat as one of the most serious that a Roman emperor had ever had to face. Rome was in an uproar as it bade goodbye to its beloved emperor – one of the mildest that Rome had had the good fortune to experience since the Antonine golden age. He took leave of his adoptive city expecting never to see it again. The Roman Emperor Alexander sailed to his native Syria to answer the new Iranian challenge.

Thus, two emperors faced each other, a new Artaxerxes facing a new Alexander, both natives of the East, both descendants of priests.⁷⁶ Severus Alexander planned a major, three-pronged invasion of Mesopotamia. It was disastrous. One army 'suffered a staggering disaster; it is not easy to recall another like it, one in which a great army was destroyed', another was 'almost totally destroyed in the mountains; a great many soldiers suffered mutilation in the frigid country, and only a handful of the large numbers of troops who started the march managed to reach Antioch' while the third did not engage the enemy.⁷⁷ Having triumphed, Ardeshir disbanded his army. Severus Alexander eventually paid the ultimate price for defeat, when a disaffected army faction killed him on campaign on the Rhine and proclaimed the Thracian Maximinus emperor in his place.

*Shapur I, Valerian and the disaster of Edessa*⁷⁸

The war between Severus Alexander and Ardeshir was a dress rehearsal for the wars fought by Ardeshir's successor, Shapur I, perhaps the greatest of the Sasanian emperors (Plate 3.9). Shapur saw himself as the heir to Cyrus the Great: he re-established much of the ancient borders of the Achaemenid Empire, he reformed and reorganised the administration, he encouraged religious toleration but at the same time reaffirmed Zoroastrianism as the state religion. But it was Rome, not Iran, that renewed the war with the aggression of the Emperor Gordian III in 243, although both he and his army were destroyed by the Sasanians in a battle in northern Mesopotamia in 244.⁷⁹ The army hurriedly elected an Arab officer, Philip (Plate 8.10), as emperor, who requested Shapur for terms. An enormous indemnity was imposed, and a shaky peace returned to the Near East.

It did not last long. Citing Roman meddling in Armenia as an excuse, Shapur invaded Syria once more in 252, renewing a war that was to last for almost another ten years. Antioch was captured twice, in 256 and again in 260.⁸⁰ Large numbers of its citizens, particularly those with technical expertise, were deported to the new city of Gundishapur in Iran (Chapter 3). But the Syrians still remembered the previous time that Iranians had occupied Roman Syria under Pakores: the account by Peter Patricius of the occupation of Antioch implies that the common folk – the native Syrian population – welcomed them as deliverers once more.⁸¹ This suggests that the Syrian founders of Gundishapur followed their captors willingly – they were certainly allowed religious freedom in Iran and Shapur's toleration and mildness encouraged a minor Syrian 'renaissance' at Gundishapur.

The war brought a Roman emperor eastwards once again, this time the Emperor Valerian. Again, the armies of Rome and Iran met in a momentous battle, at Edessa in 260 – ironically not far from the field of Carrhae some 300 years earlier. Again, a Roman army of 70,000 was utterly defeated: Roman prisoners of war were taken into Persia, including the greatest prize of all: the Roman Emperor Valerian himself, who was captured and brought in chains to kneel before Shapur. The event is celebrated in a series of rock-reliefs in southern Iran that depict the humiliated Valerian (Plates 3.6, 3.8 and 3.9).⁸²

Never before had Iran been greater, and the subsequent centuries of Sasanian history would see success after success, often at Rome's expense. In contrast, Rome never seemed weaker. For in addition to Shapur's invasion there had been Gothic invasions and the revolt of Palmyra.

Shapur II, Constantius and the disaster of Amida

But fortunately for Rome, Shapur died in 272 and the Emperor Aurelian was able to restore order to the empire (Chapter 2). Towards the end of the century the decline was halted by the administrative and military reforms of Diocletian. In Iran, the warrior king Bahram II or Bahram Gur (276–93) might well have continued Iran's western expansion, but he was distracted by problems in the eastern borderlands – always of more concern to Iran than its western borders. Seizing their chance, the Romans invaded Mesopotamia under Emperor Carus in 283. They advanced to the outskirts of Ctesiphon and Rome regained the province of Mesopotamia for a short time, but both Carus and his son Numerianus lost their lives during this campaign. Bahram's successor, Narseh, was able to retake Mesopotamia and defeat Diocletian's co-emperor Galerius. A peace – the so-called 'Forty year Peace' – was re-established in 298 by Diocletian and the entire eastern defences reorganised.⁸³

In 309 an infant ascended the Sasanian throne.⁸⁴ This was Shapur II, whose victories against Rome were destined to almost match those of his great namesake, and his reign of

seventy years was the longest in Iranian history. But it was a new Rome that faced Iran, a Rome that now had far more of a vested interest in the Near East. With the Emperor Constantine adopting an eastern religion, Rome itself had come east, turning its back upon Europe and founding a new, Christian, Rome at Constantinople. For the first time Rome and Iran now faced each other in their own mutual back yard. After a few brief indecisive campaigns, Constantine gathered a huge army to invade Iran but died in 337 before the invasion got properly under way. It was left to his successor Constantius to answer the Iranian threat.⁸⁵

Neither side emerged as absolute victor, but Constantius felt the situation secure enough to garrison Syria and return to Constantinople in 350. There followed a few years of relative peace, a peace that was as inconclusive as the war had been. Shapur resumed hostilities in 359. This time the Iranians launched a massive invasion along the Euphrates, turning northwards towards the Anatolian foothills. The Roman forces sent against Shapur's war-machine could not withstand the onslaught. They were forced to retreat to the stronghold of Amida – modern Diyarbakir – at the foothills of the Taurus Mountains (Plate 1.2). Ammianus Marcellinus has left us a harrowing eyewitness account of the Iranian capture of Amida, one of Shapur's more spectacular victories. Amida fell and a general massacre ensued (Ammianus only just managing a hair-raising escape) after a siege that had lasted 73 days with many tens of thousands of losses.⁸⁶

Julian and the loss of the Tigris provinces

Constantius died in Cilicia in 361. He was succeeded by the last of Constantine's family and one of the more interesting of Rome's emperors, Julian the Apostate.⁸⁷ As well as attempting to restore paganism, Julian was another who took Alexander as his role model – at least in the view of his admirers.⁸⁸ But emulating Alexander entails invading Iran. Contemporaries likened Julian's war to the Trojan Wars, the Graeco-Persian Wars and Alexander's invasion: a war of West against East.⁸⁹

After ten months in Constantinople consolidating his home position Julian moved to Antioch, symbolically camping at Alexander's great battlefield at Issus on the way.⁹⁰ There he rejected an Iranian request for peace, despite a plea from the citizens of Antioch. The Syrians were rather more realistic about war with Iran after their experience a generation previously – and had a tradition of sympathy with Iran as we have observed. Julian set out from Antioch in the spring of 361, proceeding down the Euphrates to Carrhae. At the historic battlefield of Carrhae Julian halted and drew up his entire army numbering 65,000 in a grand review. Such stage-managements, like Hitler and the railway carriage in the woods of Compiègne, have all the hallmarks of a propaganda exercise. To a scholar like Julian the historical irony of reviewing his army at Carrhae cannot have been lost, and was doubtless devised as a message to both his troops and Shapur. He cited the former campaigns of Lucullus, Pompey, Mark Antony, Trajan, Verus and Septimius Severus and the need to overthrow Iran once and for all as their Roman ancestors had overthrown Carthage. But ominously, unlike Hitler, Julian did not yet hold the trump card, and the emperor and his 'Grande Armée' left Carrhae with little more than a morale boost.⁹¹

Initially, the invasion went tolerably well. The 'army whose numbers had never been surpassed under any previous emperor'⁹² proceeded down the Euphrates on a fleet of 1,150 boats, with supplies, siege engines and the heavy cavalry following on land. They then entered lower Mesopotamia, much of which had been deliberately flooded by breaching the canals to hinder the Roman advance. The army floundered through endless mud,

but still succeeded in taking several towns and gaining a victory against the Iranians on the banks of the Nahr al-Malik canal. On crossing the Tigris Julian made the fateful decision to burn his boats: there was to be no turning back, the defeat of Iran was to be pursued to the end. Julian was not the only one, however, to burn his assets, for the Romans from then on encountered the scorched earth policy that Shapur had commanded. Julian pushed on to the scene of Alexander's great victory at Gaugamela, even making plans for the future Roman administration of Hyrcania and Panjab.⁹³ But the scorched earth kept the army hungry, even threatening mutiny at one point, while Iranian guerrilla tactics kept the Roman army constantly on the defensive.⁹⁴

During one of these skirmishes, Julian himself was struck in the side by a spear and the Emperor Julian – last of the pagan emperors, last of the house of Constantine, last of the soldier-scholar emperors – died.⁹⁵ With him died a whole era of Rome's history as well as the dream of resurrecting Alexander's empire. Libanius, his greatest admirer, lamented, 'The Persian empire should now lie in ruins, and Roman governors instead of satraps now be administering their territory under our laws: our temples here should be adorned with booty got from them, while the victor in this contest should be seated on his imperial throne, receiving the orations composed in honour of his exploits. Such, I am sure, would be right and proper . . . We expected the whole empire of Persia to form part of that of Rome.' The next day a pitched battle left the Romans badly mauled.⁹⁶

The chaotic retreat that ensued could not have been in greater contrast to the heroic overtones of the advance. Leaderless, the army hurriedly elected the lacklustre Jovian as emperor. Heavy losses were incurred and the retreat rapidly become a panic-stricken debacle, with lack of provisions threatening famine. The remnants of Julian's 'Grande Armée', one of the most ambitious and vaunted invasions of Asia since Alexander, was reduced to a shambles.

Jovian received Shapur's emissaries in his tent and a bilateral thirty-year peace was agreed. Jovian ceded all the trans-Tigris possessions, as well as Nisibis and most of Armenia, to Iran. In return, the Romans were granted safe passage back to Syria. Julian's stage-managed attempt to lay the ghost of Carrhae had backfired, for Zosimus describes Jovian's humiliation in 363 as greater than either Crassus' or Antony's debacles and the beginning of the end of Rome's Near Eastern empire.⁹⁷ In particular, the surrender of the frontier city of Nisibis to the Iranians without a fight was a bitter pill for Rome to swallow. It was even worse for the citizens of the city itself, for under the terms of Iran's 'diktat', the city had to be evacuated. They understandably felt betrayed by Jovian and contemporary accounts paint a vivid picture of the agony of an entire population streaming out of their homes with whatever possessions they could grab, to end up as refugees. The betrayal was felt equally by many of Jovian's soldiers with the unenviable job of having to enforce the eviction. Jovian's name became reviled. No mention now of any military defeat, and there were mutterings by the army of a stab in the back. Jovian returned through the towns of the East, dispatching Julian's body to Tarsus for burial. Fortunately, illness got to him before a dissatisfied army could. The humiliated Jovian died in Bithynia after only an eight-month reign, with the Roman legacy in the East in tatters.⁹⁸

Jovian is almost universally reviled by ancient historians for the humiliating handover of Nisibis. He may have been an incompetent emperor, but one suspects that behind the welter of criticisms and 'stab in the back' accusations, his agreement to this peace probably saved the Roman army and Roman prestige from a disaster that might have cost it the entire Near East. To modern observers he appears particularly lacklustre under the shadow of his flamboyant and quixotic predecessor. No doubt he was, but when all is said and done the fact remains that it was Julian, not Jovian, who was responsible for the disaster in the East, and Jovian who saved what he could from Julian's debacle. Jovian deserves a kinder press.⁹⁹

Justinian the peasant's son and Khusrau of the Immortal Soul¹⁰⁰

Subsequent years saw both sporadic outbreaks of war and occasional diplomatic overtures between the two powers, although it is not intended to detail all of them here. By and large, however, it was a period of peace on the Near Eastern frontier, as both superpowers were distracted for once by invasions from the north rather than by each other. Hence, many of the frontier defences in the Near East were allowed to deteriorate.¹⁰¹ But such threats nonetheless forced Rome to spend increasingly on defence, so that by the end of the fourth century Rome had a standing army estimated to number 635,000 men.¹⁰² In 395–7 the Near East saw an invasion by a completely new foe whose name both Rome and Iran had cause to dread, when the Huns broke through the Caucasus passes and entered the Near East, raiding both Roman and Iranian territory.¹⁰³ Although the Huns were new to the Near East and to the Roman Empire (apart from a brief encounter under the walls of Amida), Iran already had experience of these incredibly mobile – and ruthless – steppe nomads in their wars with them in the previous century. Shapur II had managed to halt their depredations in Central Asia, but it merely served to deflect them around the Caspian Sea to their north-west frontier in the Caucasus. Iran–Rome relations were subsequently dominated by the need for Iran to maintain the Caucasus defences against the Huns. Hence, Constantinople contributed to the upkeep of the defence of the passes in the form of regular payments made to Ctesiphon: safeguarding the passes stopped the Huns from coming into Roman possessions as much as into Persian. The great defences at Darband in Daghestan still stand today as mute evidence of the importance of this policy.¹⁰⁴ Roman defaulting on these payments contributed more than anything else to the gradual deterioration of relations between the two powers throughout the fifth century. Ultimately, however, even halting the Huns at the Caucasus passes merely deflected them around the Black Sea to the Roman West.¹⁰⁵

The state of uneasy but stable equilibrium between Iran and Rome was shattered in the early sixth century in a series of wars lasting almost a hundred years. These wars ended up exhausting both powers. The sixth century also saw two of their greatest kings emerge: the Emperor Justinian of Rome and the Great King Khusrau I Anushirvan of Iran.

In 527 a peasant's son from Thrace ascended the Roman throne in Constantinople who was able, for a short time, to reinstate the ancient glory of the Roman Empire. This was Justinian. Two years after Justinian ascended his throne, the Sasanian Emperor Kavadh sent an army of 30,000 into eastern Anatolia. The Iranians attacked Roman positions at Dara with a force of 70,000 but were defeated and forced to retreat to Nisibis (Plate 1.5). A peace treaty was duly negotiated – the 'Endless Peace' of 532 – but the endless war nonetheless continued intermittently with several battles being fought.¹⁰⁶

Meanwhile, in 531 a new emperor had ascended the throne in Iran after Kavadh's death, the legendary Khusrau I Anushirvan ('of the Immortal Soul'). For the Iranians, Khusrau Anushirvan has gone down in history as one of their greatest kings. Often referred to as 'the Just King', his long reign remained a model for kingship, lordly virtues and wise rule that was used as a mirror for subsequent rulers down to the Islamic Middle Ages.¹⁰⁷ Khusrau certainly had considerable audacity: he demanded, and received, from Justinian a share of the spoils from the North African re-conquest on the grounds that it would never have been possible for the Romans without a peaceful Iran.¹⁰⁸ He mounted a successful naval invasion and occupation of Yemen, possibly a part of a pincer movement to block Rome's access to the lucrative Indian Ocean trade through both the Persian Gulf and the Red Sea, and may also have conquered Ceylon in a naval campaign.¹⁰⁹ Peace was soon broken with a surrogate

war fought by both empires' Arab allies, the Lakhm and the Ghassan (see Chapter 2). In the end, it broke out into direct war when Khusrau accused Justinian of provoking the Huns into invading Iran. Accordingly, taking advantage of Constantinople's preoccupation in the west, Khusrau invaded the Roman Empire in 540.¹¹⁰

His triumphal progress through Syria was facilitated by many desertions from the Roman army. The archaeological evidence from the Syrian countryside, furthermore, suggests Iranian support for the local economy and protection of the villages, contrary to the picture of destruction that the western sources imply.¹¹¹ Apamea surrendered peacefully, and Khusrau's subsequent capture of Antioch was a foregone conclusion. He continued to Seleucia on the Mediterranean, the dream of Iran's emperors ever since they lost the Mediterranean seaboard to Alexander some eight and a half centuries previously. Emulating Shapur's precedent three centuries before, Khusrau founded a new city settled by captured prisoners from Antioch, triumphantly naming it *Veh Antiok Khusrau* or 'Khusrau's better than Antioch'.

Justinian's General Belisarius had some success in recapturing Syria for the empire, but he failed to recapture Nisibis and was recalled to Constantinople in 543. In the end, the two sides fought themselves to exhaustion and Iran was faced with the new threat from the Turk Kaganate on its eastern frontier. Accordingly, a fifty-year peace was finally declared in 561 to end a war that had lasted thirty-one years.¹¹² But war was resumed under Justin II in 571. Khusrau responded by invading Syria, and then Armenia in 575. Both sides suffered defeats, Rome in Syria and Iran in Armenia, and so a peace between the two exhausted powers was negotiated in 576 and renewed two years later. The following year, Khusrau I Anushirvan died after a reign of forty-eight years.

Endgame: Heraclius, Khusrau Parviz and Muhammad¹¹³

An intermittent and indecisive war of attrition resumed in 579 and continued until a new peace was negotiated in 591. Meanwhile, a vigorous new king had ascended the throne in Iran. This was Khusrau II Parviz, who was destined to outshine his namesake and become one of Iran's greatest kings. In 603 Khusrau Parviz resumed the war against the historic enemy to the west. This time, Iran not only finally realised its age-old dream of reinstating the ancient borders of the Achaemenid kings, but came close to capturing Constantinople itself.

Khusrau Parviz's successes were nothing short of spectacular – not since the heady days of Cyrus and Darius had the world witnessed such conquests by a Persian prince.¹¹⁴ The initial pretext was the overthrow of the pro-Iranian Emperor Maurice by Phocas. The Roman Empire's great Anatolian fortress-cities fell to the victorious Iranians one by one, and by 606 Khusrau's armies had entered Cappadocia. The way to Constantinople lay open and virtually unguarded. In Constantinople itself the city was undergoing one of its periodic bouts of blood-letting, as the rival political factions, the Greens and Blues, fought each other in pitched street battles. Out of the rivalry the unpopular Emperor Phocas was deposed in 610. He was replaced by Heraclius, the disastrous seventh century's most capable emperor – but the one who tragically was to end up losing the most. In the meantime, the Iranian army under Khusrau's General Shahrbaraz was able to advance virtually unopposed to Constantinople, pitching their tents on the Asiatic shores of the Bosphorus opposite the capital in 615.¹¹⁵

The final outcome of seven hundred years of confrontation between Romans and Iranians seemed about to be decided. Elsewhere in the Middle East, Khusrau's victories had been equally impressive. Tarsus and Damascus were taken in 613, then in the following year,

like Cyrus the Great over a thousand years previously, Cyrus the Last (the name 'Khusrau' is a later version of the name 'Cyrus') entered Jerusalem. Perhaps, like the first Cyrus before him, Khusrau was hoping to restore the city and its temple to the Jews and be hailed as a liberator and a prophet, for the Christian buildings of the city were ransacked and the holy relics taken off as loot deep into Iran. Khusrau then swept on to the greatest of all his conquests: in 619 he took Alexandria. Thus, in Alexander the Great's city Khusrau II Parviz, Great King and King of Kings, finally laid to rest the ghost of the 'Demon King', Alexander. The shadow of Alexander always lay heavily on all who had felt it. For the Iranians, it had haunted their consciousness for a millennium as a constant reminder of their humiliating defeat by an invader whose victory they had looked upon more than any other single event as the source of their misfortunes. All Egypt was soon occupied by Khusrau's forces. It must have been a great moment for Khusrau: Cyrus the Last could certainly gaze eastwards and see all of Asia from the Nile to the Indus once more under the Persians. Cyrus the Great could finally rest in peace: 'Sleep well, O Cyrus, for we are awake.'

But like the Iranian king who actually uttered those words, the last Cyrus too was in fact asleep, and in the end Khusrau was no Cyrus.¹¹⁶ Cyrus the Great had entered Jerusalem and restored it out of respect for its religion; Khusrau arrogantly flouted it. For Jerusalem's religion had changed since Cyrus' day, and the Christian Empire of Rome felt this even more keenly than they felt the swords of the conquerors themselves. This was Khusrau's cardinal mistake. More than anything else it galvanised Constantinople into a response. In 622 Heraclius was accordingly able to unite Constantinople's quarrelling factions behind him. He used the occupation of Jerusalem and the loss of its holy relics to set a precedent that altered the subsequent history of the Middle Ages: Heraclius proclaimed Holy War.

Armed with religious zeal, these first Crusaders¹¹⁷ were able to penetrate deep into Iran in 622 where they plundered the Iranian holy city of Shiz (Plate 3.1), partly to seize back the holy relics taken from Jerusalem, and partly as revenge for the plunder of Jerusalem itself.¹¹⁸ Heraclius advanced to the historic battlefield of Nineveh in 627, whose capture by the Medes in 612 BC had first signalled the arrival of the Iranians upon the Near Eastern scene. This time the Iranians were defeated and the following year Khusrau II Parviz was murdered. His successor, Kavadh II, sued for peace. In 634 Heraclius recaptured Antioch, which became his headquarters and the imperial Roman seat once again. Heraclius was able to negotiate a withdrawal back to previous borders. Peace, like countless previous ones, was established once more.

Heraclius' retaliation against Iran was perhaps the most spectacular revival in Roman history. Indeed, Heraclius was one of Rome's greatest generals, deserving greater prominence than history has granted, for he was able to save an empire brought to within an ace of extinction.¹¹⁹ He rallied the empire's tottering resources and was able to instil personal motivation into his troops by declaring that those who died would be martyrs and go directly to heaven. Heraclius' proclamation of holy war has its exact counterpart in the Islamic concept of *jihad*, first traditionally articulated by Muhammad in the very same year – 622 – that Heraclius formulated his.¹²⁰ The irony for Heraclius was that his revolutionary new concept of war would be taken up by others; the tragedy was that it was used to take away everything that he had won in the East.

For it was the last time that Antioch would host a Roman emperor. It appeared that out of this increasingly bitter conflict one or other of the powers would fall. In the end it was both, at the hands of an entirely new power from an entirely unexpected quarter of the Near East: the Arabs of the Hijaz. The Iranian–Roman wars had made conquest easy for the Arabs, and less than a century later, these unknown Arabs with their revolutionary new religion ruled an empire that stretched from the borders of China to the Atlantic, an empire far greater than

Cyrus or Shapur, Caesar or Alexander, could ever have conceived. A renaissance of Semitic civilisation, dormant since the Iranians ended the Assyrian Empire at the sack of Nineveh nearly 1,300 years previously, was dawning. The age of Islam had arrived.

Notes

- 1 For a summary of this historical background, see also Butcher 2003: Part I; Edwell 2008: Chapter 1.
- 2 And even the British who, in their incursions into the North-West Frontier and Afghanistan in the nineteenth century, chose to see signs of Alexander everywhere. See Lee 1996: 74–8; Ball 2012b.
- 3 See, for example, Litvinsky 1996: Chapters 2–4; Parker 2008: 271–3, 315–18; Ball 2016. Persian sources for this period have survived in Firdausi and al-Tabari. While literary works such as the *Shahnameh* cannot be considered historical sources in the same way that the Graeco-Roman tradition of historiography can, they do provide a valuable insight into Iranian attitudes, in much the same way, for example, that a recent study has used Juvenal for its insight into attitudes, rather than historical fact. See Braund 1989.
- 4 I am indebted to Leonard Harrow for bringing my attention to these later Persian poetic sources, and for translating many of the passages. Much the same imagery survives, for example, in the *Siyasat-nama* of Nizam al-Mulk. See also Browne 1902.
- 5 According to al-Tabari 4. 648, for example, Rome paid tribute to Luhrasp, one of the mythical kings of Persia, and recognised him as ‘King of Kings’. And in fact it did pay annual remissions to the Sasanians for the upkeep of the Caucasus passes which doubtless the Sasanians would have viewed as tribute. See Sauer *et al.* 2013. See also al-Tabari 4. 705–6, 4. 742–4; al-Biruni Chronology: 104–6.
- 6 Luttwak 1976. See also Isaac 1992: 28–33 and 265.
- 7 E.g. Moses Khorenats’i (2. 2) describes how Arsēs, the first Parthian ruler (third century BC), sought alliance and friendship with Rome. While historically unlikely, this does at least reflect Iran’s non-aggressive attitude towards Rome. See also Isaac 1992 and Rubin 1986.
- 8 A point Isaac (1992: 403) emphasises with reference to Aelius Gallus’ campaign into Arabia.
- 9 Such anachronistic references to Medes and Media are probably just efforts by Ammianus and Psellus to demonstrate their knowledge of the ancient classics.
- 10 cf. Isaac 1992: 19–21. See also his remarks on p. 421. Not that great powers learn: one is reminded of the lack of a follow-up plan following the US-led invasion of Iraq.
- 11 Our main source for these events is Appian 11.
- 12 Grainger 2002; Ball 2009; Taylor 2013.
- 13 Although Antiochus’ ‘conquest’ of India is doubtful. See Sherwin-White and Kuhrt 1993: 197–201.
- 14 Appian 11. 6. 30–6. It is uncertain whether Rome’s – and Carthage’s – war elephants were African; they too were more probably Indian. See Collon 1995: 161.
- 15 For accounts of these events see Green 1990: Part Five and to a lesser extent Lang 1978: Chapter 6 and Hitti 1957: Chapter 17. The main sources are Plutarch’s *Lucullus* and *Pompey*, Books 11–12 of Appian, and Books 36–7 of Dio. See also Moses Khorenats’i 2. For biographies of Pompey see Seager 2002; Southern 2003.
- 16 Appian 9. 8. 48.
- 17 Dio 36. 16. 1.
- 18 The ‘naphtha’ which the Armenians used to great effect against the Roman siege engines. See Dio 36. 1. 2.
- 19 Appian 12. 14. 94 and 12. 15. 97.
- 20 Dio 36. 46. 2.
- 21 Maps publically displayed today in Armenia routinely show a ‘Greater Armenia’ that incorporates Tigranes’ conquests (as well, needless to say, much of modern Turkey!).
- 22 Appian 9. 8. 49–50; 12. 16. 106.
- 23 Dio 37. 7; Plutarch *Pompey*; Moses Khorenats’i 2. 15.
- 24 Plutarch *Pompey*; Appian 12. 17. 116–17.
- 25 Although Crassus, ever jealous of Pompey, whenever his name was mentioned with the title ‘the Great’ would always cynically remark, ‘How big is he?’ See Plutarch’s *Crassus*. Caesar’s name, it has to be said, became synonymous with the word emperor, from Bulgarians and Russians to Ottomans, Germans and British.

24 *Historical background*

- 26 The main sources for this are Josephus, Plutarch's *Crassus* and Dio.
- 27 The actual title of Herrmann's 1977 book on the subject. Grainger (2013) views these events as among the more pivotal of ancient history.
- 28 E.g. see Ingholt 1954; Safar and Mustafa 1974; Colledge 1977.
- 29 Bivar (1983: 49–50) in fact sees Crassus' motives as a legitimate effort to support Roman sympathisers to the Parthian throne rather than simply unprovoked aggression.
- 30 See Bivar 1983: 50–2 for the Suren–Rustam connection. For the later Armenian connections, see Moses Khorenats'i 2. 28, and Lang 1978: 155–6.
- 31 Ariamnes was probably King Abgar of Edessa. See Bivar 1983: 53, and Chapter 3 in this volume. Such good tactics and better intelligence simply earned the Iranians and Ariamnes accusations from the defeated Romans of unsportsmanlike betrayal. Since the Syriac sources actually imply that Ariamnes was betraying the Iranians rather than the Romans, such accusations are probably nothing more than a Roman cover-up for failure. See Segal 1970: 12.
- 32 It is not certain whether he was killed by the Parthians or by the Romans themselves. See Dio 40. 27. 2.
- 33 Dio 51. 6–7.
- 34 Dio 49. 20. 4.
- 35 Dio 49. 19. Moses Khorenats'i (2. 20) actually attributes Pakores' death to Herod.
- 36 Phraates IV's son by the Italian slave girl Musa, who for a while even ruled jointly with her son after the death – possibly by poison – of Phraates IV.
- 37 For accounts of these events see Herrmann 1977: Chapters 2 and 3; Frye 1963: Chapter 5; Frye 1984: 233–8; Hitti 1957: Chapter 21; Bivar 1983: 48–58; Stoneman 1992: Chapter 4; Millar 1993: Chapter 2.1; Kennedy 1996a; Edwell 2008: 7–10. The main sources are Plutarch's *Sulla* and *Crassus*; Books 47–9 of Dio.
- 38 Aurelius Victor 5.
- 39 Historians are often reluctant to accept this most simple and ancient of motives for imperial expansion, but search for more complex reasons of 'grand strategy'. Benjamin Isaac (1992) is one of the few who recognise this aspect of Rome's aggressive intentions towards Iran.
- 40 See Bivar 1983: 586–91; Lightfoot 1990 for an account of this campaign. The campaign is also described in Dio 48. 17–30; Moses Khorenats'i 2. 55.
- 41 Even as late as the mid-fourth century, Trajan's exploits were still being compared to those of Alexander: see Dodgeon and Lieu 1991: 176. See also Aurelius Victor 13 (claiming Trajan conquered all nations between the Euphrates and Indus!); John Malalas 11. 4–7; Birley 1976: 51 (quoting Eutropius as the source); Maricq 1959; Frye 1984: 242; Parker 2008: 221–3, 315–18.
- 42 See Smallwood 1966.
- 43 Indeed, while much is made of the Euphrates 'frontier', it was only a comparatively short stretch of the middle Euphrates that ever actually formed the boundary.
- 44 Lightfoot 1990: 126.
- 45 See Aurelius Victor 13; Millar 1993: 111–18. The ease with which the Romans claimed to have taken Ctesiphon (Dio 71. 2) virtually as a matter of course during so many of their Parthian campaigns, but without any long-term effect, makes one suspect the sources somewhat, or even wonder whether it was actually taken.
- 46 In fact the ease of Avidius Cassius' campaign is probably explained more by the smallpox epidemic among the Iranians rather than any real Roman prowess. The Romans in turn contracted it during their occupation of Ctesiphon and carried it to Europe. See Bivar 1983: 593–4.
- 47 Millar 1993: 117; *Augustan History* Avidius Cassius: 156. See also Eadie in Kennedy 1996b: 136–7.
- 48 Indeed, the fact of him being a native Syrian was no drawback, as Dio 72. 22. 2 refers to him as 'an excellent man and the sort one would desire to have as emperor'.
- 49 Our main sources for the following events are Herodian, Dio 75–6, and the *Augustan History*.
- 50 Herodian 2. 8. 1–10; *Augustan History* Pescennius Niger: 226. See also Eadie in Kennedy 1996b: 137–8.
- 51 He was an Italian. See Dio 75. 6. 1.
- 52 Herodian 3. 4. 3–5. Though ironically, it was Niger who had been proclaimed a 'new Alexander'. See Dio 75. 6. 2.
- 53 Herodian 3. 4. 7–9.

- 54 *Augustan History* Septimius Severus: 215.
- 55 Which is situated on a broad steppe, and not according to Herodian 3. 9. 4 'located on top of a lofty mountain'. See also Dio 76. 10.
- 56 Herodian 3. 9. 9–12. See also *Augustan History* Sept. Sev.: 214–16.
- 57 According to Millar 1993: 122. He also subdivided Britain into two provinces for similar reasons. See Herodian 3. 8. 1.
- 58 For 'Ain Sinu see Oates 1968; for Castra Maurorum see Ball 1989a. See also Kennedy and Northedge in Northedge *et al.* 1988. The large numbers of 'Roman' sites in this region identified from the air by Aurel Stein, however, almost invariably turn out on investigation to be later. See Gregory and Kennedy 1985.
- 59 Indeed, the whole field of Rome in the East is almost top-heavy with studies of the frontier (the so-called *limes* system) from several international conferences to an impressive array of publications. See Kennedy and Riley 1990 for the main evidence. For Dura Europos, see Hopkins 1979; James 2009.
- 60 See Chapter 8, 'Julia Domna and the Arabs who ruled Rome'.
- 61 Herodian 4. 14. 2–3; Zosimus 1. 6–10.
- 62 Herodian 4. 15. 5.
- 63 According to Moses of Khorenats'i (2. 17), Crassus' war – and his defeat – were in fact fought against the Armenians, not the Iranians. A similar confusion between the Armenians and Iranians in this war occurs in John Malalas' (11. 3) account.
- 64 Those that survive indirectly in, for example, Firdausi or al-Tabari, reveal almost wholly a concern with the East.
- 65 The evidence of the material remains is analysed in more detail in subsequent chapters.
- 66 The massacre of Roman colonists in Anatolia ordered by Mithradates of Pontus in 88 BC, not a part of the present account, is evidence of initial Roman misrule in their eastern provinces.
- 67 Appian 9. 8. 48. The title was probably borrowed by the Iranians from the ancient Urartians. See Frye 1964; 1967: 249–5 and 1984: 74. Frye (1984: 267) even suggests that the Roman cult of the deified emperor might be related to the dynastic cult of the Kushan kings of the eastern Iranian world. See Boyce and Grenet 1991: 30–3 and refs for the cult of god-kings amongst the Seleucids. For the Persian origins of royal purple, see Xenophon *Anabasis* 1. 2.
- 68 Appian 17. 14. 940.
- 69 Perhaps nothing demonstrates more the thoroughness of subsequent Roman rejection of its past republicanism than the fact that out of all the 164 Roman emperors, from Augustus to Constantine XI, there is not a single one named after its great republican leaders: not a single Emperor Marius or Sulla or Gracchus.
- 70 Herodian 5. 2. 4.
- 71 The sources for these wars are admirably covered by Dodgeon and Lieu 1991. See also Frye 1984; Edwell 2008: 160–7.
- 72 The last Arsacid king of Armenia was King Artaxias IV, 423–28; see Lang 1978: 163. Armenia has long been a storehouse for forgotten dynasties: Moses Khorenats'i (2. 8) mentions that members of the Median royal family lived on as princes in Parthian Armenia, and medieval Armenian noble families traced their descent variously from the Assyrians, the Chinese and ancient Hebrews! Even St Gregory the illuminator, the evangeliser of the Armenians, was believed to be descended from the Parthian Suren dynasty from Seistan. See Lang 1978: 165. In the East a Parthian dynasty continued to rule in Seistan under the early Sasanian emperors; see Nikitin 1984.
- 73 The last Achaemenid emperor was Darius III Codomanus, who perished in the invasion of Alexander of Macedon. But Darius was succeeded by the supposed (in the western sources) 'pretender', who assumed the royal name of Artaxerxes IV on his assumption of power. Ardeshir's name thus marks a direct continuity, more so than if he were called Cyrus or Darius. However, the apparent continuity between the Sasanians and Achaemenids is perhaps a western interpretation and might not be that of the Sasanians themselves. For opposing interpretations contrast the pieces by Roaf and Herrmann in Curtis *et al.* 1998.
- 74 Syncellus in Dodgeon and Lieu 1991: 9–10. Frye (1984: 284–5), however, discusses the possible Parthian and eastern origins of the Sasanian dynasty.
- 75 Herodian 6. 2; Dio 80. 3; Dodgeon and Lieu 1991: 16–17. Ardeshir I, however, was not the first Iranian king to issue such a challenge to Rome; the Parthian Artabanus III issued a similar challenge

- in AD 35. See Tacitus *Annals* 6. 31. Note, however, Frye's (1976: 1–2) cautionary remarks that the Sasanians may have been less aware of the Achaemenid past than the Romans were. See also Edwell 2008: 157–9.
- 76 Such comparison with the fifth- and fourth-century BC wars between Greeks and Persians was not lost on the Romans: Aurelius Victor (24) actually calls Ardeshir 'Xerxes'.
- 77 Herodian 6. 6. 1–3; 5. 10. Alexander's wars are recounted by Herodian, our most reliable source. But according to other, less reliable, sources (e.g. Aurelius Victor, Festus, Eutropius, the *Augustan History* – see Dodgeon and Lieu 1991: 26–32), Alexander's campaigns were entirely victorious against the Sasanians. See also Zosimus 1. 12–13.
- 78 Sources are summarised in Dodgeon and Lieu 1991: 34–67. An account from the Iranian viewpoint is given by Frye 1984: 296–302, the main source of which is Shapur himself. See also Edwell 2008: 181–200.
- 79 Shapur; Frye 1984: 371. According to most Roman sources, however, Gordian was killed by his own officers, possibly as part of a plot by Philip. See Dodgeon and Lieu 1991: 36–44; Eadie in Kennedy 1996b: 144–5.
- 80 Ammianus Marcellinus 23. 5. 2–3; John Malalas 18. 87. See also in Downey 1961: 256–62 and 587–95; Dodgeon and Lieu 1991: 51–4.
- 81 Quoted in Downey 1961: 257. See also Lieu 1986: 477.
- 82 See Chapter 3, 'Survivors of Edessa'. See Dodgeon and Lieu 1991: 57–65 for a summary of the sources. See also Firdausi *Shahnama* Book 25 and Herrmann 1977: 97–8. The figure of 70,000 is according to Shapur's own testament, and almost certainly exaggerated. At Bishapur, one of the palaces excavated is believed to have been built for Valerian. See Matheson 1976: 239–40.
- 83 Aurelius Victor 38; John Malalas 12. 34, 35; Dodgeon and Lieu 1991: 112–19, 122–34 and 136–8; Frye 1984: 305; Kennedy and Riley 1990; Blockley 1992. Sources differ on the fate of Numerianus.
- 84 Indeed, Shapur even 'ascended the throne' before he was born, according to some sources. Dodgeon and Lieu 1991: 143.
- 85 Dodgeon and Lieu 1991: 143–63; Libanius *Oration* 19 translated in Lieu and Montserrat 1996; *Chronicon Paschale* 350.
- 86 Ammianus Marcellinus 19. 2.
- 87 Our main sources for the following events are: Ammianus Marcellinus Books 22–5; Zosimus; John Malalas; Libanius *Orations* 17, 18 and 24: 'The lament over Julian', 'Funeral oration over Julian' and 'Upon avenging Julian'; *Chronicon Paschale* 363; and Book 1 of al-Tabari. For other sources, see Dodgeon and Lieu 1991: 231–74; Lieu (ed.) 1989. Of the many modern works on Julian, see Bowersock 1978; Tougher 2007.
- 88 E.g. Zosimus (3. 3) compares Julian's victory over the Celts on the Rhine to Alexander's victory over Darius III; Libanius (*Oration* 15) compares him to Alexander and likens his war against the Persians to the Trojan Wars. See also the *Artemisii Passio* quoted in Dodgeon and Lieu 1991: 238 and again in Lieu and Montserrat 1996: 236; also Blockley 1992: 25.
- 89 Libanius *Oration* 15. 1.
- 90 According to the *Artemisii Passio* (quoted in Lieu and Montserrat 1996: 236). This is our only source for this incident.
- 91 Ammianus Marcellinus 23. 3. 1 and 23. 3. 7; Zosimus 3. 11–13. According to Ammianus, Julian's speech to the troops was at Callinicum.
- 92 John Chrysostom, quoted in Dodgeon and Lieu 1991: 252.
- 93 Libanius *Oration* 18. 261–2.
- 94 John Malalas 13. 22, perhaps fictional, but a reference to the mutiny of Alexander's army in India.
- 95 Julian's death was never satisfactorily cleared up, the only general agreement being that the Sasanians had nothing to do with it. Libanius implies that it was a Roman, and elsewhere an Arab conspiracy is implied. Wallace-Hadrill (p. 464, his notes to the Penguin Classic edition of Ammianus) suggests that Julian, in being in the centre of the battle without armour, was deliberately courting death as an honourable way out of the disastrous situation into which he had led his army. Again, Alexander's near suicidal attack against the Malli in India comes to mind. See Libanius *Oration* 18. 274, 24. 6–8. For the 'Arab conspiracy' theory, see *Artemisii Passio* 69–70, Gregory Nazianzus 13, John Lydus and Philostorgius, all quoted in Dodgeon and Lieu 1991: 239, 251, 254 and 266, and Chapter 2, 'The Tanukhids and Queen Mawiyya'.
- 96 Libanius *Oration* 18. 1 and 283; Zosimus 3. 26–9.

- 97 Zosimus 3. 32.
- 98 Zosimus 3. 33–5. See Greatrex and Lieu 2002: 1–13 for contrasting views in the sources – including al-Tabari – of these events.
- 99 Which the later Christian historians give on the whole – see those in Greatrex and Lieu 2002: 6–8 – although probably more in relief over the death of an apostate and restoration of Christian rule than for any admiration of Jovian himself.
- 100 General accounts from differing standpoints are in Frye 1984: 324–34 and Norwich 1988: 181–266. The main source is Procopius; see also Pseudo-Joshua the Stylite and Pseudo-Dionysius of Tel-Mahre. See also Ure 1951; Greatrex 1998; and Greatrex and Lieu 2002: Chapters 6–10 for admirable coverage of the sources. See also Sarris 2011: Chapter 4. Bury's classic 1923 account is still valuable.
- 101 Parker 1986a: 149.
- 102 Jones 1966: 8.
- 103 Greatrex and Lieu 2002: 17–19.
- 104 Chegini and Nikitin in Litvinsky (ed.) 1996: 58. The Caucasus Gates – the Dariel Gorge in Georgia – at the time of writing is being investigated by a joint British-Georgian team as part of a broader project investigating Sasanian frontier defences; see Sauer *et al.* 2013 for the report on the north-eastern Iranian defences as part of this project.
- 105 Frye 1983: 149–54; Rubin 1986; Isaac 1992: 74–5 and 229–30; Greatrex and Lieu 2002: 20–1; Ball 2015: Chapter 6.
- 106 Greatrex and Lieu 2002: Chapter 6.
- 107 E.g. Nizam al-Mulk's *Siyasat Nama* where he is held up throughout as the ideal king of the past for modern kings – in this case Sultan Alp Arslan – to emulate.
- 108 Procopius 1. 25. 1–4.
- 109 Whitehouse and Williamson 1973: 38–9. See also Chapter 3 of the present volume.
- 110 Greatrex and Lieu 2002: Chapter 7.
- 111 See the section on the Dead Cities in the present volume, Chapter 5.
- 112 Procopius 2; John Malalas 18. 26–76; Greatrex and Lieu 2002: 131–2; see also Chapters 9–11 for the sources generally.
- 113 Howard-Johnston has named this war 'the last great war of antiquity'. See his various essays in Howard-Johnston 2006, especially Chapters 8 and 9 (originally published 1999 and 2004 respectively). I have, perhaps rashly, gone one step further and named it 'the greatest war in the history of the world' (see Ball 2010: Chapter 6). See also Theophylact Simocatta in Whitby and Whitby 1986; the *Chronicon Paschale* in Whitby and Whitby 1999; the translation of Sebeos with notes and commentary in Thomson *et al.* 1999; Regan 2001; Greatrex and Lieu 2002: Chapters 12–15.
- 114 Though much of Khusrau Parviz's military prowess is probably attributable more to his generals than himself. See Whitby 1994; Greatrex and Lieu 2002: Chapter 13.
- 115 *Chronicon Paschale* 614–28; Sebeos; Palmer 1993; Greatrex and Lieu 2002: 193–5. For a different viewpoint from the usual western sources, see al-Biruni *Chronology*: 105 (although he refers to the Roman emperor as Phocas, not Heraclius).
- 116 The words were actually uttered by the last Shah, Muhammad Reza Pahlavi, on the steps of the tomb of Cyrus the Great at Pasargadae on the occasion of his '2,500th Anniversary of the Iranian monarchy' in 1970. They are still often quoted – in irony – in Iran today.
- 117 Used as the actual title of Regan's 2001 biography of Heraclius. See also Sarris 2011: Chapter 7, 'Heraclius, Persia, and Holy War'.
- 118 Frye 1984: 335–7; Greatrex and Lieu 2002: Chapter 14. See also the present volume, Chapter 3.
- 119 See the biography of Heraclius by Geoffrey Regan (2001). See also Greatrex and Lieu 2002: Chapter 14, who entitle Heraclius' revival 'The turning of the tide'.
- 120 Howard-Johnston 1994.

2 The Princely States

Near Eastern states under Roman protection¹

On arriving in the East, republican Rome came into direct contact with kings: the East was a patchwork of kingdoms, many of them immensely wealthy. These kings, furthermore, became citizens of republican Rome. The bluff, republican Roman administrators and soldiers were dazzled and seduced by royalty (literally so in Egypt). The contact was a heady and ultimately addictive one, a contact which republican Rome could not withstand. Rome thereafter became a monarchy.

If there was one difference greater than any other between Rome's European and Near Eastern possessions, it was that Rome came to the Near East as a brash newcomer entering civilisations far older than its own. Many of the states it annexed had histories far older than Rome's, and continued as states far longer (Armenia, for example). Many of these remained as distinct units ruled by their own kings as clients of Rome – the so-called 'Friendly Kings'² – long after Rome had extended its rule there. Roman rule was exercised only indirectly through its client kings, often with just a small garrison to protect Roman interests. By and large these client kingdoms were left to their own affairs so long as they behaved. In this way the system resembled the system of Princely States through which Britain ruled its Indian Empire. If they misbehaved or if the ruler died without a successor, they would be annexed and ruled directly, although very occasionally client status could be restored (as in the case of Judaea). Not all were 'kingdoms' in the strict sense: Herod was only created a 'king' by the Roman Senate, Palmyra never had any kings until its client status became open to question, and the Tanukhid and Ghassanid 'kingdoms' were tribal confederations ruled by their shaikhs who were appointed as 'phylarchs' by the Romans.

The system of client kingdoms was a constant feature of Roman history in the East, right until the end of its empire there. They are normally associated only with the early years, with the independence of most of them coming to an end during the course of the late first and beginning of the second century. The system of client states in the East was ended by Caracalla in the early third century with his abolition of the Edessan monarchy. To some extent, it represents a system of 'creeping conquest'. On arrival in the Near East, the Romans would recognise the 'independence' of a state with mutual exchanges – but with the state as a client of Rome. Soon, the client state would be tied increasingly close, both politically and economically. Inevitably, its independence would end on a pretext and it would be quietly – and bloodlessly – absorbed. The system of client states represented Rome's most effective means of conquest. There was a revival of the system – albeit modified – with the *foederati* or federated tribal allies of the fourth century, the most notable of whom were the Tanukhid and Ghassanid confederations.

To some extent, the system was a feature of Iran as well, under both the Parthian and the Sasanian dynasties. On its western borders a string of client kingdoms were maintained as

buffers against the Roman Empire. Hira, Characene, Adiabene and Hatra³ were those most pertinent to the present history, with Armenia the constant bone of contention between both empires. Indeed, the royal families of the client kingdoms on both sides of the border were often bound up in various intermarriages and complex relationships.⁴

Some of Rome's client kingdoms in Anatolia represented Iranian enclaves within Roman territory. Armenia had a considerable Iranian element, both cultural and dynastic. Its kings were often drawn from Iranian royal houses, most notably the Parthian Arsacids, who continued to rule in Armenia after they had been supplanted in Iran itself. Two other kingdoms, Cappadocia and Commagene, were ruled by the descendants of former Persian satraps who claimed descent from the Achaemenid kings and remained as pockets of Iranian culture within the Roman Empire. While any Iranian identity of Cappadocia and Commagene might have been tenuous – particularly when compared to their Hellenic identity – it was nonetheless to have important repercussions in Roman history as we shall see (Chapter 8).⁵ But important – indeed crucial – though Armenia is to any history of Rome in the East, this and other client kingdoms in the mountainous regions of Anatolia are beyond the scope of the present work (although reference will be made to them throughout), which is concerned mainly with those of the lowland areas of the Near East.⁶ The most important are Emesa, Judaea, Nabataea, Palmyra and Edessa, as well as the Tanukhid and Ghassanid confederations of late antiquity. In addition there were numerous minor client states – probably more than twenty – such as Chalcis and Anthemusia, often just principalities or even small towns or tribes, but these are not treated separately.⁷ All differed considerably, both from each other and in their relations to Rome. Yet there are common threads that run through, despite the differences. Most of all, each in very different ways were to affect the history of Rome, in some cases having a permanent effect on the subsequent history of Europe.⁸

Rome and the Arabs

Before describing the different client states of the Near East, one must consider the one element that most of them had in common: their Arab identity. With the exception of Judaea, all were to a greater or lesser extent 'Arab'.⁹ While the term must not be viewed in its modern national and ethnic sense, it is nonetheless important to emphasise the Arab population that so much of the pre-Islamic Near East shared, if only to counterbalance the 'Greek character' which is often imparted to the region throughout the Roman period by modern scholarship.¹⁰

History has an impression of the Arabs bursting, like Athena fully armed, out of the deserts for the first time in the seventh century under Islam. Hence, Arab civilisation is frequently viewed solely as Islamic. But before Islam, Arab civilisation had a long history in the Near East and the Mediterranean, with Arabs even becoming emperors of Rome itself (Chapter 8). The East which Rome inherited was largely a legacy of the Seleucid Empire, but the actual native populations were mainly Aramaic and Arab (in the loosest sense of the terms). The Roman period was one of increasing reassertion by the Arabs, culminating in the Arab empires of early Islam, so that 'by the time of the [Muslim] conquest, Arab forces had centuries of experience of warfare as allies of the two empires [Rome and Iran]'.¹¹ They also had centuries of experience as a distinctive culture. This was to have as profound an effect on Rome and Europe as Arab Islamic civilisation later did on the rest of the world. Rome's early client states – Emesa, Chalcis and Nabataea, for example – were described by contemporaries as 'Arab', as were later states such as Palmyra and Edessa. The rise of the Arab tribal confederations throughout the third to sixth centuries – the Lakhm, the Tanukh, the Salih, and the Ghassan are the main ones – is also a part of this story. When viewing

the spectacular ruins of Petra or Palmyra, therefore, or unravelling the history of the early years of Christendom, or even explaining much of the religion of Rome itself, it is not often appreciated that one is examining Arab civilisation as much as if one were examining Islamic Damascus or Baghdad.¹²

The Roman sources actually refer to five regions called 'Arabia', three of them quite distinct, and five groups known as 'Arabs' (which do not always correspond to the 'Arabias'). This has led to confusion. Within the empire were the 'Arabia' of the eastern delta of Egypt, the 'Arabia' of northern Mesopotamia and – most commonly – Nabataea or 'Arabia Petraea'. Beyond the empire there was also 'Arabia Deserta' (central Arabia) and 'Arabia Felix' (southern Arabia or Yemen). Only one was an officially designated Roman province: the Provincia Arabia, corresponding to the former Nabataean kingdom. The term 'Arab', however, had a wider meaning beyond the inhabitants of the specific 'Arabias', and was applied loosely to the Nabataeans, Ituraeans, Emesenes, Palmyrenes and Edessans, as well as to many outside these areas as well, particularly the Bedouin. The term 'Arab' was not necessarily an ethnic designation and was often interchanged with 'Syrian', 'Saracen' or 'Phoenician' with little regard to ethnicity or language.¹³ History's attitudes to the Bedouin Arab range from uncivilised barbarians of the desert fringes, constantly threatening the civilisation of the Fertile Crescent, to the European Romantic's adulation of the Bedouin as the ultimate embodiment of nobility and environmental harmony.¹⁴ Much conventional history preserves the myth of the perpetual conflict between 'the desert and sown', with the nomads of the desert a constant threat to the sedentary civilisations on its rim. But Near Eastern history demonstrates more than anything else the constant interdependence of nomad and sedentary: Near Eastern civilisation was a product of both. Any threat was largely illusory.¹⁵ The usual threat to Rome was Iran, not the Arabs. Roman relations with the Arabs, therefore, make more sense in the context of Roman relations with Iran rather than the Arabs.¹⁶ Rome mainly used the Arabs in its wars against Iran, and only rarely to exert its control over the larger area of Arabia. This became increasingly apparent towards the end of Roman rule, as we shall see.

The nomad Arabs were hardly less literate than the settled Graeco-Roman-Aramaic population under Roman rule, a fact graphically demonstrated by the vast number of Safaitic and Thamudic inscriptions they have left.¹⁷ There is no evidence from these that they were brigands or in any way posed a threat to Roman stability. 'Indeed, they show that the vast majority of their authors were for the most part entirely concerned with their own pursuits and were largely indifferent to the imperium . . . We are thus faced with the curious paradox of a non-literate society in which large numbers of people could read and write. A society in which literacy, having apparently no useful place, seems largely to have been used as a pastime.'¹⁸ Small wonder that when these desert Arabs finally did achieve power after Islam, the importance of the written word was one of their greatest contributions, and the beauty of the written word for its own sake remains one of the Arabs' greatest contributions to world art. Clearly, the defence of the Roman East against the Arab nomads was no simple case of defending the borders of civilisation against barbaric hordes. One is left wondering who were defending whom against what.

Emesa and the Sun Kings¹⁹

Emesa corresponds to modern Homs in Syria. The actual boundaries of the kingdom are difficult to define (Figure 2.1). It certainly comprised the Homs Basin of the middle Orontes region. This area also included the ancient towns of Laodicea ad Libanum to the south and Arethusa to the north (modern Tell Nebi Mend and al-Rastan respectively). The history of

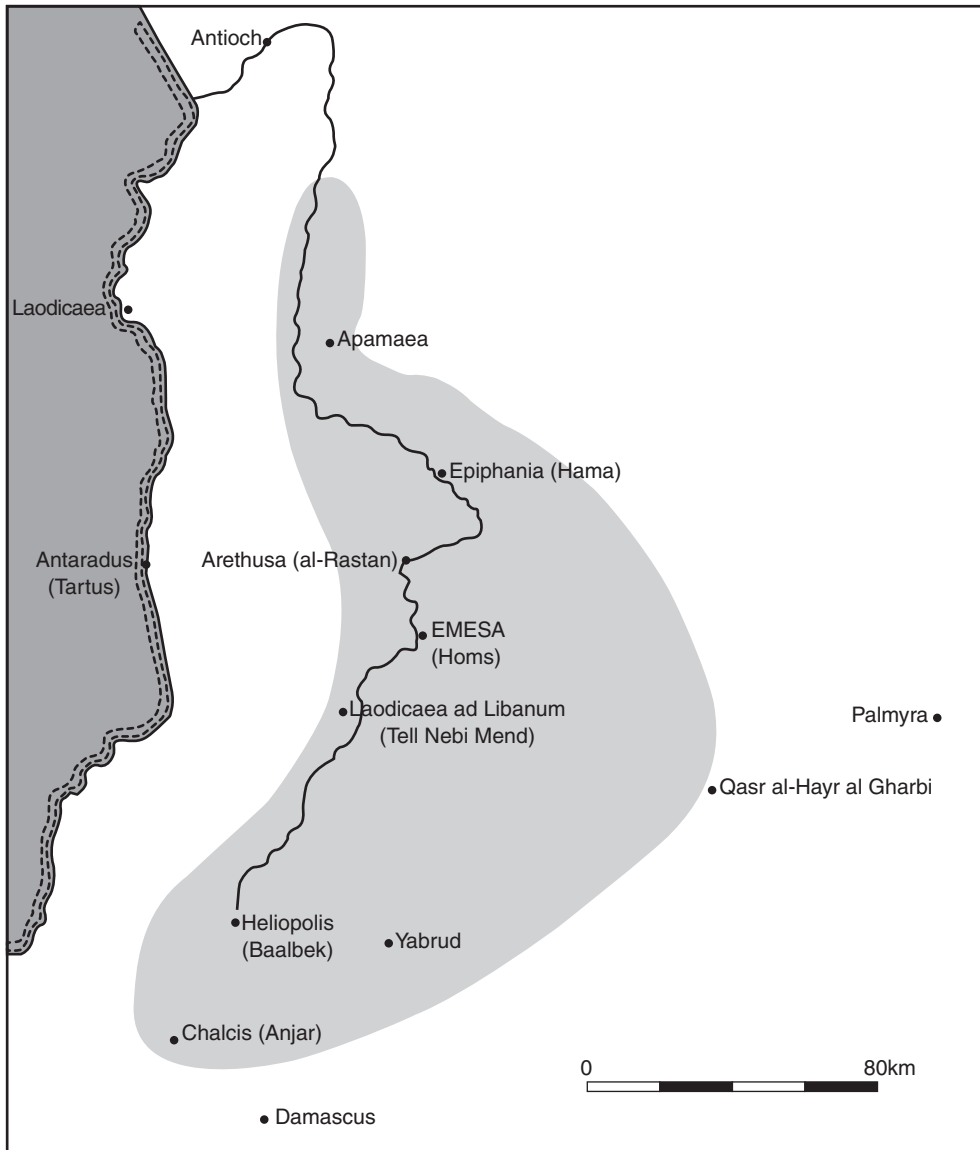


Figure 2.1 Map to illustrate the Kingdom of Emesa. Shaded area indicates approximate limits at greatest extent

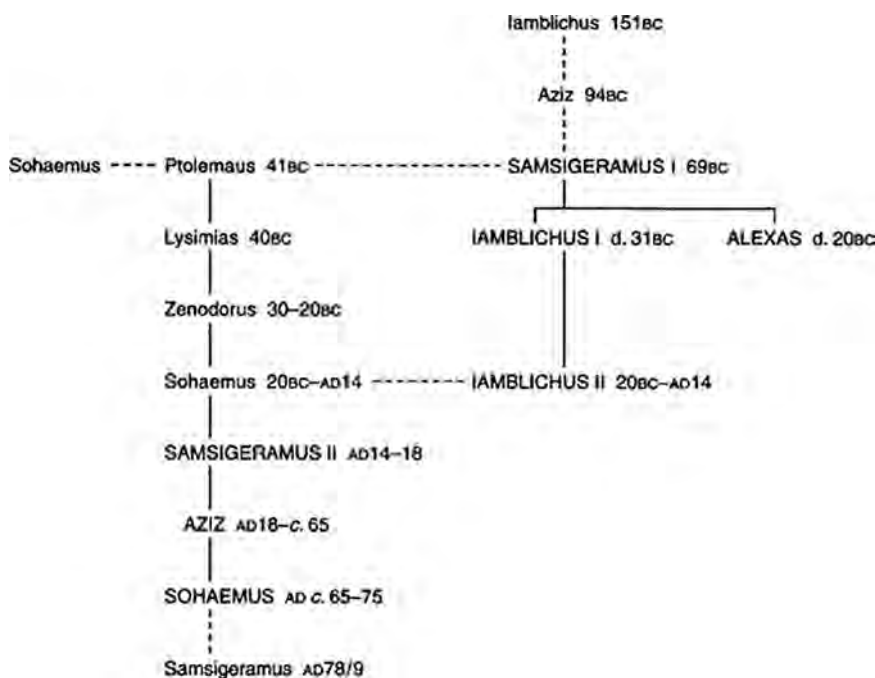
the Emesenes is also intimately bound up with the Orontes Valley to the south and north: southwards to Yabrud near Damascus and into the Baq‘a Valley as far as Heliopolis (modern Baalbek), and northwards down the central Orontes Basin – the Ghab – around Apamea. To the west, the so-called ‘Horns Gap’ – dominated in the Middle Ages by the castle of Crac des Chevaliers – provided access to the Mediterranean at Antaradus (modern Tartus). To the east, it extended into the desert as far as Qasr al-Hayr al-Gharbi, where a boundary stone marks

its 'border' with the territory of Palmyra – the histories of Emesa and Palmyra were closely bound. Emesa was thus at the centre of communications between the Syrian desert and the sea, as well as between Arabia and northern Syria.²⁰

The kings of Emesa

The first mention of the Emesenoï occurs in the Seleucid period, when the Seleucid pretender Alexander Balas entrusted his son in 151 BC to the care of Iamblichus (Yamlikel), the shaikh of the Emesene tribe in the Apamea region.²¹ It is implied that the Emesenes were a nomadic Arab tribe, rather than the inhabitants of a city called Emesa, which only emerges in the first century BC – presumably so named after they eventually settled there (see below). Another shaikh, Aziz, is recorded in the Aleppo region around 94 BC, but it is uncertain what – if any – relation Aziz had to the tribe of the Emesenes. The greatest of the shaikhs from the tribal days was Samsigeramus (Shamshigeram), who became embroiled in the rival claims to the Seleucid throne between Philip II and Antiochus XIII in 69 BC. On Pompey's annexation of the Seleucid state in 64 BC, the Emesenes were made vassals to the Romans. Their chief Samsigeramus was confirmed by Pompey as phylarch, or king, of the Emesenes, with their capital at Arethusa, a town founded by Seleucus I on the Orontes just to the north of Emesa. Arethusa thus became the first capital of the Emesenes, and Samsigeramus I is usually credited with being the founder of the dynasty (Family Tree 2.1).

Samsigeramus' 'kingdom' was the first of Rome's Arab clients on the desert fringes, established to exert indirect control over the desert tribes of the interior. As soon as they became settled the Emesenes began to accumulate riches from their control of the trading



Family Tree 2.1 The dynasty of the Emesene kings

caravans – a more profitable business than merely raiding them. It is presumably as result of this new-found wealth that Samsigeramus I and the Emesenes became more settled and founded a new capital that would carry their name. This was Emesa, governed at first by Iamblichus, the son of Samsigeramus (who remained in Arethusa). By the fourth century AD, the city of Emesa had grown to rank with Tyre, Sidon, Beirut and Damascus, probably exceeding them in splendour due to its famous Temple of the Sun.²²

The principality of Chalcis ad Libanum in the Baq‘a Valley seems to have been closely associated with Emesa. Chalcis is located at the modern town of Anjar, to the south of Baalbek, where there are impressive remains (Plate 6.12). These, however, are of the Umayyad period, despite their Roman appearance. Its line of princes are described as ‘Arabs’ in the sources and, like the Emesene kings, occupied the dual function of king and high-priest. The raids by one of its princes, Ptolemaus, against Damascus prompted a brief annexation of that city by the Nabataeans in the middle of the first century BC. He was succeeded by his son Lysimias in 40 BC but was soon put to death by Mark Antony, who proclaimed Cleopatra Queen of Chalcis. On her death in 30 BC Lysimias’ son Zenodorus became prince. He reigned until about 20 BC when he was succeeded by Sohaemus, whose son was destined to become the greatest of Emesa’s kings.²³

Allying Samsigeramus and the Emesenes to Rome was a wise move by Pompey, for in the Parthian invasion of Syria following the disaster of Carrhae ten years later, the Emesenes remained loyal. The king by now was Iamblichus I, son of Samsigeramus I.²⁴ Iamblichus, together with Ptolemaus and Sohaemus (Suhaym), two other princes from the Baq‘a Valley who were probably related to the Emesene royal house, sent detachments to Egypt in 41 BC to aid Caesar in his siege of Alexandria.²⁵ The family subsequently became embroiled in the civil war between Antony and Octavian. On Antony’s encouragement, Alexas, the brother of Iamblichus I, usurped the throne and put Iamblichus to death on the eve of the Battle of Actium in 31 BC, throwing in his lot with Antony. Alexas, however, never came to terms with Octavian and so was put to death in 20 BC for his support of Antony and complicity in his brother’s murder. The throne of Emesa then reverted to the direct royal line under Iamblichus II, son of Iamblichus I.²⁶

The Augustan Peace was a golden age for Emesa under the stable rule of Iamblichus II (20 BC–AD 14) and his successor Samsigeramus II (AD 14–48) – literally so, if the gold funerary masks discovered at the Emesene royal tombs at Tell Abu Sabun outside Emesa are any indication.²⁷ Iamblichus II was the first of his family to gain Roman citizenship. A branch of the family under Sohaemus ruled from 20 BC to AD 14 in Chalcis as vassals of Iamblichus. This Sohaemus was presumably a descendant of the Sohaemus who supplied troops to Caesar a generation before. The two branches of the Emesene royal house came together, for it was the son of Sohaemus of Chalcis, Samsigeramus II, who became Emesa’s greatest king after the death of Iamblichus II in AD 14. Inscriptions at both Baalbek and Palmyra commemorate Samsigeramus as ‘the great king’, a title that recalls ancient Persian protocol.²⁸ While this might not necessarily imply jurisdiction over Palmyra by Samsigeramus, it does at least indicate close relations between Emesa and Palmyra, as well as between Emesa and Chalcis. This was reinforced when Samsigeramus acted as an official intermediary in Tiberius’ embassy to Palmyra in AD 32. Baalbek and the Baq‘a Valley, however, the traditional homeland of Sohaemus’s branch of the royal family, came under the direct rule of Emesa, as the first-century inscription there also honours Samsigeramus (Plate 2.1). Towards the end of his reign, Samsigeramus’s old seat of Chalcis was given as a principality for a brief while to Herod the Younger between 44 and 48 and Agrippa after AD 50. Samsigeramus was succeeded by his son King Aziz.



Plate 2.1 The Samsigeramus inscription at Baalbek

The family also consolidated its position amongst Rome's other client kingdoms in the Near East by marriage alliances with the royal houses of both Commagene and Judaea. A Sohaemus is recorded as King of Armenia for a while in 163, although it is unclear whether this was a connection.²⁹ Under King Sohaemus of Emesa, who succeeded Aziz, relations with Rome increased, with Emesa supplying a regular levy of archers – the Hemeseni – as auxiliaries. King Sohaemus also sent special contingents of Emesenes to assist in the siege of Jerusalem in 66 as well as to suppress his own distant relatives, the kings of Commagene, in 72. But his loyalty did him little good. For reasons that are not entirely clear, Sohaemus and the independence of Emesa disappeared in about 75, when it was incorporated directly into the Roman Empire. It has been assumed that Sohaemus abdicated, but he may have simply died without a direct heir, prompting annexation.³⁰ In 78/9 an impressive tomb was erected at Emesa commemorating 'Gaius Julius Samsigeramus . . . son of Gaius Julius Alexios' who may have been connected to the royal family.³¹ There are various historical and epigraphical references to people bearing the names Samsigeramus and Sohaemus – the most famous being Julia Sohaemias, mother of Emperor Elagabalus – over the next century or so. But the names were presumably popular Emesene ones and may not necessarily refer to the royal family, despite the names' close associations with both the ruling dynasty and the Emesene Sun cult.³² Otherwise, the family does not re-emerge until the late second century with its assumed connection to the family of Julia Domna (Chapter 8).

This brief survey shows Emesa to be one of the more obscure client kingdoms, particularly in comparison to its famous neighbours. It never held the balance of power between

Rome and Iran, as Armenia did, it never rose in spectacular revolt, as Judaea or Palmyra did, it boasts no spectacular remains, no great battles or beautiful queens. Indeed, the history of Emesa and its kings would form little more than a footnote were it not for two important related factors. The first is the religion that was practised at Emesa, the Sun cult. And the second is the Emesene family who became one of Rome's imperial dynasties. Both were to have important ramifications for Rome's history.³³

The cult of Emesene Baal and its great temple

The dynasty no longer ruled as kings after their incorporation into the Roman Empire, but remained as hereditary high-priests of the temple of the Emesene Sun god, or the 'Baal of the Emesenes'.³⁴ 'Baal' does not refer to any specific deity, but is a generic term meaning 'lord' (in a religious sense): the Emesene Baal was specifically the cult of *Elah Gabal*, later to achieve fame – or notoriety – when one of the temple priests, the Emperor Elagabalus, attempted to enforce it upon an unwilling Rome.³⁵ The emperor's name is the name of the deity itself, a Latinised form of *Elah Gabal*, 'God of the Mountain' (cf. modern Arabic *Allah*, 'God', and *jabal* or *gabal* (in some dialects), 'mountain'). The cult of Elahgabal was assimilated with that of the Sun: Greek Helios, Latin Sol, Aramaic Shams.³⁶ Hence Emperor Elagabalus' alternative name of Heliogabalus. Many of the names of the Emesene kings were theophoric: Samsigeramus derives from *Shams*, the Aramaic for Sun, and *geram* relates to the Arabic root *k.r.m.* meaning 'decide' or 'venerate'. The name thus means 'the Sun god had decided' or 'the Sun god is venerated'. Sohaemus derives from *Suhaym* which has been explained as meaning 'black' or 'precious stone', either of which can be taken to refer to the black stone of Elah Gabal. Iamblichus is more problematical, with the root *Yamlik-El* postulated, *Yamlik* deriving from the root *m.l.k.* meaning 'king' or 'reign', *El* being the same Semitic root that is found in Elah Gabal meaning 'god' (cf. El, Ba'al, Allah, etc.). The name occurs at Palmyra as *Yamlichu*. Iamblichus, therefore, may mean 'the rule of god'.³⁷

Like other eastern abstract concepts of deity, the cult of Elagabal baffled the prosaic Romans, for 'no statue made by man in the likeness of the god stands in this temple, as in Greek and Roman temples'.³⁸ Instead, the cult object was a black, egg-shaped stone, presumably volcanic or meteoric, often depicted on coins with an eagle sitting on top. It is depicted especially on coins of the Severan dynasty as well as the third-century Emesene pretender, Uranius Antoninus, and also possibly depicted on Shapur's triumph relief at Bishapur, supposedly captured from Emesa in his campaign of 253 (Plate 3.3).³⁹ Sacred stones were a common type of aniconic cult object in the Near East – one recalls the similar pre-Islamic cult object at the Ka'ba at Mecca, as well as numerous sacred *baetyls* elsewhere.⁴⁰

The 'huge temple' (in the words of Herodian) to this deity was one of the most lavish in the East, being endowed both by the Emesene and other neighbouring rulers with sumptuous gold, silver and precious stone ornamentation, so that Emesa became 'the Jerusalem of the Sun god', and its kings both patrons and high-priests.⁴¹ Of the various local deities depicted on Emesene coins, Sol-Elagabalus predominates. These coins depict a peripteral Corinthian temple, with 6 × 11 columns (on a coin of Uranius Antoninus) or 6 × 6 columns (on a coin of Macrinus), sitting on a podium approached by steps up the middle, of a style that looks typically Antonine – and could apply to any number of temples throughout the Roman East (Figure 2.2); the coin depictions are inconsistent. The sacred stone of Elahgabal is depicted on coins as standing free in the sanctuary, surrounded by a balustrade. The altar is depicted as a cube. The temple – and cult – is called 'Phoenician' throughout.⁴²

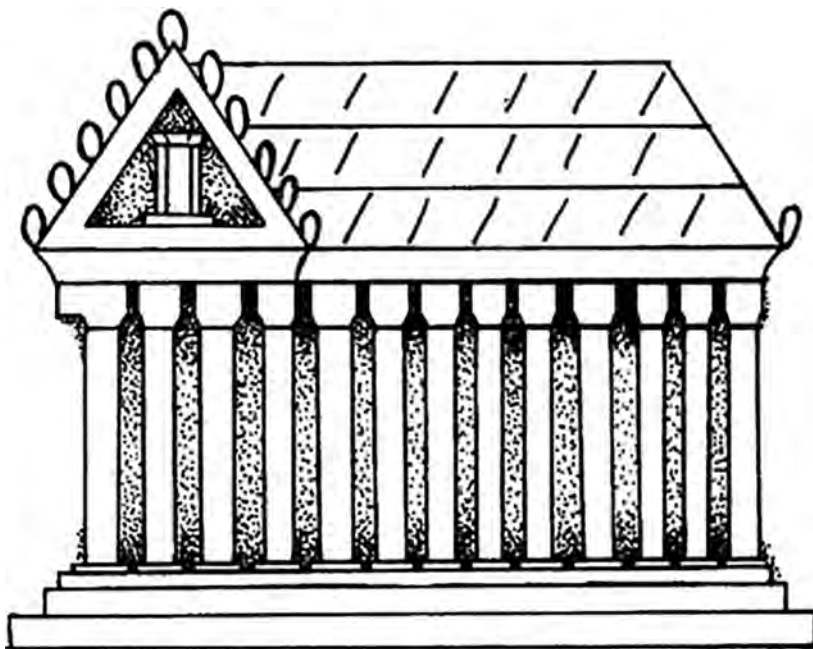


Figure 2.2 The Temple of Emesene Baal, taken from a coin of the 'Emperor Uranius'

The great temple of the Sun god at Emesa, one of the greatest of the East, rivalling those at Jerusalem, Palmyra and Damascus, is also one of the East's greatest enigmas. For no trace survives – there is not a shred of material evidence showing where it stood or, indeed, whether it even existed. It has been suggested that it stood on the site of the relatively recent Mosque of al-Nuri at Homs, which incorporates a few antique columns, but this is unlikely.⁴³ These columns were probably from a Christian church on the site, not the Sun Temple. In any case, even the most ambitious of mosques or churches built on the site of such a great temple would still incorporate its pagan remains, as the Temple of Jupiter-Hadad still incorporates (architecturally speaking) the Umayyad Mosque of Damascus (Plate 4.20). Even the far more modest Temple of Jupiter-Malik of Yabrud in Emesene territory to the south still survives in part in the cathedral built on the site.⁴⁴ It seems unlikely – and to no purpose – that alone among the great Near Eastern temples, the Temple of Sun would be so thoroughly eradicated that no trace of it remains. No ancient source even hints at such a destruction. If such a temple did exist, therefore, it cannot have been in Emesa itself.⁴⁵

But the temple did exist – the evidence of the coins and the sources leaves no doubt. Herodian's description does provide one clue, albeit a negative one: nowhere does he state that the temple was actually *in* Emesa itself.⁴⁶ Indeed, it is significant that the temple is almost invariably called the 'Temple of the *Emesenes*' or of '*Emesene* Baal' rather than the Temple of *Emesa* specifically, implying that it might not necessarily have been in the city, merely in Emesene territory. Both Herodian, our main source, and the Augustan History refer to Emesa and its temple as two separate places.⁴⁷ The difference between the '*Emesenes*' and '*Emesa*' has already been emphasised, the former not necessarily presupposing the existence of the

latter, and the temple might be as little associated with the city of Emesa as the Emesenes originally were.

One authority has postulated, on numismatic grounds, an ‘annual cycle of worship’ for Sol Invictus Elagabalus, with the god migrating between summer and winter ‘quarters’ or temples.⁴⁸ This receives some support in the description of Elagabalus’ ritual when he took the cult to Rome, where the black stone of Elah Gabal would be placed in a chariot for ritual procession. A cult object in a ritual chariot might have been part of a broader Phoenician religious tradition: there is some evidence for such a practice from Sidon: for example, the so-called ‘car of Astarte’.⁴⁹ In other words, there is the possibility that there were *two* temples, one in lowland bordering the desert (presumably in Emesa itself or the older capital of Arethusa) and the other elsewhere higher up, presumably in an elevated position like so many temples in the region. Paired upper and lower temples were common practice in the Near East, particularly in the Nabataean region: Qanawat and Si‘, for example, or Khirbet edh-Dharih and Khirbet Tannur, or the several examples in Petra itself, but also in the Baq‘a Valley at upper and lower Niha and the many more around Mt Hermon. This was exactly the practice in Emperor Elagabalus’ Rome: the main upper temple on the Palatine and a secondary temple (unlocated) below in a suburb, with the *baetyl* taken in procession by the emperor from one to the other. Given the nomadic origins of the Emesenes, a ‘migration of the deity’ is entirely plausible. It receives added support in the name of the Sun god itself, *Elah gabal*, ‘god of the mountain’.⁵⁰ In view of this it seems unlikely that the main temple of the Emesene Baal could be situated in a lowland depression such as Emesa; it must be found in higher, mountainous terrain.

Accordingly, any temple in Emesa itself, such as the one in which Aurelian sacrificed after his victory over Zenobia,⁵¹ may have been a smaller, secondary one leaving little trace today (perhaps the modest classical columns re-used in the Mosque of al-Nuri); the main temple described by the coins and Herodian would be located in the mountains. The only temple answering such a description in Emesene territory is Baalbek, ancient Heliopolis (Figures 2.3 and 2.4; Plates 2.2–2.10).

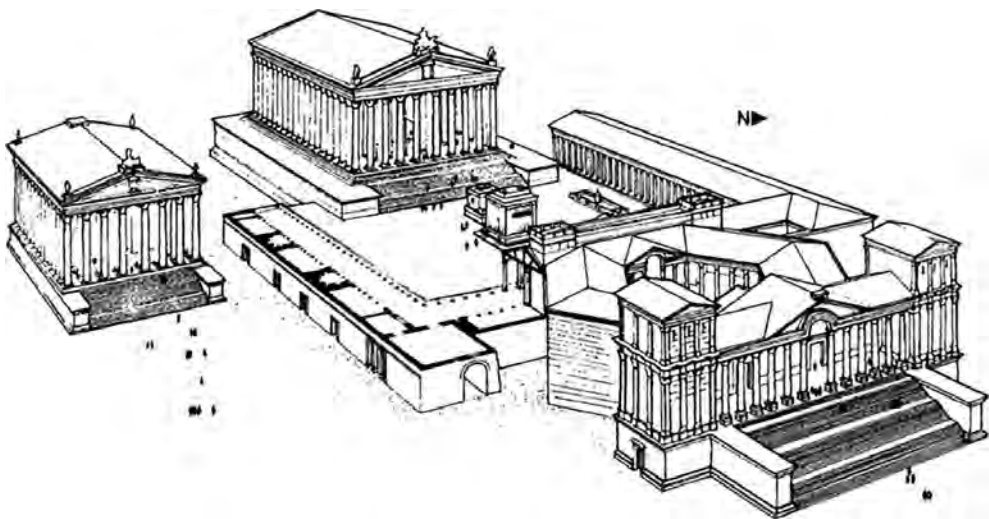


Figure 2.3 Perspective reconstruction of Baalbek (After Ward-Perkins)

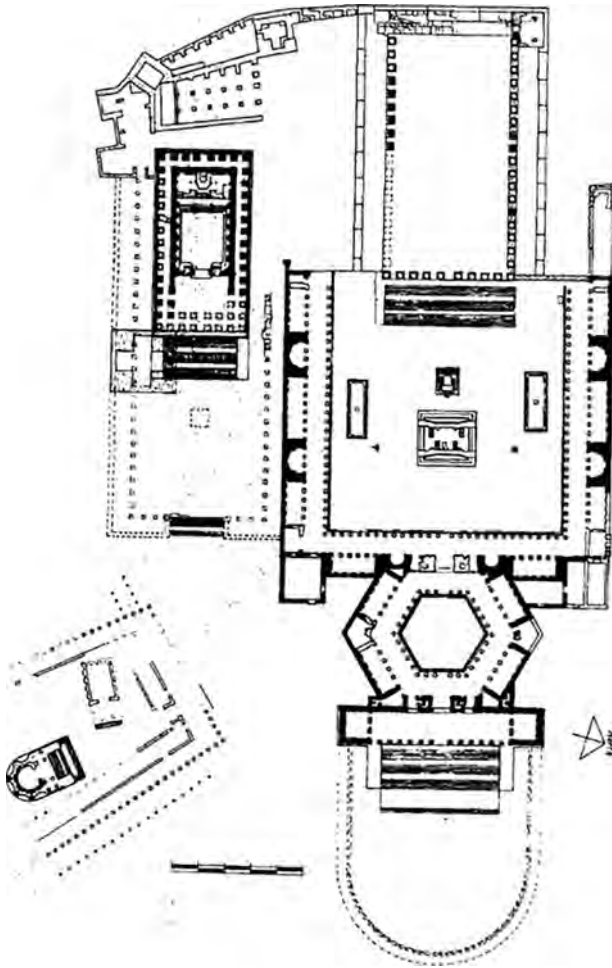


Figure 2.4 Plan of the temple complex at Baalbek (After Ragette)

Was Baalbek Emesene?

Heliopolis was first so-named by the Ptolemaic dynasty in the third century BC, possibly after the better known Heliopolis in Egypt but more likely after the Sun god, Helios, whose cult was venerated there. It was made a Roman veteran colony after 15 BC as part of Augustus' foundation of Beirut, and an independent city by Septimius Severus. One should not assume, however, that the population consisted solely of Roman veterans and their descendants, nor that they would even have formed a majority.⁵² Such a colony – as elsewhere throughout the East – would merely have been grafted onto an existing population. There was an older Phoenician settlement there, according to excavations below the courtyard of the Temple of Baal-Hadad, with occupation going back to the Neolithic.⁵³ It is for this temple, rather than as a minor Roman colony, that Baalbek is famous. The architecture is discussed in Chapter 7. For the moment, it is only necessary to recall some of the more salient points.

Historically, the temple is fraught with problems that recent studies have not entirely resolved.⁵⁴ The sources are curiously silent about it – indeed, there are no contemporary accounts. Apart from depictions on some of the coins of the Severan emperors, the first historical mentions, by John Malalas and the *Chronicon Paschale*, do not occur until the seventh century.⁵⁵ For one of the most ambitious building projects ever undertaken in the Roman world, this lack of literary evidence is curious to say the least – and in direct contrast to the Temple of Emesene Baal, which has contemporary descriptions as we have noted. Both the historical references – or lack of them – and the site itself are also silent as to the date. Apart from some inscriptions ranging from AD 60 to 211–17, the main dating evidence is stylistic. Taken together, they suggest that the giant podium was begun in the Hellenistic period in the first century BC, which perhaps corresponds with the rise of Samsigeramus I.⁵⁶ The main work was carried out during the later first century AD, with a further surge in activity in the third century. The main planning and early construction corresponds, therefore, with the period of the Emesene kings, and the second phase with their descendants, the ‘Syrian’ emperors. The building work was never finished, but was brought to an end by Emperor Constantine. Constantine, one recalls, was initially a follower of the cult of Sol Invictus, a cult associated with Emesene Baal; on his conversion to Christianity it seems logical that he would end the construction on his former cult’s main temple.

Close parallels have been convincingly demonstrated between the construction techniques of the Temple of Jupiter and Herod’s Temple in Jerusalem, parallels which ‘go far beyond coincidence’ – indeed, it is suggested that the same builders must have worked on both temples.⁵⁷ This was the era of the great temple building projects in the Near East: Jupiter-Hadad of Damascus, Dushares of Petra, Yahweh of Jerusalem – and Baal of Emesa. All great temples of city patron deities vying with each other for supremacy, vying to outdo each other in splendour honouring their gods. The Jerusalem, Emesene and Damascus deities were viewed as rivals, and so their temples had to out-shine each other. Only Baalbek answers to such a scenario.

The identity of the cult is also problematical, with most analyses failing to arrive at any convincing identification.⁵⁸ The historical evidence – such as it is – suggests a triad of Jupiter, Venus and Mercury. Such a triad is an unusual combination: Jupiter was equated with Hadad and Venus with Atargatis, both familiar Syrian deities, but Mercury had no Syrian counterpart and appears to be the sole wholly Roman deity in the triad. The complex is generally attributed to Baal-Hadad – ‘Balanius’ according to one of the few historical mentions.⁵⁹ *Baal* is unspecific as already noted, but Hadad was a storm god, often equated with Jupiter (such as at Damascus). A dedication to Jupiter is meaningless in a Near Eastern context: ‘Jupiter’ (or ‘Zeus’) was no more than an assimilation with any of the local supreme gods: Hadad, Baal, Dushara, the Sun, etc.⁶⁰ Upon Romanisation, Baal-Hadad of Baalbek becomes Jupiter Heliopolitanus and inscriptions on the site commemorate IOMH: ‘Jupiter Optimus Maximus Heliopolitanus’ (Plate 2.2). The centre of the Phoenician cult of Hadad was at Ptolemais (Akko), and the Jupiter of Ptolemais became assimilated to Jupiter Heliopolitanus of Baalbek. It is significant that the religious ties of Ptolemais with Heliopolis were reinforced by Rome’s Severan emperors, from Caracalla onwards.⁶¹ To add to the complication, all three deities at Baalbek were assimilated with the Sun cult. For, whatever Baalbek’s other affiliations, there is little doubt that its main cult was the Sun god or ‘Helios’, as the name Heliopolis implies – the temple, like the first Christian churches, faced the rising Sun.⁶² The cult clung tenaciously to Baalbek: in 269 it was sufficient simply for an actor to declare himself a Christian there for him to be stoned to death, and Baalbek’s fame as a centre sacred to the Sun lasted well into the Islamic period when it was still remembered as far away as



Plate 2.2 'Jupiter Optimus Maximus Heliopolitanus' inscription at Baalbek

Central Asia.⁶³ According to a late pagan source, the sixth-century philosopher Damascius, Heliopolis was also associated with sacred meteorites and the sacred mountain, thus implying Emesene Elahgabai.⁶⁴ In other words, the cult was a variation of, or the same as, the Sun-Mountain cult of Emesa. The Augustan History's *Life of Elagabalus*, in referring to the Emesene temple, actually spells it out: 'Elagabalus, sometimes called Jupiter, or the Sun.'⁶⁵

If such a pantheon appears complicated, so do the physical remains (Figure 2.4). At first sight the arrangement of the temples appear to confirm a triad: a main temple (Plates 2.3 and 2.4), a slightly smaller temple alongside (the 'Temple of Bacchus', Plate 2.5), with a small third temple (the 'Temple of Venus', Plate 2.6) just in front. But these do not match the triad. The main temple was dedicated to Jupiter-Hadad, or perhaps to the triad itself. The smaller temple alongside is of unknown dedication, the only consensus being that it was *not* Bacchus, as its popular designation implies 'a deity or deities unknown'.⁶⁶ Neither is there evidence that it was dedicated to Venus-Atargatis, Hadad's 'consort'. It has been suggested that this too was dedicated to the triad, the larger temple for public use and the smaller one for private mysteries.⁶⁷ The name given to the third temple of the architectural 'triad', the 'Temple of Venus' is also a misnomer. It was dedicated neither to Venus nor to Mercury, the third member of the triad, but probably to Tyche.⁶⁸ Mercury had an entirely separate temple on top of Shaikh Abdullah Hill overlooking Baalbek. This has completely disappeared apart from fragments of the monumental staircase that ascended the hill, but depictions appear on coins. Once again, Mercury appears the 'odd man out', being the only deity of the triad to have his own temple in addition to a 'shared' temple in the main complex. And while Mercury is a wholly Graeco-Roman deity, the temple is expressed in Near Eastern terms in the form of its location on a sacred 'high place'. To complicate matters further, remains of a Temple to the Muses have been excavated adjacent to the Temple of Venus/Tyche, and evidence for the worship of Sabazius, an obscure Phrygio-Thracian cult, has also been found.⁶⁹



Plate 2.3 The columns of the Temple of Jupiter at Baalbek, with part of the fallen cornice in the foreground



Plate 2.4 The courtyard and sanctuary stairs of the main temple at Baalbek



Plate 2.5 The 'Temple of Bacchus' at Baalbek



Plate 2.6 The so-called Temple of Venus at Baalbek

Another possible cult at Baalbek that seems to have been overlooked is that of Tyrian Melqart, assimilated to Heracles by the Greeks. His temple at Tyre was famously marked by twin pillars or stelae, which then became a feature of his cult.⁷⁰ The symbolism was taken by the Phoenicians to the west: the twin pillars – the ‘Pillars of Hercules’ – marking the entrance to the Mediterranean where there was a famous Temple of Melqart at Cadiz. They also became a feature of Solomon’s Temple in Jerusalem, supposedly built by Phoenician builders sent by King Hiram of Tyre, later re-emerging as a popular Masonic symbol. Twin pillars are a common religious symbol in northern Syria, occurring, for example, in funerary architecture as well as in Edessa.⁷¹ The cult occurs at Baalbek in the form of two free-standing pillars in the courtyard of the Temple of Jupiter (Plate 2.7). Phoenician Melqart was also identified with the Sun according to Porphyry.⁷²

To summarise, there is evidence for at least nine cults at Baalbek: Baal, Sol-Helios, Jupiter-Hadad, Venus-Atargatis, Mercury, Tyche, the Muses, Sabazius and possibly Melqart-Heracles. The physical remains include traces of five temples, none of which correspond neatly with what we know of the cults, nor are they conclusively identified. Furthermore, the entire region of the Baq‘a Valley appears to have been a ‘sacred landscape’ with Baalbek as its centre, with the remains of an astonishing 46 temples being recorded.⁷³ Clearly, Baalbek is above all a major cult of syncretism and assimilation, presumably all assimilated to the supreme Sun cult. Such syncretism accords with much of what we know of the Emesene Sun cult: Emperor Elagabalus attempted to syncretise the cult in Rome with Pallas and Uranius, as well as Judaism and Christianity.

Perhaps the main point to emphasise in the present discussion is the sheer scale of the complex (Plates 2.8–2.10). It has been fashionable both to overstate and disparage the size of Baalbek.⁷⁴ For any visitor today the scale is certainly overwhelming, and must have been even more so in antiquity: the greatest temple in the East, indeed one of the most ambitious building



Plate 2.7 One of the twin pillars at Baalbek; ‘IOMH’ inscription in the foreground



Plate 2.8 The quarry at Baalbek, with one of the monoliths used in construction. The six columns of the Temple of Jupiter in the background



Plate 2.9 The 'Trilithon' in the platform of the Temple of Jupiter



Plate 2.10 Raking cornice at Baalbek

works ever undertaken in the Roman world. Construction was on a ‘money no object’ basis: if colossal monolithic columns were required, nothing but the best would do and huge columns of pink Egyptian granite were imported all the way from Aswan in Upper Egypt – not just one or two, but dozens. Stones weighing over a thousand tons – the largest monoliths in the world – were cut and dragged into place to construct the immense platforms (Plates 2.8–2.9).⁷⁵ The raking cornices of the entablature alone, over a hundred feet above the ground, weighed over 75 tons (Plate 2.10). Nothing was too good, too big, or too expensive – and nothing like it stood anywhere else, not even in Rome itself. But what impresses even more than its sheer size is the temple’s colossal arrogance: it pushes both engineering and cost to their extreme limits. Those immense monoliths in the platform – the ‘Trilithon’ – served no structural purpose at all except to demonstrate that it could be done: ‘an undertaking to build the unbuildable’.⁷⁶ Whatever else it is, Baalbek is one gigantic architectural boast.

So much for what Baalbek was. But this is only worth emphasising in order to contrast it with what it was *not*. For despite boasting one of the greatest buildings of antiquity, Baalbek was no great capital or the centre of any great dynasty. It was not a Roman provincial capital nor even a town of any significance. Nor did it lie on any major route of communication or command any wealth carried in by great caravans *en route* to Mediterranean markets. In the list of cities represented at the Council of Nicaea in 325, Heliopolis is not even mentioned, although Emesa is. Similarly, in the roll-call of the eastern empire given by Ammianus,

Heliopolis does not rate a mention – although once again, significantly, Emesa does.⁷⁷ Capitals, dynastic seats, provincial administrative centres, market towns, trade entrepôts, all lay elsewhere. Baalbek in antiquity was nothing more than it is today: a minor provincial town of little more than local significance. What it was is an historical enigma that matches that of the Temple of the Sun of the Emesenes.

Heliopolis/Baalbek is not, of course, Emesa. But was it Emesene? The close links between Emesa and Heliopolis have already been discussed, and ‘Emesene’ need not necessarily correspond to ‘Emesa’, as we have noted. While for much of its history it seems to have been under the administration of Beirut (at least since 15 BC), its associations with Emesa, under which it also came at different times, were closer. In fact it has been pointed out that in a hitherto overlooked text by Avienus, in a description of the course of the Orontes from north to south, the city of Emesa and its temple are placed in two separate places: the temple furthermore near Mt Lebanon.⁷⁸ The whole region, incorporating both Emesa and Heliopolis, was in any case usually viewed as a single ‘Phoenician’ entity with exotic Phoenician cults regardless of official political boundaries.⁷⁹ Such confusions of both boundaries and political responsibilities were common in the Roman East. For example, it is often confusing whether and when the Decapolis cities came under Roman or Nabataean administration in the first century, while in the third century they continued to describe themselves as belonging to Coele Syria, even *after* Septimius Severus created a new province of Coele Syria out of *northern* Syria, i.e. excluding the Decapolis.⁸⁰ The territory of Baalbek, Chalcis, ‘belonged’ at different (and often the same) times to: Emesa (mid-first century BC), Cleopatra of Egypt (c.40–30 BC), Emesa (20 BC–AD 14), Republican Beirut (after 15 BC) and to Herod the Younger and Agrippa II of Judaea (AD 44–50). Clearly, territoriality was not a major factor, but Emesa appeared to have the most claim. In other words, the fact that Heliopolis was considered a part of Beirut does not exclude its belonging to Emesa at the same time, and it seems possible that there was shared administration at various times, that territoriality was not as crucial a factor as is often assumed: ‘that *jurisdiction* is not the same as *possession*’.⁸¹

Hence, many features at Baalbek correspond with what we know of the Temple of Emesene Baal – its sheer size, opulence and extravagance to begin with. The arrangement of a large temple and a smaller one alongside might have been for public services and private mysteries as was suggested;⁸² equally it might have been for a ritual ‘migration’ of the cult object, as postulated for Elahgabal – indeed we know that the cult object of Baalbek was also paraded on a litter.⁸³ Either explanation is consistent with the Emesene temple. The arrangement of two adjacent temples recalls that of Si’. It has been suggested that the panels in the peristyle ceiling of the smaller temple record dedications from different cities in the East from as far away as Antioch and Alexandria, which again accords with Herodian’s description of the Emesene temple.⁸⁴ The altar of the Temple of Emesene Baal is depicted on coins as a rectangular block or cube, and the altar in the great court at Baalbek is also cuboid, the only such altar known in any of the eastern Roman temples (Figure 2.3).

It was at Heliopolis that an inscription was found honouring the Emesene ‘great king’ Sohaemus, son of ‘the great king’ Samsigeramus (Plate 2.1).⁸⁵ The ‘great king Samsigeramus’ can only be Samsigeramus II, who reigned AD 14–18. (See Family Tree 2.1.) Sohaemus must presumably have been King Sohaemus of Emesa, perhaps a younger son (i.e. younger brother of Aziz) rather than a grandson (i.e. son of Aziz).⁸⁶ The only temples in the Roman Near East whose scale and lavishness compared to Baalbek were Jerusalem, Damascus and Palmyra. But these had the resources of major cities or states to draw on; only comparable resources of a wealthy Near Eastern state could have built Baalbek. There is no way that an otherwise insignificant, small country town like Baalbek could alone have undertaken a building project on

such a scale. Rome itself might, but would hardly waste its resources on a minor veterans' colony in a distant corner of the empire when projects closer to home demanded higher priority. It has been suggested that Baalbek was a conscious Roman effort to implant its own cultural domination of the Near East by attempting to outshine the Near Eastern temples and cults, such as those of Damascus or Jerusalem.⁸⁷ But if this were so, the imperial cults of the Capitoline Triad or Rome and Augustus would have been chosen. The architecture of Baalbek – the great temenos, the high places, and other features – in any case follows Near Eastern forms, not Roman (see Chapter 7). In addition, 'it is noteworthy that not a single emperor has taken the credit that he alone was responsible for the cost of the great temple complex at Baalbek'.⁸⁸

However, Baalbek can be explained by the Sun Kings of Emesa, enriched by the lucrative caravan traffic they controlled, and the Severan emperors of Rome (whose Syrian connections are emphasised in Chapter 8), both royal dynasties endowing their main temple: the Sun Temple. It was, after all, Septimius Severus who made Heliopolis an independent city, and awarded it the name 'Colonia Julia Augusta Felix Heliopolitanus'. The wealth of the Emesene kingdom was proverbial, as was the wealth of the descendants of the Emesene royal family who became emperors under the Severan dynasty. Members of this family were able to command massive sums of money on demand – a major reason for the family's success. It is noteworthy that the coins of the Severan dynasty are virtually the only ones which depict Baalbek: Septimius Severus, Caracalla and (significantly) Julia Domna. Jupiter Heliopolitanus is also depicted on the cuirass of a statue of Severus Alexander at Carnuntum near Vienna, where there was a Temple of Jupiter Heliopolitanus.⁸⁹ The only other coins which depict Baalbek are those of Philip, his wife Ocatilia and the pretender Uranius, all, significantly, native Syrians. The cult of Baalbek was also worshipped at Arca in Lebanon, the birthplace of the Emperor Severus Alexander.

The cult image of Elahgabal is depicted and described as a conical stone, while the cult image of Heliopolitan Jupiter is a personified image in accordance with Graeco-Roman religious practice. But this is not as contradictory as it seems, reflecting merely two views of the one god, not two separate deities. The Baalbek cult object was also known to have been a stone *baetyl* like Elahgabal.⁹⁰ Dushara, for example, was similarly depicted in two ways: as an abstract square by the Nabataeans and a personified mage by the Hellenisers. The Emesene coins depict a peripteral temple for Emesene Baal of 6 × 11 columns rather than the 10 × 19 columns of Baalbek's gigantic proportions. But these are coins, not architectural drawings (and the coin depictions are inconsistent). But the coins provide one further clue. Depictions of the façade of the Temple of Emesene Baal invariably depict a gap in the centre of the colonnade with the *baetyl* beyond. Of course, this might only be a device to emphasise the presence of the *baetyl*. But it is significant that the Temple of Jupiter at Baalbek has, unusually, a gap in the centre of its colonnade.

It is also significant that descriptions and coin depictions of the (now vanished) temple that Emperor Elagabalus built on the Palatine in Rome reveal a complex strikingly similar to the main temple at Baalbek (Plate 2.11): it too was entered through a monumental propylaeum approached by a flight of stairs, it too had high places of worship (known from descriptions), it too had a large colonnaded temenos, the sanctuary too was located at the end of the temenos, it was also peripteral, on a podium approached by another flight of stairs, and one of a pair comprising main and secondary temples. In other words, the Emesene Temple in Rome is virtually a copy of Baalbek (Figure 2.5 – compare with Figure 2.3).⁹¹

Recent studies have associated the Temple of Baalbek with the Herodian dynasty of Judaea. Based on the close similarities of construction with Herod's temple in Jerusalem, it has been argued that the initial construction was one of Herod's benefactions as a part of

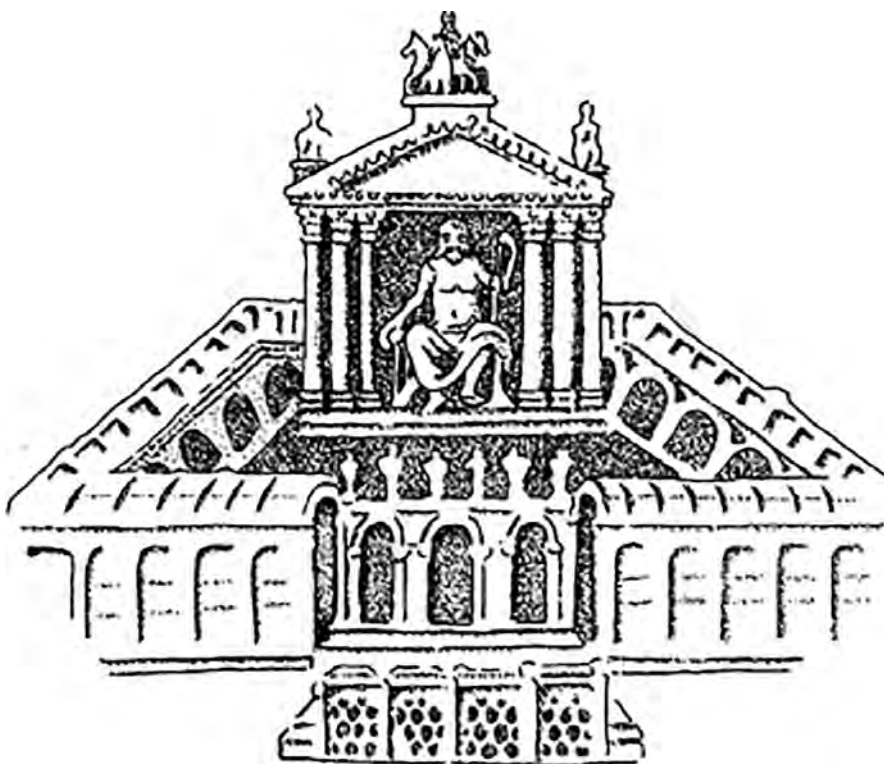


Figure 2.5 The Temple of Elahgabal in Rome, taken from a medallion of Severus Alexander



Plate 2.11 Foundations of the temple of Elagabalus in Rome

his programme of benefactions to Roman possessions in the eastern Mediterranean: in this case Augustus' veteran colony. However, neither the source materials on Herod – which are copious – nor the inscriptions at Baalbek support such a benefaction. Herod's benefactions in any case were directed not so much at the Roman cities themselves but at the Jewish communities who lived in them, and there is little evidence of any substantial Jewish minority in Heliopolis.⁹² Furthermore, Herod, in exhausting his coffers with his own massive building programme in Jerusalem, would hardly be likely to embark on a similarly ambitious temple construction outside his own kingdom, where his benefactions were limited to more modest street paving or colonnades (and in any case, Augustus' main veteran colony in the region was at Beirut, not Baalbek). It is further argued that the subsequent main period of Baalbek's expansion was when control passed briefly under the Tetrarchy in the mid-first century AD.⁹³ But there is no way that a minor Herodian prince could possibly have undertaken such a massive building programme.

The suggestion that the Baalbek is the 'lost' Temple of Emesene Baal must for the moment remain speculative. Archaeological investigations at the citadel mound at Homs have concluded that 'while the current excavations do not prove him [Ball] right [regarding the identification of the Emesene sun temple with Baalbek], nor do they yet prove him wrong'.⁹⁴ On the other hand, 'However attractive it might be to identify the missing temple/s with the suitably magnificent temple complex at Baalbek, the literary and epigraphic evidence simply does not allow us to do so.'⁹⁵ However, a recent detailed examination of the available sources presents a closely argued and powerful case for supporting the identification.⁹⁶ There are only two certainties: that no temple has ever been found in Emesa itself, and that descendants of a small group of Roman veterans living off army pensions *could not* have built Baalbek. Neither could it have been the temple of the very insignificant Kingdom of Chalcis in the Baq'a Valley.⁹⁷

Judaea, Herod the Great and the Jewish Revolt

Incorporating Judaea (Figure 2.6) was hardly more difficult for Pompey than his annexation of Syria the year previously – and was prompted by much the same circumstances. Like the declining Seleucid royal house, the last members of the Hasmonaean dynasty of Judaea had spent the previous decades fighting each other. Eventually one of the claimants, Hyrcanus, appealed to Pompey for support against his brother. Pompey used the opportunity to march into Judaea in 63 BC, taking Jerusalem after a three-month siege, facilitated by his final offensive being on the Sabbath. Not having any equivalent day of rest themselves (a weekly day of rest is perhaps Judaism's greatest gift to mankind), the attacking Romans were baffled at this 'most peculiar observance' of the Jews, with priests calmly carrying on the performance of religious ritual as they were being killed.⁹⁸

The acquisition of Judaea⁹⁹ and the adjacent coastal strip was more than mere opportunism by Pompey or land lust by Rome. A major concern of Rome was pirates¹⁰⁰ – or maritime threats generally – so that extending its rule along the remaining parts of the eastern Mediterranean littoral was dictated by sound policy. Indeed, the greatest threat to Rome's existence had come from a maritime power, the Carthaginians. The original home of the Carthaginians was not North Africa, but the Phoenician homelands of the Levant. The eventual annexation of Palestine, therefore, was vital to Rome once it had established its foothold on the north Levantine coast.¹⁰¹

Inevitably, in solving one problem the Romans had to face another: Judaea's volatile population with its unfamiliar religion. The Jews arrived under Roman control with a tradition of revolt. For the Jews, religion was indissoluble from politics – a bond reaffirmed by

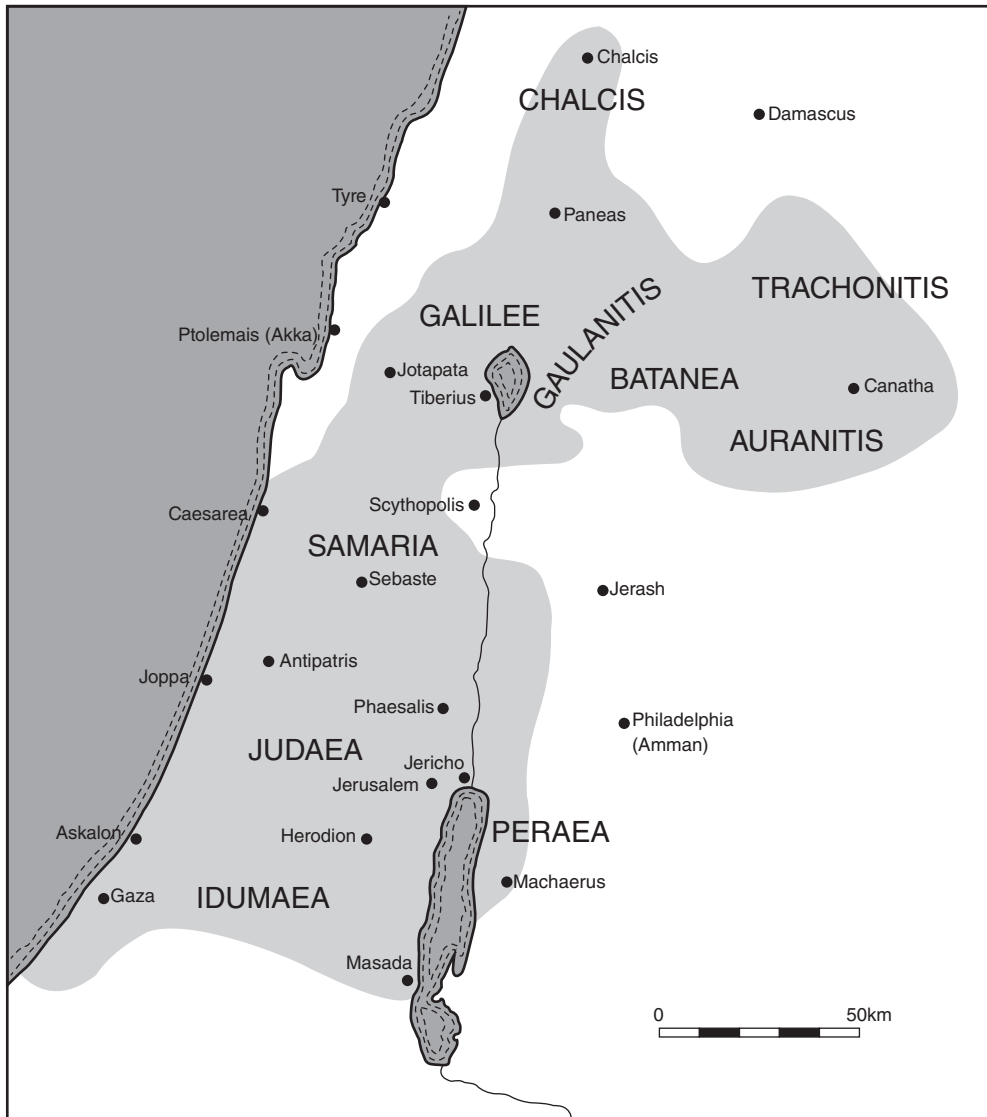


Figure 2.6 Map to illustrate the Kingdom of Judaea. Shaded area indicates approximate limits at greatest extent

Judaism's most recent offshoot, Islam, after Christianity imposed the clear division between what was God's and what was Caesar's. This was to create a major dilemma for the Romans, committed as they were to religious freedom on the one hand but suppressing nationalist movements on the other.

The Maccabean Revolt against the Seleucids, Rome's predecessors in the region, in 171 BC, is one of the more important events in Jewish history. It would not have formed a part of this story but for several significant points. First, it created a precedent for the far more devastating Jewish revolts under Roman rule. But second – and more important – the

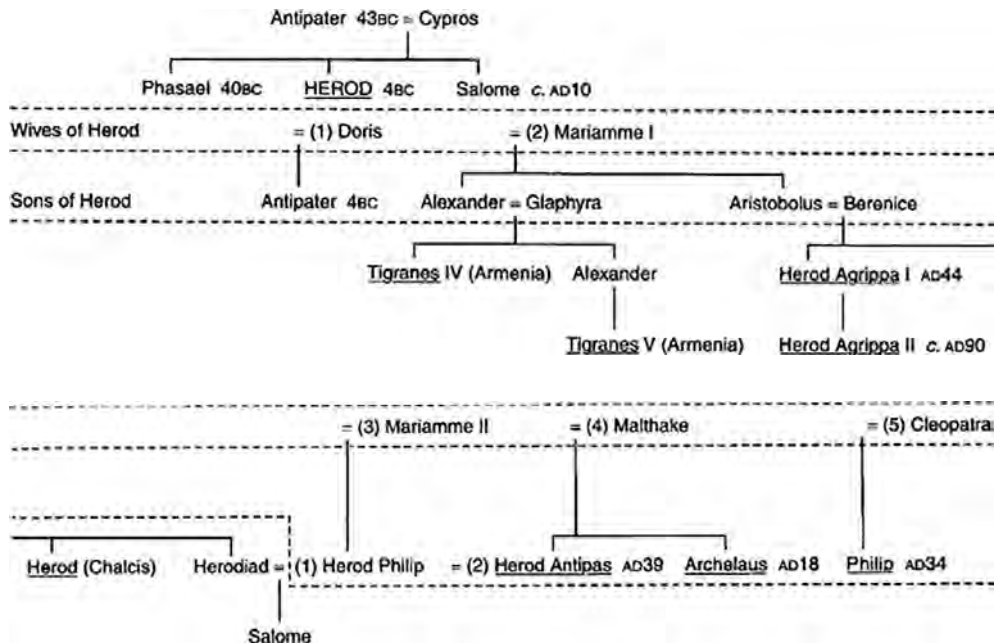
underlying reasons that caused it were to have important ramifications for Roman history. Antiochus IV, whose mishandling of Jewish religious sentiment had sparked off the revolt, may have been an arch-Helleniser and religious bigot, and the Jewish religion by its nature may have been exclusive and zealous. But the clash of two such opposites was not the real reason. The real culprits were the Hellenisers *within* Judaism, not the Hellenisers among the Seleucids; it were the Hellenised Jews who provoked a reaction among their more conservative compatriots. Jewish insurrections in antiquity had a strong element of civil war: the outbreak of the Jewish Revolt against Rome was marked as much by pleas from moderates and pragmatists as by anti-Roman jingoism from the hotheads.¹⁰² Jew fought Jew as much as Gentile in these revolts. It is a mistake, furthermore, to write off the Hellenisers as merely Jewish renegades seduced by Hellenism who betrayed the fundamental nature of the religion. Judaism by its nature – its idea of a single god – was one of the more powerful religious ideas of the ancient world. And the Hellenising – or westernising – element recognised that the only way for such a message to spread beyond Judaism was to open itself to the outside world.

*The rise of Herod*¹⁰³

Pompey did not annex Judaea outright immediately, allowing a puppet Hasmonaean king to remain. But the days of the dynasty were numbered. Under the Hasmonaeans, Judaea had been an independent state – but Jewish. Roman rule allowed Hellenised Syrians as well as other religious minorities to flourish – the suppressed Samaritan religion, for example, was recognised. Caesar subsequently granted religious autonomy and exempted Jews from army service. This emphasised the kingdom's semi-independent status. But while Pompey restored the Hasmonaean family's traditional rights as high-priests of the Temple, he considerably reduced their powers. Eventually, caught between a client king wielding no real power and Roman rule that could only be exercised indirectly, Rome needed a local strong man who could keep the different factions under firm control. Real power, therefore, was invested in Antipater, who was made procurator answerable directly to Rome.

Antipater had risen in the previous decades in the internecine fighting between rival branches of the Hasmonaean family, with Antipater playing off one side against the other. In the appointment of Antipater, Rome was also exercising a 'divide and rule' policy, for Antipater was no Judaeans. He was an Idumaean, a member of a minority people of southern Judaea whom the Jews despised, despite – or perhaps because of – their forced conversion to Judaism in the late second century BC. The Idumaeans were descended from the Edomites, reviled in the Old Testament, who had been displaced and forced to migrate by the Nabataeans. Indeed, Antipater cemented his ties with the land of his Edomite forbears by his marriage to Cypros, a member of the Nabataean royal family. Herod was their son (Family Tree 2.2).¹⁰⁴

Antipater made Herod governor of Galilee. Galilee has always been one of the wealthier provinces in Palestine, where successive leaders, from Jesus of Nazareth soon after Herod, to Josephus during the Jewish Revolt, to Tancred during the First Crusade, have built up a following. From Galilee, Herod was able to challenge the Hasmonaean king, Hyrcanus, directly. He also built good relations with the Romans next door in Syria, relations that were to serve him well. Antipater was poisoned by a rival in 43 BC, leaving Herod the most powerful figure in Palestine. He later consolidated this power through friendship (backed up by bribes) with Mark Antony, who was then courting Cleopatra. Antony responded by making him and his brother joint rulers of Judaea above King Hyrcanus in 38 BC.¹⁰⁵



Family Tree 2.2 The family of Herod the Great

Following the Parthian occupation of Judaea in 40 BC, an anti-Roman member of the Hasmonaeen family, Antigonus, was installed on the throne. Herod was forced to flee, at first to the court of Maliku (Malchus) II at Petra and then to Cleopatra's court in Egypt. Both eastern monarchs had little time for the dispossessed Idumaeen wanderer, so he went to Rome later the same year where he renewed friendship with Mark Antony.¹⁰⁶ The Romans were still smarting under the loss of Palestine to Iran. In siding with the Iranians the Hasmonaeans had forfeited Roman support for even nominal recognition. This was Herod's chance: Antony saw in Herod a leader who might win Palestine back for Rome. The Senate proclaimed Herod King of the Jews (in a ceremony in the Temple of Jupiter) and awarded him a splendid coronation in Rome.

Armed with a new title – and a Roman army to give it teeth – Herod returned in 39 BC to regain his kingdom. It took two years' hard fighting simply to get from Galilee to Jerusalem, as the Hasmonaeans still enjoyed widespread support. After a tough four-month siege, he finally captured the city in 37 BC. Herod then proceeded to liquidate all members of the Hasmonaeen family and its supporters. But his revenge on the Hasmonaeans did not last long, for the Battle of Actium in 31 BC removed his Roman benefactor, Mark Antony. Hastily, Herod set sail for Rhodes to submit to the new Roman strong man, Octavian, who confirmed his appointment as King of Judaea under Roman protection. Octavian also enlarged Herod's kingdom as recognition for services rendered to the Roman state. Thus, a plebeian, born half Idumaeen half Nabataean, proclaimed king in a republican ceremony in a pagan temple, armed with a Roman army, became King of the Jews.

Herod realised more than most that in the confused power struggles in Rome's civil wars in the first century BC, the 'vital question became one of loyalty not to Rome but

to the right individual Roman'.¹⁰⁷ He even quietly hedged his bets with the appointment of a Babylonian Jew to the high-priesthood of the Temple in case the Iranians returned. Having few allies among his fellow-countrymen, much of Herod's life was spent in forming alliances outside, at first with Maliku and Cleopatra, later with Antony and Octavian. Antony proved true. Not only did he obtain for Herod his throne in the first place, he even resisted Cleopatra's subsequent pressures to take it all away again when she requested Palestine as a wedding present following their nuptials in 36 bc. Herod, in return, was loyal, dedicating the main monuments in his kingdom to leading Romans or his own family: the citadel of Antonia in Jerusalem he named after Mark Antony, his palace Agrippaeum after Agrippa, a tower at Caesarea Drusium after Augustus' stepson Drusus, the town of Antipatris after his father and Phaeselis after his brother. His greatest dedications, however, were named in honour of Octavian (Caesar): another palace in Jerusalem called Caesareum, and two entirely new cities: one in Samaria called Sebaste (from the Greek for Augustus) (Figure 4.11, Plates 2.12 and 2.13) and a complete new port for Palestine named Caesarea (Figure 4.10, Plate 2.14).¹⁰⁸

As well as embellishing Jerusalem and the rest of his kingdom, Herod endowed monuments throughout the eastern Mediterranean. An aqueduct at Laodicaea, for example, a colonnaded street at Antioch (Figure 4.1), theatres and gymnasia at Damascus and Tripoli, the temple to Apollo at Rhodes, various endowments at Athens and Sparta, to name but a few. His lavishness even extended to rescuing the declining Olympic Games, over which he presided in great pomp in 16 bc, being rewarded with the title of perpetual president of the Games. But his greatest building project was begun in 18 bc when he rebuilt the Temple in Jerusalem on a scale



Plate 2.12 The Temple of Augustus at Sebaste



Plate 2.13 The colonnaded street at Sebaste



Plate 2.14 The aqueduct at Caesarea

intended to outshine Solomon's original structure (Figure 2.7, Plates 2.15 and 2.16). It was a massive undertaking taking eight years before it was dedicated in 10 BC, although construction continued sporadically for a further seventy years. The Haram ash-Sharif today represents Herod's much enlarged Temple enclosure, which surrounded the sanctuary itself in the centre. He also greatly enlarged and embellished the city itself, he rebuilt the city walls, he restored the city water supply, he added a theatre and a hippodrome.¹⁰⁹ When he died in 4 BC Herod left behind a city that was one of the greatest in the East, a city that in many ways had the standard trappings of a Graeco-Roman city (Figure 2.8). But Herod's main emphasis on the Temple had made Jerusalem above all a *Jewish* city once more. Ultimately, what Judas Maccabaeus and his arch Jewish followers failed to do, it took a Romanised Idumaeans to achieve.

Herod the Great ruled for over thirty years – a long time for the period and the place – and he brought wealth, stability and prosperity to his kingdom.¹¹⁰ Few reigns matched Herod's in sheer ostentatious magnificence. Judaea under Herod must have been immensely wealthy – the scale and lavishness of Herod's building activities far beyond his own borders are alone

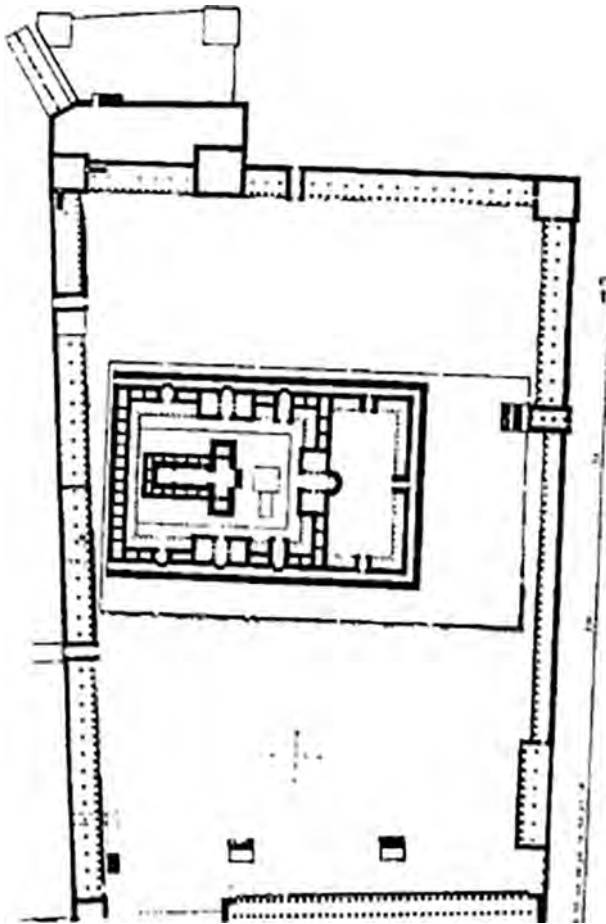


Figure 2.7 Herod's Temple (After Busink)

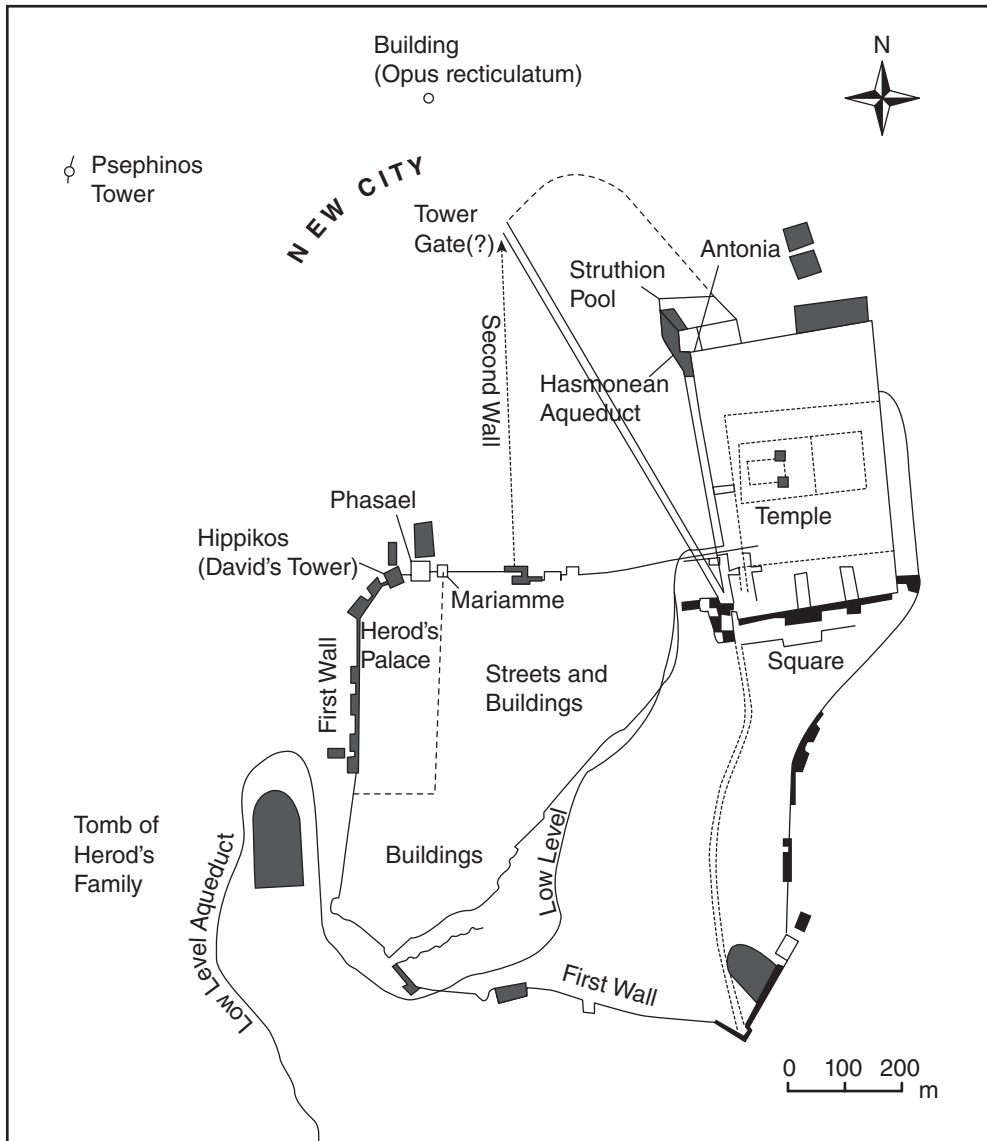


Figure 2.8 Jerusalem at the time of Herod (After Roller)

evidence for that. The construction and dedication of Caesarea, for example, was on a ‘money no object’ basis. These endowments were far out of proportion to the size or wealth of a small kingdom like Judaea.¹¹¹ Such prosperity was due not so much to inherent wealth in Judaea itself: its lands never produced particularly significant contributions to the Roman coffers. It was Herod’s restored Temple that generated wealth, the scale of which was deliberately planned to attract remittances from the Jewish Diaspora. By far the largest and wealthiest Diaspora community at the time was in Iran. One reads, for example, of an immensely



Plate 2.15 Model of Herod's Temple in the Holy Land Hotel, Jerusalem



Plate 2.16 The Haram ash-Sharif in Jerusalem

wealthy Babylonian Jew, Zamaris, who came to live in Antioch in 9–6 BC with a following of 500 armed horsemen plus a further hundred male relatives plus women and children. The wealth of Herod's kingdom, therefore, reflects the wealth of both Rome and Iran as a whole, a one-way flow between the two empires.¹¹²

Herod's kingdom was divided into a number of provinces on both sides of the Jordan (Figure 2.6). The western provinces were Galilee, Samaria, Judaea and Idumaea. To the east were Gaulanitis (Golan) and Batanea, Auranitis and Trachonitis, corresponding broadly to the Hauran in southern Syria, as well as Peraea to the east of the Dead Sea. Under Herod, Judaea and Judaism reached their greatest glory. Money was no object for Herod to lavish on the Jewish capital, the Jewish Temple, and Jewish communities around the eastern Mediterranean: Herod's endowments outside his country were possibly directed not so much at the Graeco-Roman cities he endowed as the Jewish communities who lived in them.¹¹³ As an Idumaeen, Herod's Jewish credentials were doubted, so he had to resort to buying them. Thus, Herod the Idumaeen had to court the Jews by rebuilding their temple on a magnificent scale.

At the same time Herod was a Helleniser and a Romanophile. Jerusalem, it is true, was embellished to a degree intended to outshine David's and Solomon's city. But they were Hellenistic and Roman embellishments, while the new cities of Sebaste and Caesarea were essentially Roman implants on Palestinian soil.¹¹⁴ The buildings in Herod's kingdom honoured Romans, not Jews; he sent his sons to Rome for their education; he himself went consistently out of his way to curry favour with the Romans. Herod's Romanisation could be seen as a betrayal of the Jews, or at least ambivalence towards them. But Herod was a pragmatist who had the vision to realise that the only way for a small kingdom like Palestine to survive was to accommodate Rome, not oppose it, and that the only way for an unusual religion like Judaism to survive was to come to terms with foreign elements, not exclude them. The subsequent tragedy of the Jewish Revolt was to prove him right.

But Herod himself was hated, hated because he was an Idumaeen, hated because he was a Romanophile, hated because he was a tyrant. He rebuilt the Temple, it is true, but its opulence was based on pagan models – yet at the same time too glorious to condemn. He married into the Hasmonaeen royal family – through Mariamme – to curry favour with his subjects, but ruined it by butchering every male Hasmonaeen he could. Despite loving his Hasmonaeen wife with a passion matched only by Mariamme's hatred for him, he had her executed in a fit of jealous rage – and suffered agonies of remorse immediately after. Her death certainly seems to have left him unhinged, and the remainder of his life alternated between bouts of blind suspicion and bitter remorse. His relations with his own sons – from various marriages (nine to be exact; Jewish law still allowed ten) – were fraught with savage suspicion. Herod's hatred of the Hasmonaeen line even went as far as having his two sons by Mariamme strangled, thus extinguishing the Hasmonaeen line for good (he had enough other sons not to be endangering his own).¹¹⁵ As he lay dying he gave orders for a blood-bath to be carried out in the event of his death to ensure national mourning.¹¹⁶ One of his last acts was to have his eldest son and original favourite and heir, Antipater, executed as well. Herod survived his son's murder by only five days, finally succumbing just before Passover in 4 BC.

The successors of Herod

There had been intense fraternal discord between Herod's numerous sons by various marriages. Only three survived to succeed him: Philip, Antipas and Archelaus.¹¹⁷ Archelaus was made king in Herod's will, despite opposing claims by Antipas. With Archelaus beginning his reign with a massacre, the Romans divided the kingdom into three to minimise his excesses. Philip was given the north-eastern provinces of Gaulanitis, Trachonitis, Batanea and the city of Paneas (renamed Caesarea Philippi), while Antipas was given Galilee and the south-eastern province of Peraea. Archelaus (demoted from 'king' to 'prince') was left to rule the core provinces of the kingdom – Samaria, Idumaea and Judaea itself – from

Jerusalem. Under his reign there were sporadic revolts, the resulting instability representing a real threat to Rome's position. In the end, his rule was so inept, cruel and unstable, that the Romans responded to popular demand and deposed him in AD 6 when, after only ten years in power, Archelaus was exiled to Gaul.

Judaea passed to direct Roman rule, represented by a prefect (a title soon changed to procurator) appointed from Rome, supported by auxiliary troops drawn largely from the local non-Jewish population. No Roman legions were stationed in Judaea until after Vespasian. The seat of Roman government from AD 6 was Caesarea, which remained a westward-looking enclave in Palestine throughout antiquity. A secondary garrison was maintained in Jerusalem, where the procurator would make regular visits to attend to local matters. Philip and Antipas continued to rule as princes in their respective domains. Philip's rule over Gaulanitis was exemplary, unmarred by any upheavals, and his capital of Caesarea Philippi embellished along Hellenistic lines.¹¹⁸ Antipas' rule from Tiberias, the capital of Galilee which he created by the Sea of Galilee, was similarly uneventful and inoffensive. There was a brief restoration of the Judaeian kingdom under Herod's grandson, Agrippa I, from 41 to 44. Returning from Rome at the completion of his education, Agrippa eventually succeeded to his uncle Philip's kingdom in AD 37 and took over Antipas' kingdom shortly afterwards through intrigue. Finally, in return for favours instrumental in bringing his childhood friend, the Emperor Claudius, to the throne, he was granted Judaea as well. Agrippa thus ended up with a kingdom that was larger than that of Herod the Great. But all of Palestine, from Galilee in the north to Idumaea in the south, passed back under direct Roman rule with the death of Agrippa I.

Agrippa's son, Agrippa II, was given the token 'kingdom' of Chalcis in the Beq'a Valley by Claudius in AD 50.¹¹⁹ Although Chalcis was so small as to be largely meaningless, the title carried with it the important responsibility for the Temple in Jerusalem, including the appointment of its high-priests. Agrippa II also had the power to summon the Sanhedrin and made frequent visits to Jerusalem, where he maintained a palace. More real power followed three years later when he was 'transferred' to the much larger kingship of southern Syria: Herod the Great's former provinces of Gaulanitis, Batanaea, Trachonitis and Auranitis. Nero added parts of Galilee and Peraea to Agrippa's kingdom in AD 54. Agrippa's kingdom was soon thought powerful enough for the Romans to call upon it for levies to assist in operations in Armenia; later it was also able to dispatch 2,000 cavalry to assist Titus in his siege of Jerusalem in 66. The seat of his kingdom was the city of Caesarea Philippi at the foot of Mt Hermon. King Agrippa II's by then not inconsiderable territories finally passed to the Romans at his death in about 93 – a rule of forty years, longer than that of Herod the Great himself and ruling a territory not much smaller. And his powers amongst the priesthood and elders in Jerusalem meant that his powers were more than token. But with his death, the Herodian line seems to have come to an end.

The Jewish Revolt¹²⁰

But before that, one of the most important events in the history of Judaism shook Palestine, ultimately to change its ethnic character. Although Herod the Great was an Idumaeian, not a Judaeian, he was at least a native king who rebuilt the ancient Temple of the Jews on an unprecedented scale. The more extreme elements in Judaea therefore felt few threats in the first century of Roman control. Indeed, the Romans respected the sanctity of the Temple, with the death penalty – even for Roman citizens – rigidly enforced by the Roman authorities upon transgressors. In the middle of the first century AD, however, events combined to seriously upset the delicate balance between extreme Judaism and creeping Romanisation.

After the death of King Agrippa I in 44 Judaea came under direct Roman rule. At first it was reasonably benevolent, but the Jewish religion baffled the Romans, and tension was caused by lack of understanding in Rome for Jewish repugnance at performing worship of the official cult of Rome and Augustus that Roman law required.¹²¹ Earlier Roman representatives, such as Pilate or Petronius, conceded to Jewish sentiments, but later ones were not so tolerant, and Roman rule was increasingly marked by arrogance, suppression and deteriorating relations with the Jews as the first century wore on. This was matched by increasing Jewish resistance, and there was an unusually large outburst of 'messiahs' in the middle of the first century. The powerful Jewish belief in ultimate deliverance from oppression by a messiah probably lent much support to Jewish self-confidence.¹²² This was bolstered by a current Jewish prophecy that the ruler of the world would arise in Judaea. Many doubtless interpreted the prophecy to mean the Messiah, but prophecies, like Delphic oracles, can be ambiguous: the Romans later simply interpreted it as confirmation of Vespasian's candidacy for the purple when he was proclaimed emperor in the East.

The situation was exacerbated by about AD 60 when Herod's great building project, the rebuilding of the Temple, finally ended, releasing 18,000 workmen onto the streets. Many may have taken to the hills, as we read of new problems in Judaea from roaming groups of bandits. These had to be suppressed with increasing force by the Romans. The combination of ensuing instability, a large unemployed mob and the threats – real or perceived – felt by the conservative elements in Judaea was the stuff of insurrection. The situation was exacerbated by the inept procurator of Judaea, Gessius Florus, in his tactless handling of the different factions. Insurrection broke out in Caesarea with a clash between the rival Jewish and Greek populations of the city in 66. This led to full-scale revolt in Jerusalem shortly after and the abolition of the mandatory sacrifices to Rome and Augustus. This latter insult to Rome's prestige made war inevitable.

There were leaders to the revolt, but none with the charisma of Maccabaeus or – later – Bar Kochba. This contributed to its ultimate failure. Much of the real leadership lay with the commanders in the field, such as the future historian Josephus. Josephus, the commander in Galilee, was a Pharisee who traced his ancestry to the Hasmonaeans. But much of the leadership was tainted by the brush of 'westernisation' – either of the older Hellenistic or the new, Roman kind (even Josephus the Pharisee had been to Rome several years previously). The real force behind the revolt lay with the more conservative non-Hellenised proletariat. The intense, religious belief in their god sending a messiah to throw off Roman rule had a conviction that was far more real to them than the common-sense reality of taking on a power like Rome. When this belief failed, it was replaced by an equally intense – albeit forlorn – belief that their Jewish brethren in exile around the Mediterranean would rise against the Romans in support, together with the far greater Jewish communities in Iran who would bring a great army with them. In the end, even this hope failed, and all they had left to fight with was their despair.

But the more Hellenised leaders such as Josephus had doubts, particularly when the religious zeal which accounted for the initial success of the revolt had faltered after the Romans went on the offensive. The year 67 saw the arrival in Palestine of the General Vespasian to take over the command of the Jewish War. Josephus, who formed his army along Roman lines, managed to hold off the Roman advance into Palestine in a heroic defence at Jotapata, when even Vespasian sustained injury. When Jotapata eventually fell, rather than continue in a cause he saw to be lost, Josephus surrendered to the Romans.¹²³ On his capture Josephus used his priestly knowledge to prophesy Vespasian's rise to the imperial purple.¹²⁴ Vespasian, befriending Josephus in gratitude, set his eyes on horizons beyond Palestine.

Vespasian was soon distracted from the Judaeian campaign by the chaos following Nero's death. However, the campaign had proved the crowning asset to Vespasian's qualifications:

he was recalled to Rome in 69 to assume the purple himself. He left the command of the campaign to his son Titus. To the Fifth, Tenth and Fifteenth Legions that had been left Titus by his father, Titus added the Twelfth from Syria and the Twenty-Second and the Third from Alexandria. Units were also contributed by Agrippa II and the kings of Commagene, Emesa and Nabataea. This was nearly a fifth of the entire forces of the Roman Empire – one of the most formidable forces so far assembled by the Romans. This was an acknowledgement not so much of the seriousness of the revolt as of the importance of an eastern empire where the much larger threat of Iran loomed beyond. Titus laid siege to Jerusalem the following year. Inside, the city was defended by a mixed bag of different private armies representing the various factions, altogether totalling 23,400 fighting men.

Titus launched a general assault in February AD 70. The siege took nearly six months of hard fighting before it finally fell with the destruction of the Temple and much of the city on 28 August, AD 70. Titus then proceeded to raze the Temple, as well as most of the surrounding city, so completely as to provide no rallying point for future Jewish insurrection. Many of the survivors were executed or enslaved (although many too were reprieved at the personal intervention of Josephus). Of the prisoners, many were disposed of in the arenas during Titus' triumphal progression back to Rome; many more were spared to be paraded through Rome at the triumph held to celebrate Titus' victory, only later also to perish in the arena. The sacred books of the Temple as well as the great Menorah were taken off in triumph to Rome (where it is still illustrated on the arch of Titus: Plate 2.17). It would be nearly five hundred years before it was returned to Jerusalem.¹²⁵ The number killed in the siege probably amounted to some 220,000, with over 19,000 taken prisoner.¹²⁶ The Temple never rose again.¹²⁷

It was not, however, followed by active suppression of Judaism: the Romans did not alter their policy of religious tolerance. But there was an aftermath that in Jewish national



Plate 2.17 The menorah on the Arch of Titus in Rome

consciousness has since assumed almost the same proportions as the revolt itself. This was the siege and capture of Masada in 74 by the new governor of Palestine, Flavius Silva (Plate 6.40). Masada was little more than a footnote to the main story of the Jewish Revolt, but it has come to assume a significance far higher. For the defence of Masada had all the unambiguous, heroic qualities that the defence of Jerusalem lacked. Masada was heroism and self-sacrifice pure and simple; Jerusalem, while undoubtedly heroic, was at the same time tainted by internal dissension, questionable motives, shameful acts, pointless sacrifice. Small wonder that Masada has come to rank almost as much as Jerusalem itself as a Jewish national symbol.

Jewish insurrection returned, first in the uprising of Diaspora communities in Alexandria, Cyrenaica and Cyprus in AD 115–17 (not a part of the present story – although significantly it was triggered by Trajan's Parthian campaign and included the Jews of Roman-occupied Mesopotamia), then more seriously in Palestine again in 132–5.¹²⁸ The last Jewish revolt in Palestine occurred in 351–2. The revolt of 132–5 was again in response to the threat of Romanisation. This time, the threat was Hadrian's plan in AD 130 to Romanise the site of Jerusalem completely as the new colony of Aelia Capitolina – in today's terminology, Jerusalem was to be Rome's own strategic settlement on occupied territory.¹²⁹ Insurrection was led by Simon Bar Kochba who, in 132, proclaimed the independence of a Jewish state. Bar Kochba was initially very successful, but the insurrection came to an end in 135 soon after his death.¹³⁰

Hadrian immediately proceeded with his plans for Aelia Capitolina, a city that was to be so thoroughly Roman that no Jews were allowed in or near it. A new Roman temple dedicated to Jupiter Capitolinus was built on the site of the old Temple. In actual fact, Hadrian's rebuilding of Jerusalem probably did not amount to much: there is not a single Hadrianic building inscription in Jerusalem and, apart from the 'Ecce Homo' Arch, archaeological excavations have shown very few structures built between the reigns of Titus and Constantine.¹³¹ Jerusalem only really recovered from Titus' destruction when it became a centre for Christian pilgrimage after Constantine. But Hadrian did hasten Jerusalem's decline as a Jewish city: after the creation of Aelia Capitolina the Jewish population of Palestine slowly diminished, becoming a minority, eventually to disperse. Only then did Jews finally assume the international, cosmopolitan characteristics that they had resisted so long. In doing so, they survived.

The undoubted heroism of Jewish defence, the subsequent sorry history of discrimination and persecution of the Jews in Europe, the emotional issues related to the subsequent Jewish Diaspora and the special place that Jerusalem has in our minds have tended to obscure the real reasons behind Titus' destruction of Jerusalem. In fact it had little to do with the Jews, the Diaspora or even Jerusalem itself. The Jews did not figure greatly in Roman politics, Judaea was not a granary essential for Rome's economy, the Diaspora had begun many centuries before, Jerusalem had little strategic importance.¹³² As always with Rome's eastern policies, the threat of Iran – real or perceived – predominated. The Jewish Revolt, while not related directly to events in Iran, had to be suppressed so completely as to leave no focus for future insurrection or disaffected elements that might bring the Iranians back to the Mediterranean. After all, only a threat from Iran could prompt Rome into putting such a massive force into the field – nearly a fifth of the entire forces of the Roman Empire – into suppressing what was, after all, a provincial revolt.

So brutal a suppression has been cited as incipient anti-Semitism. This was not the case. Roman rule in Judaea was inept rather than racially prejudiced, and Rome was no more intolerant of Judaism than of other religions, so long as it remained outside politics. Pompey's initial reaction to the Temple – apart from puzzlement – was respect (even though the Jews themselves may not have seen it that way). But more importantly, Rome's policies in the East

were dictated by strategic considerations that were vital for Rome's security. Anti-Semitism or particular intolerance against the Jews – even if it did exist – had little to do with it.

Palestine was important to Rome's security. Pirates were a – real or imaginary – threat to it and after Rome controlled the entire Mediterranean littoral trouble from pirates virtually ceased. But more important was Iran, which did pose a threat. Although Palestine did not share common borders with Iran, in some ways it figured higher in the 'Iranian question' than Syria or Armenia, which did. For Palestine shared a common people: the Jews. The largest population of Jews outside Judaea itself was in Iran. These were descendants of the Jews exiled by Nebuchadnezzar in 587 BC but who did not return, even after Judaea was restored to them by Cyrus the Great in 538.¹³³ Indeed, during the Jewish Revolt the Jews were hoping for substantial support from their compatriots there.¹³⁴ Given the traditional sympathy between Jews and Iranians following Cyrus' restoration of the Temple (perhaps reinforced by mutual monotheism), a threat from Judaea was perceived by Rome as a potential threat from Iran itself. Furthermore, Josephus writes that 'there is not a region in the world without its Jewish colony', particularly in the vital – and often volatile – second and third cities of the Roman Empire, Alexandria and Antioch.¹³⁵ The reason why Rome had to suppress any Jewish revolt so thoroughly, therefore, was not incipient anti-Semitism, nor intolerance of their strange religion, nor that they posed any real threat. It was because the large numbers of Jewish communities throughout the Mediterranean represented a perceived 'fifth column' in the heart of the Empire – as well as potential oriental armies at the gates. Never mind that such threats were not real, it was the *perceived* threat that mattered to the Romans rather than any reality, rather as modern great powers might overreact to threats that are more imagined than real.

Josephus, in apparently betraying the Jews and befriending Vespasian, has been condemned. But Josephus was no renegade Jew; on the contrary, he shows every sign of being an arch Judeophile, and his actions cannot be dismissed so simply. The Jewish Revolt was undoubtedly nationalist in overtone (although it is dangerous to apply modern notions of nationalism to ancient history). But equally it did not enjoy total Jewish support: the appeal to the Jews of Iran, for example, went unanswered, nor did it spread to Jewish communities outside Palestine, and the Jewish 'king', Agrippa II, supported Rome. All Jewish revolts were tempered by an element of civil war; of internal divisions as much as cohesiveness, of deeply conflicting Judaizing and Hellenising elements. Events in Israel still underline this.¹³⁶ Josephus himself states that the real tragedy of his country was that it 'was destroyed by internal dissensions' rather than by the Romans, and 'for our misfortunes we have only ourselves to blame'.¹³⁷ Josephus cannot, therefore, simply be condemned as a traitor. Josephus at least had the vision to foresee the inevitability of Roman victory. Moreover, in supporting Vespasian he was perhaps hoping to return to Palestine as a governor or even client king under Roman protection. This is not as unlikely as it sounds: after all, this is exactly what happened to Josephus' illustrious predecessor as governor of Galilee, Herod, in befriending Antony and Octavian. It hardly needs reiterating that Herod's actions in supporting Antony and Octavian did more for the Jews than any insurrection did – a lesson not lost on Josephus. Josephus' descent was hardly less illustrious than Herod's, and just as Herod displaced the Hasmonaeen line, Josephus may have hoped to replace the Herodian. His surrender in Galilee might be seen as treachery, but in doing so he saved many Jewish lives – and bequeathed to his own people and posterity at large one of the greatest accounts of courage and heroism from antiquity.

There is no sign that Josephus renounced Judaism. On the contrary, he was ultimately vindicated as a Jewish patriot, not only by his *Jewish War* and *Jewish Antiquities*, but with the defence of Judaism *In Apionem* towards the end of his life, 'an impassioned but well-written and well-argued comprehensive *apologia* for the Jews and Judaism, a defence of his people,

their religion, their law and their customs against the malicious and often ill-informed attacks made on them'.¹³⁸ In the end, Josephus, the priest turned general, was, as well as a great historian, a great patriot, a passionate Judeophile, and – who knows – a man who would be king?

Arabia and the Nabataeans¹³⁹

Although there were many 'Arabias', only one was an officially designated Roman Province: the Provincia Arabia, corresponding to the old Nabataean kingdom.¹⁴⁰ This comprised much of the present area of Jordan together with Sinai, the Negev, Gaza, northern Hijaz and parts of southern Syria up to and including (for a short while) Damascus (Figure 2.9).

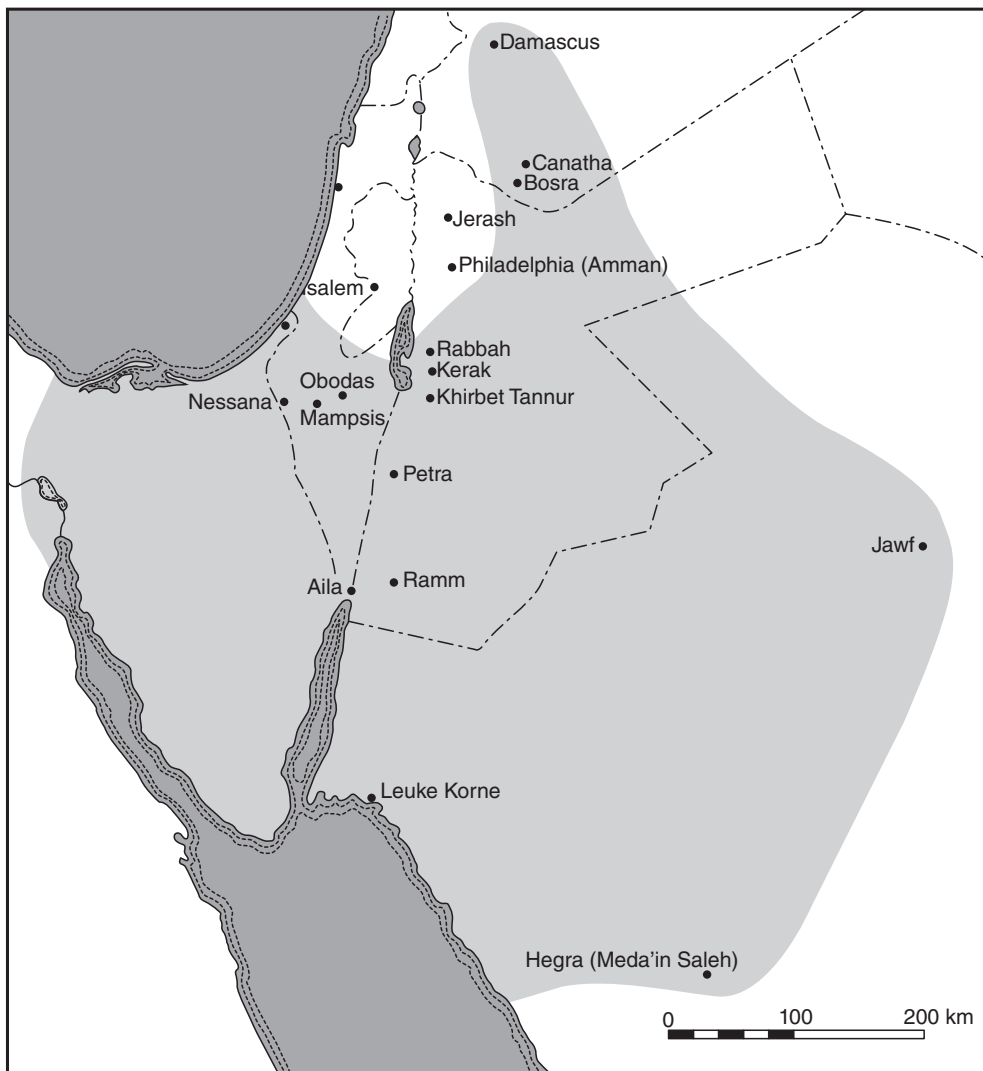


Figure 2.9 Map illustrating the Nabataean Kingdom. Shaded area indicates approximate limits at greatest extent

The Decapolis in the northern part of the kingdom comprised in the first century a Roman enclave in the Nabataean kingdom, although boundaries and even responsibilities were often blurred. The Nabataean kingdom was of considerable strategic importance to Rome as a link between the Mediterranean and the Red Sea, hence Africa and the Indian Ocean.

The rise of the Nabataeans

The first known inhabitants of southern Jordan around Petra were the Edomites. The Nabataeans are known from Hebrew and Assyrian sources as early as the seventh century BC as a nomadic tribe inhabiting the desert regions of north-western Arabia, who may originally have migrated from southern Arabia.¹⁴¹ Their language was a dialect akin to modern Arabic – indeed, the Nabataean script is a forerunner of the Arabic. Even more than the other ‘Princely States’, therefore, it must be emphasised that in any examination of the civilisation of the Nabataeans, they form as much a part of the broader spectrum of Arab civilisation as, say, the Umayyads, Abbasids or Fatimids do.

There was no sudden invasion of southern Jordan, but a long process of usually peaceful infiltration and gradual displacement of the Edomite inhabitants between the sixth and the fourth centuries BC, assimilating many Edomite cultural ideas in the process and absorbing much of the population.¹⁴² By the end of the fourth century they had established a base at Petra which gradually grew in wealth from its location on several important trade routes. The incense trade from south Arabia was the main source of this prosperity, but they also harvested asphalt from the Dead Sea, an important (and relatively under studied) commodity in the ancient world.¹⁴³ At about this time we read of their first contact with the Macedonian rulers of Syria, who tried unsuccessfully to conquer Petra when even the legendary general Demetrius Poliorcetes, ‘the Besieger’, failed in a campaign of 312 BC. From the first century BC onwards they appear to have become a sedentary people. Historical references to the Nabataeans then become more plentiful, allowing a fairly accurate reconstruction of their history.

Under its kings, Nabataea gradually expanded over much of southern Palestine and most of Jordan. King Harithath (al-Harith, Aretas) II was particularly energetic, coming into conflict with Alexander Jannaeus of Judaea in about 100 BC. In 87 BC during the reign of his son and successor, ‘Obaydath (al-‘Ubaydah, Obodas, Avdat) I, Antiochus XII of the Seleucid kingdom invaded but was decisively beaten at the Battle of Cana by ‘Obaydath, when Antiochus himself was slain. As a result, the Nabataean kingdom was able to expand at the expense of the Seleucids. ‘Obaydath’s son Harithath III, who reigned from 86 to 62 BC, took advantage of a conflict between the Hasmonaeans of Judaea and the Seleucids of Syria to extend his borders as far as Damascus in 84 BC, probably incorporating the cities later known as the Decapolis at the same time. Intermittent warfare continued with Judaea for much of this period. Damascus was taken from the Nabataeans in 72 BC by Tigranes of Armenia. Pompey’s creation of the Roman Province of Syria in 64 BC recognised the autonomy of the Decapolis and limited the northward expansion of the Nabataeans, but appears to have had no effect on their expanding trade.

The first Roman incursion into Nabataea was in 63 BC following the incorporation of Judaea.¹⁴⁴ This was the campaign by Pompey’s lieutenant, Scaurus, but he only advanced as far as Pella before circumstances turned him back. This was followed up by another inconclusive campaign in 55 BC by Gabinius. From this time onwards Nabataea seems to have become a client kingdom of Rome, but the sources are unclear: nowhere is this specifically stated, it is simply implied.¹⁴⁵ It is certainly true that Nabataean kings from

Maliku (al-Malik, Malichus) I onwards appear to be more answerable to Rome. Maliku, who became king in about 54 BC, fought an indecisive war with Herod the Great.¹⁴⁶ Like Herod, Maliku was careful to preserve good relations with Octavian after Actium.

Maliku was succeeded in 30 BC by 'Obaydath II. Aelius Gallus' expedition to southern Arabia in 26 BC (Chapter 3) implies some form of link between Rome and the Nabataean kingdom, as a thousand Nabataean auxiliaries were attached to it. The machinations of Gallus' Nabataean 'guide' for this campaign, Syllaeus, later led to another war with Herod until Rome intervened in 9 BC. Then in 8 BC King Harithath IV, surnamed 'the lover of his people', ascended the throne, reigning until AD 40. During this long reign the Nabataean kingdom reached the height of its prosperity: like Palmyra later, with Rome safeguarding external security, the Nabataeans could concentrate on the more important business of making money. It also reached its greatest extent, covering all of Sinai, the southern Negev, Jordan, the Hauran, the Wadi Sirhan as far as Jawf, and the Red Sea coast as far as Hegra (Figure 2.9). It has been argued, mainly on numismatic grounds, that Nabataea was briefly annexed by Rome between 3 BC and AD 1 due to instability in the region following Herod's death. This is precisely the period which sees the rise of Hegra (modern Mada'in Saleh in Saudi Arabia), one of the few accurately dated Nabataean sites. It is argued that this was deliberately created as a Nabataean capital in exile by Harithath IV after his supposed deposition by Augustus. Augustus sent his grandson, Gaius Caesar, on a fact-finding mission to both the Judaeian and Nabataean kingdoms in AD 1 to investigate the state of affairs in the East following the unsatisfactory situation after Herod's death. The mission travelled as far



Plate 2.18 Petra: the main colonnaded street towards the 'Royal Tombs'



Plate 2.19 The Khazneh at Petra

as the Gulf of Aqaba and – presumably as a result of Gaius’ recommendations – Harithath was reinstated as a client king, while Judaea was in due course annexed. Nabataea then retained that status until its annexation by Trajan.¹⁴⁷

Thus restored, Harithath IV was able to resume building work at Petra, as well as confrontation with Judaea. Harithath fought a war in alliance with Abgar V of Edessa against Antipas, who was defeated.¹⁴⁸ After this victory the Nabataeans may have won back Damascus for a short while, as they seem to have been the ruling power at the time of Paul’s celebrated basket escape in AD 37.¹⁴⁹ With the balance upset, Tiberius contemplated chastening Harithath and instructed the governor of Syria, Vitellius, to prepare a punitive expedition. But the Nabataeans received a reprieve with Tiberius’ death, and the campaign was aborted.

Perhaps Damascus was returned by negotiation, for there is no further mention of Nabataean occupation. But the Nabataeans continued to consolidate the northern borderlands of their kingdom under Harithath’s successors, Maliku II (AD 40–70) and Rabbel II (70–106). Indeed, the focus shifted northwards to such an extent that the capital itself was transferred from Petra to Bosra in 93.¹⁵⁰ Rabbel II continued the expansion of the kingdom’s activities that were begun by Harithath IV, and his equally long reign saw increased irrigation,

agriculture and urbanisation, as well as the construction and embellishment of Bosra, the new capital (Figure 4.19). The move to Bosra possibly corresponds with a shift in the Arabian trade routes from the Red Sea to the Wadi Sirhan.¹⁵¹ Certainly Roman Egypt was taking over more of the Red Sea trade during this period at the expense of the Nabataeans. But whatever the reasons, the shift of focus from Petra and the south, in the heart of Arabia, to Bosra in the more Hellenised climate of Syria ensured the importance of Bosra as an urban and religious centre for many centuries after. Hitherto, the Nabataean kingdom had been an essentially Arabian power, looking to the east. With this shift of focus, the Nabataeans seemed poised to become more of a regional power – both politically and economically – in the mainstream of the Near East and the eastern Mediterranean. In the end, it was to be another Arab power, Palmyra, which took advantage of this trade shift, not Nabataea.

Perhaps it was for this reason that Trajan annexed the kingdom on the death of King Rabbel in 106: its expansion, not to mention earlier successes in its wars against Judaea, might have been perceived by Trajan as a threat to the status quo in the East. Presumably the death of Rabbel II provided a convenient excuse (although he did have a son and heir, ‘Obaydath). There is little or no source material for the ‘noiseless’ annexation of Nabataea and the creation of the Roman Province of Arabia in its place, so the reasons are speculative.¹⁵² It has been argued that Trajan was simply safeguarding his rear prior to his Parthian campaign.¹⁵³ But for two centuries – from Trajan’s annexation to Diocletian’s reorganisation – Arabia was a single-legion province (the Third Cyrenaican). This minimal strength of Arabia’s defence argues *against* its being annexed by Trajan as part of his Parthian campaign. After all, it was never the scene of uprisings, as Judaea was, nor did it border Iran making annexation a strategic



Plate 2.20 The Nabataean Temple at al-Qasr

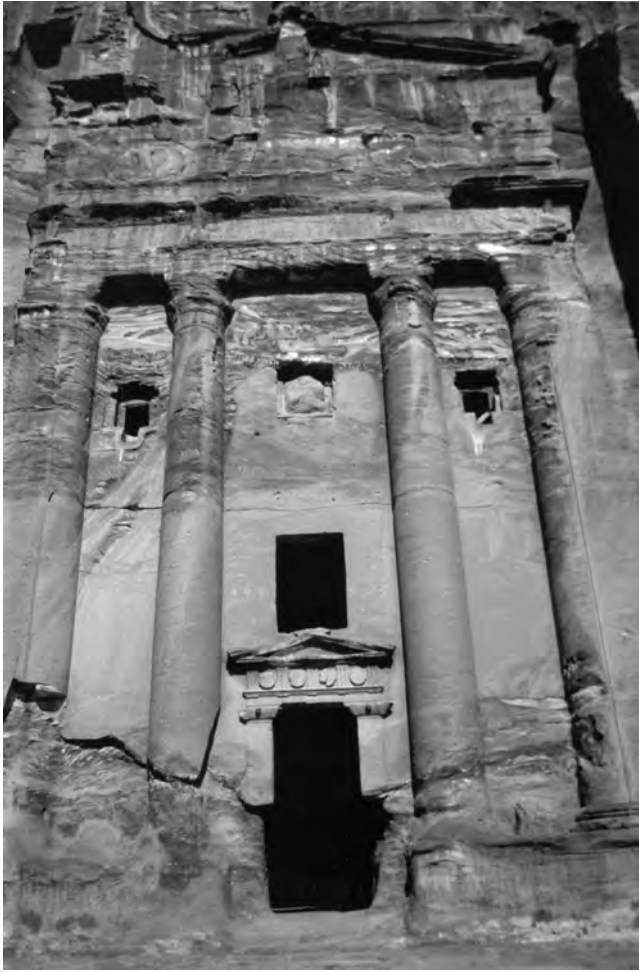


Plate 2.21 The 'Urn Tomb' at Petra

necessity, so never posed any threat to Rome's security.¹⁵⁴ Perhaps simple land-grabbing for personal glory was the overriding consideration. Indeed, it is rather the *lack* of any threat or difficulties that is the real explanation for Nabataea's annexation: it simply provided an easy conquest with little effort for Trajan to feather his cap with – not to mention his nest, given the money Nabataea's lucrative trade would bring in. Whatever the reason, it was a peaceful annexation with no force required.¹⁵⁵ Thus, in 106, the area of the Nabataean state became the new Roman province of Arabia with its capital at Bosra.

The Nabataean achievement

There had been over a century of prosperity and stability for the Nabataean kingdom, despite occasional minor wars with Judaea and its possible brief loss of independence under Harithath IV. For it was a period which saw unusually long reigns of just three



Plate 2.22 The 'Palace Tomb' at Petra

kings: Harithath IV ruled for 48 years and the reigns of Maliku II and Rabbel II were an impressive 30 and 46 years respectively. Furthermore, the transition from one reign to the next appears to have been entirely smooth, without the endless rounds of fratricides, civil strife and general bloodletting that characterised other monarchies. Indeed, Strabo's words that the kingdom was 'exceedingly well-governed . . . that none of the natives prosecuted one another, and that they in every way kept peace with one another' has a very true ring. Strabo's description of the structure of Nabataean society is essentially that of a tribal one, with the king ruling as a shaikh supported by tribal elders – hardly the Hellenistic society that many view it as.¹⁵⁶ This stability allowed for an astonishing upsurge in building activity and creativity. Nabataean skills in water management and rock cutting were famous even by the fourth century BC.¹⁵⁷ Accordingly, by the first century AD areas of the desert which had never before seen cultivation – and in some cases never since – flourished, water resources were conserved and harnessed with an efficiency that anticipated our own era's environmental revolution, and one of the most magnificent cities of the ancient world was built whose fame today has become a byword for all that is exotic and spectacular (Figure 2.10, Plates 2.18–2.28).¹⁵⁸ Impressive achievements indeed for a kingdom that was on the sidelines of the great civilisations of the ancient Near East.

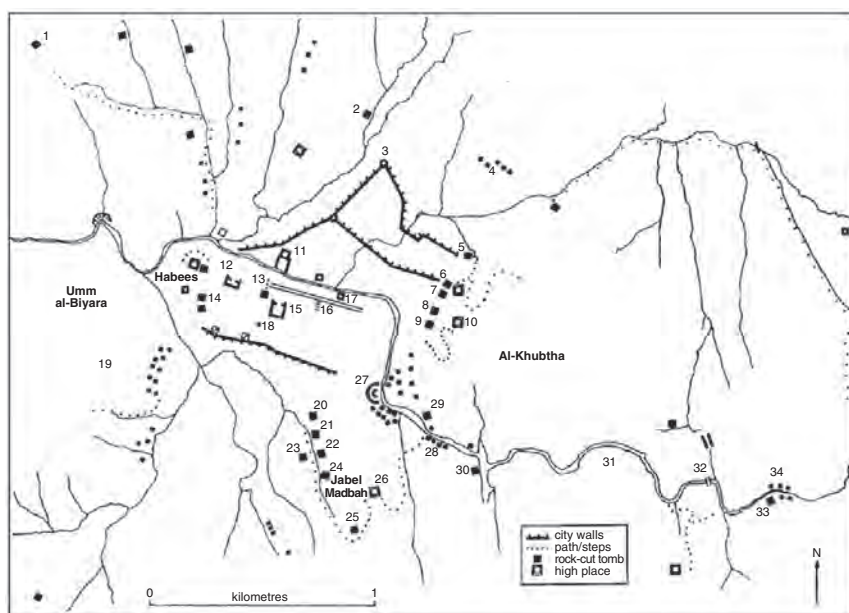


Figure 2.10 Plan of Petra. 1: Deir. 2: Turkmaniyah Tomb. 3: Conway Tower. 4: Moghar an-Nassara. 5: Tomb of Sextus Florentinus. 6: Palace Tomb. 7: Corinthian Tomb. 8: Silk Tomb. 9: Urn Tomb. 10: Khubtha High Places. 11: Temple of the Winged Lions. 12: Qasr al-Bint. 13: Temenos Arch. 14: Unfinished Tomb. 15: Great Temple. 16: Colonnaded street. 17: Nymphaeum. 18: Pharaoh's Pillar. 19: Umm al-Biyara summit. 20: Broken Pediment Tomb. 21: Renaissance Tomb. 22: Roman Soldier Triclinium. 23: Roman Soldier Tomb. 24: Garden Temple. 25: Lion Fountain. 26: Madhbah High Place. 27: Theatre. 28: Street of façades. 29: Tomb of Unaishu. 30: Khazneh. 31: Siq. 32: Arch. 33: Obelisk Tomb. 34: God Blocks.



Plate 2.23 Openings in the forecourt wall of the Urn Tomb



Plate 2.24 The Khazneh portico. Note opening immediately above door

Nabataean control of the trade from south Arabia had in fact declined in this period: advances in maritime technology made the sea routes more feasible than the land routes, which Petra controlled, and much of the trade was usurped by Roman Egypt. This is reflected in south Arabia, where a major shift in the pattern occurred from the old trading kingdoms of Ma'an, Saba (Sheba) and Qataban on the inland desert fringes, to the new kingdom of Himyar on the highlands, reflecting a shift in emphasis from the overland routes of the desert to the new sea routes of the Red Sea.¹⁵⁹ However, despite the decline in trade, the substantial developments that the Nabataeans had made in hydrology, water management and agriculture ensured that Petra continued to flourish and even expand. The city was massively embellished, with its most famous monuments – the theatre, the Temple of Dushara (Qasr al-Bint) (Figure 7.4c, Plate 2.26) and probably the Khazneh (Plate 2.19) – dating from the reign of Harithath.¹⁶⁰ As well as being impressive monuments, the buildings at Petra have an eclecticism combining elements of Hellenistic, Roman, Egyptian, Assyrian and Persian architecture bonded by the strong matrix of their own Arabian forms. In addition to reflecting the Nabataeans' own accomplishment, therefore, Petra reflects much of the ancient Near Eastern and Mediterranean achievement as a whole.¹⁶¹

Virtually all of the major building work at Petra was completed before the Roman annexation in AD 106. This not only included the more Nabataean and non-Classical types of building – most notably the majority of the tomb façades and the temples – but also the more 'Roman' styles of building, such as the theatre and the colonnaded street.¹⁶² Technically, therefore, the architecture of Petra must be described as Nabataean rather than Roman.



Plate 2.25 The Deir



Plate 2.26 The central area at Petra dominated by the Temple of Dushara (Qasr al-Bint)

The embellishment did not stop with its annexation. Despite the reaffirmation of Bosra as the capital of the new province, the pre-eminence of Petra as the historic Nabataean centre ensured that it too did not lag behind any of the other cities of the Roman East, particularly in the lavish monumentalisation that took place throughout the region during the course of the second century. Indeed, the transformation from client kingdom to province is not marked by any discernible break in continuity in the archaeological record. Nabataean cities elsewhere in the province also grew to their greatest extent in the Roman period, such as Mampsis in the Negev (Plate 2.27) and al-Qasr in Moab (Plate 2.20). Trajan's great road network, the Via Nova Trajana from the Gulf of Aqaba to Bosra, was constructed in 111. Petra's eventual decline was a gradual one. An earthquake in the fourth century left major devastation, and during the fourth, fifth and sixth centuries, Petra slowly contracted in size as the area of southern Jordan became more of a backwater.

The cult of the dead

The building type that dominates Petra – quite literally – more than anything else is funerary. Religious practice is one of the lesser known aspects of Nabataean culture, despite the number of religious buildings that have survived and discussions published on them.¹⁶³ The ramifications of Nabataean religion are more widespread than is immediately apparent. For example, the Nabataean abstract representation of their main deity, Dushara, is a simple, square block of stone. This is seen throughout Petra, either as simple squares, as cubic stones (the 'god-blocks') or in the form of a 'cuboid' aniconic architecture generally (Plate 7.28). The affinities with the square block of the Ka'ba (itself of pre-Islamic origins) in Mecca, forming a focal point for Arab identity as well as the Islamic faith, are obvious. This is not to suggest that the pre-Islamic Ka'ba was a Nabataean Temple of Dushara. But the Islamic abstract concept of deity certainly owes a debt to Nabataean religion.¹⁶⁴



Plate 2.27 The Nabataean settlement of Mampsis in the Negev Desert

Even more ubiquitous at Petra than the cubic blocks are the sacred high places (Plates 2.28 and 7.13). They are usually described as places of sacrifice, often human, with the altar interpreted for the sacrificial victim, the channels for the blood and so forth. One authority on the Nabataeans, for example, writes that ‘it is probable . . . that it [the Madhbah high place] served for blood sacrifice’ *solely* because there is a channel. Thereafter an altar automatically becomes a ‘blood altar’ and the idea that ‘Dushara must have had blood sacrifices offered to him’ becomes fact.¹⁶⁵ There is a Nabataean inscription from Meda’ in Saleh in Saudi Arabia which refers explicitly to a young man being consecrated ‘to be immolated to Dhu Dubat’, that is often quoted as confirmation of Nabataean human sacrifice.¹⁶⁶ This hardly suffices as evidence: it may have been no more than a ritual gesture, perhaps involving the entry of a young man into the priesthood. Of course, some form of sacrifice might have occurred at the high place, but it may have been purely symbolic, as we have suggested, or perhaps merely an animal as victim (such as is sacrificed in the Id al-Fitr celebrations of Muslims today).¹⁶⁷

The architecture of Nabataean high places may offer a different explanation. The Nabataeans almost certainly held water to be sacred: they were, after all, masters in the science of water technology.¹⁶⁸ The high places invariably have tanks associated with them, and channels for run-off from the altars. The very location of their places of worship high up also implies some form of sky worship. Indeed, Strabo writes that ‘They worship the sun, building an altar on top of the house, and pouring libations on it daily.’ His description hints at another explanation with the extraordinary remark that their kings are left on refuse heaps after death.¹⁶⁹ Strabo’s comments on Nabataean disposal of the dead have been compared to other Classical descriptions of exposure in the Iranian world to suggest that the Nabataeans also practised exposure. The pro-Parthian leanings of Maliku I (50–30 bc), as well as the resemblances between Nabataean and Iranian temple plans, are cited in support.¹⁷⁰ With this in mind, many of the rock-cut ‘high places’ at Petra have been re-interpreted as exposure platforms (*dakhmas* in Persian), based on comparisons with similar places of exposure in Iran, such as Kuh-i Shahrak in Fars.¹⁷¹



Plate 2.28 Detail of the high place of al-Madhbah at Petra

Since this interpretation was first proposed in the 1960s, it has received a mixed reaction, usually negative.¹⁷² But it has no less to commend it than blood sacrifice and deserves a reappraisal. Iran has a very rich tradition of high-place architecture.¹⁷³ A study of both the exposure platforms and fire altars of Sasanian Iran, including the one at Kuh-i Shahrak, has reinterpreted most of them as *astadans* or ossuaries for secondary burial after exposure.¹⁷⁴ Hence, many of the ‘fittings’ associated with Nabataean high places might be explained in this way. The circular ‘washing basin’ at the main high place of al-Madhbah, for example, is surrounded by a lip as if to take a cover (Figure 2.11, Plate 2.28) – an arrangement almost identical to the classic Iranian *astadan*. Some evidence for secondary burial has been found at other Nabataean sites: at Mampsis, for example, while the inner sanctuary of Khirbet Tannur contained a number of small underground ‘offering boxes’ of an unknown nature.¹⁷⁵ In the

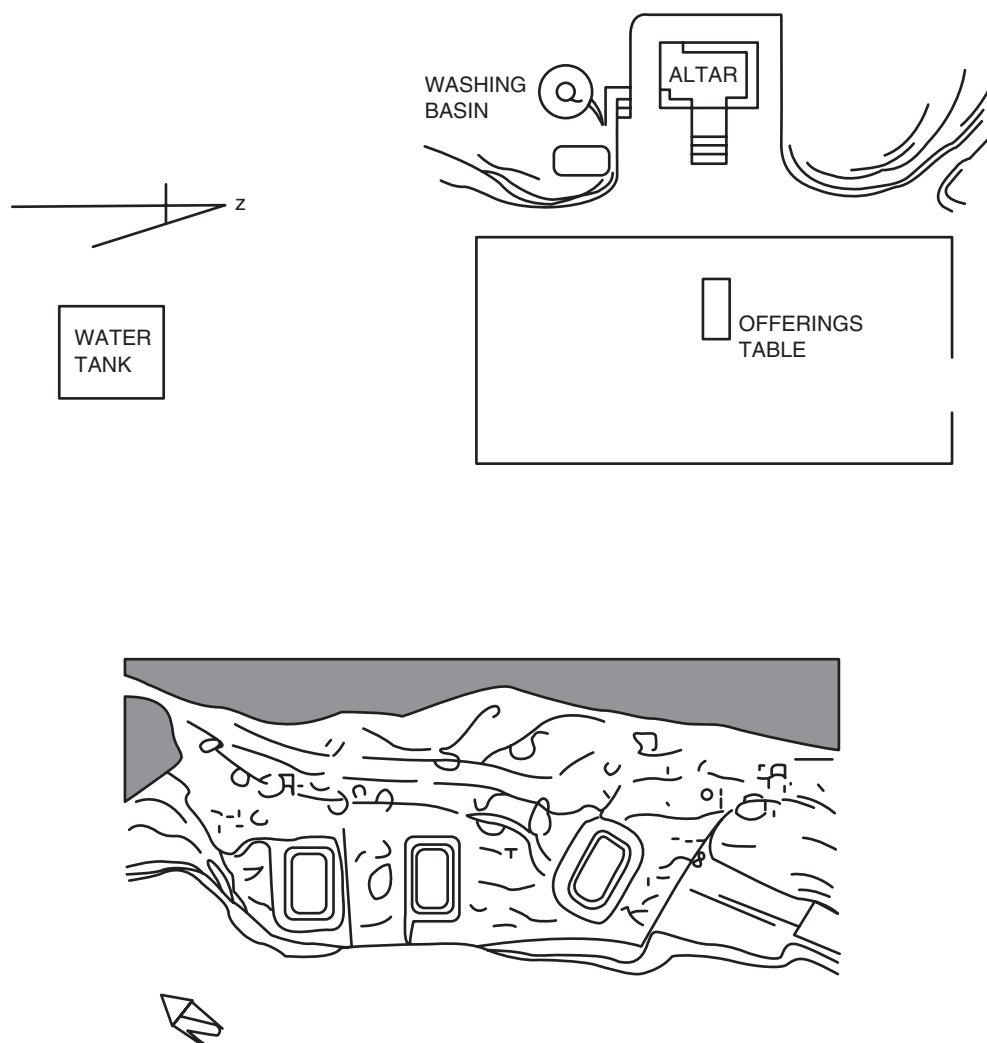


Figure 2.11 The al-Khubtha high place at Petra (top, after Browning) compared to the Kuh-i Rahmat astadans in Iran (bottom, after Huff). Not to scale

third phase of its construction, Khirbet Tannur has a small staircase on the exterior of the inner shrine leading to the altar proper on top, so there exists at Khirbet Tannur an arrangement similar to the exposure platforms and ossuary complexes in Iran.

Part of the explanation might lie in there being more than one method of disposal of the dead. It has been suggested that at Petra, slab-lined graves were used for ordinary people, shaft tombs for the middle classes, and façade tombs for the upper classes.¹⁷⁶ If, as the interpretation of Strabo's controversial passage has suggested, the Nabataean rulers did practise exposure, then the greatest of the 'tomb façades' might well fit such an explanation. High up in the façades of the Urn Tomb and the Palace Tomb are several small openings (Plates 2.22 and 2.23). There are three in the Urn Tomb which, when investigated, contained some textile fragments, while in one of the lateral walls of its rock-cut forecourt there are five more, now empty (Plate 2.23). Those in the Palace Tomb are shallow niches (one opening into a tunnel).¹⁷⁷ These might be interpreted as ossuaries. The Corinthian Tomb is too eroded to determine whether it had similar openings or not. These three façades are generally interpreted as royal tombs – the façades, in other words, could function as monumental royal ossuaries, rather like the Achaemenid royal tombs at Naqsh-e Rostam were. But the two other great façades at Petra, the Khazneh and the Deir, have defied attempts at explanation, being interpreted variously as shrines, tombs, temples or temple-tombs.¹⁷⁸ The reason for the controversy is that no obvious function is apparent: their internal arrangements are different from known Nabataean temples, neither do they incorporate any obvious places for receiving burials. However, they *could* receive ossuary caskets: the Khazneh has an opening just above its door (Plate 2.19), while the Deir has five deeply recessed niches in its façade, three on the upper storey and two on the lower, all plainly designed to contain something (Plate 2.25). They would certainly function as appropriate settings if they were to receive royal ossuaries. All five of the great façades – the Khazneh, the Urn Tomb, the Corinthian Tomb, the Palace Tomb and the Deir – also have another feature in common. None contain shaft burials, as many of the smaller tomb façades do (such as the excavated Tomb of Unaishu), but all contain arcosolia for sarcophagi (Figure 2.12). However, no sarcophagi have been found at Petra: if these arcosolia were to contain something, they were probably not sarcophagi. In this way, all of the 'grand façades' at Petra, which clearly belong together aesthetically, would also belong together functionally.

The tomb façades at Petra are argued elsewhere (Chapter 7) as being simply nothing more than façades, settings. In other words, what happened behind them was less important than what happened in front of or within the façades themselves. Some of the other tombs contain similar openings high in their façades. The most notable is the Roman Soldier Tomb, where the openings are blocked by reliefs of soldiers (Plate 2.29). Again, the interior has arcosolia where sarcophagi are conspicuously absent.

It must be emphasised that explaining Nabataean high places as exposure platforms and some (at least) of the façades as monumental ossuaries must remain speculative. It also does not imply that any form of Zoroastrianism was practised by the Nabataeans.¹⁷⁹ It must also be emphasised that whether or not exposure was practised, Nabataean funerary practices also 'appear to have included almost every variation in the treatment of the body, including ordinary burial, burial with lime, possibly burial after exposure, partial cremation, and cremation'.¹⁸⁰ Clearly, 'a great deal of evidence concerning cults of the dead remain to be researched at Petra and other Nabataean sites'.¹⁸¹ Exposure would apply, furthermore, only to some of the Nabataean high places at Petra. The high places incorporated into the Roman-period temples elsewhere in Syria (explored in Chapter 7) did not function in this way.

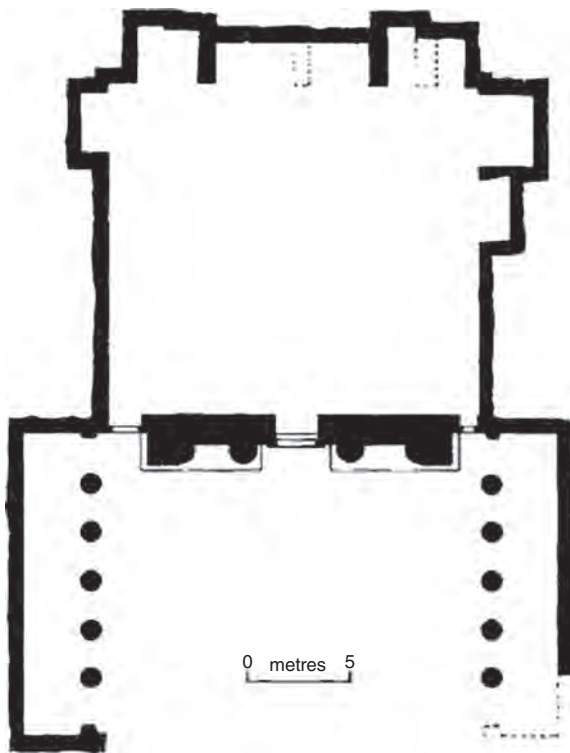


Figure 2.12 Plan of the Urn Tomb at Petra showing the arcosolia (After Khouri/Qsus)

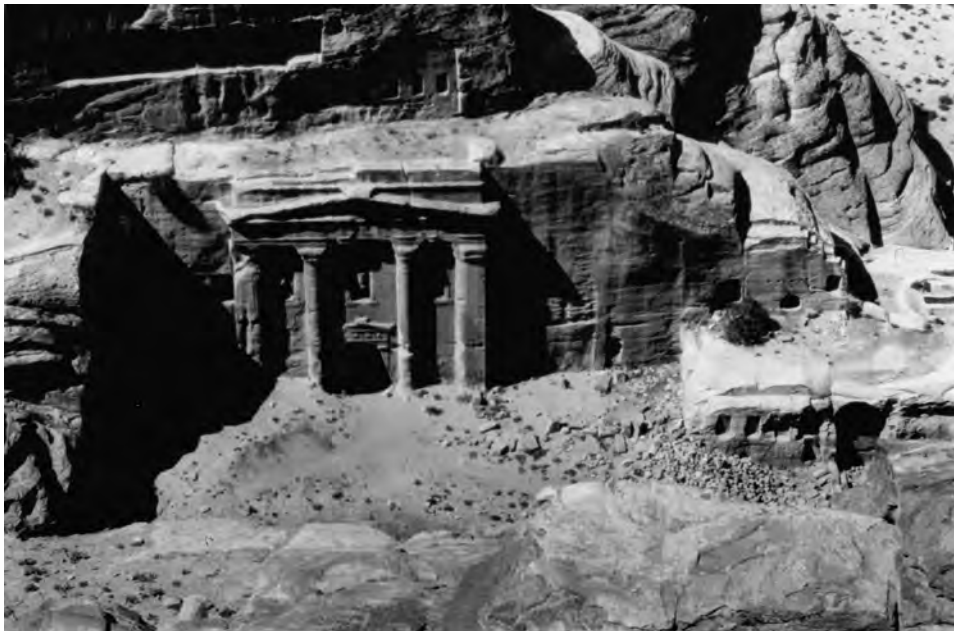


Plate 2.29 The 'Roman Soldier' Tomb at Petra

Palmyra and Queen Zenobia¹⁸²

Origins of Palmyra

A permanent settlement was established at Palmyra by the end of the third millennium BC. The first written references (under its Semitic name of Tadmor) occur in Assyrian and Mari records of the early second millennium. The biblical reference to Tadmor as a foundation of Solomon's is now understood to refer to elsewhere, and Palmyrene 'Tadmor' is believed to derive from the Arabic *tamar* meaning 'date' [palm], from which its Latin name of 'Palmyra' also derives.

In the vacuum left by the decline of Seleucid power, many small Arab states emerged. Palmyra was founded as a confederation of four Arab tribes: the Komare, Battabol, Maazin, and the 'Amlagi or 'Amalagi.¹⁸³ This might reflect the four main cults of the Palmyrene pantheon: Baal-Shamin, Aglibol-Malakbel, Arsu and Atargatis.¹⁸⁴ The first Roman conflict with Palmyra was Mark Antony's campaign in 41 BC.¹⁸⁵ But Palmyra remained little more than a desert shajkhdom and a watering hole for nomads and occasional caravans off the normal route, until early in the second century AD two events occurred that were to change its fortunes. The first was the decline of Emesa, which had previously controlled the desert routes. But the main wealth lay in the routes controlled by the Nabataeans. The second, therefore, was the collapse of the Nabataean control of the trade at the end of the first century AD, when Palmyra's geographical position gave it an advantage. The trade routes moved further north, a move dictated as much by the increasing importance of Roman Antioch as by the decline of Petra. Parallel to these events was the rise of the new Parthian Empire of Iran. Between the two, Rome and Iran, lay Palmyra, acting both as middleman and buffer state. The Palmyrenes fully exploited the situation.

It would be a mistake, however, to overstate Palmyra's geographical advantage in controlling trade routes. A glance at the map (Figure 2.13) shows that the obvious trade route from the east was up the Euphrates to just east of Aleppo, before branching off directly to Antioch (which was after all the main market and entrepôt). Diverting from the Euphrates to cross the desert to Palmyra and thence to Emesa or Damascus, whence the nearest ports were relatively minor ones (e.g. Aradus), was neither natural nor logical. This is the only time in history when such a route was the main one; at nearly all other periods, the Euphrates–Aleppo–Antioch route was used. Crossing the desert via Palmyra makes little sense. The point that needs emphasising is that the Palmyrenes *created* this trade route. Hence, there were Palmyrene agents from Dura Europos and Babylon on the Euphrates through to the Persian Gulf and mouth of the Indus to ensure that the trade went their way. For this reason, rarely among Rome's clients in the East, Palmyra had a standing army of mounted archers (Plate 2.30¹⁸⁶): if the merchants could not persuade the trade to come their way, a little further encouragement might.¹⁸⁷

Opinion as to when Palmyra became a part of the Roman Empire varies. It must presumably have been by AD 75 or earlier, when a dated Roman milestone east of Palmyra was erected. It was certainly no later than 114, perhaps as a part of Trajan's annexation of Nabataea. But it was not a Roman province in the same way that the rest of Syria was. The Romans were, instead, satisfied with merely installing a garrison there to counter Parthian influence. This left the Palmyrenes free to concentrate on trade.

Palmyra was not a 'kingdom' in the conventional sense, as the other Roman client states were. Palmyra does not seem to have had a dynasty of kings: the first 'royal' titles of 'Udaynath and members of his family are not native but Roman ones awarded for good service to the empire.¹⁸⁸ The evidence suggests that Palmyra was ruled by a council of elders,

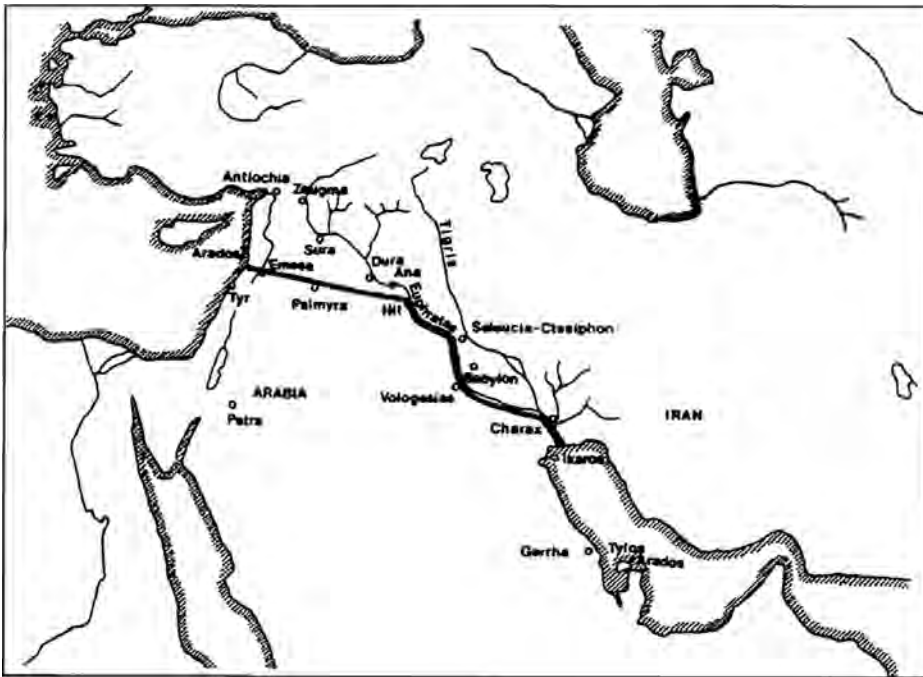


Figure 2.13 Map to illustrate Palmyrene trade (After Gawlikowski)



Plate 2.30 Relief of Palmyrene archers in the Palmyra Museum

either tribal or mercantile or both.¹⁸⁹ Before 'Udaynath and Zenobia, there does not appear to have been a monarchy or a royal family like those at Emesa or Edessa or even Judaea (where neither the Maccabaeen nor Herodian 'royal houses' were traditional dynasties; both were artificial creations). There has not been any royal palace definitely recognised in the remains.¹⁹⁰ The western terms 'republic' or 'oligarchy' do not fit: it was probably a tribal confederation – or more a gigantic business enterprise.¹⁹¹ However, the system of rule by a council of elders was a familiar one in traditional Arabian society.

Palmyrene trade

While not extending its territory much outside the Syrian desert, the Palmyrenes organised a trade network spanning much of western Asia, Europe and the Mediterranean that channelled merchandise through Palmyra. A stele discovered at Palmyra (now in the Hermitage Museum: Plate 2.33) records slaves and salt, dried foods and dyed purple cloth, perfumes and prostitutes as coming through Palmyra by AD 137. Evidence from excavations has added silk, jade, muslin, spices, ebony, incense, ivory, precious stones and glass to the list. The city revolved around trade. A caravan might be financed and organised by a single merchant, by a consortium of merchants, or by the city itself, depending upon the size. The city treasurer was one of the highest officials in the state, taxes were clearly – and fairly – regulated and collected, the revenues used either to invest in further trade ventures or – more usually – to embellish the city. Indeed, the latter was given particularly high priority by both state and business: by the second century AD many of the monuments were privately endowed by merchants with a lavishness and ostentation (some might say vulgarity) rarely seen elsewhere.



Plate 2.31 Relief of a Palmyrene camel caravan in the Palmyra Museum



Plate 2.32 Palmyrene ship in the Palmyra Museum

As befitted an architecture of private enterprise, the emphasis was more on show and display than on strict forms of Classical aesthetics. This was designed to reflect the credit of the merchants paying for it, who in turn had statues of themselves on the columns lining the city's thoroughfares. The rebuilding of the greatest of the city's monuments, the Temple of Bel, was wholly financed in AD 139 by a leading merchant, Male Agrippa, who also financed the visit to Palmyra by the Emperor Hadrian ten years earlier.



Plate 2.33 Palmyra Tariff inscription in the Hermitage

The Palmyrene trade route eastwards has been carefully researched.¹⁹² It has been fashionable to view this as a part of a supposed trans-Asian caravan network – the largely spurious ‘Silk Route’¹⁹³ – but it formed virtually as much a part of the sea network as any sea port did (Plate 2.32). Palmyrene merchants travelled directly across the desert to the Euphrates, whence they would sail down to the Persian Gulf (Figure 2.13). From there, the trade became a part of the sea routes to India examined in Chapter 3, with Palmyrene agents established in ports of call on the way. In this way the Palmyrenes established a commercial empire that reached throughout the known world. Today, evidence for Palmyrene merchants has been found as far apart as Bahrain, the Indus Delta, Merv, Coptos in Egypt, Berenike in the Red Sea, Socotra, the Hadhramaut, the Balkans, Rome and South Shields in the north of England (Plate 2.34).¹⁹⁴



Plate 2.34 Palmyrene funerary monument at South Shields, England

The rise of 'Udaynath

The background of the family of 'Udaynath (modern Arabic Uday, Latin Odaenathus) and its rise to power in Palmyra is little documented. It can be assumed that 'Udaynath's family belonged to the tribal elite who ruled Palmyra, but it is not certain. During the second and third centuries there were a number of Palmyrene individuals whose wealth brought both power and the notice of Rome. Such recognition would be marked by bestowing Roman rank and titles, but this never implied the existence of a king or even a royal family.¹⁹⁵ One such individual may have been Hayran (Latin Herodes), the son of Wahballath Nasor, who had a son in about 220. This was 'Udaynath, whose rise during the third century forms the background to Palmyra's supposed revolt against Rome. Inscriptions in the 250s refer to him only under the title *ra's*, 'chief' or shaikh – probably by election rather than inheritance. He may have been shaikh of one of the four tribes of Palmyra, as inscriptions also refer to other Palmyrenes of similar rank and power at the time, but more likely belonged to Palmyra's mercantile elite rather than a desert tribe (although one could be both).¹⁹⁶ One can only speculate as to what events led 'Udaynath to become the supreme chief over the others. His marriage to Zenobia, head of one of the more powerful of the desert tribes (see below), undoubtedly strengthened his position. Presumably the increasing unreliability of the Pax Romana in the face of Gothic and Sasanian invasions had forced the Palmyrenes to be more self-reliant for their defence. This would necessitate both the raising of an army and the election from their elite of somebody to command it.

In the aftermath of Valerian's humiliation at Edessa in 260, it was 'Udaynath who rallied against the threat from Iran rather than Valerian's vacillating successor the Emperor Gallienus. 'Udaynath first suppressed several pretenders to the purple at Emesa and elsewhere, before continuing the war against Iran in a campaign of 262–6. Nisibis was successfully retaken and the Palmyrenes advanced towards Ctesiphon, supposedly defeating an Iranian force. This, however, is probably exaggeration: it seems unlikely that the desert force that Palmyra had at its disposal could have inflicted a defeat on an empire at the height of its power, and it does not appear to have affected Iran in any way. There was presumably a successful raid and Rome, anxious to snatch any crumb of comfort from so momentous a defeat as Edessa, would have been swift to embroider it into a great victory. It certainly worked to 'Udaynath's advantage, for in gratitude the Emperor Gallienus bestowed the titles 'Corrector' or 'Commander-in-chief of the East' and 'Augustus' on 'Udaynath.

In 267, however, at the height of his glory 'Udaynath was murdered in Emesa, together with his favourite son Hayran. The murder was probably a family affair, but this is unclear. Whether his ambitious wife Zenobia was involved is also uncertain. Hayran was 'Udaynath's son by a different wife, and Zenobia naturally favoured her own son by 'Udaynath, Wahballath (Palmyrene for 'Gift of Allath', Latin Vaballathus), so she certainly had a powerful motive. Either way, Zenobia was quick to execute a scapegoat and take up the reins of power as Regent for Wahballath, who was a minor.¹⁹⁷

Zenobia

History can never resist a warrior woman. Since ancient times the names of Semiramis, Boadicea and Joan of Arc have been favourite heroines, and the idea of the Amazon or warrior woman still a perennial theme in popular culture. Invariably beautiful, warlike yet nubile, and usually tragic, they are written about by both contemporary and later writers with a lasciviousness equal only to their disregard for truth. Zenobia was no exception, and her image varies 'from a shameless virago to a paragon of beauty and valour, from a model of

chastity to a tyrant, from a Christian to a debauchee'.¹⁹⁸ The Romance of Zenobia peaked in the mid-nineteenth century with Lady Hester Stanhope's extraordinary claim to have emulated her, riding in triumph into Palmyra to a tumultuous reception of singing and dancing Bedouin warriors and nymphettes standing on the statue brackets. The desert extravaganza culminated in nothing less than an attempted resurrection of Zenobia herself. 'I have been crowned Queen of the Desert under the triumphal arch of Palmyra . . . I am the sun, the star, the pearl, the lion, the light from Heaven . . . all Syria is in astonishment at my courage and success.'¹⁹⁹ We have only Lady Hester's own word for her entry into Palmyra, but such is the power of myths like that of Zenobia that few question such extravagant claims.²⁰⁰

Attempts in the Classical sources to trace Zenobia's (Arabic al-Zabba or Zainab) ancestry back via the Seleucids to the Ptolemies of Egypt, hence providing a tenuous link with Cleopatra, appear as apocryphal as the Arab tradition which links her with the Queen of Sheba.²⁰¹ But Cleopatra was a role model, as was Julia Domna, both of whom Zenobia claimed to emulate. Epigraphic and Classical sources are silent about Zenobia's immediate family origins, apart from the references to remote Seleucid and Ptolemaic connections, but Arab sources are clearer. According to al-Tabari she belonged to the same tribe as 'Udaynath, the 'Amlagi, presumably one of the original four tribes of Palmyra. Her father was 'Amr Ibn Zarib, the shaikh of the 'Amlagi who was killed in a war with their tribal rivals, the Tanukh (later to achieve prominence as Roman allies; see below). 'Amr had two daughters, Zenobia (also called Na'ilah) and Zabibah. After his death, Zenobia became head of the tribe and continued a nomadic lifestyle, leading the tribe between summer and winter pastures.²⁰² However, she was no mere desert shepherdess, for Zenobia was undoubtedly wealthy and educated. As well as her native Palmyrene dialect of Aramaic, she reputedly spoke Egyptian and Greek fluently, but Latin only hesitantly. At some stage she married 'Udaynath, a member of the Palmyrene mercantile elite, forming an alliance between the desert tribes and the city. After this she probably spent more time in Palmyra, although she maintained her tribal links. Her court combined both Persian and Roman ceremonial, and there is some evidence that she was a Manichee.²⁰³ In the *Augustan History* 'her face was dark and of a swarthy hue, her eyes were black and powerful beyond the usual wont . . . and her beauty incredible'²⁰⁴ which – embroidering aside – is at least consistent with the complexion of the Syrian desert Arabs. Sources claimed her the most beautiful woman in the East, a true heir to Cleopatra's legacy, although there the resemblance ended for her reputation for extreme chastity was such that it is little wonder that her husband fathered children by other women.²⁰⁵ Both Classical and Arabic sources concur that she carried herself as a man, riding, hunting and drinking on occasions with her officers. Palmyrene art is famed for its portraiture, but no contemporary portraits of Zenobia survive (apart from some coins).²⁰⁶

As well as accrediting her with great beauty, tradition has surrounded Zenobia with a glittering salon of poets, rhetoricians and philosophers. The most celebrated is Cassius Longinus. Longinus came to Palmyra when he was about sixty, but the presence of a philosopher or two hardly makes it an Athens of the desert – academics can be bought as easily as mercenaries, and Longinus was not the first (and would hardly be the last) to hasten to some well-endowed provincial sinecure simply because the pay and perks were good. Gothic hordes beating at the gates of Athens would also have removed all doubt of dallying at the Academy. Certainly when Longinus arrived in Palmyra, apart from finding the climate pleasant enough, he bemoaned the lack of proper library or publishing facilities – hardly an intellectual centre overflowing with royal endowment for the arts.²⁰⁷ Apart from Longinus, no other scholar of any note is definitely attested at Zenobia's 'court'; Palmyra did not compare with other eastern centres of learning, such as Edessa or Tyre or Gadara, let alone Antioch or

Alexandria.²⁰⁸ Tradition has also embroidered the Zenobia image as a great builder with, at times, even the great monuments at Palmyra ascribed to her. But nearly all were built before Zenobia, with those later ones mainly ascribed to Diocletian. Even efforts by archaeologists to locate Zenobia's 'palace' have never proceeded beyond the theoretical stage; the fact remains that not a single building can be definitely ascribed to her.²⁰⁹ This is not as surprising as one may think, for the period from the time of her assumption of power after the death of 'Udaynath until her capture by Aurelian was a mere five years, a period when she was mainly taken up with campaigning. This left little time for either building works or a court salon. The image of Zenobia 'housed in a palace that was just one of the many splendours of one of the most magnificent cities of the East, surrounded by a court of philosophers and writers'²¹⁰ simply does not stand.

The revolt

Palmyra's revolt against Rome is plagued by a paucity of sources (one longs for a Josephus – although our copious documentation for the Jewish Revolt has perhaps resulted in an exaggeration of its importance). Our main Classical sources are Zosimus and the unreliable *Augustan History*, supplemented by some Arabic and Manichaean sources.²¹¹ Aurelius Victor writes the entire episode off with merely a passing indirect reference to 'bandits, or more accurately a woman [who] controlled the east' without even mentioning her name.²¹² The Arabic sources add several important details not known from the Classical, but more important than the detail is the different perspective offered. This is discussed more below.

It was the combination of two unrelated events that prompted Zenobia's war. The first was Zenobia's assumption of supreme power in Palmyra, and the second was Rome's distractions – indeed, near 'annihilation'²¹³ – by the Gothic invasions. Thus, the opportunism presented by Rome's distraction fed Zenobia's ambition. It was a combination that might never be repeated, and Zenobia was quick to realise it.

Initially, Zenobia's war was not directed against Rome but against Palmyra's tribal enemies, the Tanukh, who had been responsible for the death of her father. Hence, her first campaign was to Arabia in about 269. But the campaign was unexpectedly successful, despite divisions in the tribal support suggested by the Safaitic inscriptions, not only because of Zenobia's victory over the Tanukh but because of the new possibilities that it opened up.²¹⁴ For in the course of the campaign the Roman provincial capital of Bostra fell to the Palmyrenes – whether by accident or design – along with a Roman force.²¹⁵ The victory resulted in much of Roman Syria and Arabia coming under Palmyrene control. It added fuel to Zenobia's ambitions and she set her sights beyond Syria.

Accordingly, the following year an army said to number 70,000 invaded Egypt, possibly accompanied by Zenobia in accordance with her image as a latter-day Cleopatra.²¹⁶ A battle against the Roman garrison resulted in victory for the Palmyrenes. The Romans counter-attacked under the general Probus, who was defeated. Thus, Zenobia became Egypt's first queen since Cleopatra.²¹⁷ Another Palmyrene force had advanced into Asia Minor as far as Ancyra (Ankara). This was destined to be the furthest limit for Zenobia's army, for in 271 the empire counter-attacked under Emperor Aurelian.

Aurelian had succeeded to the purple the previous year after a series of weak emperors and a civil war had left the empire in all but ruins. Aurelian was of a different stamp from his immediate predecessors. He was renowned both for his quick temper and his cruelty, which could well have made him another Caracalla.²¹⁸ But Aurelian was a veteran soldier before he became emperor, so his volatile personality was tempered by sound pragmatism

and common sense – as well as by a ruthless sense of mission to save the tottering empire from the brink of collapse. Raking up a rag-tag army from whoever would supply recruits, he first managed to push the Goths back. Then, crossing the Bosphorus into Anatolia, he retook Tyana in Cappadocia from the Palmyrenes and went on to recapture Ancyra, pursuing them back to Syria. Outside Antioch the two armies faced each other, the Palmyrenes commanded by Zenobia herself. The Palmyrenes were armed in the Iranian style: heavier armour and better cavalry than the Romans. When battle commenced Aurelian was careful, therefore, to avoid Zenobia's heavy cavalry, resulting in Zenobia's defeat and withdrawal to Emesa. Aurelian in the meantime entered Antioch in triumph, sparing the city and showing leniency despite its pro-Palmyrene sentiments.²¹⁹ He then advanced to Emesa, where he again defeated Zenobia with considerable slaughter of her army. His victory was attributed to the divine intervention of the Emesene Sun god. Aurelian entered Emesa where he was well received, ensuring that the Emesenes – traditional allies of the Palmyrenes – were placated by sacrificing in their great Temple of the Sun.²²⁰

Zenobia and the remnants of her troops returned to Palmyra. The neighbouring tribes rallied to provide food for the population and Zenobia hastily fortified the city. Aurelian set out across the desert, his army suffering from raids by the Arabs when even Aurelian was wounded. Weary from the desert march and Bedouin depredations, Aurelian first attempted negotiation with Zenobia, sending her a conciliatory letter offering terms. The city, together with herself and her children, were to be spared in return for handing over all 'your jewels, gold, silver, silks, horses, and camels'. But Aurelian also demanded the person of Zenobia herself. To this Zenobia refused, citing Cleopatra as her model, and threatened Iranian reinforcements.

Aurelian then laid siege. Zenobia's threat of calling on Iran was taken by seriously Aurelian: his first action was to cut off any line of reinforcements, an act which was to clinch his victory. He then managed to buy off Zenobia's Armenian and Bedouin allies. In particular, Palmyra's traditional tribal enemies, the Tanukh, under their leader 'Amr Ibn 'Adi, rallied to Aurelian's side at the prospect of seeing their enemy defeated.²²¹ This was Aurelian's greatest coup, for Zenobia was then left isolated, with only her loyal city behind her. Palmyra still resisted and Zenobia, seeing the inevitability of Roman triumph, attempted escape eastwards to enlist the Sasanian aid she had threatened, but she was captured while attempting to cross the Euphrates.²²² Palmyra continued resistance for a short while, but the city elders requested terms and capitulated. Aurelian accordingly spared the city, retiring to Emesa with Zenobia and her son.

He took the two captives back to Italy, but opinion differs as to Zenobia's fate. She probably died soon afterwards, either from an unstated illness or – emulating Julia Domna – by fasting to death. According to other versions, however, she was first displayed in Antioch and Rome as 'Queen of the barbarian Saracens', then beheaded, although other sources refer to her being married off and living in retirement outside Rome, where her descendants were still supposedly indicated a century later.²²³

Aurelian treated Palmyra itself leniently, content with taking only their queen and infant king, executing a few of the leading citizens (including the philosopher Longinus) and leaving a Roman garrison. Being ruled, however, by a military garrison did not compare with their own previous status. A year later Palmyra revolted under Achilleus, a relative of Zenobia. Aurelian was quick to return, and this time he was to show his true colours. Aurelian is described as 'a brutal sort of person and bloodthirsty . . . much inclined towards cruelty'.²²⁴ After a brief siege Palmyra fell and Aurelian turned the city, one of the most splendid in the entire empire, over to his troops. Aurelian himself and many of the regular officers may well

have appreciated the true value of the monuments and works of art that Palmyra boasted, and the finest were removed to later adorn Aurelian's new Temple to the Sun in Rome. But the bulk of the troops of Aurelian's army were only semi-Romanised auxiliaries from the fringes of the empire: Celts, Goths, Moors, Arabs, and others.²²⁵ Buildings were smashed, citizens were clubbed and cudgelled, the great Temple of Bel – one of the wonders of the East – was pillaged. As well as its monuments, Palmyra boasted treasures and works of art from as far off as India and China.²²⁶ All that were not taken off to embellish Aurelian's triumph in Rome were destroyed in the rampage. After a few days, Zenobia's lovely city lay shattered.²²⁷

Aftermath of the revolt

The revolt of Palmyra and its sack by Rome have prompted comparisons with the only other revolt in the East on a similar scale: the Jewish Revolt and sack of Jerusalem. But it must be emphasised that the Palmyrenes were not revolting against Rome as the Jews were. The Jewish Revolt was largely nationalist in character; in contrast, Zenobia's ultimate prize was not Palmyrene independence, but Rome itself.

Palmyra has been regarded as some form of 'Arab revolt', an independence movement from Rome or a 'unilateral declaration of independence'.²²⁸ Zenobia's self-conscious emulation of Cleopatra is cited in support of this.²²⁹ But the Cleopatra analogy can be taken both ways: Cleopatra wanted to be Queen of Rome rather than Egypt – and was a Macedonian in any case, not an Egyptian nationalist. Such notions apply nineteenth-century nationalist – even romantic – ideas to the ancient world. Zenobia was not splitting the empire but aimed as much as Aurelian did to unify it. Hers was no Palmyrene Empire, just as Septimius' was no Libyan Empire – or Constantine's revolt in Britain against Maxentius was in any way an incipient British Empire. Palmyra had no history of revolt or resentment against Rome: Rome had not trampled on Palmyrene sentiments as the Procurator Flavius did on Jewish, it had not insulted its religion as Pompey did Judaism, it had not enslaved Palmyrene citizens nor sacked its cities. Quite the contrary. Palmyra did extremely well indeed out of belonging to the Roman club, and the Palmyrenes were far too astute as businessmen to be bothered with any nonsense about independence or religious niceties, let alone incipient ideas of Arab nationalism. In other words, Palmyra had no reasons for revolt.

Consequently, the rise of 'Udaynath represented no challenge to Rome. On the contrary, while his rise to strong local power may have been a response to the weakness of Roman central rule, his subsequent use of that power was entirely in Rome's interests: he fought Roman enemies, he restored Roman borders, he put down pretenders to the Roman purple, he remained a loyal servant of Rome to the end. The aim of 'Udaynath was to restore Roman power and prestige, as any good servant of Rome would, not to challenge it. As a result, Rome itself rewarded him with Roman titles: he was made an 'Augustus'. On the death of 'Udaynath, there was no reason why his successor should not continue in that aim.²³⁰

Accordingly, the subsequent career of Zenobia was a continuation of 'Udaynath's aim: to restore Rome. The stakes had simply changed. The far more ambitious Zenobia sought instead to restore Rome by making her own family's claim for the imperial purple, just as other 'Augustuses' had done in the past, both successfully and unsuccessfully. Coins struck in Antioch in 270 depicted both Aurelian and Wahballath. Further issues in Antioch and Alexandria in 271 depict Wahballath as Augustus and Zenobia as Augusta – all assertions of Roman pretensions, not of Palmyrene independence.²³¹ Zenobia was merely one in a long line since Vespasian who had made bids for the purple from a Syrian power base. All men, it is true, but Julia Domna and her female relatives had set the precedent for women of the

East to promote their own families to rule Rome as well. The rise of the Emesene princely family, as well as that of Philip of Shahba, were valid precedents for Zenobia's own imperial ambitions. Zenobia, as well as modelling herself on Cleopatra, also modelled herself on Julia Domna.²³² The aims of Julia Domna and Zenobia were identical; the only difference was method – and Zenobia's ultimate failure. Her success would have meant a united empire – not an independent 'Palmyrene Empire' nor a divided Roman one.

Aurelian's destruction of one of Rome's own cities had few precedents since Titus' destruction of Jerusalem. For Palmyra was not an enemy, foreign city but was a part of the Roman Empire – it had been a Roman Colony since 211. True, it had revolted and supported a pretender, but so too had other cities of the Roman provinces (such as Antioch) on a number of occasions. No other Roman city since Jerusalem had had such punishment meted out. As in the case of Jerusalem and the Jewish Revolt, therefore, one must look for reasons beyond the revolt itself.

The reasons probably lay in the background of the calamitous third century. The Sasanian and Gothic invasions had brought Rome to the brink of collapse, and a succession of weak emperors had dissipated its funds: the empire was bankrupt. Increasingly, Rome had to buy mercenaries for its defence. Aurelian had done more than most of his immediate predecessors to restore the empire's fortunes, but these efforts had to be paid for. In particular, Aurelian had bought the co-operation of the Near East's desert tribes, without whose compliance no victory over Palmyra's desert state would have been possible. Aurelian had only just been able to hold his troops back from plundering Antioch, but they were baying for blood – or at least loot.²³³ Faced with empty coffers back home, a large standing army in the field, desert tribes whose allegiance Aurelian had bought at the eleventh hour, and mercenaries from all over the empire demanding the fulfilment of promises, the rich plum of Palmyra offered Aurelian at one stroke the only short-term solution that seemed possible. In the light of Rome's recent history, nobody realised more than Aurelian that a fickle army that supported an emperor one day might turn against him the next. And Aurelian's first demand to Palmyra, one recalls, was for all 'your jewels, gold, silver, silks, horses, and camels' – in other words, hard cash. So, after the second revolt in 273, Aurelian gave Palmyra to his army to do with as they pleased – indeed, the revolt was such a god-given opportunity for Aurelian that it might almost have been engineered by the garrison he installed there the previous year.

Alas, Aurelian's master-stroke and Palmyra's agony saved neither the empire nor its emperor. Aurelian went the same way as so many other emperors of the third century when a group of officers murdered him in Thrace just a few years later. But more than that, in destroying Palmyra Aurelian had unwittingly done more than most emperors to destroy the very empire he tried to restore. Aurelian simply destroyed the means by which it indirectly exerted control over the desert frontier. Without Palmyra, the last buffer was removed and the eastern frontier was wide open.

But of far greater importance, Palmyra's commercial empire had brought wealth into Rome. To give them their due, the Romans made some attempt at restitution for Aurelian's destruction. The city and its principal monuments were tacked back together and there were even some new monuments built during the reign of Diocletian who, more than most emperors, was far-sighted enough to see beyond immediate material gains. But Diocletian's efforts were too late. After its sack Palmyra had neither the heart nor – more important – the capital to start again. Palmyra's commercial empire rapidly declined, and with it went the wealth it had brought to Rome.²³⁴ The real tragedy of Aurelian is that in doing so much to restore Rome's greatness, he simply did more than most to hasten its decline.

Palmyrene civilisation

A western visitor today to the ruins that Aurelian left at Palmyra is immediately confronted not so much with the strange, but the familiar (Figure 2.14, Plates 2.35–2.37). Here, in the heart of an eastern desert in a foreign country a long way from home, one finds a civilisation with which it is immediately possible to relate. Here are long lines of columns exhibiting the forms of Graeco-Roman architecture that have formed the basis of western architectural vocabulary for over two thousand years. It is very easy to see why Palmyra is traditionally viewed through western, particularly Classical, spectacles as ‘this greatest assemblage of Roman architecture at its artistic crest’ and ‘the most conspicuous expression of the Roman character of the city is its magnificent architecture’.²³⁵ Hence, Palmyra is often viewed as essentially ‘Greek’ in terms of character, layout, institutions and architecture. Even when it is at least (grudgingly?) conceded as Syrian, it is merely taken as sophisticated enough to recognise the superiority of Graeco-Roman culture and receive it gratefully.²³⁶ This has obscured the overriding Syrian and other oriental elements of its character.

Al-Tabari and the Arab sources unwittingly raise an important issue when they give sole credit to the Tanukh and their leader, ‘Amr Ibn ‘Adi, for the defeat of Zenobia and the destruction of Palmyra. Aurelian and the Romans are not even mentioned: Palmyra’s war was an entirely Arab affair.²³⁷ Which are right, the Classical sources which do not mention ‘Amr or the Arabic which do not mention Aurelian? Of course, that is hardly the point: it was a coalition between Aurelian and ‘Amr that defeated Zenobia. But the real issue is that, in addition to shedding considerable light on the destruction of Palmyra, the Arabic sources are a salutary lesson in just how much we accept our own sources for a one-sided view of events. It is important to recognise, therefore, these other, non-Classical elements of Palmyra to achieve a correct perspective.

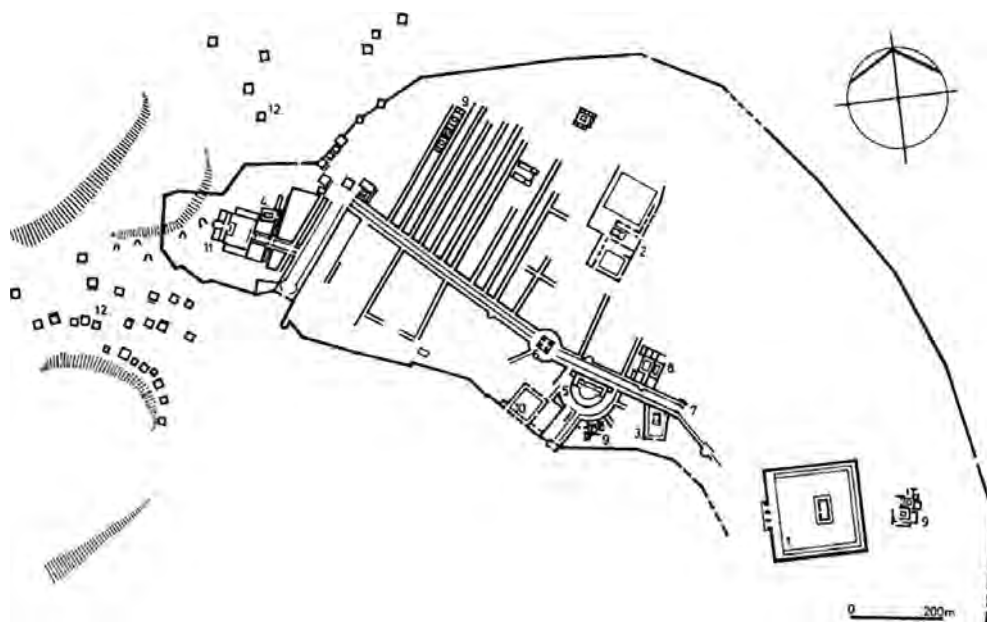


Figure 2.14 Plan of Palmyra. 1: Temple of Bel. 2: Temple of Baal-Shamin. 3: Temple of Nabu. 4: Temple of Allath. 5: Theatre. 6: Tetrapylon, 7: Monumental arch. 8: Baths of Diocletian. 9: houses. 10: caravanserai. 11: Diocletian Camp. 12: Tombs. (After Mikhailowski)



Plate 2.35 The monumental arch (destroyed in 2015) and main colonnaded street at Palmyra



Plate 2.36 The Temple of Nabu at Palmyra



Plate 2.37 Courtyard of the Temple of Bel at Palmyra. Note the foundations of the large altar in the middle distance

Both 'Udaynath and Zenobia are specifically described in the sources as Saracens, i.e. Arabs, and there was certainly widespread sympathy for her amongst many of the Arabs.²³⁸ One authority sees the collapse of Palmyra as crucial to the eventual rise of Mecca and Islam;²³⁹ of course, the origins of Islam are complex and need not concern us here, but it does nonetheless serve to emphasise Palmyra from the Arab perspective. The 'Roman' architecture of Palmyra on analysis is fundamentally different to Classical norms (this is discussed at length in Chapters 6 and 7).²⁴⁰

There were also Iranian elements. A dedication to Zenobia and her son of 268/9 combines both Roman and Iranian imperial titles, with Wahballath given the ancient Iranian title of 'King of Kings' as well as the Roman one of 'Corrector of all the East'.²⁴¹ There is also the suggestion that Zenobia (or her sister) converted to Manichaeism, an Iranian religion.²⁴² Palmyra's art, furthermore, depicts Palmyrene dress as Iranian. Court ceremonial, when a Palmyrene 'monarchy' was established by 'Udaynath and Zenobia, was Iranian, and the Palmyrene army followed Iranian arms and tactics as we have noted. There are Iranian overtones in the love of the hunt, so beloved by the Palmyrene upper classes, and the threat of a Palmyrene–Sasanian alliance was taken seriously by Aurelian.²⁴³

Palmyrene religion sheds further light on its character.²⁴⁴ The two main deities, Baal-Shamin and Bel, belong to two different religious traditions which might reflect two ethnic groups in the foundation of Palmyra. Baal-Shamin had many manifestations, including the Arabian Allat or the 'Anonymous God', whose temple has been excavated at Palmyra and who also belonged in the Nabataean pantheon.²⁴⁵ Baal-Shamin was Syro-Phoenician in origin, while Bel was Mesopotamian, related to Bel Marduk, the supreme deity of the Babylonian pantheon.²⁴⁶ The Mesopotamian connection is further reinforced by the cult of Nebo or Nabu at Palmyra (Plate 2.36), also Babylonian in origin, closely associated with



Plate 2.38 Palmyrene funerary scene in the Palmyra Museum

Marduk.²⁴⁷ The most important ritual in Babylon associated with the worship of Marduk was the sacred New Year Festival.²⁴⁸ It was for the New Year Festival that Babylon's famous processional way was built, and it is tempting to see Palmyra's great colonnaded street that terminates in the Temple of Bel as Marduk's processional way at Babylon transposed to Palmyra (Plate 2.35; other architectural traditions behind the colonnaded street are discussed in Chapter 6). Certainly Palmyra's trading links were strongest with the middle and lower Tigris-Euphrates.²⁴⁹ Nothing in the religion of Palmyra betrays Graeco-Roman influence, despite the Corinthian and other orders which embellished the temples or the 'off-the-peg' Athena statue brought in to personify Allat. Palmyrene religion was cosmopolitan in character, but with wholly Near Eastern elements.

Edessa and the coming of Christendom²⁵⁰

Strictly speaking, the name 'Edessa' refers just to the city rather than the kingdom. The name of the kingdom, of which Edessa was the capital, was Osrhoene. The ancient name

of Edessa was Orhai, from which both Osrhoene and Urfa, the modern name of the city, derive. The name Edessa, however, is conventionally used for both the city and the kingdom. Geographically the kingdom straddled the Mesopotamian plains and the Anatolian foothills (Figure 2.15), and the city is located at the point where the foothills meet the plains. Geography has thus marked Edessa as both a meeting place and a buffer state throughout its history. The boundaries of the kingdom are not specifically defined in the Roman accounts. The western boundary was presumably the Euphrates, although Mambij, on the west bank, was closely associated with Edessa. The eastern boundaries are more uncertain, with sites as far as the Church of St Thaddeus in the mountains of Iranian Azerbaijan having links with Edessan tradition (see below). Indeed, there were connections between the two ruling houses of Edessa and the Iranian client kingdom of Adiabene in western Iran. The armies of both, for example, were allied in a joint siege of Nisibis in AD 194, and Jewish elements were particularly strong in both kingdoms. Just to the south-west, between Edessa and the Euphrates, was Batnae, the capital of the minor Arab kingdom of Anthemusia, which was annexed by Rome (with Edessan encouragement) in 115. Nisibis to the south-east was also closely associated with Edessa throughout its history – indeed Nisibis may have been the capital of the kingdom before Edessa itself. The city which was most closely associated with Edessa – often as its arch rival – was Harran, or Carrhae, on the plains just to the south (Plate 1.1).²⁵¹

The region has always occupied a singular place in Near Eastern political history. Harran saw the swan-songs of both the Assyrian and Babylonian Empires: a rump Assyrian kingdom under Ashur-uballit managed to hold out against the Medes and Babylonians for a further seven years after the sack of Nineveh in 612 BC. It was also in Harran that the aged King Nabu-na'id (Nabonidus), last of the Babylonians, succumbed in 546 BC to the new Persian conquests of Cyrus the Great. The original 'Arabia', the 'Arabistan' of the Persians, was also located in this region rather than in the Arabian peninsula. Edessa, along with Harran and Nisibis, became one of the most important cities on the ancient thoroughfare linking Syria with Mesopotamia. There were particularly close trading links with Palmyra, as tomb towers and inscriptions of Palmyrene type have been found in and around Edessa.²⁵²

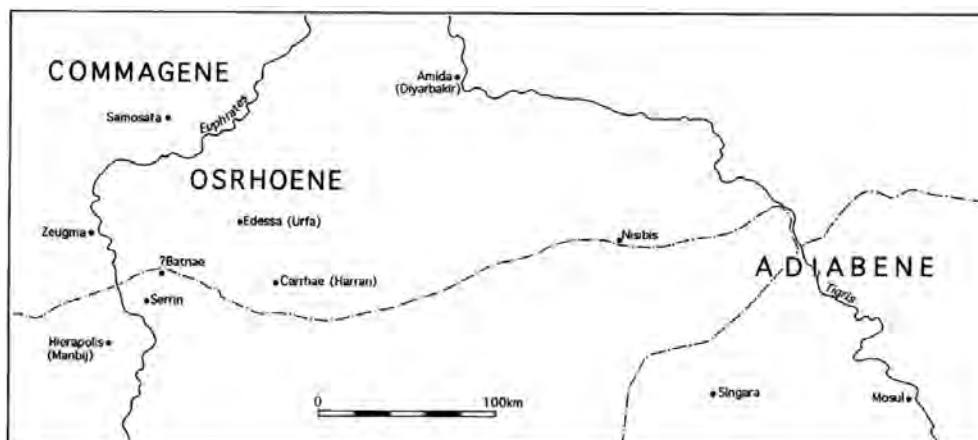


Figure 2.15 Map to illustrate the Kingdom of Edessa

Origins

As well as possessing a variety of ethnic affiliations, Edessa's origins derive from several historical traditions. The Edessans identified their city with biblical Erech, founded by the mythical Nimrod and associated with Abraham of Ur. Just a short time ago one could justifiably dismiss such traditions as 'untenable', writing that 'we need not take these learned theologians too literally'.²⁵³ While an identification with biblical Erech may indeed be untenable (Erech is identified with Uruk, modern Warka in southern Iraq), recent archaeological investigations have revealed links between the area of Edessa and the southern Mesopotamian Uruk culture in the fourth millennium.²⁵⁴ Furthermore, the name Orhai/Urfa may well be linguistically linked to the name Ur. Perhaps Nabu-na'id, the last king of Babylon, known for his interests both in southern Mesopotamian antiquarian research and in the great temple of Harran, transferred the names 'Uruk' and 'Ur' to Edessa – the foundation of a 'New Erech' after the loss of the old one to the Persians?²⁵⁵ Of course, such links may be illusory (and the 'Uruk' culture of the archaeologists may not derive from the city of Uruk/Erech itself). But ancient Edessan tradition recognised such links thousands of years before modern archaeologists did.

Edessa was one of several military colonies founded at the older city of Orhai by Seleucus Nicator in 303/2 BC, who renamed it Edessa after the capital of Macedonia. Seleucid Edessa was described as following the regular Macedonian military plan: a grid plan dominated by four intersecting streets with four city gates, with its citadel outside the walls.²⁵⁶ It was renamed 'Antioch by the Callirhoe' in 163 BC, but with the plethora of 'Antiochs' around at the time (including an 'Antioch Mygdonia' nearby at Nisibis), the name Edessa was the one



Plate 2.39 Modern Urfa, ancient Edessa, with the two columns on the citadel, possibly forming a part of Abgar's palace



Plate 2.40 'Fish pools of Abraham' at Urfa

that stuck until the older Semitic name of Orhai resurfaced as Urfa on the Islamic conquest. This initial Macedonian colonisation imparted a superficial Hellenistic overlay to the city that was reinforced by later kings such as Abgar the Great. But this Hellenisation, like the name Edessa itself, was never more than superficial, and the city and region remained Near Eastern in character throughout, as we shall see.

With the Seleucid decline in the second century BC, Edessa became the seat of an Arab dynasty allied to the Parthians in about 132 BC. This dynasty was originally based at Nisibis, before transferring its capital – along with all its idols – to Edessa. According to Armenian sources, however, Edessa formed a part of the broader Armenian historical tradition.²⁵⁷ Its earliest kings certainly have Armenian (or Parthian) names (e.g. Aryu, Fradhasht, Ezad), rather than Arabic, so the dynastic origins may well have been Armenian. There was also a strong Iranian element both in the titles of the state officials and the style of dress they wore (Plate 2.41).²⁵⁸ But most of the names of the kings are either Nabataean (Ma'nu, Bakru, Gebar'u) or Arabic (Abgar, Maz'ur, Wa'el, 'Amr), and Classical sources describe the inhabitants almost invariably as Arabs.²⁵⁹ The history of Edessa is further confused by most of its kings known by the name of 'Abgar', but the name is presumably a title rather than a personal name (although, like 'Caesar', probably originating as a personal name). Hence, the dynasty is often referred to as the Abgarids. The kings ruled much as Arab shaikhs, through a council of tribal elders, until the end of the monarchy even after it had undergone superficial Romanisation. Whatever their origins, therefore, the dynasty soon became Arabised, perhaps after the first century BC when it ceased to belong to Armenia.



Plate 2.41 Statue of an Edessan man (Urfa Museum)

The kings

One of its early kings, Abgar I Piqa, was killed by the Romans in 69 BC during Lucullus' war against Tigranes of Armenia. At this time, Osrhoene was still a part of Armenia, but after Abgar I's death the kingdom of Edessa (called 'Armenia' by Moses Khorenats'i) was divided into two, perhaps as a result of Armenia's defeat by Lucullus: Edessa and Armenia proper.²⁶⁰ Its history then becomes distinct from that of Armenia. Abgar II (68–53 BC) maintained Edessa's independence from Rome during Pompey's subsequent campaign against Armenia, who confirmed Abgar as king of Edessa. After the Battle of Carrhae, Iranian influence became stronger, possibly because of the support for Iran by Abgar II. Abgar II is identified with the 'Ariamnes' of Plutarch, whose support of the Iranians is held responsible by the Romans for Crassus' defeat, although the Syriac sources imply that Abgar supported

the Romans at Carrhae.²⁶¹ Subsequently, Edessa maintained a balance between the two. At times it actually held the balance of power, with its early kings strong enough to impose dynastic settlements on the Parthian kings on occasion.²⁶²

The first Edessan king to achieve prominence was Abgar V Ukkama bar Ma'nu (4 BC–AD 7). He established the kingdom on a strong footing, sending an army on one occasion to aid Harithath of Petra in his war against Antipas.²⁶³ But Abgar V is most remembered for the events that record his supposed conversion to Christianity. This is discussed further below.

Edessa's aloofness from Roman affairs came inevitably to an end in the late first century. Abgar VII (109–16) supported Trajan's campaign into Mesopotamia, entertaining him sumptuously at court. But he later revolted, resulting in the Roman capture and destruction of Edessa and the death of Abgar VII.²⁶⁴ Hadrian installed a puppet Parthian prince, Parthamaspat, on the Edessan throne in 117, but the native dynasty was restored in 123 with the establishment of King Ma'nu VII, who remained loyal to the Romans until his death in 139. During the Parthian War of Lucius Verus the Parthians captured Edessa and placed a puppet king, Wa'el bar Sharu, on the throne in 163. The legitimate king Ma'nu VIII bar Ma'nu, took refuge with the Romans. Avidius Cassius was able to retake Edessa in 165 and restore Ma'nu VIII to his kingdom. But Ma'nu VIII and the city of Edessa had to pay the price for its restoration: Osrhoene became a client kingdom of Rome in 166.

Ma'nu was succeeded in 177 by Abgar VIII, the Great, who ruled until 212. Abgar the Great supported Pescennius Niger in his civil war with Septimius Severus, who consequently reduced Osrhoene to Roman provincial status and Abgar was left with just the city of Edessa as a rump kingdom.²⁶⁵ Despite such vicissitudes, Abgar VIII is considered the greatest of the kings of Edessa: a cultured king, a wise administrator, and a patron of learning who made Edessa an important intellectual centre. As a client of Rome with only the city to look after, Abgar could afford to retire from international affairs and become a patron of the arts and learning. Then one night in 201 the city of Edessa suffered a great calamity that was also a golden opportunity for Abgar: it was almost wiped out in a devastating flood. A graphic, eyewitness account of this survives in the *Chronicle of Edessa*.²⁶⁶ Over 2,000 people perished and much of the city and palace was destroyed, with Abgar and his officials just managing to make a dramatic night-time escape.

But the flood provided an opportunity for King Abgar to build his city anew. He ordered a massive new building programme to begin immediately, with remission of taxes for all those affected by the flood. The city was rebuilt following the earlier Seleucid town plan. While the flood-damaged palace was rebuilt by the river, Abgar was careful to build new winter residences for himself and many of his nobles on higher ground. Many new monuments were built including the magnificent Cathedral of St Sophia,²⁶⁷ but nothing of these is left today apart from the famous 'Fish pools of Abraham', possibly a part of Abgar the Great's summer palace (Plate 2.40).²⁶⁸ The two columns on the citadel also formed a part of Abgar's rebuilding (Plate 2.39). The eastern column has a Syriac dedication to Abgar's Queen, Shalmath, and they possibly formed a part of his winter palace.²⁶⁹ Otherwise, the only remains of Abgar's today are about a hundred pagan, Jewish and Christian cave tombs – some decorated with fine mosaics – in the hills surrounding Edessa.

As well as rebuilding Edessa, Abgar the Great managed to rebuild relations with Septimius Severus to such an extent that Abgar's state visit to Rome in 204 was the most lavish that even Rome had witnessed in 150 years. But Abgar the Great is remembered not so much for his lavishness or even his ambitious building programme, as for his reputed conversion to Christianity in about 200. If true, this makes his kingdom the world's first Christian state. This is discussed further below.

Abgar the Great was succeeded by Abgar IX, surnamed Severus to pander to the current Romanising climate. It did him little good, for he was summoned with his son to Rome in 213 and murdered on Caracalla's orders. Caracalla ended even token independence when he made Edessa into a Roman colony the following year. There seems to be a poetic justice in that this emperor was himself slain at Carrhae near Edessa in 217. There is some evidence from a Syriac chronicle of a nominal king after Edessa became a Roman Colony: Ma'nu IX, son of Abgar IX, who reigned without note until 240. The only event worth remarking is the account of the Indian embassy to Elagabalus that passed through his court in about 218, one of many Edessan links with the East as we shall see.²⁷⁰ There is also some evidence of a restoration of the Edessan monarchy during the time of Gordian III (238–44) under a King Abgar X, who was finally expelled in 248 by Philip the Arab because of a revolt by Edessa.²⁷¹ It was occupied briefly by Shapur in 259 following the Battle of Edessa, but was soon recaptured by the Romans. The dynasty may have been restored yet again, however, by the Iranians, as a King 'Amr the Abgarid is referred to in Iranian sources as a vassal of the Sasanians in 293.²⁷² This is the last we hear of the dynasty.

Religion at Edessa

Edessa was important as a buffer between Rome and Iran. Its character was formed by an Aramaic substratum with a superficial Hellenistic overlay, into which there were also Armenian and Iranian elements. But it is the Aramaic-Syrian element that predominates. One authority emphasises the importance of Edessa as a cultural centre for the Semitic East, a counterbalance of the Hellenic culture of Antioch.²⁷³ It was the birthplace of Syriac literature and the kings and inhabitants of Edessa were usually called 'Arab' throughout by the Classical sources.²⁷⁴ While the Armenian sources prefer an Armenian slant to Edessa's character, most Edessan names – including those of its kings – confirm the predominantly Arab-Semitic element. This alone makes Edessa a major historical factor in the rise of the Arabs to pre-eminence. But it is religion where Edessa made its main mark.

Edessa was one of the main religious melting pots of the ancient Near East.²⁷⁵ Nebo and Bel were the main cults, belonging to the oldest traditions. Bel was also the main cult at Palmyra, where the Nebo cult was also practised. In origin, the cult of Nebo – or Nabu – originated in Borsippa in Mesopotamia and was subsequently adopted by the Babylonians where it became assimilated to the cult of the Babylonian deity, Marduk. The cult was probably brought to Harran in the sixth century BC by the last Babylonian king, Nabuna'id, an adherent to the cult as his theophoric name implies, as well as a devotee of cults in Harran, as we have seen. The cult of Nebo became quite popular in the Near East: it was from a Mt Nebo that Moses looked out over the promised land, and there is a temple built in 224 at Kafr Nabu in the Dead Cities region of north Syria.²⁷⁶

Many more cults flourished at Edessa. There was the cult of the Moon and Sun of Harran – known as the Sabian religion – as well as the rather gruesome cult of Atargatis of Mambij, both cult centres lying fairly close to Edessa. The Sabians believed in spiritual intermediaries between man and the heavenly bodies, with prayer only possible through the intermediaries. All life – human, plant, animal – was regarded as inherently evil and the result of the activities of the intermediaries. Hence, nobody – not even a prophet – could mediate with the deities represented by the heavenly bodies. Creation was supposedly renewed every 26,425 years.²⁷⁷ The importance accorded by the Sabians to the Sun and Moon, as well as the belief in the inherently evil nature of living matter, survived in Manichaeism.²⁷⁸ The Sabians and

many of the other pagan religions of Edessa survived until well into the Islamic era – the Sabians even claimed the right to be recognised by the Muslims as fellow ‘peoples of the book’. Al-Biruni, the great medieval Islamic scholar from Khwarazm in Central Asia, while recognising the Sun and Moon elements of the Sabians, describes them as descendants of the ‘pseudo-prophet . . . Budhasaf [i.e. Buddha] who came forward in India’ and adds that ‘in former times . . . the country up to the frontier of Syria was Buddhistic’.²⁷⁹ While such a claim is exaggerated, it must be recalled that the Mauryan emperor of India, Ashoka, sent Buddhist missions to the Hellenistic kingdoms of the area as early as the third century BC, and an Indian embassy made a point of stopping off at Edessa in the third century AD.²⁸⁰

The Atargatis cult was centred at Hierapolis/Mambij, part of the Edessan state (for much of its history). It attracted considerable notoriety throughout the Roman world, mainly because of its practice of self-emasculation, until the practice was outlawed by Abgar the Great. The cult also incorporated tame animals and eagles. Its most important festival was the first day of spring, similar to the ancient Iranian Nauruz festival on the Spring equinox. The festival was marked by ritual circumambulation of images and immolation of offerings. Another ritual centred around two wooden columns sixty feet high at the entrance to the temple, which a holy man would ascend twice a year to spend seven days in contemplation. This anticipates the Christian stylite practice which became particularly popular through northern Syria, most famously with St Simeon Stylites. Indeed, it is noteworthy that not only was the father of St Simeon from Edessa, but the golden emblem that stood between the two deities in the inner sanctuary of the Temple of Atargatis at Mambij was known as a ‘Simeion’. Two similar such columns at the temple of Mushairfeh on top of the Jebel Wastani in northern Syria might be associated with the Atargatis cult.²⁸¹ The two columns still standing on top of the citadel at Edessa may be the remains of such a temple rather than Abgar’s winter palace (Plate 2.39), just as the tank of sacred carp at its foot – still surviving in Urfa today as sacred to Abraham – may originally have been a part of a Temple of Atargatis rather than Abgar’s summer palace (Plate 2.40).²⁸²

Indeed, water and sacred fish played a significant role in virtually all cults practised in Edessa and adjacent regions – the Atargatis cult is probably the origin of the Pisces sign in the Zodiac. In addition to the pools of sacred fish at Mambij and Edessa, others were known at the sources to the Balikh and Khabur rivers, the former still extant.²⁸³ From further away came the Nabataean cult of Dushara, as well as Ishtar from Mesopotamia, who was equated with Venus. Another was Sin, the ancient Mesopotamian moon god, traces of whose cult have been found in the hills south-east of Urfa. Another important cult was that of the virgin mother and child, which became fairly common all over northern Syria before Christianity – with obvious implications for the development of Christianity itself. Women traditionally held a high position at Edessa.²⁸⁴

Judaism was also very strong, with the Jewish community still significant as late as the fourth century.²⁸⁵ The historical links between the kingdom of Edessa and the Jewish kingdom of Adiabene have already been noted, and one of the largest Jewish communities in the Near East was at Nisibis, which had a Jewish academy and a Jerusalem Temple treasury. The Jewish community in the kingdom were mainly merchants. When Edessa formed part of the trade network that linked the Persian Gulf with the Mediterranean, many of the Jewish community were involved in the trade in precious fabrics. Traditionally, the Jews in the East were pro-Iranian and anti-Roman, which partly accounts for Edessa’s shifts in policy throughout its history. The rapid progress made by Christianity at Edessa was probably through the Jewish community – one recalls that the Apostle Thaddeus stayed at the house of the Jew Tobias (see below).

*Edessa and Christianity*²⁸⁶

King Abgar V is best remembered for the events recorded in the *Doctrina Addai*, a fourth-century Edessan tradition that is also recorded by Eusebius. This tradition relates the legendary story of the Apostle Addai, or St Thaddeus, who preached Christianity in Edessa after Christ's death. It revolves around the supposed correspondence between Abgar V and Christ, the original letters of which were still preserved in the state archives of Edessa as late as the fourth century when they were recorded and translated into Greek by Eusebius (Plate 2.42).²⁸⁷

Abgar V followed up his letter to Christ by writing to Emperor Tiberius, Narseh the 'king of Assyria', and 'Artashes of Persia' (probably Artaxias of Armenia), spreading the Christian message. Tiberius purportedly replied, after due consideration by the Senate, but no record survives of other replies.²⁸⁸ The overall tone and nature of Abgar's proselytising messages recalls the Emperor Ashoka's messages to various Hellenistic kings in the eastern Mediterranean in the third century BC on his adoption of the new-found religion of Buddhism.²⁸⁹ Indirect references to Ashoka's edicts occurred elsewhere in Edessa, as we have noted.

After the crucifixion, the Apostle Addai (St Thaddeus) was accordingly sent to Edessa by the Apostle Thomas, staying in the house of Tobias the Jew. On converting Abgar through a miraculous cure, St Thaddeus was encouraged to preach openly throughout the kingdom and converted many of its citizens.²⁹⁰ His teachings took him into the neighbouring kingdom of Adiabene, where the spectacular Armenian monastery church of St Thaddeus in north-western Iran, supposedly founded by the apostle on the site of a pagan temple, marks the place of his martyrdom in AD 66 (Plate 2.43).²⁹¹



Plate 2.42 Copy of the *Doctrina Addai* in the Corlu Museum, Turkey



Plate 2.43 St Thaddaeus Church in Iran

The story of St Thaddeus and the conversion of Abgar V received wide credence in the ancient world, particularly through the authority of a theologian of Eusebius' stature. But the Mar Addai of Syriac tradition may not be the same person as St Thaddeus of Greek tradition, and the entire story of the *Doctrina Addai* and Christ's correspondence is extremely doubtful. It has even been condemned as 'one of the most successful frauds of history'.²⁹² The veracity of the story, however, is not important here. What is important is the unique blend of religions in Edessa which forms the background to the story, as well as the undoubted importance of Edessa subsequently in the history of Christianity: the *Doctrina Addai* was meant to establish the orthodoxy, purity and primogeniture of Edessan Christianity as stemming from Christ himself.

Further events in the development of Edessan Christianity occurred almost two centuries later in the era of Abgar the Great, who displayed a remarkable and liberal attitude to religion and learning. One of the more important philosophers of the Near East to emerge was the teacher Bardaisan, to whom Abgar was patron. Bardaisan was born in about 154 in Mambij and educated by a priest of the Atargatis temple. On moving to Edessa as an adult he was converted to Christianity by Bishop Hystaspes (an Iranian name), but was expelled from the church by his successor because of non-conformist views.²⁹³ He founded his own religious sect towards the end of his life, dying in the year 222. But the sect he founded long outlasted him, surviving in Edessa despite the predominance of mainstream Christianity until the eighth–ninth century. Adherents were still recorded in Iraq, Khorasan and even Chinese Turkestan as late as the tenth century, according to Muslim sources. As well as being a theologian of immense reputation, Bardaisan was a prodigious writer of hymns, religious, philosophical and astronomical works, in addition to histories of Armenia and India. His main work to have survived is the *Book of the Laws of Countries* (probably compiled by his disciple, Philip).²⁹⁴

More significant than Bardaisan's conversion to Christianity was the conversion – reported by Bardaisan – of Abgar the Great himself. The conversion is controversial, but whether or not he became a Christian, Abgar had the wisdom to recognise the inherent order and stability in Christianity a century before Constantine did.²⁹⁵ He encouraged it as essential for maintaining Edessa's precarious balance between Rome and Iran. Thus, it is Abgar the Great who lays claim to being the world's first Christian monarch and Edessa the first Christian state. More than anything else, a precedent had been set for the conversion of Rome itself.

The stories of the conversions of both Abgar V and Abgar VIII might not be true, and have been doubted by many authorities. But whether true or not, the stories did establish Edessa as one of the more important centres for early Christendom. This resulted in the establishment of a line of bishops in the early fourth century lasting a thousand years, which included such major theologians as St Ephraim in the later fourth century. By the fifth century Edessa had become one of the main centres of Christian learning in the East.

With such a complex religious background it could be argued that Christianity might have found stern resistance in Edessa. On the contrary, Edessa's religions provided Christianity with fertile ground, for 'it was the belief . . . in a single divinity of cosmic proportions that must already have provided the monotheism of the Jews with a ready hearing. The motif of a divine trinity was familiar in this region of the ancient East, and the hope of the life after death was, as we have seen, widespread at Edessa. The idea of a human divine mediator won an immediate response. In this environment Christianity could not fail to appeal'.²⁹⁶

Christianity in Edessa merely added an additional overlay – or several additional overlays – to the older religions and did not replace them. Indeed, Christianity and paganism coexisted amicably at Edessa – and added to the Edessans' traditional delight in religious experimentation. The last century of the monarchy was an era of considerable religious ferment, with the Marcionites, Gnostics and Manichees rubbing shoulders with the Christians, Jews and pagans. The Edessan Gnostic belief in five basic elements was incorporated into Manichaeism as well as into the philosophy of Bardaisan. The spread of Manichaeism throughout Edessa was associated with two disciples of the prophet Mani called Addai and Thomas, and remained strong in Edessa until the fifth century. The former was also supposedly responsible for the conversion of Zenobia. The coincidence with the two Christian apostles of the same name has obvious implications for the spread of Christianity to Edessa.²⁹⁷ Out of the melting pot emerged several, uniquely Edessan, syncretic religions. The Bardaisanites have already been commented upon. The Elkesaites were another. Their sect was founded by their prophet Elkesai, who was supposedly a reincarnation of Jesus. Indeed, the Elkesaite belief in reincarnation recalls the possible Edessan links with Buddhism already mentioned.²⁹⁸ It was a monotheistic religion combining elements of Judaism, Christianity and paganism. It rejected the earlier prophets but venerated Christ and the Holy Spirit, in addition to water and male and female elements. The prophet Mani was an Elkesaite before he founded his new religion, which drew heavily upon Elkesaism.²⁹⁹ Being essentially a syncretic religion, Manichaeism also found ready ground in Edessa. Indeed, the main importance of religion at Edessa was not so much its Christianity, nor its Judaism, Sabianism or any of the other cults tolerated amongst its broad-minded tradition. The real importance was its syncretic, composite nature, incorporating Babylonian, Aramaean, Arab, Jewish, Iranian, Indian and Hellenistic elements.

The Tanukh and Queen Mawiyya

The system of client states in the East came to an end with Caracalla's annexation of Edessa. The confederations of the Tanukh, Ghassan and other Arab tribes in the fourth

to sixth centuries were, strictly speaking, *foederati*, or allies. The system of allied tribes consisted of one main confederation, whose shaikh would be recognised by the Romans as *phylarch*, or 'king', being given subsidies by the Romans to defend the frontier, both against the Iranians and against raids by non-allied tribes. This was different from the former relationship between Rome and its client kingdoms. To make a comparison with British India, it resembled the Tribal Territories of the North-West Frontier. But there were similarities nonetheless, and the system still formed an integral part of Rome's position in the East and her relationship with its people.³⁰⁰

While the 'Arab' identity of the earlier client states – Emesa, Nabataea, Palmyra and Edessa – may be ambiguous, there can be little doubt about the *foederati*, who maintained an Arab identity far more than the more settled 'Arab' states.³⁰¹ The role of the Arabs as allies of Rome began under the early empire. At first this just involved establishing relations with the desert tribes and incorporating nomad auxiliaries into the army. More specifically, the job of the desert *foederati* was to contribute highly mobile professional cavalry units to the Roman army. In contrast to the sedentary Arabs, these semi-nomadic Arabs were professional fighters whose techniques were based on the tradition of the raid. As such, the *foederati* comprised a mobile defence, as opposed to the static defensive line of the Roman frontier. Their favourite weapon was the long cavalry lance. This both recalls the *sarissa* of the Macedonian phalanx and anticipates the cavalry lance of medieval Europe. In contrast to the Macedonian *sarissa*, the Arab lance was more like the lance of the Iranian cavalry – or the traditional lance used until recently by mounted Afghan tribesmen – which, properly handled by a disciplined, mobile strike force, was deadly.³⁰²

Several tribal confederations were formed which entered into more formal relations with Rome. The Emesene was probably the first, before it evolved into the kingdom of Emesa, and there were others during the early empire. The first on the desert fringes was the Thamud confederation, whose temple inscription in Nabataean, dated 167–9 at Ruwwafa in the Hijaz, honours Marcus Aurelius and Lucius Verus.³⁰³ Although beyond the actual boundary of the Roman Empire, it is the first evidence of a form of alliance with the Bedouin Arabs.

'King of the Arabs'

The third and early fourth century saw the rise of more powerful confederations of tribes in northern Arabia. These were the Lakhmid and Tanukhid confederations, whose inscriptions have been found at Umm al-Jimal in Jordan and Namara in Syria.³⁰⁴ The two were closely interrelated. Indeed, there is often considerable confusion between them in the literature on the subject, mainly because – like tribal groups everywhere – the genealogies are extremely complex.

The Tanukh were a fairly loosely connected tribal grouping, originating probably in south Arabia. In the early third century they migrated northwards, eventually settling in two main areas: southern Mesopotamia and northern Syria. Both areas formed the bases of important Tanukh vassals to Iran and Rome respectively. At first, both Rome and Iran tried to woo the desert tribes and use them as pawns against each other. Being courted by both sides, the Tanukh felt able to form alliances with either, neither or occasionally both. Owing no particular allegiance to either great power in the early days, the Tanukh were able to follow their own inclination – or rather the loot, as in their participation in the Roman sack of Palmyra.

The Syrian branch of the Tanukh were allied to the Romans, forming a defence shield around Aleppo. Indeed, the Tanukh were instrumental as allies in bringing about the fall of Palmyra to Aurelian, as we have noted. But they also partly inherited the mantle of the Palmyrenes. This was in more ways than one. With Palmyra gone, the Tanukh stepped into

the vacuum as Rome's main desert buffer against Iran. Moreover the Tanukh also produced another desert queen in the person of Mawiyya who, like Zenobia before her, was to challenge Rome. But that is to anticipate events. Whatever else it was, Palmyra was a watershed for the Tanukh, for as victors they emerged as a force to be reckoned with by the Romans. Henceforth, they were to be feted by both sides, and with their victory came the trappings of power and wider political responsibility.

The first shaikh of the Tanukh that we read about was Jadhima al-Abrash Ibn Malik who is referred to in an inscription at Umm al-Jimal as 'king of the Tanukh'. Jadhima was responsible for the death of Zenobia's father, thus sparking off her war and prompting the Tanukh to side with the Romans. The Tanukh confederation in Syria remained firm allies of the Romans throughout the later third and early fourth centuries.

The leader of the southern Mesopotamian branch of the tribe was a nephew of 'King' Jadhima, 'Amr Ibn 'Adi, who had been Aurelian's ally in his defeat of Zenobia. An earlier family alliance had also connected 'Amr's family to the Edessan kings.³⁰⁵ Thus, with wide Tanukh marriage connections, 'Amr Ibn 'Adi founded a new kingdom at Hira in the fourth century, under his own branch of the Tanukh, the Lakhmid dynasty. Hira (which means 'camp') is near Kufa, well within the Sasanian sphere of influence. Hence, the Lakhmids of Hira – as this branch of the Tanukh became known – became vassals of Sasanian Iran.

'Amr was succeeded by his illustrious son, Imru'l-Qays. Imru'l-Qays was one of the greatest of pre-Islamic Arab kings, celebrated in romance.³⁰⁶ He has even been tentatively identified in the sources with the legendary Arab prince Dhul-Qarnayn, who was usually identified with Alexander the Great.³⁰⁷ He campaigned widely, reaching as far as Najran in south Arabia.³⁰⁸ But sometime in the early fourth century an event occurred which called into question his entire relationship with Zoroastrian Iran: Imru'l-Qays became a Christian. The dynastic connections of the Lakhmid family with Abgar VIII, the Christian monarch of Edessa, was probably the reason for the conversion, but his father, 'Amr Ibn 'Adi, had already upset the Zoroastrian establishment by his protection of the Manichaeans fleeing persecution in Iran.³⁰⁹ Shapur II's Arabian campaign in 326 may have been partly directed against the Tanukh – it would at least have interfered with Tanukhid/Lakhmid interests there. For various reasons, therefore, Imru'l-Qays decided to leave Mesopotamia and join his Tanukh cousins in Roman Syria.

Imru'l-Qays became leader of the western Tanukhid confederation. Whether this was by force – and he would have brought a considerable tribal following with him – or by sheer prestige we do not know. But the defection of so important a shaikh – an Iranian client king no less – must have been a considerable coup for Rome. As well as bringing the allegiance of the desert tribes, it would have seriously weakened Iran's own border policies. It also dramatically extended Rome's influence throughout Arabia – one recalls that Imru'l-Qays' campaigns stretched all the way to Yemen. Small wonder that Constantine added the name 'Arabicus' to his title.³¹⁰ When Imru'l-Qays died in 328 the inscription on his tomb at Namara in the Syrian desert proclaimed 'This is the tomb of Imru'l-Qays, the son of 'Amr, king of all the Arabs'. For the first time in a century, Rome had a client king on its eastern borders once more.³¹¹

Queen Mawiyya's revolt

The Syrian branch of the Tanukh remained more or less loyal to the Romans. They settled in northern Syria, which became their base. This was generally in the semi-desert fringes to the east and south-east of Aleppo, where evidence – mainly linguistic – for Tanukh settlement has been found. The main Tanukh centre was Chalcis (Qinnesrin), south of Aleppo. Some fortifications in the area have been associated specifically with the *foederati*.³¹² They were

probably the main Tanukh bases in the fourth and fifth centuries, but it is probable that a large element of the tribe remained nomadic, albeit centred upon the same region. As such the Tanukh bases formed a part of Diocletian's line of desert defences protecting Antioch, being responsible for the desert defence in the fourth century in the same way as the Palmyrenes were in the third. But towards the end of the fourth century, the relationship with Rome started to sour.

It probably started to deteriorate during Julian's Iranian campaign in 363. Although in many ways one of Rome's more brilliant emperors, Julian's political and military background was from the Roman West, and he never did show a sound grasp of eastern politics. Accordingly, one of his first mistakes on coming east was to withdraw the privileges from the Arab allies. The mistake probably cost Julian his life, as well as many years of strife and military reverses for Rome in the Near East, for Julian's murder has been blamed on one of the Arab auxiliaries of his campaign.³¹³ The motive may have been religious, for the staunchly Christian Arabs would have been as horrified at Julian's apostasy as many others were. Alternatively, the murder may have been political, as a reprisal for withdrawing the privileges from the Arabs.

Religion certainly played a significant part, for it was religion that sparked off an Arab revolt against Rome soon afterwards. The 'king of the Saracens' who headed the Arab allies of Rome is anonymous in the Classical sources, but might be the last Tanukhid king known from Arabic sources as al-Hawari, possibly a grandson of Imru'l-Qays.³¹⁴ The king died in about 375 leaving no heir. The Emperor Valens took advantage of the old shaikh's death to abrogate Rome's treaty with the Tanukh. Valens' motives may have been political, blaming the Arabs for Julian's death, but Constantinople's attitude to the embarrassing apostate's murder is more likely to have been one of relief, which would not have resulted in an open breach. The real reasons were religious, for Valens was a heterodox Arian while the Arabs were staunch Monophysite Christians. Valens' insistence on imposing an Arian bishop on the Arabs was unacceptable. The result was revolt.

With the death of the Tanukh shaikh without an heir, Valens might have thought the time opportune and his job an easy one. But Valens did not reckon – and who would? – on the shaikh's wife, the extraordinary Queen Mawiyya, who took over the leadership of the confederation on her husband's death.³¹⁵ Leaving the Tanukh-settled areas around Aleppo, she withdrew into the desert. Soon all the desert Arabs and much of Arabia and Syria had gathered to her side.

Mawiyya then proceeded to strike at the vulnerable Roman positions. Her raids extended deep into Palestine and even Egypt as far as the Nile. They had a deadly effect on the Romans, who had little defence against the guerrilla warfare of Mawiyya's highly mobile units. The defence of the Roman East was geared towards the Iranian steamroller: of ponderous army meeting ponderous army, of hitting back at finite targets. Even Zenobia's revolt had presented Rome with the target of Palmyra, but Mawiyya was able to withdraw from the Tanukh-settled positions around Aleppo and use only the desert as her base – and her strength. The Romans had nothing to strike against. After a century of depending too heavily upon the Arabs for their desert defence, the Romans found that without them they were entirely lost in a desert war which could be fought on Mawiyya's terms.

But it was not only in desert warfare that Mawiyya's forces were able to better the Romans. A century of fighting alongside them as allies had proved the Arabs good learners as well, and an initial force sent against them, commanded by the Roman governor of Phoenicia and Palestine, was defeated. Victorious in the desert and in open battle, Mawiyya met success in the towns as well. For in a war fought along religious lines, Mawiyya's cause

against an Arian emperor aroused sympathy amongst the Monophysite townspeople, smarting from Valens' insensitive attempt at imposing Arianism. It was beginning to look as if the whole East might break away under Mawiyya and her Arabs.

Accordingly, Constantinople sent another force, this time led by the Roman military commander of the East: a second defeat could not be countenanced. The two forces met in battle with Mawiyya taking command in person. Mawiyya proved herself as good a field tactician as she was a political leader. The Arab forces, too, proved themselves masters of both Roman battle technique and their own traditional fighting methods. The combination of strong discipline, the swift manoeuvrability of their cavalry and the long lances that they wielded proved deadly. The last time the Romans had encountered these long, *sarissa*-like lances had been at the Battle of Magnesia over five and a half centuries before. Then, the lances held by Seleucid infantry phalanxes were no match for a disciplined Roman legion. But now, in the hands of rapidly mobile cavalry units, the lances had a devastating effect. The result was a Roman defeat. Once more, Rome in the East faced humiliation at the hands of a woman.

This time, Rome had no Aurelian to rally a strong counter-attack. It must be remembered, too, that Aurelian was only able to defeat Palmyra with the aid of the Tanukh: this time, the Tanukh were arrayed against Rome. Faced with the mounting pressure of a looming Gothic war on his western borders, Valens had no alternative but to sue for peace.

Having fought the war on her own terms, Mawiyya was able to dictate the peace. The choice of bishop – the cause of the revolt in the first place – fell to Queen Mawiyya. To underline both her religious and ethnic stance she chose one whose moral credentials were above reproach, who was both a Monophysite and an Arab. This was the ascetic Moses who, like his namesake, had spent many years wandering in the wilderness. The choice was a brilliant one, for Moses' life of preaching had attracted a considerable following amongst the eastern Monophysites in general and the Arabs in particular. The Arian Valens had no choice but to accept, and Moses was duly ordained as the first Arab bishop of the Arabs. An incipient Arab Church seemed in the making, attracting many leading Tanukh figures from Mesopotamia to settle in the Roman Empire.³¹⁶ Mawiyya's demand for a reinstatement of allied status was also met, and they returned to the privileges that they had enjoyed before Julian. To cement the new alliance and mark the conclusion of the war, Queen Mawiyya's daughter Princess Chasidat was married to a senior Roman officer, Victor.³¹⁷ As well as being a senior officer of long standing (he was a veteran of Julian's eastern campaign), Victor was zealously Monophysite.

Aftermath

The seeds of the next revolt were sown in the peace of the last. In accordance with the terms, Mawiyya provided Constantinople with Arab auxiliaries to fight alongside the Romans in its disastrous Gothic War that broke out immediately on the conclusion of its Arab War. On their own ground the Arabs had been unbeatable, but in Thrace they were in unfamiliar territory – and the ferocity of the Goths they encountered had no counterpart in the desert. Valens himself was killed at the Battle of Adrianople in 378 and the Goths pushed the Romans back to the walls of Constantinople. Here, we read of the heroic defence of Constantinople by Mawiyya's Arabs – indeed, virtually saving the city from the Goths, according to Ammianus Marcellinus.³¹⁸ But they had been badly bruised in the war, so that it was a depleted force that eventually made its way back to the East. The new emperor, Theodosius, showed sympathy to the Goths at the expense of the Arabs. Soon Goths were increasingly incorporated into the Roman army and administration.

The situation was exacerbated by the Antioch demagogue Libanius, who stirred up feelings against the Arabs. Libanius had been a friend of the Emperor Julian, and raised the old argument of Arab culpability in Julian's death. Julian's paganism, of course, had to be minimised in an increasingly ecclesiastical world. But Julian, for all his paganism, was after all the last of the line of Constantine, and Libanius played this for all it was worth. Whether the Arabs were blamed in any way for the disasters of the Gothic War seems doubtful, but Libanius' anti-Arab orations played into Theodosius' increasingly pro-Gothic camp, and privileges were withdrawn once again from the Tanukh. The Arabs, feeling that they had demonstrated their loyalty to the Romans in the defence of Constantinople, felt betrayed and broke out into open revolt once more in 383. This time, the Romans were better prepared, and the second Arab revolt was quickly suppressed within the same year. It spelt the end of Rome's relationship with the Tanukh, and Rome switched its attention to a new Arab tribe, the Salih from the southern Arabian marches. By the end of the fourth century the Salih had replaced the Tanukh as Rome's chief Arab ally.

We do not know what part Mawiyya took in the second revolt, if any. She may have led it again – although the sources give no clue – as there were no other known leaders of the Tanukh at this time (although equally, it may have been the lack of her leadership that was the cause of its failure). At least she fared better than Zenobia. It seems that she lived to an old age, retiring to the town of Anasarthia on the desert fringes east of Aleppo in the heart of Tanukh tribal territory, where an inscription records her death in 425.³¹⁹

The Romans had ultimately won against Mawiyya and her desert Arabs. But the lesson had not been lost on the Arabs. For Mawiyya's victories had, for the Romans, an ominous note. They revealed, first, just how quickly the populations in the towns and cities of the Roman Near East would desert the Romans and join the Arab side in time of war. But more importantly, they demonstrated just how effective a disciplined, well-armed but highly mobile force of Bedouin cavalry could be against conventional Roman forces. The next time this was to happen, the Arabs would be triumphant.

The Ghassan and the coming of Islam

There was less need during the late fourth and fifth centuries for strong allied tribes to defend the frontier, as the period was one of unusual peace between Rome and Iran – they were too busy fighting off respective barbarian invasions to bother much with each other. Hence, many of the desert fortifications, dating mainly from the time of Diocletian, fell into disrepair.³²⁰ However, some new alliances were made, if only to use one tribe to keep the others from encroaching. With the decline of the Tanukh, a new Arab tribe was favoured with allied status. This was the Salih, during the reign of Arcadius (395–408). Other Arab tribes also enjoyed allied status, including the Tanukh (albeit on a much reduced status) during the course of the fifth century, but the Salih were the main one.

The Salih were centred mainly in Jordan, i.e. well to the south of the Tanukh tribal lands in northern Syria, although evidence for them is found in the north as well. The Salih had been allies of the 'Amlagi, Zenobia's tribe, hence enemies of the Tanukh.³²¹ The best known Salihid 'king' was Dawud, who built the Monastery of Dawud (between Rasafa and Isriya south-east of Aleppo).³²² In 468 the Emperor Leo incorporated a large contingent of Salih allies in his disastrous North African campaign against the Vandals, when the contingent was almost wiped out. The resulting loss of manpower resulted in the weakening of the Salih and the rise of another Arab tribe to replace them as Rome's ally. This was the Ghassan, originally tributary to the Salih but destined to become the most powerful of Rome's tribal allies.³²³

The Ghassan were originally a nomadic tribe from further south in Arabia.³²⁴ They started moving northwards in a series of tribal migrations after the end of the first century AD, their diaspora prompted, according to tradition, by the bursting of the Mareb Dam in Yemen. Many Arab tribes trace their diaspora from the same event.³²⁵ The founder of the tribe was Jafnah Ibn 'Amr Muzaygiya', but both his date and the number and names of his successors are uncertain. They eventually arrived in the western deserts of Syria and Jordan around the end of the fifth century. After that date they began to challenge the supremacy of the Salih by gaining control of the trans-Arabian trade routes to Bosra. One of their shaikhs, known in Byzantine sources as Amorkesos was, like 'Amr Ibn 'Adi of the Tanukh before him, originally a defector from Iran and was well received by the Emperor Leo in 473. In 502–3 the Emperor Anastasius recognised the Ghassan under their chief al-Harith I as supreme over their rivals, the Salih, who fell increasingly out of favour. In about 528 Justinian awarded his son, al-Harith II Ibn Jabalah, the titles of Patricius as well as supreme Phylarch, or head, over all the other tribes.³²⁶ In Arabic sources he has the title *malik* or 'king'.

Although probably not related to the earlier Nabataean tribes, the Ghassan may have traced a traditional, if spurious, genealogy to the Nabataean kings. Thus, the name 'al-Harith' might have been a conscious revival of the Nabataean 'Harithath' (Aretas) in an attempt at continuity of Arab tradition in the region. Al-Harith II remained as both Roman phylarch and Arab 'king' until his death in 569.

This renewed emphasis on courting one of the tribal confederations by Justinian was in response to new threats from Iran. The eastern frontier, having been relatively peaceful for so long, was changing with the rise of Khusrav I Anushirvan (see Chapter 1). Forming an alliance with a strong tribe was essential. Making the Ghassan supreme had a distinct advantage: the Ghassan, unlike the Tanukh, had no blood ties with Rome's enemies in the Arab world, the Lakhm of Hira. For the Sasanians of Iran made increasing use of their Lakhmid allies to strike at Rome's Near Eastern possessions. Since they were just allies, rather than a full part of the Sasanian Empire, excuses could always be made that they were outside Sasanian control if these strikes came when there was supposedly peace between the two empires. The Romans used their Arabs in much the same way in a surrogate war. The Lakhm under their king al-Mundhir raided as far as Antioch in 531. Harith Ibn Jabala was victorious, but the Lakhm soon returned with 15,000 additional troops supplied by the Sasanian Emperor Kavadh the same year. While such raids ultimately failed, they demonstrated that Rome had to rely more on the Arabs for its eastern defence, as well as how effective the Arab lightning tactics were against Roman conventional forces. Against such a force even Belisarius was defeated in a battle at Callinicum, although the Ghassan under al-Harith Ibn Jabala acquitted themselves with distinction.³²⁷

However, al-Harith was ultimately victorious over Mundhir at a battle near Chalcis in 554, when Mundhir himself was killed. Over the following years al-Harith consolidated his position amongst the desert tribes, building up his Ghassan followers as the main force in the Near East. In 563 he visited Constantinople, leaving a powerful impression. The Emperor Justinian awarded him all the pomp and ceremony that befitted a visiting king. He died in 569 and was succeeded by his son Mundhir, who reigned until 581/2.

The Emperor Justin II (565–78), however, did not inherit the personal relationship that existed between his predecessor and al-Harith, and was suspicious of al-Mundhir – or rather of the Ghassan's growing power. The Ghassanid 'kingdom' by now comprised virtually all of the eastern areas of the provinces of Arabia and Syria, ruled with little reference to Constantinople. In addition, the increasing Monophysitism espoused by the Ghassan (see below) and the subsequent virtual independence of the Syrian Church alienated Orthodox Constantinople.

Justin II accordingly ordered the Roman governor of Syria to have Mundhir killed. However, Ghassanid agents intercepted the letter. This action itself demonstrates just how powerful they had become in Syria, where even official imperial communications between Constantinople and Antioch were subject to scrutiny by Ghassanid 'intelligence'. Mundhir responded by abrogating the alliance and allowing the Lakhmids to raid Roman territory once more. Without Arab support, the Roman Near East was vulnerable, so a reconciliation was hastily concluded in a treaty drawn up in Rasafa in 575, and the Ghassan returned to halting Lakhmid raids. After a major victory when even the Lakhmid capital of al-Hira in Iraq was sacked, a rapprochement was made and al-Mundhir was awarded a state visit to Constantinople in 580 by the Emperor Tiberius II, who personally crowned al-Mundhir.

But the rapprochement with Constantinople was short-lived. Tiberius' lieutenant, the Caesar Maurice, was badly mauled in an abortive invasion of Mesopotamia and blamed Mundhir for the debacle. Tiberius accordingly had Mundhir exiled to Sicily and tried to force the Ghassan tribe – staunch Monophysites – to become Orthodox. The four sons of Mundhir raised the banner of revolt. Maurice hastily negotiated with the eldest son Nu'man, but tricked him into going to Constantinople and thence into joining his father in exile. After this, the Ghassanid federation began to break up. Their shaikh was still accorded the title of 'Phylarch' by Constantinople, but their power was considerably reduced after 586. The remaining Ghassan princes were left to lead a life of considerable extravagance but little real power.

There was some reinstatement of the Ghassan by Heraclius in 629 after his successful campaign against Iran. The last Ghassan king, Jabalah Ibn Ayhan, was made supreme over the other tribes once more. As a result the Ghassan remained loyal to the Romans to the end, joining Heraclius in resisting the Arab Muslim invasion. The Ghassan, however, fell to Muslim rule after the Battle of Yarmuk and submitted to Umar in 637. But they never surrendered their religion to Islam, so revolted again soon after. Jabalah and the last members of the house eventually retreated to Constantinople, where one of his descendants even became emperor in the ninth century (Nicephorus I, 802–11).³²⁸

The principal Ghassan 'encampment' – although it was more a town – was Jabiyah in the Golan where there was a sanctuary to St Sergius. Other encampments were near Damascus and Adr'a (at Saida). They were courts of opulence, attracting a considerable literary circle. Indeed, the Ghassanid courts were the most important centres for Arabic poetry before the rise of the Caliphal courts under Islam. The luxury of their courts and the patronage of poets, musicians and artists by the Ghassan princes formed an immediate model for the Umayyad courts in Damascus and anticipated their own desert 'palaces'.

Various buildings have been attributed to the Ghassan, mainly churches and towers, such as the towers at Dmayr and Qasr al-Hayr al-Gharbi (Plate 2.44), the latter built by Harith in 559 according to an inscription. Ghassan family tombs are known from Jabiya and Adr'a. Other important Ghassanid centres were Rasafa, Bosra and Amman. The 'church' outside the north gate at Rasafa – probably in fact an audience hall – has an inscription to al-Mundhir.³²⁹ The city of Rasafa itself is to some extent a Ghassanid creation, due mainly to the popularity of the cult of St Sergius that was spread by the Ghassan amongst the Arabs. The huge cisterns in Rasafa are generally attributed to the Ghassan, and the massive wealth generated by the pilgrimage traffic to Rasafa reached its height under them, used to embellish the city to an extent that rivalled Palmyra in an earlier era (Plates 2.45–2.47, 4.10, 4.11). The extraordinary desert palace complex of Qasr Ibn Wardan in northern Syria, built probably by architects from Constantinople on the imperial (as opposed to Syrian) model, may have been built by Justinian for the Ghassan princes (Plate 2.48).³³⁰ But the real architectural legacy of the



Plate 2.44 Ghassanid tower at Qasr al-Hayr al-Gharbi



Plate 2.45 The Ghassanid extramural hall at Rasafa



Plate 2.46 The North Gate at Rasafa



Plate 2.47 The underground cisterns at Rasafa



Plate 2.48 The church and palace at Qasr Ibn Wardan. The remains of a barracks in the foreground

Ghassan must surely be the vast numbers of remains that can be found in northern Syria, the Dead Cities and the desert areas (Figure 5.1). The huge expansion of settlements, as well as their undoubted prosperity and ecclesiasticism, is a true testament to the stability brought to the area by the Ghassan federation. These remains are discussed in detail in Chapter 6. It has become conventional in scholarly works to call this remarkable series of ruins – one of the most remarkable in the world – as well as Rasafa, ‘Roman’ or ‘Byzantine’. Might they not better be described ‘Ghassanid’ or ‘Arab’?³³¹

The Ghassan, particularly under al-Harith II, reinvigorated Monophysitism in Syria. Several Monophysite bishops were ordained, including the famous Jacob Baradaeus, who in turn ordained 89 bishops and an astonishing 100,000 priests. This number seems extraordinary, but the sheer quantity of ecclesiastical remains, particularly in northern Syria (some 1,200 churches – see Chapter 5), is evidence of Baradaeus’ activities. As a result, the Syrian Church achieved virtual independence from Constantinople. Ghassanid missionary activity extended deep into Arabia as well as across the Red Sea to Ethiopia. Although the Ghassan remained staunch Christians after Islam, it is nonetheless true that the rigorous Monophysitism that they promulgated, with its emphasis on the unified nature of Christ and its simpler version of Christianity compared to Byzantine orthodoxy, made the rapid spread of Islam much easier when it did come. Most of all, it imparted a specifically ‘Arab’ character to Christianity, again anticipating Islam.

Bosra was an important caravan and religious centre during the Ghassan ascendancy. Indeed, both the Christianity of Bosra and its important Christian buildings must be seen as much – or more – a product of Arab culture as Roman. A part of Bosra’s religious importance stemmed from its being the residence of a famous monk and teacher, Bahira.

Bahira – the name is a Syriac title that simply means ‘reverend’ – was an anchorite monk. According to Islamic tradition, Bosra was frequented at that time by a leading merchant from Mecca, Abu Talib, who brought his still unknown nephew with him on his trade missions. This was Muhammad, and conversations between the elderly Christian monk and the young Meccan caravan leader were believed to influence the last great religious movement in the Near East.³³² Whether or not such traditions are true is not relevant. What is important is that by the time of the Ghassan ascendancy, the Arabs had become a major factor on the Near Eastern stage and monotheism had become a major factor for the Arabs. In the words of Philip Hitti, ‘they served as a pre-view of the gigantic show to come’.³³³ When it did come, Islam was as much a new beginning for Arab civilisation as a culmination: a culmination of the gradual rise of Arab civilisation over the past seven centuries of Roman rule. ‘The clients of Byzantium had become its rivals.’³³⁴

Notes

- 1 See also Butcher (2003: Chapter 3).
- 2 Braund 1984.
- 3 Frye 1984: 275–81; Wiesehöfer 1996: 144–5.
- 4 Sullivan 1977b: 910.
- 5 See also Ball 2010: Chapters 5 and 8.
- 6 There is even an extraordinary suggestion that the Iranian province of Hyrcania to the southeast of the Caspian became, for a while in the first century AD, a client state of Rome in response to Hyrcanian requests to Rome for help. Hyrcania corresponds roughly to modern Gorgan (which is cognate) in north-eastern Iran. See Tacitus *Annals* 14. 25 and Frye 1984: 240.
- 7 Gawlikowski in Alcock 1997: 41.
- 8 Ball 2009: Chapter 3.
- 9 Although the Idumaeans of Judaea and Herod himself have been labelled ‘Arab’ by Shahid 1984a: 145–60. See also the discussion on the difficulties of defining ‘Arab’ in ancient history in Smith 2013: 34–5.
- 10 Millar (1993), for example, treats any use of the term ‘Arab’ or even ‘Syrian’ in a cultural context with extreme – and quite commendable – scholarly scepticism. But the same authority unquestioningly accepts any similar use of the term ‘Greek’, with all the cultural connotations implied. When it comes to the Greeks, the term is accepted rather too hastily, with the character of the whole area of the Arab Near East under discussion described as ‘Greek’.
- 11 Isaac 1992: 235. Shahid (1984a: 1–14, 145–60) in fact sees Pompey’s invasion of the Near East as a frustration of Arab self-assertion: but for Pompey, the Arabs would have emerged as the predominant civilisation of the Near East in the first century BC and not the seventh century AD (with a minor peak in Arab self-assertion in the third century with the Emesene dynasty, Philip the Arab, and the rise of Palmyra). Shahid also sees the entire history of Rome in the Near East mainly in terms of a Roman–Arab confrontation, with the period from the settlement of Pompey in 64 BC to the battle of Yarmuk in 636 as exactly 700 years. This is probably going too far. Interestingly, while hailing leaders such as Herod as an ‘Arab’, Shahid is virtually silent about the emperors Elagabalus and Alexander, who have far more claim to ‘Arab’ ethnicity than Herod does.
- 12 See Rykmans 1951; Dussaud 1955; Hitti 1964: Part I; Trimmingham 1979; Shahid 1984a, 1984b, 1989; Graf 1998; Hoyland 2001. See also Kaizer 2010 on some religious aspects of the client kingdoms.
- 13 Shahid 1984a: 7–8 and 19–21; Kropp 2013: 21–2.
- 14 Tidrick 1989. The prejudices can be ancient; see, for example, Ammianus 14. 4. 1 who writes ‘The Saracens . . . we never found desirable either as friends or enemies.’
- 15 MacDonald 1993: 326. See also Gawlikowski in Alcock 1997: 39, 45.
- 16 Shahid (1984b: 1–28) I believe goes too far in seeing the entire history of the Arab *foederati* in terms of Roman–Arab relations, or an ‘Arab problem’. See also Chapter 1, and Isaac 1992: 72ff. and 119.
- 17 See my remarks in the Preface.

- 18 MacDonald 1993: 346 and 388.
- 19 See Seyrig 1959, Chad 1972, Sullivan 1977a and Kropp 2010 and 2013 for accounts of this kingdom.
- 20 Seyrig 1959; Millar 1993: 34.
- 21 We know only the Graeco-Latin forms of the Emesene names Iamblichus, Samsigeramus and Sohaemus, and their original Arabic forms of Yamlikel, Shamshigeram and Suhaym are not always certain. See: Chad 1972: 134–44; Shahid 1984a: 41–2; Baldus 1996: 374. Their derivations are explained further below.
- 22 Ammianus 14. 8. 9.
- 23 Jidejian 1975a: 19–22.
- 24 Also called Iamblichus II, depending upon which Iamblichus one takes as the first: the shaikh of the second century BC near Apamea, or the son of Sampsigeramus of Arethusa in the first century BC used here. Chad 1972 confusingly uses both systems: Iamblichus, the son of Sampsigeramus, is I in his text but II in his dynastic table at the end.
- 25 Josephus *Antiquities* 14. 129. Ptolemaeus may have been the son of Iamblichus – see Chad 1972: 41–3.
- 26 Dio 50. 13; 51. 2.
- 27 Seyrig 1952–3; Chad 1972: 54–8. The masks might not necessarily be those of the kings. No portraits of the Emesene kings have survived apart from a possible unknown Emesene ruler on an early first century AD ring found at Tell Abu Sabun in Homs. See Kropp 2010 and 2013: 80–2.
- 28 Millar 1993: 302.
- 29 Frye 1984: 243.
- 30 Chad 1972.
- 31 Recorded in the nineteenth century, now disappeared. See Chad 1972: 92; Millar 1993: 84; Kropp 2013: 208–12.
- 32 For the religious associations of ‘Samsigeramus’, see below.
- 33 See Chapter 8, ‘Julia Domna and the Arabs who ruled Rome’ and ‘From Paganism to Christianity’.
- 34 Kropp (2013: 365) sees no evidence that the Emesene kings were high-priests of the temple.
- 35 Icks 2011. For a more sceptical view of Elagabalus, see de Arrizabalaga y Prado 2010.
- 36 Kropp (2013: 280–1) suggests that the Elagabalus cult may originally have been two separate cults, a god of the mountain and a god of the Sun, that was later combined into one.
- 37 Chad 1972: 134–8 and 141–3; Shahid 1984a: 41–2; Baldus 1996: 374. See also Seyrig 1971: 340–5, Stoneman 1992: 141–6, Butcher 2003: 343–4, Icks 2011: 48–54 and Kropp 2013: 24–6 for accounts of the Sun cult of Elagabal.
- 38 Herodian 5. 3. 5.
- 39 According to a closely argued case by Overlaet 2009. I am grateful to Bruce Wannell for drawing my attention to this article.
- 40 See, for example, Frazer 1911–36: 4(1): 34–6. And not only in the ancient Near East: one need only recall England’s ‘Coronation Stone’, Scotland’s ‘Stone of Destiny’. See also Gaifman 2008.
- 41 Delbrueck 1948: 21.
- 42 Herodian 5. 3. 4–6. Delbrueck 1948: 22–3, Fig. A; Seyrig 1971: Fig. 2; Baldus 1996: Pl. 1. The recurrent ‘cuboid’ motif is discussed further in Chapter 6, ‘The trabeate style’. The cult is also known from an inscription and relief at Qaryatayn between Damascus and Palmyra. Butcher 2003: 243; Winn 2011: 43.
- 43 Chad 1972: 123; Burns 1992: 130; Kropp 2013: 25.
- 44 Dussaud 1927: 284; Burns 1992: 234–5; Ball 2006: 84.
- 45 Note, however, David Kennedy’s (2007: 30–1) cautionary remarks on how some of the largest stone buildings of the Roman East – theatres, hippodromes and amphitheatres – have completely disappeared or only recently been rediscovered underneath modern urban overlay.
- 46 Herodian 5. 3. 4–6.
- 47 A point emphasised by García 2013: 324–5, although both John Malalas (12. 27) and the *Augustan History*’s ‘Life of Aurelian’ (Dodgson and Lieu 1991: 92–3) imply it is in Emesa. Malalas’ statement, however, is suspect since he says it was built by Gallienus, when we know it was built earlier – and he does not in any case specify that it was the Sun Temple.
- 48 Delbrueck 1948: 24. An incident related in Damascius’ *Life of Isidore* (quoted in Stoneman 1992: 142–3) also suggests that venerated ‘god-stones’ such as Sol Elagabalus travelled in some sort of cycle. See also Baldus 1996: 374.
- 49 Turcan 1996: 181–2; Mettinger 2004: 92.

- 50 That the deity was a mountain god is well known; see Baldus 1996: 374.
- 51 *Augustan History*. Dodgeon and Lieu 1991: 92–3. See also ‘Palmyra’ below, and Chapter 8, ‘From Paganism to Christianity’.
- 52 Cf. Millar 1993: 218.
- 53 Dussaud 1942–3; Lankester Harding 1963; Jidejian 1975a; Ragette 1980; Ward-Perkins 1981: 314–21; Lohmann 2010; Kropp and Lohman 2011; van Ess in van Ess and Rheidt (eds) 2014.
- 54 van Ess and Rheidt (eds) 2014.
- 55 John Malalas 11. 22 and 13. 37; *Chronicon Paschale* 379.
- 56 Lohmann 2010; Kropp and Lohman 2011; van Ess and Rheidt (eds) 2014.
- 57 Kropp and Lohmann 2011: 47.
- 58 Dussaud 1942–3; Seyrig 1971; Turcan 1996: 148–58; Butcher 2003: 366–7.
- 59 *Chronicon Paschale* 379.
- 60 Sartre 2005: 306.
- 61 Teixidor 1977: 52–60.
- 62 Dussaud 1942–3; Seyrig 1971: 345–8.
- 63 See *Chronicon Paschale* 297 for the martyrdom of St Gelasinus in Heliopolis. Al-Biruni (*Chronology*: 187), a native of Khwarazm, records Baalbek’s fame for the Sun cult in the eleventh century.
- 64 García 2013: 337.
- 65 *Augustan History: Elagabalus* 1. 4.
- 66 Butcher 2003: 365.
- 67 Dussaud 1942–3.
- 68 Hoebe in van Ess and Rheidt (eds) 2014.
- 69 Although it is significant that the cult of Sabazius was sometimes assimilated to Bacchus. See Turcan 1996: 315–25.
- 70 Mettinger 2004: 91–2.
- 71 On the citadel at Edessa. This and other pillar and column symbolism is discussed further in the section on Edessa, below, and in the section on architecture in Chapter 6.
- 72 Liebeschuetz in Athanassiadi and Frede 1999: 194, n. 46
- 73 Taylor 1971; Aliquot 2008, 2012; Sommer 2013: 69.
- 74 E.g. Wheeler’s essay ‘Size and Baalbek’ in *Alms for Oblivion* (London) 1966: 157–63 and Ward Perkins 1981: 317. See also: Butcher (2003: 363–70), ‘Baalbek and the Limits of Megalomania’; Thomas 2007: 46–50; Aliquot 2012.
- 75 These monoliths, known as the *trilithon*, were famous even in antiquity – see *Chronicon Paschale* 379. See also Massih in van Ess and Rheidt (eds) 2014.
- 76 Butcher 2003: 367. Aliquot 2012, however, explains it as a structural safeguard against tectonic forces.
- 77 Ammianus 14. 8. 5–13. See also Millar 1993: 211 and 214.
- 78 García 2013: 332.
- 79 Millar 1993: 266–7.
- 80 Millar 1993: 423–4.
- 81 ‘la idea de que *jurisdicción* no es lo mismo que *propiedad*’: García 2013: 319. I am grateful to Sheila Hudson for translating this article.
- 82 Jidejian 1975a: 32–3.
- 83 Turcan 1996: 155.
- 84 Jidejian 1975a: 32–3; Butcher 2003: 365.
- 85 Millar 1993: 302; García 2013: 326–7.
- 86 Isaac (2009: 50) remarks on the close relationship of Sohaemus with Baalbek.
- 87 Jidejian 1975a: 22.
- 88 Ibid. Also Kropp 2009: 377. Thomas (2007: 46) attributes the Temple of Jupiter to Antoninus Pius, albeit with no evidence. MacMullen (2000: 20) attributes it to Herod.
- 89 Turcan 1996: 153 and 157; Gassner, Steigberger and Tober in van Ess and Rheidt 2014. García (2013: 319–20) adds the detail that the Legion XIII Gemina Martia, whose headquarters were at Carnuntum, was in the third century transferred to Apamea, birthplace of the father of Elagabalus.
- 90 Turcan 1996: 149–53. See also Figueras 2013 for Hellenistic imagery for pagan cults that were also depicted aniconically.
- 91 Turcan 1996: 180–4.

- 92 A point emphasised by Smallwood 1976: 82. See also below.
- 93 Kropp 2009: 379–80; 2013: 367; Kropp and Lohmann 2011. See also MacMullen 2000: 20.
- 94 King 2002: 44–5.
- 95 Young 2003: 162.
- 96 García 2013. Overlaet (2009: 468) also seems to accept the identification.
- 97 Kropp (2013: 278), while doubting its identification with the Emesene temple, agrees that the Temple of Jupiter at Baalbek was ‘out of scale for this mid-sized town’. In an earlier publication Kropp (2010: 199) dismisses the identification as ‘bizarre’ but fails to come up with a reason or counter-argument. For a comparable search for a ‘missing’ ancient architectural wonder, see Dalley 2013.
- 98 Dio Cassius 37. 17. 4; Strabo 16. 2. 40; Josephus *Antiquities* 14 and *Jewish War* 1. 154.
- 99 Rome only really ‘acquired’ Judaea in 63 BC; it did not annex it until much later, but only exerted indirect control over it.
- 100 Dio 36. 20–1.
- 101 In fact Pompey first entered Palestine in response to ravages of the Phoenician coast of Syria from Palestine. See Dio 37. 15. 2.
- 102 See, for example, Josephus *Jewish War* 2.340–425.
- 103 For this section, Josephus is the main source. For modern works, see Smallwood 1976, Schurer 1973–87. For biographies of Herod see Perowne 1957, Grant 1971, Hadas-Lebel 1993, Richardson 1996, Kokkinos 1998, Vermes 2014.
- 104 Eusebius (1. 6) is at pains to emphasise Herod’s foreignness, though to call Herod an ‘Arab’, as Shahid (1984a: 43–4 and elsewhere) does, is doubtful.
- 105 This refers to Herod’s younger brother Pheroras, who died in 5 BC (and does not appear on Family Tree 2.2).
- 106 However, this first snub by Egypt’s great seductress did not discourage Herod from making another bid for Cleopatra’s charms (not without a little encouragement, if Josephus is to be believed!): in 34 BC, with Antony safely away on his Parthian campaign, Herod stepped in, dancing attendance on her and showering her with lavish gifts while escorting her through his territory back from Syria. He hastily withdrew his attentions, however, when his friend safely returned from the Parthian campaign to resume his attentions. See Josephus *Jewish War* 1. 363; *Antiquities* 15. 96–9.
- 107 Smallwood 1976: 30.
- 108 For the buildings of Herod, see Roller 1998; Netzer 2006. While the Temple was certainly Herod’s greatest achievement, it is possible that Herod’s building activity elsewhere is greatly exaggerated, especially by Josephus, whose main source it must be remembered were the memoirs of Herod himself. Herod’s boasts, therefore, may often have been little more than statements of intent that had little effect on the ground; outside the Temple, definitely established physical evidence of Herodian building activity is still comparatively rare. Despite recent investigations suggesting that the same builders worked on both temples at Jerusalem and Baalbek (Lohmann and Kropp 2011), it is highly unlikely that Herod’s resources would have extended there.
- 109 Busink 1970–80. Josephus in *Jewish War* 5. 136–257 gives a very detailed description of the Herodian and other buildings at Jerusalem. See also Roller 1998; Magness 2012: Chapter 6. See also ‘The temenos temple’ in the present volume, Chapter 7.
- 110 An epithet that in fact may never have existed, being merely a mistranslation of Herod ‘the Elder’ to distinguish him from younger members of his family bearing the same name. The title does not appear on any coins or inscriptions. See E. Mary Smallwood’s notes to Josephus, *The Jewish War*, trans. G. A. Williamson (London 1981), 417, n. 17.
- 111 A point emphasised by Braund 1984: Part II, Chapter 2.
- 112 Josephus *Antiquities* 14. 110–12; Brooten in Kondoleon 2000: 30. Although fictional, Lew Wallace’s – and William Wyler’s – depiction of Ben Hur is very true in this respect.
- 113 Herod’s endowments have been taken as evidence of Jewish settlement – see Smallwood 1976: 82.
- 114 Although Sebaste in particular contained many Roman features, its temple followed essentially eastern models. See Chapters 4 and 6.
- 115 Though his grandson, King Agrippa I, had some Hasmonaean blood in him.
- 116 Though this event may simply be anti-Herodian propaganda. See Smallwood 1976: 103–4.
- 117 There was at least one other son – confusingly also called Herod. It was he who was probably the husband of Herodias and father of Salome according to Josephus, rather than Philip in the Biblical version.

- 118 Friedland 2012.
- 119 Formerly ruled by a separate Arab prince, associated with Emesa – see above.
- 120 The chief source is, of course, Josephus' *Jewish War*. See also Dio. For modern accounts, see Smallwood 1976 and Schurer 1973–87.
- 121 One questions, however, whether Jewish refusal to sacrifice to Rome and Augustus is not overstated. After all, temples to Rome and Augustus in the East are conspicuous by their absence – compared, for example, to North Africa, where every town had one – so that one is left wondering whether *anybody* in the East bothered with this ritual? See Dirven 2011. See also Chapter 6.
- 122 The now classic 1979 British film *Life of Brian* paints a true picture of this situation.
- 123 Josephus' escape from his companions advocating mass suicide – he was the only survivor of the suicide 'pact' – is fairly explicitly put down to trickery on Josephus' part in the Slavonic version of the *Jewish War*. See Appendix F in the Penguin translation (1981) by G. A. Williamson and E. Mary Smallwood.
- 124 This prophecy is related by Suetonius, *Vespasian* 5, as well as by Josephus in *The Jewish War* 3. 407 and Dio 65. 1. 4.
- 125 With further adventures in the meantime. It was captured by the Visigoths during their sack of Rome in 410 and taken to Spain, where it passed into Vandal hands, eventually ending up in the Vandal capital of Carthage after 439. Following Belisarius' reconquest of the Vandal kingdom in 534 it was taken to Constantinople, where Justinian ordered it to be finally restored to Jerusalem. It remained in Jerusalem, however, only for a short time, being captured along with other holy relics by the Persians under Khusrau II Parviz in 614 and taken to Iran. That is the last we hear of it; it may be at the bottom of the volcanic lake of Takht-i Sulaiman, site of the Iranian holy city of Shiz, where the captured relics were taken to. See Chapter 3, 'Mark Antony and Iran'.
- 126 Josephus (*Jewish War* 6. 423) actually puts the number of dead at 1,100,000 and the number of prisoners at 97, 000, out of a total population of 3,000,000 (2. 279), which seem unrealistically high figures, a figure followed by John Malalas (10. 45). Tacitus (*History* 5. 13), however, puts the total population of Jerusalem at the time of the siege at a more realistic 300,000 (probably based on a military census), five times less than Josephus' estimate. I have, therefore, divided Josephus' casualty figures by five.
- 127 Although the Emperor Julian had intentions of rebuilding it and may well have done so had he lived – an event that would have produced as much Jewish ambivalence as Herod's rebuilding. See Ammianus Marcellinus 23. 1. Also Shahid 1984b: 131–2.
- 128 See Rappaport 1989. Dio 68. 32 gives the improbable number of over half a million perishing in this revolt.
- 129 Dio 69. 12–14; Eusebius 2. 6; Mor 1989.
- 130 Dated in Jewish tradition to the same day as the fall of Jerusalem in the first Jewish Revolt.
- 131 Isaac 1992: 353–4.
- 132 The last fact underlined by Isaac (1992: 105).
- 133 Josephus *Antiquities* 14. 69–72. There might even have been more Jews in the Persian Empire than in Judaea. It is certainly probable that eastern Jewry as a whole probably dates from Nebuchadnezzar's diaspora rather than Titus'. Judaism took root in many countries of the Iranian world: the Afghans, for example, traditionally see themselves as descended from the tribes of Israel (see Caroe 1958: 3–7), and extensive Jewish remains dating from the tenth century have been found in central Afghanistan (Ball 1982, 1: 133–4 and 267–8). The former name of Maimana in Afghanistan, too, was *Yahudan* (Le Strange 1905: 424). In Iran itself, one of the main centres of Persian civilisation, Isfahan, was first called *Yahudieh*, being founded as a Jewish community settled there by the Sasanians (not by Nebuchadnezzar, as Le Strange, 1905: 203, states), and the name still survives as the oldest quarter in Isfahan today.
- 134 Although Josephus (*Jewish War* 1. 2 and 2. 377) states they never received any – or only negligible amounts – Dio (65. 4. 3) says that there were significant numbers of Jews from beyond the Euphrates fighting alongside the defenders of Jerusalem.
- 135 Josephus *Jewish War* 2. 397.
- 136 This was written just after the assassination of Yitzhak Rabin in 1995.
- 137 Josephus *Jewish War* 1. 7.
- 138 Smallwood in Williamson and Smallwood 1981: 17–18. Indeed, the passion of Josephus' argument, writing Near Eastern history by a Near Eastern native from a Near Eastern viewpoint, that comes across strongly in both the Preface to his *Jewish War* and his *Concerning the Antiquity of*

- the Jews* has a very modern ring. Compare the similar (though less well-written) passion by a fellow-countryman: Edward W Said's *Orientalism* (1978).
- 139 Of the many books now on the Nabataeans and Petra, see Browning 1989; Hammond 1973; the articles in *ARAM* 2, 1990; McKenzie 1990; Taylor 2001; Markoe (ed.) 2003; Politis (ed.) 2007; Khairy and Weber (eds) 2013.
 - 140 Strictly speaking, there does not appear from the sources or inscriptions to have ever been a state called 'Nabataea', although Josephus refers to it (or Nabatene) as a general area. See Glueck 1965: 47, Hammond 1973. The state was called 'Arabia', dominated by an Arab tribe called the 'Nabataeans'. However, it is more convenient here to refer to the kingdom as Nabataea rather than Arabia which, apart from its more imprecise meaning covering a large area of the Near East, is used more specifically as the Roman Province of Arabia which replaced the Nabataean kingdom. Bowersock's excellent book (1983: Chapters 1–5) provides the best and most up-to-date overview and summary of the available literary, epigraphical and archaeological evidence, flimsy though this often is. See also Parker 1986a.
 - 141 Bowersock (1983: 14) is very sceptical of the Hebrew and Assyrian references to the Nabataeans, stating that 'their history seems to be irrevocably dark before the year 312 BC'. See also Hammond 1973: 11–13; Graf 1990.
 - 142 The material culture – particularly the pottery – of the Edomites and Nabataeans shows a remarkable degree of continuity that would simply not be apparent from the literary sources alone, which imply cultural displacement. See, for example, Browning 1989: 31–5.
 - 143 See Zayadine in Politis (ed.) 2007: 201–15.
 - 144 Or before the Judaean campaign according to Dio 37. 15. 1–2 and Appian 12. 16. 106.
 - 145 Dio does not seem to mention Trajan's annexation of Arabia. The sources are in fact confused, as they also refer to northern Mesopotamia – the Hatran state – as 'Arabia'. See Dio 68. 31 for Trajan's failed campaign against Hatra in 'Arabia'.
 - 146 Or 60 BC according to Negev 1977: 542. The name 'Malichus' is problematic. It is a title rather than a personal name, meaning 'king' (cf. Arabic *malik*), but was treated as a personal name by the Greeks and Romans. In a sense, therefore, all Nabataean kings were 'called' Malichus. Hence, it is uncertain when a reference in the sources to 'Malichus' is referring to a specific Nabataean king or just any king. This has led to many problems of chronology. Similar confusions between titles and personal names occur elsewhere in the Eastern client kingdoms, e.g. the Abgars of Edessa, the Samsigerami of Emesa, etc., when a title can become a personal name or vice versa. Cf. the Caesars of Rome. The term 'Malichus' was, however, used as a name as well as a title: e.g. the Tyrian philosopher Porphyry was called Malichus before he changed his name.
 - 147 Bowersock 1983: 54–7.
 - 148 Moses Khorenats'i 2. 29.
 - 149 2 Corinthians 11. 32–3. Rome may have awarded Damascus to Nabataea after a raid on Damascus by the Arabs of Chalcis – see Emesa, above.
 - 150 Bowersock 1983: 73.
 - 151 Millar 1993: 408.
 - 152 Millar (1993: 414) emphasises the 'noiseless' nature of the disappearance of the Nabataean kingdom, with virtually nothing known of Trajan's campaign or of resistance, if any. See also the discussion by Freeman in Kennedy 1996b. Al-Otaibi (2011), however, argues that there was strong Nabataean resistance to the Roman annexation, and that the Nabataeans clung tenaciously to their culture and identity in the face of Romanisation.
 - 153 As the depiction of Bactrian camels on Trajan's Province of Arabia coins and Bowersock (1983: 84) suggest. It has even been argued that Hadrian effectively abandoned Trajan's conquest and Arabia remained beyond direct Roman administration until Septimius Severus. See Lander 1986.
 - 154 Although Glueck (1965: 259–65) argues for a strong interrelationship between Nabataea and Parthia, manifested by artistic parallels.
 - 155 Although there is indirect evidence that Roman forces were being transferred to the Near East at the time as if to expect trouble – see Bowersock 1983: 81–2. See also Al-Otaibi 2011 for the argument of Nabataean resistance.
 - 156 Strabo 16. 2. 21; 16. 4. 26. See also Negev 1977: 555.
 - 157 Negev 1977: 523–6 quoting Diodorus Siculus.
 - 158 Roller (1998) emphasises Herod's hydrological building works throughout the Near East as a Roman element, borrowed from his friend Agrippa's attention to such works in Rome, forgetting

- that Herod was half Nabataean. Such works in the Near East, therefore, must be viewed more as a Nabataean element. See also Bellwald *et al.* 2003, Kennedy and Bewley 2004: 142–5, Oleson in Politis (ed.) 2007, Gentelle 2009, Dentzer *et al.* 2009.
- 159 See, for example, various essays presented in Daum 1988. See also Crone 1987: Part I, Sidebotham 2011.
- 160 For dates see McKenzie 1990.
- 161 See Chapter 6 for further discussion on the architecture of the Nabataeans. See also McKenzie 1990, Joukowsky 1998 and 2007, Rababeh 2005.
- 162 McKenzie 1990: Chapter 3.
- 163 E.g. Healey 2001; Zayadine in Markoe (ed.) 2003; Alpass 2013.
- 164 As well as other Near Eastern pagan religions. This is explored further in Chapter 8, ‘From Paganism to Christianity’. See also Bartlett in Politis (ed.) 2007: 55–78; Patrich in Politis (ed.) 2007: 89–90; Gaifman 2008; Le Bihan 2012.
- 165 Hammond 1973: 49, 98–9, 102–3.
- 166 Quoted in Browning 1989: 214.
- 167 ‘This sanctuary has been well known for a century, but the ceremonies enacted here, and their religious meaning, remain hard to determine’ as Bartlett (in Politis (ed.) 2007: 59) rightly points out in a discussion of Nabataean religion.
- 168 Indeed, Browning (1989: 110–11) observes that the ‘god-blocks’ are usually located near water courses, implying some form of water-worship.
- 169 Strabo 16. 4. 26.
- 170 This is explored in Chapter 7, ‘Circumambulatories’.
- 171 Wright 1969.
- 172 Hammond 1973: 49, 94.
- 173 Boyce and Grenet 1991.
- 174 Huff 1998.
- 175 Negev 1977: 657; Glueck 1965: 98–9, pls 106–8.
- 176 Hammond 1973: 54.
- 177 Parr 1968; McKenzie 1990: 144–7, 162–5.
- 178 McKenzie 1990: 140–3, 159–61.
- 179 Although it is important to stress that Nabataean rock burial generally was consistent with the Zoroastrian practice forbidding the pollution of the sacred earth. Other Nabataean links with Iranian religion and religious architecture are pointed out elsewhere in this work.
- 180 McKenzie 1990: 114.
- 181 Wenning in Markoe (ed.) 2003: 142.
- 182 Browning 1979; Starcky and Gawlikowski 1985; Stoneman 1992; Dodgeon and Lieu 1991: Chapter 4; articles in *ARAM* 7, 1995; Young 2001; Richardson 2002: 26–51; Sartre 2005: 350–8; Edwell 2008; Andrade 2013: Chapter 6; Smith 2013.
- 183 The only source for this fourth tribe, to which Udaynath and Zenobia belonged, is al-Tabari 4 (1987: 138). Smith (2013: 45) recognises at least 17 tribes at Palmyra. See also his discussion on Palmyra’s tribal background in Chapter 2.
- 184 Teixidor 1977: 107–8; Drijvers 1982a: 36; Smith 2013: 44–5 and 132–43.
- 185 Isaac 1992: 141.
- 186 Plates 2.30, 2.31 and 2.38 were deliberately chosen from photos taken of unpublished objects in the reserve collection of Palmyra Museum in 2011, now presumed destroyed.
- 187 Edwell 2008: 31–2.
- 188 See Yon 2010, about the lack of royal titles until Odaenathus.
- 189 It would be a mistake to assume that this was a ‘Senate’ in the Graeco-Roman sense, hence evidence for a Greek ‘character’ of Palmyra, as Millar (1993: 326) and Smith (2013: 128) assume. An urban or tribal assembly was an ancient Near Eastern tradition, and using a Greek or a Latin term to describe it does not imply that it was a Classical import. See Edwell’s (2008: 48–9) cautionary remarks on the use of this term. See also Yon’s (2002) emphasis of the tribal nature of Palmyra and its predominant oriental influences. Cf. Frye 1984: 220; Gawlikowski in Alcock 1997: 44. See also Chapter 8 of the present volume, ‘East and West’: ‘Character and Prejudice’.
- 190 The arguments for either the Camp or Baths of Diocletian being on the site of a royal palace are inconclusive.
- 191 Palmyra Plc? – or a ‘republic of merchants’ as Gawlikowski in Alcock 1997: 44 describes it.

- 192 Gawlikowski 1994a; 1996a; Young 2001: Chapter 4.
- 193 Ball 2015: Chapter 5. See also Chapter 3 of the present volume, below.
- 194 Not at Kharg Island as previously thought from Ghirshman's (undated) excavations; see Haerinck 1974 and Whitehouse in Begley and De Puma 1991: 217. See also Frye 1984: 276, Collingwood and Wright 1965 and Turcan 1996: 173–6. Young 2001: 80–2 also refers to Palmyrene physical remains in Egypt. See also Sidebotham 2011: 64–5, 211–12.
- 195 A point emphasised by Graf 1989: 114–15.
- 196 Stoneman 1992: 76–9.
- 197 Zosimus 1. 39.
- 198 Stoneman 1992: 5.
- 199 Cleveland 1914: 159–60.
- 200 Of the many biographical studies of Zenobia, see Zahran 2003; Southern 2008; Winsbury 2010.
- 201 *Augustan History*. Dodgeon and Lieu 1991: 80–4; al-Tabari 4 (1987: 138–50).
- 202 Both Arab and Manichaean sources contain information about the two sisters not mentioned in the Classical sources. See al-Tabari 4 (1987: 138–50) and Klimkeit 1993: 202–3 and 208–9, with references. Zenobia was also called Na'ilah, according to al-Tabari, and Queen Tadi or Nafsha according to Manichaean documents (although the latter name might be referring to her sister – there is confusion).
- 203 Lane Fox 1986: 570; Graf 1989: 147; Stoneman 1992: 152–3; Klimkeit 1993: 202–3 and 208–9; Lieu 1994: 26–30.
- 204 *Augustan History*. Dodgeon and Lieu 1991: 83.
- 205 *Augustan History*. Dodgeon and Lieu 1991: 83.
- 206 This has not prevented her from being portrayed by artists from Michelangelo and Tiepolo to Sir Edward Poynter and modern computer games developers, with Herbert Schaltz's 1888 *Queen Zenobia's Last Look on Palmyra*, now in the National Gallery of South Australia, probably being the most familiar.
- 207 Stoneman 1992: 130.
- 208 Stoneman's (1992: Chapter 6) excellent summary of intellectual life in third-century Syria as the background to Zenobia's 'Palmyrene court school' merely underlines its intellectual impoverishment within an otherwise extremely rich Syrian literary context.
- 209 Even the traditional association of the great fortress on the Euphrates at Halabiya with Zenobia cannot be demonstrated by the material remains. See Lauffray 1983.
- 210 Stoneman 1992: 155.
- 211 Dodgeon and Lieu 1991: 68–110, 275–99; Klimkeit 1993: 202–3 and 208–9.
- 212 Aurelius Victor 33.
- 213 The word is Zosimus' (1. 37).
- 214 Graf 1989: 153–4.
- 215 John Malalas 12. 28; Graf 1989: 143–4.
- 216 According to Manichaean documents from China, Zenobia received Manichaean missionaries in Alexandria. See Klimkeit 1993: 202–3.
- 217 Zosimus 1. 44.
- 218 Eutropius (9. 13–15) writes of him as of 'unrestrained temper . . . excessively inclined to cruelty'.
- 219 Zosimus 1. 50–1.
- 220 The importance of this is further emphasised in Chapter 8, 'Julia Domna and the Arabs who ruled Rome' and 'From Paganism to Christianity'.
- 221 See Bowersock 1983: 132–5. See also 'The Tanukh and Queen Mawiyya' later in this chapter.
- 222 It must also be remembered that the area Zenobia fled to was also her traditional tribal grounds.
- 223 Zosimus 1. 54–58; John Malalas 12. 30. Dodgeon and Lieu 1991: 101, 108–9. Note, however, that the name 'Zenobius' and its variations in Rome did not necessarily denote descent from Zenobia.
- 224 John of Antioch in Dodgeon and Lieu 1991: 100.
- 225 Zosimus 1. 52 lists Aurelian's army.
- 226 These are known from archaeological discoveries, as well as those listed in the *Augustan History* (Dodgeon and Lieu 1991: 97–8) in the Sun Temple at Rome as loot from Palmyra.
- 227 Zosimus 1. 60–1; *Augustan History*. Dodgeon and Lieu 1991: 101–3. See also Baranski 1994, who emphasises Aurelian's destructiveness.
- 228 See, for example, Graf's (1989: 143) references to Zenobia's 'Arab army' or Stoneman's (1992: 118) remarks.

- 229 E.g. Graf 1989.
- 230 See the discussion by Eadie in Kennedy 1996b: 148–50. See also Millar 1993: 334–5 and 337.
- 231 Downey 1961: 265–6.
- 232 Shahid 1984a: 39–41, 149–50.
- 233 Downey 1961: 268.
- 234 Warmington (1974: 321) notes that much of the Mediterranean and Egyptian trade ‘was ruined’ as a result of the sack of Palmyra.
- 235 Stoneman 1992: 17 and 54. See also Millar 1993: 325–6.
- 236 Millar 1993: 326.
- 237 Bowersock 1983: 132–5. See also ‘The Tanukh and Queen Mawiyya’, later in this chapter.
- 238 John Malalas 12. 26; Graf 1989.
- 239 Shahid 1984b: 15. See, however, Crone 1987.
- 240 A recent study confirms that ‘Roman influences in Palmyra tended to be superficial’. Richardson 2002: 49.
- 241 Dodgeon and Lieu 1991: 84–5.
- 242 Stoneman 1992: 152–3; Klimkeit 1993: 202–3, 208–9.
- 243 See also Graf 1989: 155–6.
- 244 Drijvers 1976; Teixidor 1979; Kaizer 2002; Smith 2013.
- 245 Teixidor 1977: 122–6.
- 246 Teixidor 1977: 134–40.
- 247 Kaizer (2002: 89–98) sounds a note of caution regarding the identification of this temple with Nabu.
- 248 Saggs 1962: 299–358; Oates 1986: 152–4, 170–6.
- 249 Gawlikowski 1994a; 1994b.
- 250 Segal 1970; Drijvers 1980; articles in *ARAM* 12, 2000; Ross 2001.
- 251 Segal 1970: 23–4, 29–30.
- 252 Saggs 1962: 145–53; Segal 1970: 5, 27–30; Saggs 1984: 120–1; Donner 1986.
- 253 Segal 1970: 1–2.
- 254 E.g. see Algaze 1989: 571–608.
- 255 Saggs 1962: 145–53.
- 256 Segal 1970: 5–8.
- 257 Moses Khorenats’i 2. 26–7. Indeed, Edessa is generally considered a part of Armenia by Moses Khorenats’i 2. 28, 34.
- 258 Segal 1970: 18–20, 31–3.
- 259 Tacitus *Annals* 12. 10.
- 260 Moses Khorenats’i 2. 34.
- 261 Iran dethroned Abgar after Carrhae and the throne remained vacant for a year. Segal 1970: 10–12; Bivar 1983: 53.
- 262 Moses Khorenats’i 2.28.
- 263 Moses Khorenats’i 2. 29. See also ‘The Nabataeans’, above.
- 264 Dio 68. 30. 2.
- 265 Called ‘king of the Persians’ in the *Augustan History* Sept. Sev: 217–18. See also the mosaic portrait of him discussed in Drijvers 1982b.
- 266 Quoted by Segal 1970: 24–5.
- 267 Probably on the site of the present Makan Ibrahim Mosque – see Segal 1970: 24–6. Fragments of it were reused in the mosque at Harran – see Mango 1982: 119–20. After it was rebuilt in the sixth century it became one of the most famous churches in the East – see Krautheimer and Curcic 1986: 219.
- 268 Segal 1970: 17. They are also interpreted as sacred pools to Atargatis – see later in this chapter.
- 269 There is no evidence that it was a temple, although the columns may have had some religious function; see below, also Chapter 7, ‘Funerary architecture’. See Segal 1970: 26–7.
- 270 Segal 1970: 31. See also Chapter 3.
- 271 Michael the Syrian, Dodgeon and Lieu 1991: 35; Millar 1993: 151.
- 272 *Paikuli* in Frye 1983: 130. He may have been related to the Lakhmid Arab dynasty of Hira, a client state of Iran. See ‘The Tanukh and Queen Mawiyya’, below.
- 273 Shahid 1984a: 46.
- 274 E.g. Tacitus *Annals* 12. 12.
- 275 See Drijvers 1980; 1982a; Teixidor 1977; Stoneman 1992: 138–41; Ross 2001: Chapter 6 for discussions of the religions of Edessa.

- 276 Peña 1996: 203.
- 277 A number which seems to correspond with the procession of the equinoxes of approximately 26,000 years. I am indebted to Edward Tuttle for this observation.
- 278 Klimkeit 1993: 1–26.
- 279 Al-Biruni *Chronology*: 186; *India* I: 21.
- 280 For Ashoka's edicts, see Bloch 1950, Thapar 1961: 250–66. This and other Indian embassies are discussed further in Chapter 8, 'India and the West'. For the religion of Harran and its survival see Green 1992.
- 281 According to Drijvers 1976: 22 and Pl. LXXIV, 1, a sēmeion is a symbolic representation of divinity consisting of a standard with a crescent moon with two bells attached at either end. For the possible association of the twin pillar cult with Tyrian Melqart, see above discussion on Emesa.
- 282 Lucian; Segal 1970: 51; Turcan 1996: 133–43; Peña 1996: 205. The Mambij Atargatis cult also incorporated elements of Zoroastrianism. See Boyce and Grenet 1991: 356–7.
- 283 See Glueck 1965: 391–2 for a discussion of sacred pools associated with the Atargatis cult in Nabataea. The keeping of tanks of sacred fish is a well-known religious practice – both now and in antiquity from elsewhere further east. E.g. see Schimmel 1962.
- 284 Their distinctive head-dress, as depicted on mosaics and sculpture, in fact survived in the region until the nineteenth century. See Segal 1970: 38–40.
- 285 Though not strong enough to prevent Abgar V aiding Nabataea in a war against Herod Antipas. But perhaps the Jewish lobby at Edessa – who traditionally hated the Herodians – were behind this war after all.
- 286 Ross 2001: Chapter 6.
- 287 Eusebius 1. 13. See also Procopius 2. 12. 20–30; Moses Khorenats'i 2. 30–3. Copies of the correspondence were also preserved in a number of inscriptions throughout Anatolia and Macedonia. See also Segal 1970: 62–3, 71–2; Lane Fox 1986: 278–80.
- 288 Moses Khorenats'i 2.30.
- 289 Bloch 1950; Thapar 1961: 250–66.
- 290 Eusebius 2.1. 2–13.
- 291 The church, supposedly of fifth-century foundation, is mainly twelfth century and later. See Kleiss *et al.* 1974. Its association with St Thaddeus so far from Edessa has obvious implications for the historical geography of the kingdom and its relations with Adiabene.
- 292 Segal 1970: 64–5.
- 293 Persian Vishtaspa, in Iranian tradition the father of Darius; a King Vishtaspa was also the supposed patron of Zoroaster.
- 294 Bardaisan; Eusebius 4.30; Segal 1970: 35–9. See also Drijvers 1980.
- 295 Bardaisan: 59; Shahid 1984a: 47. Drijvers (1980: 14) considers the claim apocryphal.
- 296 Segal 1970: 60–1.
- 297 Segal 1970: 66, 105; Klimkeit 1993: 202–3, 208–9; Lieu 1994: 38–45.
- 298 See also Ball 1989b.
- 299 Klimkeit 1993: 1–26.
- 300 Parker 1986a: 150; Hoyland 2001; Sartre 2005: 3; Scharrer 2010; Fisher 2011.
- 301 Shahid 1984b: 21–2.
- 302 This still survives amongst the Pathans of Afghanistan and Pakistan as the traditional equestrian sport of *naiza-bazi* or tent-pegging, from where it was adopted into British military sports. The common denominator of both Pathan and Arab traditions was, of course, ancient Iran, from where the medieval European sport of jousting also derives.
- 303 Bowersock 1983: 157.
- 304 For the Tanukh see Hitti 1964: 81–2; Trimmingham 1979; Bowersock 1983: 132–47; Shahid 1984b; al-Tabari 4 (1987: 128–50); Hoyland 2001.
- 305 Frye 1984: 307; Hoyland 2001: 235. Also *Paikuli*.
- 306 Trimmingham 1979: 93–4; Shahid 1984b.
- 307 Al-Biruni *Chronology*: 48–9, although in the end he concludes that Dhu'l-Qarnayn is to be identified with one of the Yemeni princes of the pre-Islamic Himyarite dynasty. See also the *Qur'an* 18.83–98; Stoneman 2008: 161–2.
- 308 Though Shahid (1984b: 38–42) dates this raid to after Imru'l-Qays' defection to the Romans. See also Hoyland 2001: 235–6.
- 309 Lieu 1994: 36–7.
- 310 Shahid 1984b: 56–9.

- 311 Quoted by Bowersock 1983: 138–9. Also quoted and extensively discussed by Shahid 1984b: 31–53. See also Hoyland 2001: Chapter 2; Fisher 2011: 77–8. Much has been written about the Namara inscription with its reference to Imru'ī-Qays as 'king of all the Arabs'. This does indeed remain an important document, both historically and linguistically, for pre-Islamic Arab history, and it is rightly considered to be one of our most important sources for the history of this period. But it is, after all, just a single monumental inscription that might be nothing more than an empty boast of little real foundation. Inscriptions are by their very nature propaganda. It is as dangerous to pin too much on them as it would be to write history from newspaper headlines.
- 312 Shahid 1984b: 546–80.
- 313 Libanius *Oration* 24.6–8; Shahid 1984b: 129–30.
- 314 Shahid 1984b: Chapter 4.
- 315 The main source for Queen Mawiyya – or Mavia in the Byzantine sources – is Sozomen 6.38.7 and Pacatus. The main modern studies are Trimingham 1979: 96–100, Bowersock 1980, Shahid 1984b: Chapter 4 and pp. 532–44, Hoyland 2001: 149–50 and Greatrex and Lieu 2002: 14–15.
- 316 Such as the Arab chief Aspebatos. Aspebatos, who had converted to Christianity in 420, was made a bishop and was settled in Palestine, presumably with his tribe. See Isaac 1992: 235–49. According to Frye (1984: 316), however, the family was Iranian, from Gurgan (ancient Hyrcania). The name is actually a Persian title, *sepahbod*, meaning army commander.
- 317 If the Arab 'Chasidat' mentioned in the Anasarthas inscription of 425 can be identified with Mawiyya's otherwise anonymous daughter. See Shahid 1984b: Chapter 6.
- 318 Ammianus Marcellinus 31. 16. 5. See also Spiedel 1977: 727.
- 319 If this second Anasarthas inscription recording the death of a virtuous Arab lady called Mawiyya in 425 can be identified with her. See Mousterde and Poidebard 1945: Pl. xxxii; Shahid 1984b: Chapter 6.
- 320 Parker 1986a: 149–50.
- 321 Al-Tabari 4 (1987: 139).
- 322 Musil 1928: 154–5.
- 323 Trimingham 1979: 95; Shahid 1989.
- 324 For the Ghassanid see Trimingham 1979: 178–88; Hitti 1957: 401–6; Hitti 1964: 78–81; Megdad 1982: 271–3; Parker 1986a: Chapter 8; Shahid 1978, 1989, 1995; Hoyland 2001: Chapter 3. Fisher (2011) argues that there is little evidence for a Ghassanid confederation, arguing that this is largely a modern misinterpretation. Ghassan – and Lakhm – were vague and amorphous terms for broader tribal groups that were dominated by the elite Jafnid dynasty, ruling the Ghassanid on behalf of the Romans, and the Nasrids ruling the Lakhm allied with the Sasanians.
- 325 Hence, 'Yamani' is a common family name for many Arabs.
- 326 Procopius 1. 17. 47–8. The Greek term *phylarchos* is problematic, being variously used to translate 'king', 'shaikh' or even 'high-priest'. Since the Greeks generally used *basilios* for 'king', it seems likely that 'shaikh' is meant here, or at least 'over-shaikh'.
- 327 Procopius 1, 17. 29–48 and 2. 1.
- 328 Curiously, according to the twelfth-century Andalusian geographer al-Zuhri, the Genoese were thought by some Arabs to be descended from the Ghassanid. See Lewis 1982: 146–7.
- 329 Sauvaget 1939; Fisher 2011: 52–7.
- 330 Hoag 1975: 22. See also Burns 1992: 197–8; Ball 2006: 125–7. This building is also discussed further in Chapter 6.
- 331 In a site guide published in Syria (Ayash 1994), the remains of Rasafa are described as 'Arab' throughout.
- 332 Trimingham 1979: 258–9.
- 333 Hitti 1957: 406.
- 334 Bowersock 1983: 147.

3 Rome east of the frontiers

The Tigris may have been Rome's eastern frontier for much of its history, but the presence of so great a power as Rome was felt far beyond.¹ Direct and indirect traces of Rome are encountered throughout Asia, from chance remarks in ancient Chinese annals to chance finds on remote Indian beaches. The very images of Roman soldiers form a dramatic backdrop to passing tribal migrations in the valleys of southern Persia, while the portrait busts that look at us from Buddhist monuments in India are of Romans rather than Indians (Plates 3.12 and 3.15). These traces generally fall into four main categories: direct military campaigns, Roman prisoners of war, Roman trade and Roman artistic influence.

Military campaigns

The history of Roman military expansion in the East was overshadowed by the memory of Alexander of Macedon throughout. In the heady days after first acquiring an eastern empire, the campaigns of Alexander were the ultimate model. Mark Antony called his son by Cleopatra after Alexander in his own claim to Alexander's legacy. Augustus supposedly dreamt of a 'universal empire' with the addition of Iran and India to Rome's domains (never mind that this was not so much Alexander's vision but Cyrus' reality),² Nero planned an invasion of Iran spearheaded by a specially raised legion named 'The Phalanx of Alexander the Great'³ and Trajan, as we have noted, was very much in Alexander's shadow. Emperor Caracalla also vied for comparison with Alexander, as did Julian. Trajan's and Julian's fascination with Alexander was hardly more successful than Caracalla's. The first (and, surprisingly, the only) emperor in the ancient world to share the name of the great conqueror was the Syrian-born Emperor Severus Alexander in the third century. But Alexander's legacy remained elusive, for despite breaching eastern – mainly Iranian – defences on numerous occasions, Iran and any long-term conquests beyond the immediate Near East lay permanently beyond Rome's grasp.

Sources recount the capture of the Iranian 'capital' of Ctesiphon with such frequency that one is left doubting their veracity. These occasions need not concern us here, nor the numerous other frontier wars. Of more interest are the rare occasions when Roman arms penetrated deep beyond the frontiers. The two main ones – both disasters occurring within the first decades of Rome's eastern empire, before it had learnt enough about its eastern frontier to know better – were Mark Antony's campaign into Iran in 36 BC and Aelius Gallus' campaign into south Arabia in 25–24 BC.

Mark Antony and Iran (Figure 3.1)⁴

The bloodbath which marked the accession of Phraates IV to the Parthian throne in 37 BC forced many Parthian nobles to flee westwards (Chapter 1). Many came to Antioch where Mark Antony had just arrived from Rome with Iran high on the agenda (as well as Cleopatra,

whom he sent for). Here in oriental Antioch, Antony, the republican soldier from Rome, was easily swayed by both the Egyptian queen and the aristocratic Parthian exiles. Anxious to impress Cleopatra – who in any case was never one to turn down an opportunity to interfere in foreign politics – Mark Antony made her a gift of Cyprus as well as half of Syria, Palestine and Arabia. Hardly his to give – the Senate took poorly to Antony's high-handedness – but no matter: all he needed was to add Iran to Cleopatra's haul. Convinced by the Parthian exiles that an Iranian campaign would be made easy by the local support of a population anxious to rise against their tyrant, Antony decided on invasion.

All the same, the memory of Carrhae still lay heavily upon Roman minds – the loss of its standards as much as the loss of its legions. Antony, therefore, made sure of his preparations. He set out for Armenia, where he proceeded to raise an army with the help of his ally, King Artavasdes. As well as his own army of 70,000 Romans, there was a massive arsenal of 300 wagonloads of siege engines and weapons. In addition were an additional 30,000 troops contributed by various Near Eastern client kings, of which the largest number was 13,000 lent by Artavasdes. Altogether, Antony was able to muster an army of 100,000 well-armed men, sending ripples of alarm – according to Plutarch – as far off as Bactria and India. The invasion was launched early the following year – the largest force to invade Iran from the west since Alexander (Figure 3.1).

Despite setting off before winter was over, the campaign initially went well and Antony penetrated deep into western Iran. He first besieged the Parthian winter palace of Vera, to the south-east of Lake Urmia in Azerbaijan. There, Antony made his first mistake: in his haste he decided to leave behind his heavy baggage, which included the all-important

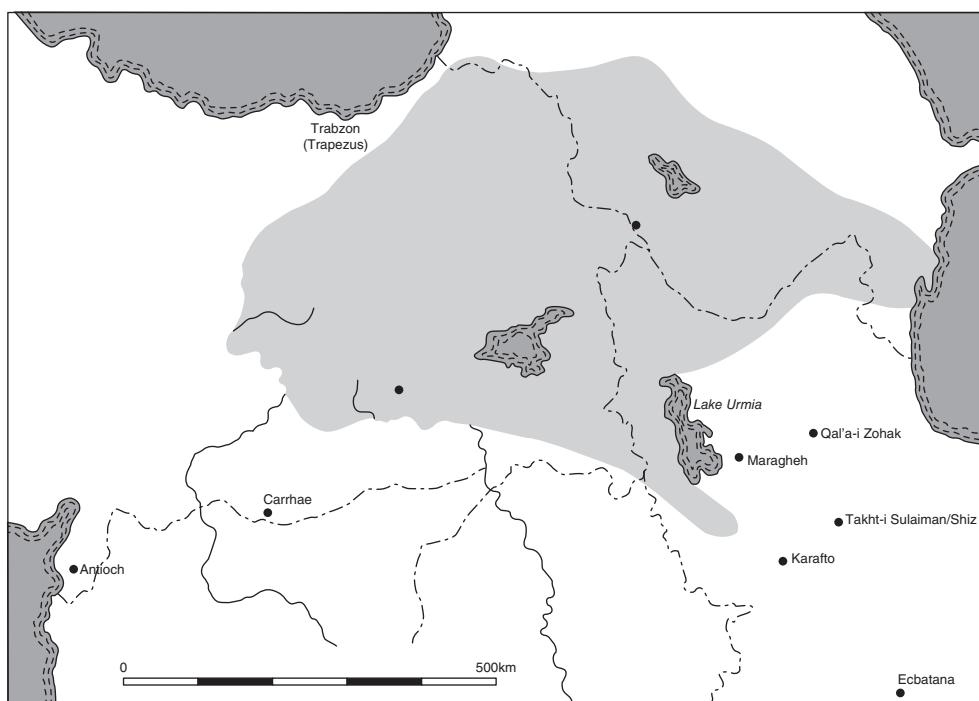


Figure 3.1 Map illustrating Mark Antony's campaign. Shaded area shows approximate limits of the Armenian kingdom

300 wagonloads of siege engines and weapons. At this point it seems that the Armenians, seeing which way the wind was blowing, also withdrew their support.⁵ Accordingly, when Antony went on to lay siege of the Parthian royal residence of Praaspa deep in the mountains, he was inadequately prepared. Without either their siege equipment, or their Armenian allies who were used to mountain warfare, Antony's forces were ineffective against the strong walls of Praaspa (Plate 3.1). With winter approaching, Iranian irregulars in the countryside prevented Roman foragers from obtaining adequate supplies. Famine started to take its toll amongst the Roman besiegers far more than amongst the besieged. From the security of their walls, the defenders were able to pick off the Romans with ease. Faced with the problem of no siege engines, dwindling supplies and a dwindling army, Antony decided upon that most classic of all military blunders: a winter retreat. A retreat, moreover, through enemy territory and the mountain passes of Azerbaijan and Armenia.

The retreat was a colossal disaster. The Iranians were able to ambush the weakened Romans with ease. Local guides engaged by the Romans deliberately led them astray into the ambushes of the waiting Iranian archers. Without adequate supplies, hunger continued to kill as many as the Iranians did. What hunger and the archers left, the extreme cold took away. In the end the battered army that returned to Armenia in the winter of 36 BC was but a fraction of the 'Grande Armée' that had set off so confidently the previous year.

Antony's troubles were still not over. The Armenian king, friendly enough when Antony had an army behind him, was less than welcoming to the tattered remnants that crept back into his kingdom. It took all of Antony's powers of persuasion to keep Artavasdes friendly. An eleventh-hour reprieve only came in the form of funds sent by Cleopatra, with which he was able to pay off his troops and return to Alexandria – albeit kidnapping Artavasdes at the



Plate 3.1 Takht-i Sulaiman in Iran, ancient Shiz, the object of Heraclius' campaign as well as possibly Mark Antony's (as ancient Praaspa)

last minute as a scapegoat. He presented the Armenian king to Cleopatra as a war trophy. Cleopatra had Artavasdes, as well as his queen and two of his sons, brutally tortured to death.⁶ So disastrous was Antony's eastern venture that word of it was carefully concealed back at Rome.⁷ Some two years later Antony grandly awarded all of Iran 'as far as India' to his son by Cleopatra – whom he named Alexander. But the reality had cost Rome the loss of an army, a loss that rivalled Crassus' debacle, and achieved nothing.

The news of Antony's massive Parthian disaster, when it eventually did trickle back to Rome, was decisive in Octavian's bid to assume absolute power. Absolutism – monarchy – was by then appearing more the way forward for Rome, as Antony's assumption of royal pomp in Alexandria made plain. But Antony's failure in Iran decided that it would be Octavian and his successors, not Antony, who made it so.

The location of Praaspa and the exact route of Antony's retreat remains problematical. A tantalising Greek inscription in the artificial caves of Karafto near Takab in Azerbaijan reads 'Here resides Herakles; nothing evil may enter.' This, however, probably refers to a local cult of Herakles in the region that is mentioned by Tacitus rather than to the passage of Antony's army.⁸ Praaspa might be identified with the spectacular walled Sasanian holy city of Shiz, modern Takht-i Sulaiman, deep in the remote mountains of north-west Iran (Plate 3.1).⁹ Most authorities, however, have doubted this, favouring Maragheh at the south-eastern corner of Lake Urmia, citing the lack of substantial Parthian remains at Takht-i Sulaiman.¹⁰ But there are no Parthian remains at Maragheh either, and certainly no ancient ramparts, while substantial Parthian remains have been found at Takht-i Sulaiman. A more recent suggestion for Praaspa was made following the discovery of the Parthian pavilion of Qal'a-i Zohak near Miyaneh.¹¹ The remains are impressive, but here too no traces of the walls which Antony besieged exist. It is true that the ramparts at Takht-i Sulaiman are Sasanian in date, but they may well have replaced Parthian ones. Most important, Takht-i Sulaiman, as ancient Shiz, was a major religious centre (at least later during the Sasanian period). This would make it an obvious site for keeping war trophies such as the Carrhae standards, the main object of Antony's campaign.

The same area of Azerbaijan was the scene of another Roman military disaster in 233, when Severus Alexander's ill-fated army was defeated in the mountains during a winter retreat after attacking Ardeshir I.¹² The holy city of Shiz/Takht-i Sulaiman was also besieged by a Roman army over 600 years after Antony. This was the campaign of the Emperor Heraclius into Iran following the Sasanian sack of Jerusalem by Khusrau Parviz in 613. Like Antony, Heraclius was in pursuit of captured booty. This time it was the holy relics which Khusrau had taken from Jerusalem. Unlike Antony, however, Heraclius was entirely successful and managed to capture the city (although legends of the Holy Grail still cling to Takht-i Sulaiman).¹³

*Aelius Gallus and Yemen (Figure 3.2)*¹⁴

The Arabian peninsula beyond present-day Jordan was the one area of the ancient Near East least penetrated by the Romans. There is a temple with a dedicatory inscription in Greek and Nabataean to Marcus Aurelius and Lucius Verus at Ruwwafa, north-west of Mada'in Salih in Hijaz, but this area formed a part of the Roman Province of Arabia in the second century. Some inscriptions down the Wadi Sirhan as far as Jawf also imply some form of Roman presence, but it seems doubtful whether Roman rule extended much beyond Ruwwafa.¹⁵ The main reason why Roman arms never stretched further was the disastrous campaign of Aelius Gallus in 25–24 BC.

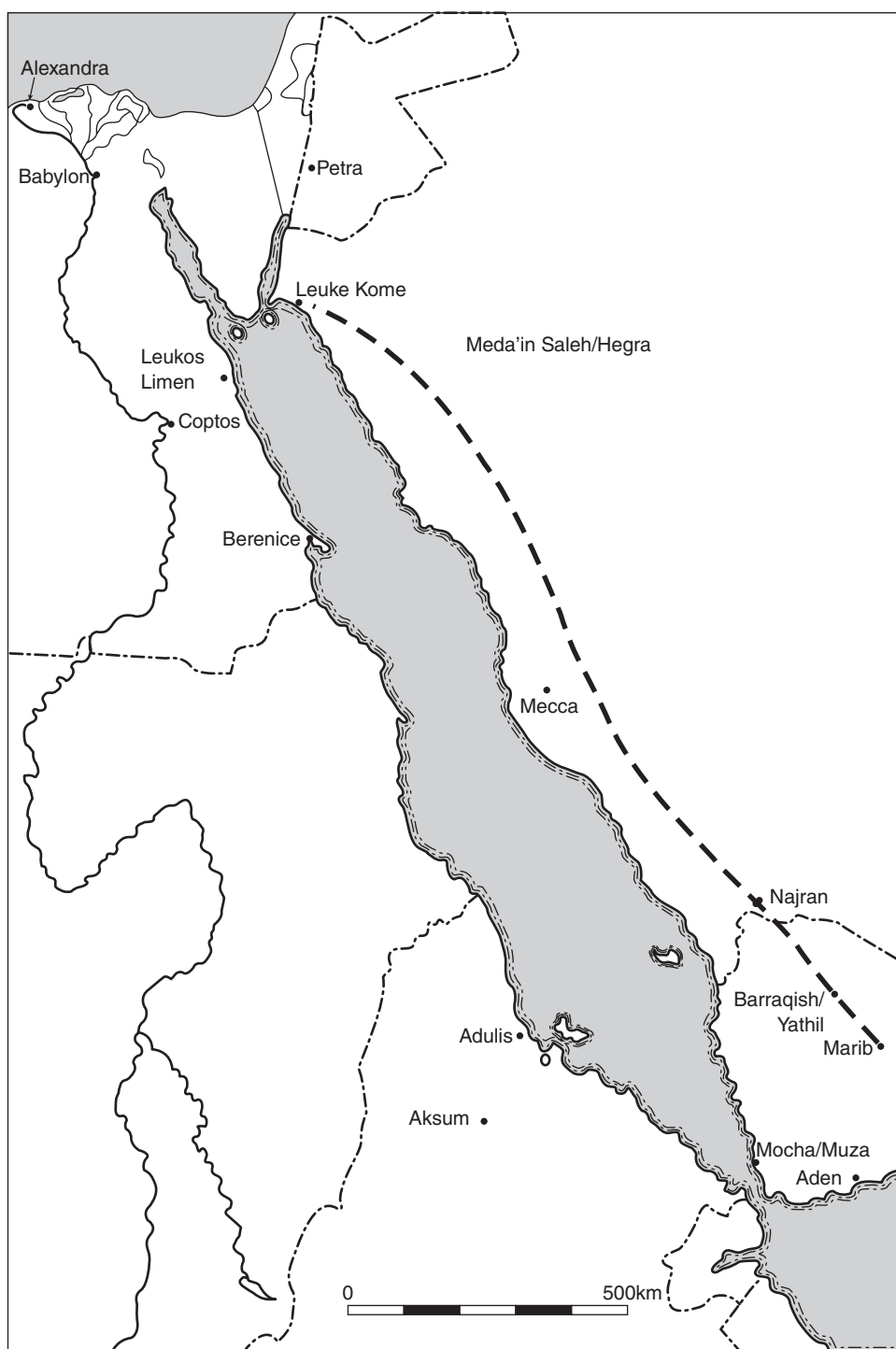


Figure 3.2 Map illustrating Aelius Gallus' campaign. Dotted line indicates approximate route

Strabo describes Yemen – Arabia Felix, or ‘Blessed Arabia’ – as extremely wealthy but only comprising a very small part of Arabia. Other such reports of legendary wealth in aromatics and precious stones reached Rome – and were themselves echoes of earlier reports in Egyptian and biblical records.¹⁶ Furthermore, unlike Iran, Arabia did not have a great empire to guard its wealth. Accordingly, Augustus sent an expedition under Aelius Gallus, the governor of Egypt, to explore Arabia and Ethiopia and adjacent coasts with the purpose of conquest. The Romans were also urged by the Nabataeans with promises of assistance and co-operation – doubtless for motives of their own as we shall see.

The expedition seemed doomed from the start: by poor leadership, poor planning and poor guides. When all was ready, Aelius Gallus set sail with a force consisting of about 10,000 infantry drawn from the Roman garrisons in Egypt. With it came an auxiliary force of 500 Judaeans and 1,000 Nabataeans under their own commander, Syllaeus, who was to act as guide. But Syllaeus, acting under orders from the Nabataean king, ‘Ubaydath II, had other priorities. He apparently set out deliberately to mislead the expedition: the Nabataeans wished to safeguard the lucrative Arabian trade for themselves. They saw Gallus’ expedition as the perfect opportunity both to extend their own influence into Arabia, the Nabataeans’ traditional source of wealth, and to weaken Rome’s new-found position in Egypt, the Nabataeans’ most lucrative market. To begin with, Syllaeus made sure that the sea crossing was as difficult as possible, landing only on the rockiest shores most devoid of provisions, water or safe anchorages. By the time they arrived at Leuce Come, the main Nabataean trade emporium at the northern end of the Red Sea, many of the boats and crew had already been lost and many of the men were suffering from scurvy and paralysis.¹⁷

So depleted was his force that Gallus had to spend the summer and all of the winter at Leuce Come to recover. On proceeding by land, Syllaeus then led them through long, circuitous routes that avoided wells and provisions, hoping to deplete the Romans by hunger, disease and general fatigue rather than by enemy action. After crossing a desert devoid of water, the Romans finally arrived at the Minnaean city of Negrana, modern Najran in Saudi Arabia, the beginning of the fertile mountainous areas of south Arabia. The king had fled Negrana, so the Romans occupied it with ease. But from then on, the Romans did face armed opposition. Depleted by disease, hunger and treachery though they were, superior Roman military training proved effective and they were able to defeat the south Arabians with few losses. They advanced deeper into south Arabia towards the kingdom of Sheba, the most powerful of the south Arabian kingdoms. They occupied and garrisoned the Minnaean religious capital of Yathil, modern Barraqish in Yemen, using it as a base for operations against Sheba just to the south-east.¹⁸ From Yathil Aelius Gallus then advanced to its capital of Marib, which he besieged.

Marib was the capital of the most powerful and wealthy of the south Arabian kingdoms and the key to the incense trade which the Romans sought to control. It lay in the middle of a fertile plain watered by its famous dam, one of the greatest engineering marvels of the ancient world. To the south the mountains that form the highlands of Yemen rise up dramatically, while to the north the desert stretches off towards the Empty Quarter. The city of Marib itself was formidably fortified. The ancient south Arabians had a mastery of stone masonry second to none, and Marib was surrounded by limestone ramparts that were a credit to the military engineer’s art: 6-metre-thick walls constructed of superbly dressed, close-fitting basalt and limestone blocks, strengthened by regularly spaced bastions and pierced by eight well-defended gateways (Plate 3.2).¹⁹ These walls must have formed a formidable obstacle, even to superior Roman siege tactics. The Romans assaulted the city, but after only six days withdrew. The reasons given were lack of water, but the Marib oasis was well watered with canals and the city was surrounded by productive villages. Furthermore, unlimited supplies



Plate 3.2 The walls of Marib, scene of Aelius Gallus' siege

of water lay only a few kilometres to the south at the great dam. More likely, the Romans were by then far too demoralised by illness, exhaustion and treachery, and their lines of communication and supply far too extended, for any effective action against Marib's defences.

The Roman army would have known something of deserts from their duties in Egypt. But the harsh desert environment around Marib was altogether beyond their experience. This was not the 'Blessed Arabia' – Arabia Felix – of repute. The green, lush highlands of Yemen, watered by the monsoons of the Indian Ocean, were well beyond the haze of the mountains that rise abruptly behind Marib and would have lain beyond their knowledge and reach. The reality of the Arabia that confronted them must have appeared an alien world, particularly for those soldiers drawn from Gaul and northern Italy. Many of them succumbed. One of them, the Equestrian Publius Cornelius, was commemorated by an inscribed gravestone found at Barraqish.²⁰

Aelius Gallus then turned back. Having finally realised the unreliability of Syllaeus he engaged new guides. While the outward journey had taken six months, he achieved the return in only two, obtaining adequate water and provisions on the way. Gallus eventually reached Alexandria with the tattered remnants of his army. He had lost most of his men: only seven by enemy action, the rest by treachery and incompetence. The entire episode had achieved nothing.

Much is made of Syllaeus' duplicitous role in the entire episode, with accusations by both ancient and modern authors. But Syllaeus can hardly be criticised for holding his own country's interests first, especially against such a bare-faced attempt by Rome to subvert the Nabataeans' livelihood. He was seized upon by the Romans as the most convenient scapegoat for their own blunders. After this episode, Syllaeus appears to have risen high in the Nabataean hierarchy, becoming a power behind the throne of 'Ubaydath II and a sort of roving Nabataean ambassador at large both to Judaea (where he had a much publicised

affair with Herod's sister, Salome) and to Rome itself.²¹ Blaming the Nabataeans for the Roman failure to extend its empire to Arabia Felix was largely a face-saving device to explain a military disaster. Even without treachery such a desert expedition is difficult, today as much as then. The harshness of the terrain, the extended lines of communication and the inexperience of the Romans in desert travel would have made such a conquest unrealistic even with the best guides. The Romans never made any further attempts at conquest deep into the Arabian peninsula.²²

Roman prisoners of war

Roman soldiers were to penetrate much further east than either Azerbaijan or Yemen, not by military campaigns, however, but as prisoners of war. Both Rome and Iran took innumerable prisoners in all of their wars, but the largest numbers were those taken by Iran at its two greatest victories against Rome: Carrhae in 53 BC and Edessa in AD 260. The Near East had for thousands of years a well-established tradition of transporting populations: Tiglath-Pileser I and his successors of the Assyrian Empire transported populations around on a massive – and brutal – scale. Survivors of the Battle of Edessa have left visible traces in Iran. The fate of the survivors of Carrhae requires some comment, if only because of the interest it has aroused.

Crassus' lost legions?

Of the Romans who survived the carnage of Carrhae in 53 BC, ten thousand managed to straggle back to Antioch. Another ten thousand fell to the Iranians as prisoners of war.²³ Never before did Iran have to cope with such a huge influx of prisoners. They could not be imprisoned anywhere in their western borderlands, within reach of any rescue attempt such as that which Mark Antony attempted in 36 BC. There was also always the danger that so large a body of prisoners so close to Roman territory might break free and fight their way back home – as Xenophon's Ten Thousand did under an earlier Persian Empire.

But the earlier Persian Empire did supply precedents of what to do with prisoners from the West: deport them to the East. Such had been the fate of many of the Ionians who revolted under Darius, and Iran's vast hinterland in Central Asia has always been a convenient dumping-ground for unwanted deportees.²⁴ The Iranians were settling Greeks there long before Alexander did, legends of Israel's lost tribes still cling to the region, and Stalin's mass deportations there of peoples as diverse as Germans and Koreans continued a tradition thousands of years old.²⁵

Accordingly, the Roman Ten Thousand were taken to Margiana on the north-eastern fringes of the Parthian Empire.²⁶ Margiana and the regions east of the Caspian were the original heartland of the Parthian tribes: nothing demonstrated more clearly just how far they had come from their tribal origins than adorning their homeland with so visible a sign of their victory. There, they were put to work, presumably forming a source of cheap labour, mainly in agriculture and construction. Some Parthian documents excavated at Nisa, to the south of Ashkhabad, have been interpreted as referring to Roman legionary commanders engaged in agricultural work. Several Roman inscriptions, one in Greek and two in Latin, have also been discovered on the Surkhan River of southern Uzbekistan. One of them has been interpreted as possibly referring to the Fifteenth Pannonian Legion. Since this was only formed in AD 62, the inscriptions would have been made by Roman prisoners captured in another war, but the interpretation is by no means certain.²⁷ There has also been speculation that the prisoners were used in the construction of the immense Parthian-period ramparts at Marga (Merv) itself, but archaeological investigations have not substantiated this.²⁸

Doubtless many of the legionaries would have become resigned to their new surroundings and settled down, taking wives from among the local Iranian population – a European element in the population of Merv was still recognised in the fourth century AD.²⁹ But the purported fate of one group of Roman prisoners was pieced together in the 1950s by a Sinologist, H. H. Dubs, from some references in the Chinese sources that were taken to refer to Romans. According to Dubs, some Roman legionaries escaped and entered the service of the nomadic Hun Empire, where they were employed as mercenaries in a war against the Chinese in 36 BC. The Chinese sources appear to describe Roman-type military manoeuvres – most notably the *testudo* formation – with reference to these mercenaries. Descriptions of the Chinese pictorial representation of this campaign have also been interpreted as in keeping with Roman rather than Chinese art, and subsequent Chinese sources have been interpreted as referring to groups of Romans being settled on their western borderlands between Gansu and Lop Nor.

The story, based as it is on the concurrence of the flimsiest of mentions in both Chinese and Classical sources, has since aroused considerable controversy, and most authorities now doubt Dubs' interpretation of the Chinese sources.³⁰ It must be borne in mind that there is no material evidence to support it (although the interpretation of some of the Parthian documents from Nisa has been taken as indirect confirmation of Romans serving with the Huns).³¹

Survivors of Edessa (Figure 3.3)

Following the Battle of Edessa in AD 260, many thousands of Roman prisoners of war were captured, along with Emperor Valerian, and settled in Assyria, Susiana, Persia and elsewhere. Shapur I founded four cities peopled by the prisoners of war and named after himself.

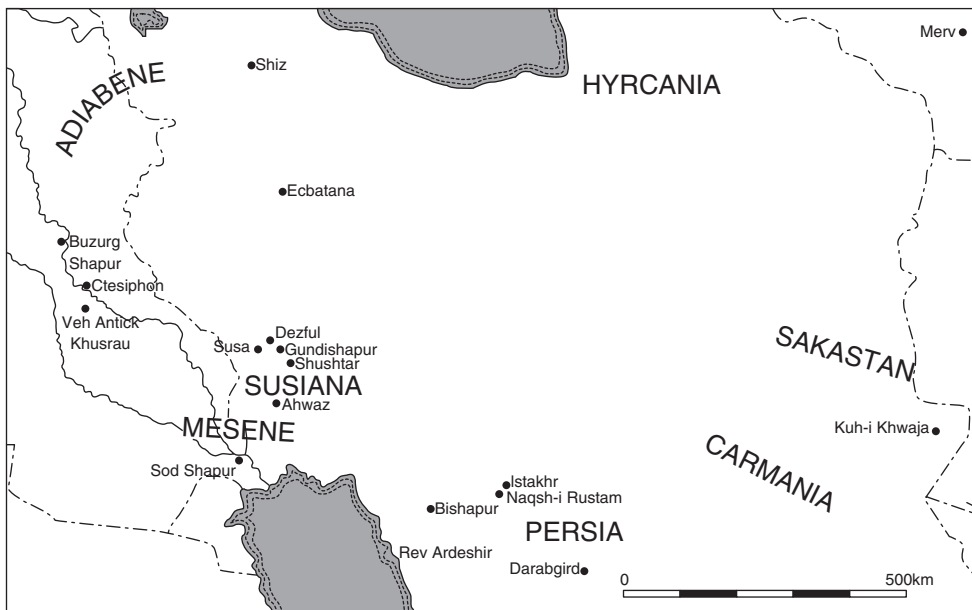


Figure 3.3 Map illustrating Roman traces in Iran

Sod Shapur in Mesene, Bishapur in Fars, Buzurg Shapur on the Tigris and Gundishapur (a corruption of *Veh Antioch Shapur* or 'Better than Antioch Shapur') in Susiana. The prisoners were put to work, particularly in construction. Dams and bridges were built in Susiana, and Gundeshapur, the main centre for Roman deportees, was built along the lines of a Roman military camp.³²

Gundeshapur (or Jundeshapur) was to have a long and illustrious history. It evolved into an important city, later likened to Constantinople itself (presumably a reference to its Roman associations rather than its size). It became a major religious and intellectual centre, attracting some of the best minds of both the Roman and Iranian worlds. Iran's most important centre of Christianity was there, its bishop one of the most senior in the East. Gundeshapur became one of the foremost academic towns of the East, with a famous observatory and medical school that lasted well into the Islamic period. By the sixth century it had become a centre of learning where Roman, Greek and Syrian scholars were able to meet their Iranian counterparts in an atmosphere of religious and intellectual toleration not known in the Roman world at the time. When Justinian closed down Plato's ancient Academy at Athens, it was, significantly, to Gundeshapur that the heirs to the Greek philosophers moved.³³ Gundeshapur is a tribute to both civilisations.

There is very little left today of Gundeshapur (modern Shahabad). Only a few mounds mark its position, and excavations have revealed few traces of its remarkable past.³⁴ The city that showed most the physical imprint of the Roman deportees was Shapur's new royal city of Bishapur, founded as a victory city to celebrate his triumph over the Romans. The city itself was laid out on a square plan, in accordance with Roman practice, rather than the circular plan more favoured in Iran.³⁵ Shapur's palace at Bishapur, although conforming to a standard cruciform plan of other Sasanian architecture, was decorated with mosaics which depict reclining, standing and dancing women, garlanded and playing music. These are clearly Roman of the Antioch school, almost certainly made by deportees from Antioch. The construction of this palace is of the conventional rubble and mortar of Sasanian buildings elsewhere in Iran. But the Temple of Anahita, adjacent to it, is more clearly of Roman Syrian workmanship: large, well-masoned, ashlar block walls, with trapezoid-shaped doorways (Plate 3.3). Valerian himself was housed in a specially built palace at Bishapur. At the intersection of the two main transverse streets at Bishapur – typical Roman *Cardo* and *Decumanus* – stands a dedicatory Corinthian column with an inscription dated 263 honouring Shapur. Such a monument is also interpreted as Roman inspiration, being atypical of Sasanian monuments – indeed, the arrangement has its counterpart at Apamea in Syria (Plate 3.4).³⁶

Equally graphic reminders of these prisoners can still be seen in Khuzistan, ancient Susiana. Here, the Romans were made to build a massive dam and bridge over the river at Shushtar. Like its British counterpart on the River Kwai in Burma, the Roman POW bridge survives, still known as the *Band-i Qaysar* or 'Caesar's Dam' (albeit after many later restorations and modifications: Plate 3.5). It is 516 metres long and the 41 piers which support it are of typical Roman workmanship. More bridges, which still survive or partly survive, were built by the prisoners at Ahwaz (ancient Hormizd Shahr), Pa-i Pul near Karkha (Greek Choaspes) and Dizful (Greek Coprates). The latter, like Shushtar a combination of bridge and dam, is also reasonably well preserved, the piers still forming the base of a modern bridge carrying heavy traffic. Other 'wondrous Roman-type structures' were built by the prisoners at Istakhr and on the Khorasan road.³⁷

But the greatest visible signs of captive Romans and their emperors are to be seen in a number of victory reliefs that Shapur had cut into the cliff faces of his homeland in Fars. Five depict Roman captives, some depicting no fewer than three Roman



Plate 3.3 The Temple of Anahita at Bishapur in Iran, probably built by Roman prisoners



Plate 3.4 Victory column at Bishapur



Plate 3.5 Remains of the Roman bridge at Shushtar

emperors humbled by the equestrian figure of Shapur. Of these, two are at Bishapur (numbered Bishapur 2 and 3, Plates 3.6, 3.7 and 3.8) and one at Darabgird. Two others – another at Bishapur (Bishapur 1) and the famous one at Naqsh-i Rostam (Naqsh-i Rostam 4, Plate 3.9) – depict just two Roman emperors. All show one or more Romans in acts of supplication, typically one kneeling in front of the mounted figure of Shapur and another standing in the background. Those depicting three Romans have the third lying prostrate under the hooves of Shapur's horse (e.g. Plate 3.6). The relief at Darabgird, for example, has two Romans facing the mounted figure of Shapur, the one in the foreground standing and holding out his hands in supplication, the one in the background with one hand raised aloft and with Shapur's hand resting on his head. There is a prostrate Roman under the king's horse, and Romans in the background. Persian retainers flank the king.³⁸ The most famous – and most intact – of the reliefs is that at Naqsh-i Rostam, famous not least because of its close association with Persepolis (an association not lost on the Sasanians themselves). Here, a Roman is kneeling in front of Shapur while another stands in the background with one arm raised to Shapur (Plate 3.9).³⁹

The figures of the Romans have been interpreted differently. Formerly, it was thought that the suppliant in all reliefs was Valerian with the standing figure either Mariades (the Antiochene who led Shapur to Antioch) or Philip the Arab, and the prostrate figure an anonymous Roman.⁴⁰ While the identification with Valerian appears obvious, there is no evidence that the standing figure would be Mariades. That the prostrate figure would be an anonymous Roman does not accord with Sasanian iconography, where reliefs of the emperor depict him trampling somebody of equivalent but opposite rank. Investiture scenes of Ardashir I, for example, depict Ardashir trampling the deposed Parthian king Artabanus IV opposite the god Ahuramazda trampling the god of darkness, Ahriman. Shapur would hardly be depicted trampling a mere unknown soldier.



Plate 3.6 Shapur's great victory relief at Bishapur (no. 3), depicting Roman prisoners and the Emperors Valerian, Philip and Gordian



Plate 3.7 Detail of the Bishapur 3 relief depicting the presentation of booty, possibly the black stone of Emesa, to Shapur



Plate 3.8 The Emperor Philip the Arab kneeling before the mounted figure of Shapur at Bishapur (no. 1), who is placing his hand on the captive Emperor Valerian. The Emperor Gordian lies trampled underneath Shapur's horse



Plate 3.9 The Emperor Philip the Arab kneeling before the mounted figure of Shapur at Naqsh-i Rostam near Persepolis. The Emperor Valerian stands in the background

A more recent interpretation is now favoured.⁴¹ This seems to accord most closely with Shapur's own account of his victories. The relevant passages concerning three Roman emperors are as follows:

Gordian Caesar raised in all of the Roman Empire a force from the Goth and German realms and marched on Babylonia against the Empire of Iran and against us. On the border of Babylonia . . . a great battle occurred. Gordian Caesar was killed and the Roman force was destroyed. And the Romans made Philip Caesar. Then Philip Caesar came to us for terms, and to ransom their lives, gave us 500,000 *denars*, and became tributary to us . . . And Caesar lied again and did wrong to Armenia. Then we attacked the Roman Empire . . . In the third campaign, when we attacked Carrhae and Urhai [Edessa] and were besieging Carrhae and Edessa Valerian Caesar marched against us. He had with him a force of 70,000 . . . And beyond Carrhae and Edessa we had a great battle with Valerian Caesar. We made prisoner with our own hands Valerian Caesar and the others, chiefs of that army . . .⁴²

The prostrate figure on one of the Bishapur reliefs was wearing a wreath, so is presumed to be an emperor. This is interpreted as Gordian III: the beardless and youthful features in the Bishapur relief moreover correspond to coin portraits of Gordian III, who was only nineteen when he was killed. The suppliant figure is identified with Philip the Arab, who was forced to make terms with Shapur. The resemblance of the suppliant figure, particularly in the Naqsh-e Rostam relief, to known portraits of Philip, such as the Vatican bust, supports this identification (Plate 8.10). This would identify the third, standing, figure with Valerian. Shapur's own reference to his capture 'with our hands' is a formulaic phrase going back to Assyrian annals which means taking credit for the capture rather than any literal meaning. This is depicted symbolically in the reliefs, where in all cases Shapur is shown placing hands on the standing figure. The depiction of all three emperors in the one scene, despite a gap of seventeen years (Gordian III was killed in 243, Philip the Arab made terms in 244, Valerian was captured in 260), is symbolically consistent with a triumphal scene. The only inconsistency are those two reliefs depicting just the two Roman emperors. Since all reliefs must have been carved after 260 – if the standing figure is indeed Valerian – there is no reason for leaving out the prostrate figure of Gordian III, the first of Shapur's clutch of emperors. If a relief was to depict only two emperors, therefore, it would be Gordian and Philip, not Philip and Valerian. But despite this inconsistency, the present identification is the most plausible.⁴³

The only other Sasanian relief that depicts a Roman is a later jousting scene at Naqsh-e Rostam that has been interpreted as Emperor Bahram II vanquishing the Roman Emperor Carus.⁴⁴ Perhaps the most impressive and intriguing of all of Shapur's victory reliefs is Bishapur 3, curved like a cinerama screen, that depicts his victory in a series of five sequential panels, one above the other (Plates 3.6 and 3.7).⁴⁵ Here, we see virtually the full triumph: not only Shapur himself with the two Roman emperors discussed above, but long lines of both Persian soldiers and Roman prisoners. One authority emphasises the implicit non-violence and magnanimity depicted here of Shapur's victory: unlike Roman triumphs, which generally culminate in the arena, Shapur's captives are depicted unchained, still allowed to bear arms, with dignity.⁴⁶ Included are horses, elephants and a Roman chariot.

But despite this being the most graphic representation of his victory, it is also the most curious. For it is atypical of Sasanian relief sculpture, which is almost invariably in the form of just single large panels. The technique of sequential panels, however, is typically Roman – used, for example, in the Column of Trajan. It also depicts a typical 'Roman' triumph; we have

no idea whether the Sasanians held triumphs in this way. Furthermore, the sculptural details exhibit more of the characteristics of Roman than Persian craftsmanship. In other words, this relief was carved by the prisoners themselves to depict their own humiliation, presumably by a trained craftsman among the prisoners.⁴⁷

Large numbers of Christians were also captured during Shapur's campaigns, accelerating the spread of Christianity through Iran.⁴⁸ Many might well have been voluntary exiles fleeing Valerian's persecutions. In fact the life of a Christian in Iran was at first reasonable: there was no persecution and no oppressive taxation, on the contrary the deportees were given grants of land. Even if the initial deportations were enforced, it seems likely that there would have been many more voluntary exiles following in their wake. Accordingly, many thrived. The Christians were the most organised of the Roman exiles and soon became the most prosperous, rising in the Sasanian Empire in ways that they could not have in the Roman. For the pagan captives, converting to Christianity would have been an attractive way of retaining their 'Roman' identity. Christians, therefore, initially flourished under Shapur's rule – a bishop from Iran was later to attend the Council of Nicaea. Syriac was the predominant language, but some Greek still survived into the fifth century. Two churches were built at Rev Ardeshir, one for Romans and one for native Iranians. Many Iranian Christians were, presumably, converts from Manichaeism, as Manichaeism incorporates Christianity and – unlike Christianity – was persecuted by the Iranians as heterodox (from Zoroastrianism). The conversion of Armenia to Christianity may have been as much due to the Christians of Iran as to any impetus from Anatolia. Later Armenian sources refer to the success of Iranian Christianity, spreading to the Kushan Empire and even into India, where it still survives (in Kerala). There was some persecution of the Christians in Iran following the edict of persecution against the Manichaeans by the high-priest Kartir in the 280s, with whom the Zoroastrian clergy often confused the Christians. (Indeed many Manichaeans pretended to be Christians to escape persecution.)

One Christian deportee, Bar Saba, rose at the court of Shapur II as a physician. He became influential and converted the Shah's sister, Princess Siraram, to Christianity. Alarmed, Shapur sent her away as far as he could, marrying her off to the provincial governor of Merv. Far from vanquishing Christianity, however, she took her faith with her where it found fertile ground in the Merv oasis as many of the inhabitants considered themselves 'Greek' descendants of Alexander.⁴⁹ Princess Siraram built a church, inviting Bar Saba to become Bishop of Merv. There followed evangelisation in Central Asia. Christian buildings have been excavated by archaeologists at Merv, and a Christian church was still noted north of Herat as late as the tenth century.⁵⁰ But the Christianity of many of the Roman deportees is probably exaggerated, as most of our sources are later Christian ones with vested interests.

Khusrau Anushirvan in the sixth century continued Shapur's policy of deportation and resettlement, when he founded a new city south of Ctesiphon named 'Better Antioch of Khusrau' with the captives from Antioch. It was constructed along Roman lines with baths, a hippodrome and other familiar amenities, being modelled as closely as possible after Antioch so that captives could even resettle in their own 'neighbourhoods' and enjoy the same pastimes as they did before. His new 'Better Antioch' was made a free city in the Persian Empire and awarded favourable status, so that by the end of the century its population had risen to 30,000.⁵¹

The Romans also deported prisoners captured in their Iranian campaigns, but unlike the Iranians, they had no coherent policy of resettlement. Prisoners would simply be used for their propaganda value, to be paraded and displayed at triumphs before being disposed of either in the arenas or the slave markets. Occasionally, Iranian soldiers would be incorporated into the Roman army as special units, particularly when the Romans had much to learn

from specific Iranian military skills (such as cavalry techniques, or the use of heavy armour). But there was never any effort to create small Iranian enclaves nor to protect and encourage the separate identity and culture of their captives, as the Iranians did (although Newcastle-upon-Tyne seems to have been settled partially by Arabs).⁵² On the whole, the captives of Rome were destined for public spectacle and eventual slaughter. The idea of actually encouraging their culture would never even have occurred to the Romans.⁵³

Roman trade

*Rome in India (Figures 3.4 and 3.5)*⁵⁴

The presence of Rome in India rests upon a number of dramatic – indeed, spectacular – pieces of evidence: literary, numismatic and archaeological. Such is the dramatic quality of the evidence that it was once even thought that southern India formed a part of the Roman monetary system – a sort of early form of the sterling area – controlled through a series of colonies. This view seemed to decline with the passing of British India, but the evidence for Roman presence is certainly impressive.⁵⁵

One of the most important maps preserved from the ancient world is the Peutinger Table, a medieval copy of a fourth-century version of a Roman map of the world from the Augustan period. It shows the existence of a ‘Temple to Augustus’ at Muziris, one of the main ports for the Roman trade on the south-west coast of India.⁵⁶ This has been supplemented by references to the sea trade in Book 6 of Pliny’s *Natural History* and descriptions in a number of other Classical works, such as the first-century AD *Periplus of the Erythraean Sea* and the sixth-century *Christian Topography* of Cosmas Indicopleustes.⁵⁷ But the number of actual named trading missions from the Roman world to India are extremely few. In the time of Claudius a trader in Somalia called Iamblos (probably a Syrian) sailed to Ceylon and spent several years there before continuing on to ‘Palibothra’ (Pataliputra, modern Patna in north-eastern India) and returning overland. Pliny also recounts how a freedman of Annius Plocamus at about the same time sailed to Ceylon, returning with gifts from its ruler. To these can be added a handful of references to named traders mentioned on documents – mainly ostraca and graffiti – found at some of the Red Sea ports themselves. These refer to Egyptians, Greeks, Romans, Arabs and Indians resident in the Red Sea ports controlling the India trade.⁵⁸

In a much discussed passage, Pliny estimates that some 100 million sesterces annually went eastwards from Rome during the time of Nero to pay for this trade. This figure has been questioned by many modern authorities. But if it is taken as referring to the actual value of the trade, rather than the actual cash, it becomes more believable. For example, just one documented consignment from Muziris to Alexandria consisted of 700–1,700 pounds of nard (an aromatic balsam), over 4,700 pounds of ivory and almost 790 pounds of textiles. This has been calculated as worth a total value of 131 talents, enough to purchase 2,400 acres of the best farmland in Egypt. When it is borne in mind that an average Roman cargo ship would have held about 150 such consignments, Pliny’s figure becomes entirely plausible.⁵⁹ With such staggering profits it is little wonder that the Roman government in Egypt actively encouraged – and profited by – the trade: a 25 per cent tax on all goods from India was levied by the Romans at the Red Sea port of Leuce Come. Small wonder that Pliny’s protests went unnoticed by those in power.⁶⁰

The most remarkable of the Classical sources for the trade is the mid-first-century AD *Periplus of the Erythraean Sea*. It is an anonymous maritime manual and navigational aid written by an Egyptian Greek for merchants sailing from the Red Sea to trade with east

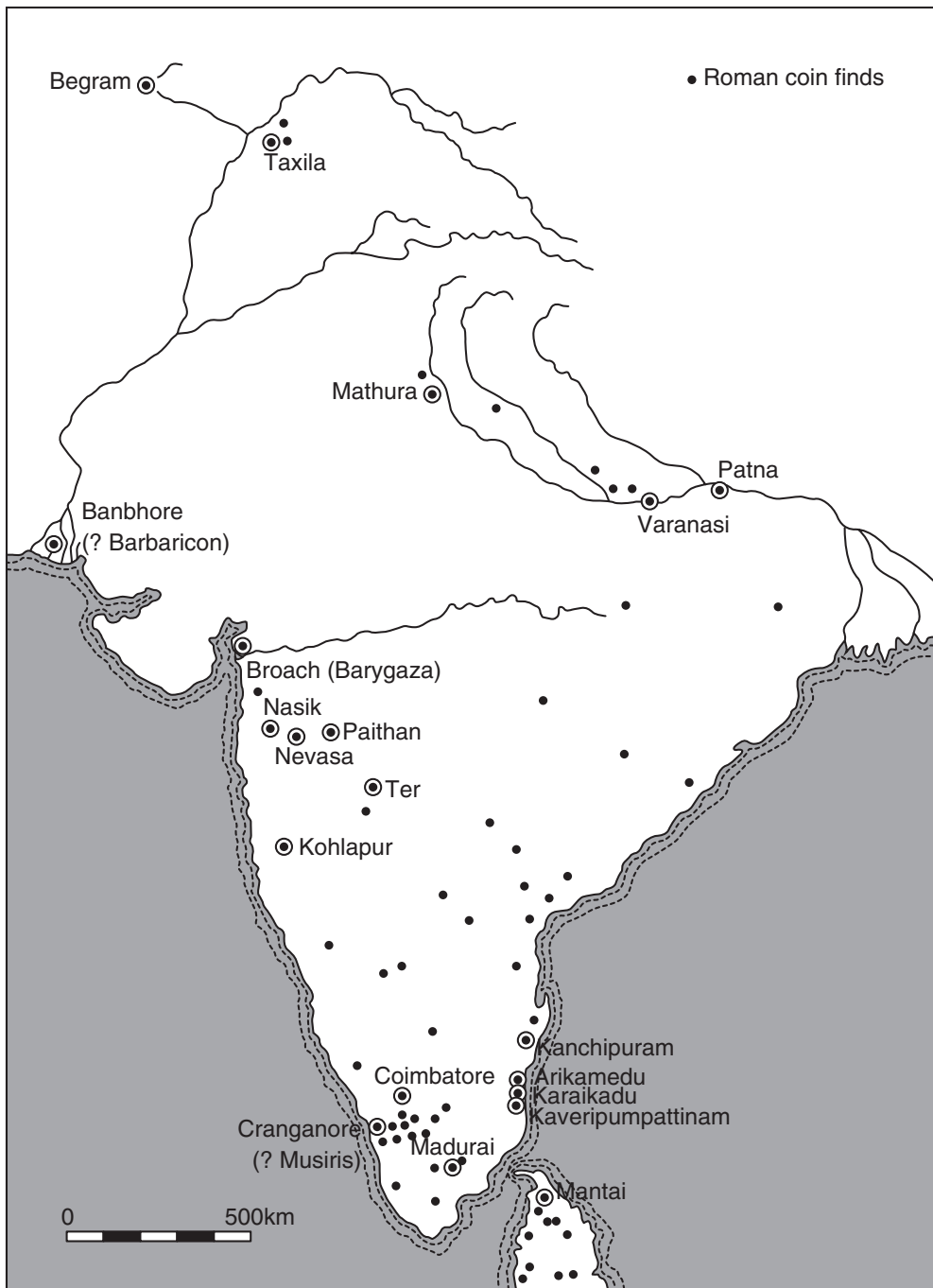


Figure 3.4 Map illustrating Roman related sites in India

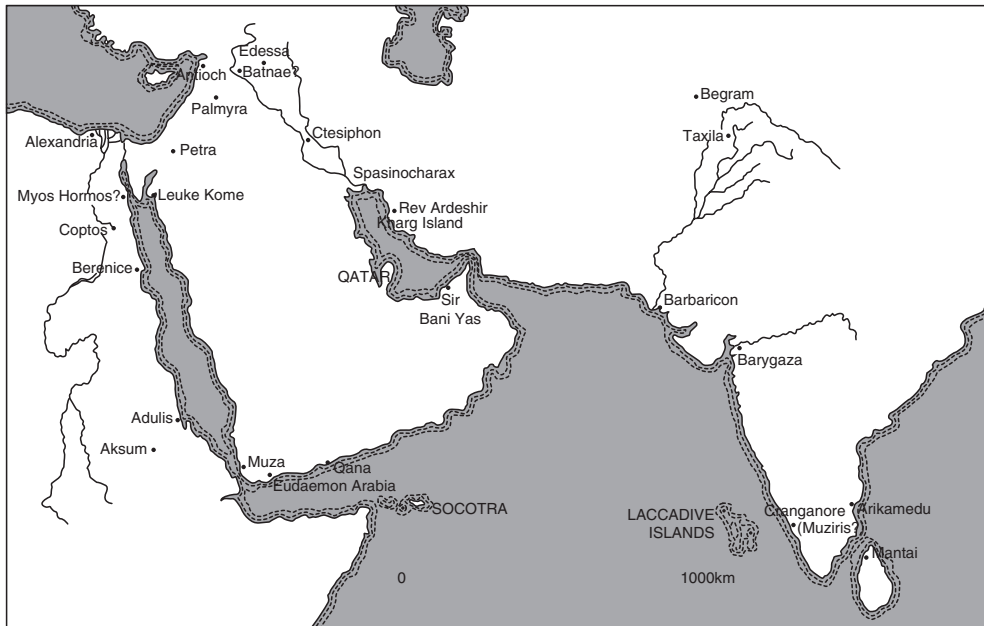


Figure 3.5 Map illustrating Indian Ocean trade routes

Africa, south Arabia and India. Most of the information it contains appears to be from personal experience. The importance of the *Periplus* as a document has been rated as highly in the history of exploration as the accounts of Marco Polo or Columbus. It describes the sea route to India from the Red Sea ports of Myos Hormos and Berenice, thence to the ports of Mouza, Ocelis and Qana in south Arabia, thence either to Barbaricon and Barygaza in north-western India or to Muziris in southern India. The journey time averaged about six months. The routes into the Persian Gulf and down the east coast of Africa are also indicated, and information about the ports for much of the western and northern Indian Ocean as a whole is given. Some of the mainland routes are also outlined, particularly into the interior of India from its west coast ports and towards Central Asia from the mouth of the Indus. There is much information that would naturally be of concern to a navigator: supplies, safe anchorages and prevailing winds (in particular the monsoons). The *Periplus* is also a mine of information of prime importance for the economic historian: the products of particular lands, trade opportunities in particular ports, the movements of particular products, the peculiarities of the natives, etc. Altogether, it ranks with Strabo's *Geography* as one of the most important sources of the ancient historical geography of Asia that has come down to us.⁶¹

The Classical accounts are complemented in Indian literature to some extent by a number of references to foreigners known as the 'Yavanas'.⁶² There has been much written – and speculated – about the Yavanas. The word *Yavana* is derived from 'Ionia', from which nearly all eastern languages derive their word for 'Greek' or 'Greece': Old Persian *Yauna*, Hebrew *Yawan*, Arabic *Yunan*, Chinese *Ye-meï-ni*, etc.⁶³ The term – and its variations *Yona* and *Yonaka* – came into Indian languages as early as the third century BC following contact with Greeks after Alexander. Later, however, the meaning broadened to mean just 'westerner'

generally rather than 'Greek' specifically. In this way, the Indian 'Yavana' can be likened to our own term 'Indian', which has been used to cover 'natives' broadly from the Far East to North America – or the term 'Feringhi', deriving from 'Frank', used throughout the Middle East to designate any European, rather than Frenchman specifically.⁶⁴ Hence, when 'Yavana' occurs in contexts associated with Roman trade, it has often been taken as meaning 'Roman'.

In general, the Yavanas are associated with the western coast of India, and so are consistent with a 'western' identification. Tamil literature of the second century AD has several such references. References are made, for example, to the wealthy houses of a 'Yavana' merchant colony at Puhar near Pondicherry and to 'Yavana' ships arriving with gold and leaving with pepper. Yavana merchants are also mentioned in association with the ancient trading centre of Tagara (modern Ter in Maharashtra) where many Roman and Roman-derived objects have been excavated.⁶⁵ Other Tamil texts describe the Yavanas as being in demand as carpenters, traders and craftsmen at Pumpahar in the Chola kingdom and elsewhere (where a possible Roman presence has been detected from excavations), as well as mercenaries and private bodyguards at Madurai, the capital of the Pandya kingdom. This latter reference might be the origin of a surprising passage in Plautus, referring to a Roman mercenary campaign in India. There are also some Indian art representations of Yavana bodyguards. Since both the Roman and Indian sources refer to the export of slaves from the Roman Empire to India, where they are known as Yavanas, the mercenaries might be the descendants of these slaves. In some Buddhist caves inland from Bombay there are votive inscriptions recording religious gifts by Yavanas. One of the more important of these caves, at Nasik, has a second-century inscription referring to the *Raumakas*, which some have identified with the Romans. Nasik also has dedicatory inscriptions by Yavanas and some Roman-derived objects (discussed below). Another Tamil text refers to the excellence of the wines brought by the Yavanas, which suggests a Mediterranean origin.

Apart from literary sources, much of the evidence rests on various coin finds, mostly sporadic (Figure 3.4).⁶⁶ Many are hoards, such as the 1,531 *denarii* found at Akkampalle. Altogether, the Roman coin finds from southern India total some 5,400 *denarii* and 800 gold *aurei*. To these can be added 700 Roman coins found in the Laccadive Islands.⁶⁷ Of these, very few are republican. Most are Julio-Claudian, particularly of Augustus and Tiberius. This, however, does not reflect the date of the trade, as they were probably deposited long after the minting judging from the wear on them. The Julio-Claudian coins, as well as the few republican coins found, were of much higher quality than those issued after Nero, so were probably reserved for the trade. The evidence of the coins, therefore, must be hedged with many a caveat. After Nero's coin reform Roman coins virtually disappear, with payment either in gold bullion or the higher quality 'antique' Julio-Claudian coins, although some of the gold *aurei* continued and were even copied locally. The slump in the number of Roman coins reaching India in the third century has been interpreted as corresponding to Valerian's capture by Shapur in 260, although it is probably more symptomatic of a decline in wealth and trade generally. There is an increase again in the fourth century with a revival in the fifth with the establishment of the Byzantine gold *solidus*. The last coin finds are of Justinian.

The distribution of the coin finds appears to reflect the main trade routes in the broadest sense, with most of them – particularly the coin hoards – found within a 30-kilometre radius of the beryl mines at Coimbatore in southern India. Very few are found in north-western India, despite the evidence for Roman trade there from literary sources, perhaps because the trade there was paid for in goods rather than cash. Even coin finds in the south were probably not derived from trade in the – modern – monetary sense as the evidence might initially suggest, as the coins more likely represent a trade in metal rather than actual face value.

However, the coins were often imitated on bullae for their decorative use (mainly jewellery), which suggests that Roman coins did nonetheless enjoy a certain amount of status. It must also be remembered that any perceived pattern derived from archaeological distribution is so often merely a reflection of current research rather than historical reality.⁶⁸

The numismatic evidence is complemented by the archaeological, although much of this is indirect. Roman amphorae – including Indian imitations – have been found near the coasts of Gujarat and Tamil Nadu. This confirms the importance of Broach and the site of Arikamedu (discussed below) respectively in the trade with the West, although the only complete amphora stamp (probably from Pompeii) was found at Mathura. The amphorae, most of which were found at Arikamedu, almost all derived from the wine trade, possibly Greek wine from Cos, but garum from Greece and olive oil from Spain were also exported to India in amphorae.⁶⁹ Southern Gallic and northern Italian Arretine ware of the first century BC to fifth century AD has also been found, again mainly at Arikamedu and Gujarat. The bulk of the Arikamedu Arretine ware has been dated precisely to 10–30 AD. The existence of Arretine ware in India has prompted speculation that it inspired an imitation ceramic, Indian red-polished ware, produced in Gujarat. But despite Gujarat figuring highly in the Roman trade, the production of Indian red-polished ware begins before the rise of trade with Rome, so it more likely evolved out of native styles with the resemblance to Mediterranean wares illusory.⁷⁰ Another Indian ceramic, however, Rouletted ware, probably does derive from Roman prototypes. Rouletted ware is found mainly on the east coast, although none found so far are demonstrably Roman originals. But both red-polished ware and Rouletted ware are essentially local ceramics, local to the west and east coasts respectively. Other Roman objects, such as terracotta heads and statuettes, were widely imitated in the Deccan.⁷¹

Roman glass has been found in considerable quantities in southern and western India, again mainly at Arikamedu, where the discovery of glass ingots and wasters suggest a production centre, perhaps from raw glass transported from the Mediterranean as ballast.⁷² Other Roman and Roman-related finds, such as the occasional Samian sherd, imitation ‘Megarian’ bowls, glass, intaglios, mirrors and other bronzes, have been recovered from excavations at the sites of Nasik, Ter, Paithan, Nevasa and Kanchipuram in central and southern India. Nasik is the site of important Buddhist caves that contained dedications by Yavanas (discussed above). Ter, ancient Tagara, was an important inland trading station in Maharashtra. Paithan (ancient Pratihsthana near Aurangabad) was the capital of the ancient Satavahana kingdom. Amongst the most impressive Roman finds were a series of 102 bronzes from Kohlapur in Maharashtra, consisting of vessels, statuettes (including a fine Poseidon), lamps, mirrors, stands and tools. Most were Indian imitations, but at least ten were genuine Roman imports, probably from Campania.⁷³

The most extensive evidence for Roman trade has been found, as would be expected, at a number of sites at the southern coast tip of India. At Kaveripumpattinam (ancient Pumpahar on the Coromandel coast) a brick wharf was excavated associated with Rouletted ware and Roman coins. Probably the most important site for the Indo-Roman trade after Arikamedu is Karaikadu (or Kudikadu) near Cuddalore, also on the Coromandel coast, about 30 kilometres south of Arikamedu. Roman and Roman imitation wares were found there, as well as more evidence for glass and bead manufacture, probably Roman in origin. Fragments of Mediterranean amphorae and other related finds have been found at several other coastal sites, including Alagankulam on the Coromandel coast nearest Sri Lanka and Kanchipuram, just inland between Pondicherry and Madras.⁷⁴

The most dramatic archaeological evidence for the Roman trade was revealed by the excavations at Arikamedu, a small coastal settlement on the Coromandel coast just to the south

of Pondicherry.⁷⁵ The revelations of Mortimer Wheeler's excavations there in the 1940s are best described in his own words:

This village, like its modern equivalents in the neighbourhood, doubtless consisted of simple fisher-folk who caught the gullible fish of the region from the shore or from small outriggers, gathered the fruits and juices of the palms, cultivated rice-patches, and lived in a leisurely and unenterprising fashion just above subsistence level. To it suddenly, from unthought-of lands 5,000 miles away, came strange wines, table-wares far beyond the local skill, lamps of a strange sort, glass, cut gems . . . A small foreign quarter like that of Puhar came into being, and finally the village was replaced by a brick-built town, spreading northwards to the sea.⁷⁶

Wheeler's and later excavations here have brought to light what appeared to be a Roman trading colony, identified with the port of Poduke mentioned in the *Periplus*. The date was from the early first century AD to about 200. A warehouse and industrial area was found, as well as some possible dyeing vats. No houses or monumental buildings were found. Arikamedu is the only site where Arretine *terra siggilata* has been excavated; it does not appear to have been imported elsewhere in India. This has prompted the suggestion that it might simply have been imported as personal tableware by the Roman expatriate community.⁷⁷

Wheeler sums up the excitement that was felt at this astonishing discovery when he writes that 'the imagination of the modern enquirer kindles as he lifts from the alluvium of the Bay of Bengal sherds bearing the names of craftsmen whose kilns lay on the outskirts of Arezzo. From the woods of Hertfordshire to the palm-groves of the Coromandel, these red-glazed cups and dishes symbolise the routine adventures of tradesmen whose story may be set only a little below that of King Alexander himself.'⁷⁸ But a more cautionary note is provided by David Whitehouse, himself an excavator of the ancient trading ports of the Indian Ocean:

The question, of course, is: do 150 fragments of Mediterranean amphorae, 50 fragments of Arretine ware, a handful of Roman glass, two pieces of Roman lamps, one engraved gem, and what may be a Roman stylus, deposited over a period of more than 200 years, really add up to a 'colony of Westerners'? The answer, I suspect, is no. The Roman finds from pre-Claudian Colchester in southern England are not wholly dissimilar from the Roman finds from Arikamedu (fragments of at least 13 amphorae, 26 Arretine vessels, and 3 glass objects, deposited in less than 40 years) – and there is no reason to suppose that the population included a community of resident aliens. Until we find distinctive 'colonial' architecture or a Greek or Latin inscription, I think we would do well to regard the possibility of a Roman community at Arikamedu as a hypothesis that cannot at present be tested.⁷⁹

Or, as an Indian historian writes more bluntly: 'The results of these early excavations at Arikamedu continue to maintain a stranglehold on Indian historical writing . . . An elementary calculation of the few hundred amphorae sherds representing a span of four centuries at Arikamedu would make it obvious that they do not translate into the flourishing [Mediterranean] wine trade that Wheeler made out.'⁸⁰

Such 'colonial' architecture as the Peutinger Table's Temple of Augustus is still beyond our grasp, and much else of 'Roman India' must of necessity be speculative. From the above combination of literary, numismatic and archaeological evidence, the history of the maritime trade to India can be summed up. The existence of maritime trade between the ancient

Near East and India hardly requires the *Periplus* and other sources to demonstrate the fact that such a trade was in place thousands of years before they were written.⁸¹ But it was revolutionised with the exploitation of the monsoons about AD 40–50 and the increasing demand for luxury goods – particularly spices – by Rome. The two most important ports in India for the Roman trade were Barygaza and Muziris. Barygaza is the modern city of Broach in Gujarat. Muziris is now fairly confidently located at Pattanam (although its supposed ‘Temple of Augustus’ is discounted) near Cranganore, where a Roman coin hoard was found.⁸² Up until the second century AD, the trade was mainly with the Buddhist Tamil kingdoms of southern India, the Cheras on the south-west coast, the Cholas on the south-east and the Pandyas at the southern tip. A secondary sea route reached the Indo-Scythian and later Kushan kingdoms at the mouth of the Indus at Barbaricon in north-western India. Both of these kingdoms stretched into Central Asia. There was a decline after Marcus Aurelius, reflecting a decline in the Empire generally. Ethiopians and Arabians most probably became the middlemen rather than the Alexandrians, and barter replaced gold, hence showing up less in the archaeological record. With the revival of the trade after the fourth century, Ceylon was the main trade mart.

After the fifth century the Nestorian Church became prominent in the trade. The Nestorians were a Christian sect centred in Syria who were persecuted by the Orthodox court in Constantinople, being forced to flee eastwards. Rome’s loss not only reinvigorated intellectual life in Iran but also stimulated much of the trade of Asia, both overland and maritime. Their role in trade with China is reviewed separately below. In the Persian Gulf and Indian Ocean regions the Nestorians established a number of communities, with bishoprics throughout southern Iran, southern Mesopotamia, northern Arabia and western and southern India. Metropolitans were established in Charax, Rev Ardeshir (Bushehr) and Qatar, and descendants of these Nestorians form the Christian population of Kerala in India today. Nestorian church complexes have been excavated on a number of islands in the Gulf, notably Kharg Island off Iran and Sir Bani Yas off Abu Dhabi, and smaller Christian remains have been excavated elsewhere on the Gulf (Plate 3.10). Many of the pilots were Nestorians, and there seems little doubt that much of the actual mechanism of the trade was in the hands of Nestorian seafarers operating under the protection of Sasanian Iran. One of our main sources for the Indian Ocean trade, the *Christian Topography* of Cosmas Indicopleustes, was Nestorian. Being mainly Syrian in origin, the Nestorians were continuing a tradition that had begun by Palmyrene and Phoenician traders.⁸³

The Nestorian connections must be viewed against the background of early Christian proselytising in the East. The most famous is the supposed journey of the Apostle Thomas. He travelled to the court of the Indo-Parthian king Gondophares at Taxila in the middle of the first century AD and supposedly converted the people of Malabar in southern India, who preserve the tradition to this day. The apocryphal Gospel of Thomas was fundamental to the development of Gnosticism and Manichaeism. The story, like so many of the early spread of Christianity, was probably compiled at Edessa in about 250. Another such mission was of the Sicilian-born Christian, Pantaenus, who left Alexandria in about 180 and sailed to India. Here, he found native Christians who had been converted by St Bartholomew and showed him Matthew’s gospel in the original Hebrew. It is possible that there is considerable confusion between the Bartholomew–Thomas–Pantaenus traditions – not to mention the journey of the pagan philosopher Apollonius of Tyana to northern India, paralleled so closely by the Thomas story.⁸⁴

By the sixth century Iran ruled the waves from naval bases throughout the Gulf as well as in south Arabia, the mouth of the Indus and possibly Ceylon, with mercantile colonies



Plate 3.10 Excavated remains of a probable Nestorian church at Siraf on the Persian Gulf

stretching from the East African coast to the South China Sea. The Indian Ocean was becoming an Iranian lake and the trade dominated, to a lesser extent, by the Indians and Ethiopians as well; no Roman ships are mentioned. In the sixth century the Emperor Anastasius, and later Justinian, made efforts in Arabia and the Red Sea to try to circumvent Iran's control of Asian trade, but to no avail. Mantai in Ceylon had replaced the southern Indian ports as the main trade entrepôt for this time. It may, in part, have even been controlled by the Sasanians.⁸⁵

Items imported from India were mainly luxury goods, for only their high retail value in western markets could justify the cost of transporting them across such distances. They included silk, pearls, precious woods, ivory, precious stones and spices such as cinnamon, nard, cassia, cardamom and pepper. Occasionally, exotic animals such as tigers and leopards were imported. There may also have been occasional female Indian slaves. Pearls figured highly, and precious stones were entirely from the East (amber was the only one from Europe). Above all, spices were the main import from the East, especially pepper – Warmington details every stage of the movement of pepper from the forests of Kerala to the Roman middle-class table. Silk (which mainly came via India rather than direct from China – see below) was never the main commodity, despite the high profile given in modern accounts.⁸⁶

Recent archaeological studies have tended to focus almost entirely on the Red Sea, and hence on the primary role of Roman Egypt, in the trade to India.⁸⁷ Because of the admittedly spectacular finds of Roman coins and pottery, more attention has also been focused on southern rather than north-western India. But this has diverted attention from the Persian Gulf, which probably played just as big, or bigger, a part. The Gulf, furthermore, was dominated by Palmyrenes, Arabs and Iranians rather than Romans, and was pre-eminent in the India trade

long before the Roman period. According to Chinese sources the Indian trade with the Roman Empire went via the Gulf rather than the Red Sea and recent discoveries of a large amount of Roman glassware of the first century BC to the first century AD in the Emirates have confirmed a Roman connection with this trade. This was, after all, the Palmyrene route and Indian and Chinese merchants themselves would sail up the Euphrates to the Palmyrene-controlled trade fair at Batnae.⁸⁸ Moreover, the bulk of the Chinese trade with the Roman Empire would have been transhipped through north-western India and the Gulf.⁸⁹ This is discussed further below, and the part played by the Indians themselves in this trade is discussed in Chapter 8. But for the moment, it is worth remembering that our picture of the expansion of Roman trade into southern India, built up from the Peutinger Table, the *Periplus*, the coins and Arikamedu, is only a part of the whole picture, and perhaps the lesser part at that.

In the long history of this extraordinary interaction between two such widely different ancient civilisations, the role of the Romans has been exaggerated. This is partly because of cultural bias, and partly because we simply know more about the Roman side.⁹⁰ The Yavanas were not necessarily Romans or even Greeks, but might just as easily have been Arabs or Ethiopians or even Iranians. The *Periplus*, important though it undoubtedly may be, is after all just an isolated document hardly longer than the modern reviews of its latest edition. The much vaunted 'Temple of Augustus' at Muziris was probably merely a temple to a local cult *approximated* to the Augustus cult, much as non-Roman temples and cults everywhere outside the direct Roman world were.⁹¹ Despite the well-established knowledge of the sea routes implicit in the *Periplus*, the Romans seemed unaware of the origin of many main trade items they supposedly controlled. Cardamom, for example, was described as originating in Armenia, Media or Assyria, never Ceylon; cinnamon and many other commodities were thought to be from south Arabia or north-east Africa, never Malaya; rice was thought to be from Ethiopia rather than the Far East, etc. This suggests that the Romans neither controlled nor even knew about the sea routes as much as is thought. Indeed, the highly effective policy of misinformation about the origin of such products, mainly by the south Arabians, at the height of the supposed 'Roman' trade suggests a domination of the trade routes by the Arabians and Indians at the expense of the Romans.⁹²

The discoveries of Roman coin hoards in India are certainly dramatic. But coin deposits are notoriously unreliable as archaeological evidence, and numismatic conclusions often a too glib. Coins and coin hoards with their ready answers can often be almost as much a problem in archaeology as an asset. Archaeological discoveries in the future, for example, of hoards of nineteenth-century Maria-Theresa dollars in twentieth-century Yemen would in no way imply Austrian colonies there. Similarly with any 'discovery' of hoards of US dollars almost anywhere in the world today (not least in the hands of countries, such as Iran, most outside America's sphere of influence). The occurrence of most of the Roman coin hoards within a 30-kilometre radius of the beryl mines, for example, ostensibly appears to be a very satisfying (and certainly often quoted) piece of evidence – until one recalls that beryl was one of the least important items of export.⁹³ Coin hoards closer to Arikamedu, where nearly all discussion of Roman trade and colonies focus, are conspicuous by their absence. Clearly it is a mistake to pin too much on these coins – far more Roman coin hoards have been found, for example, in Poland and Germany.⁹⁴ One must remember above all that the sum total of *all* Roman coin finds in India is still only 5,400 *denarii* and 800 *aurei*, totalling perhaps some 100,000 *sestercii* – or a mere .01 per cent of the annual flow of cash, according to Pliny's figures that we have reviewed above. Even in making generous allowances for Pliny's exaggeration (which, as we have seen, is quite probably accurate), this still represents only a negligible amount of the evidence.

Similarly, the archaeological evidence for Roman trade in southern India is not as positive as it seems, as the cautionary remarks quoted above imply. Archaeological evidence has a habit of reflecting patterns of modern research opportunities rather than patterns of ancient trade. The Roman finds from Arikamedu might suggest a colony of 'Romans' (more likely Syrians or Alexandrians, and certainly not the 'convincing evidence' for a Roman colony as one authority supposes).⁹⁵ But contrast this with Taxila in north-western India, a site which in theory would be more likely to contain a Roman merchant colony, where Roman and Roman-related finds are very few. The finds of gems and intaglios in India with Classical motifs, which are often indiscriminately labelled 'Roman', more probably derive from Indo-Greek prototypes and are not the evidence for Roman trade they seem to be.⁹⁶ The discovery of objects brought from a long distance do not in any case presuppose the existence of an organised trade 'system' as such: the finds of cloves, for example, in the second-millennium BC city of Terqa on the Euphrates in no way implies direct trade with Indonesia at that time.⁹⁷

It is unlikely that the Roman bulk cargo vessels, used for transporting grain and other bulk cargoes across the Mediterranean, could have sailed the Indian Ocean as is assumed. They would not have stood up to the heavy wear and their square rig was too primitive for the sophisticated navigation that deep ocean sailing demanded.⁹⁸ India had a long ship-building tradition, with ocean-going boats being built in Gujarat from the second century BC. These were large enough, according to Indian sources, to carry between 500 and 700 passengers, or 3,000 amphorae (corresponding to 75 tons) according to Pliny.⁹⁹ The traditional vessel of the Indian Ocean, the Arab stitched vessel or *sambuq*, was far more seaworthy, as tests have shown, and probably the only type of vessel that would have made the crossing in ancient times.¹⁰⁰ This in itself suggests a greater role in the trade for the Arabs and the Indians (where the stitched dhows probably originated) than the texts suggest, although this does not, of course, exclude Roman merchants sailing on Arab and Indian vessels. In this context it must be borne in mind that the *Periplus* is a handbook aimed at merchants rather than navigators.

The Iranians – at least after Ardeshir I – made a deliberate effort to exert control over the Persian Gulf and the India trade which, by the fifth and sixth centuries, resulted in Iran probably being the major maritime power in the western Indian Ocean.¹⁰¹ The Arabs, too, were after all as actively involved in the Mediterranean sea trade as they were in the Indian Ocean, a role they inherited from the Phoenicians.¹⁰² This and the movement westwards of Indians and Indian ideas into the Roman Empire is reviewed separately in Chapter 8.

Rome in Central Asia and China¹⁰³

Nowhere has the presence of Rome in Asia fired more speculation, excitement and imagination than in China and Central Asia. This can be summed up in one phrase: the Silk Road. The Silk Road is one of the most romantic of notions: images of it, amongst the most evocative we know, found their echoes in the poetry of Flecker and Coleridge, not to mention a vast array of travel writing. It has conjured up an image of a great international highway where Romans, Chinese, Indians and Tartars freely met and intermingled. The evidence for the 'Silk Road' and Roman trade to China, therefore, requires careful examination. Again, the evidence rests on a combination of literary references and archaeological remains.

Just as the sea route to India is documented by an important ancient text, the *Periplus of the Erythraean Sea*, so too is the land route through western Asia. This is the *Parthian Stations*, a handbook written by a Greek from lower Mesopotamia, Isidore of Charax. Charax, or Characene, lay at the head of the Persian Gulf. It became a trading partner with Palmyra during the first few centuries AD, enjoying a semi-independent status under Iran as

Palmyra did under Rome. Both were able, therefore, to dominate the Rome–Iran trade in a manner disproportionate to the small size of the two states. Characene had the additional advantage of its strategic location at the head of the Gulf, thereby in a favourable position to control sea routes to the east. Isidore of Charax lived in the first century AD, although both his date and identity are subject to dispute. His *Parthian Stations* is probably just a fragment of a larger work, now lost. It describes one specific route, from Mesopotamia through Iran to Arachosia (Kandahar in Afghanistan), listing the stopping places, main cities and distances along the way.¹⁰⁴

To this main source can be added the other main western sources for Asia mentioned above in relation to India: Ptolemy, Pliny, Strabo and parts of the *Periplus*, together with Ammianus Marcellinus in the third century. As we have seen, they are mainly concerned with the maritime routes, only describing overland routes where they relate to the Indian Ocean ports. In particular, a major overland route connected ports in north-western India with Bactria in Central Asia through Kushan territory (centred on present-day eastern Afghanistan). This route is where the most archaeological evidence has been found (see below). A minor route, mentioned by Pliny and Strabo, ran via the Black and Caspian seas, thence the Oxus River upstream to Bactria (the Oxus having emptied into the Caspian in that period rather than into the Aral Sea). Some archaeological evidence for a ‘steppe route’ to the north of this has also been detected, although it is unlikely that this was regularly used.¹⁰⁵

From such occasional references we obtain a glimmer of some Roman overland trade, although nothing comparable to the quantity or quality that relates to the Indian Ocean trade. In the time of Hadrian, for example, agents of the Syrian merchant Maes Titianus travelled eastwards as far as the Chinese border at ‘Stone Tower’ – which has been identified with Tashkurghan (Turkish for ‘stone fort’ or ‘tower’) in the Pamir mountains – and Ptolemy refers to trade in the second-third centuries as far as Cattigora (Canton).¹⁰⁶ There is little more. Even the *Parthian Stations*, on closer examination, proves to provide less information on Roman trade than might initially be expected – and certainly far less than the *Periplus*. For the *Parthian Stations* is little more than the title implies: a record of just one of several official routes *within* Iran, relating to Parthian administration rather than trade, and even less to Rome. It makes no reference to any supposed connections beyond, neither to the Mediterranean nor to China. As evidence for Roman overland trade, therefore, it is practically valueless.

Some evidence of a Roman presence of a very indirect kind through Asia is found in a number of recurring place names relating to the Emperor Decius (AD 249–51). This is the name *Daqianus* or *Shahr-i Daqianus* (‘City of Decius’) that is associated with a number of archaeological sites from Turkey to China. The name is applied, for example, to the ruins of the medieval city of Jiruft in south-eastern Iran, an area of low mounds covered in pottery that stretches for many miles.¹⁰⁷ The name is also applied to the site of Karakhoja or Khocho (Chinese Gaochang), the main ancient city of the Turfan Oasis in Xinjiang between the fifth and ninth centuries. Its remains include Buddhist, Zoroastrian, Manichaean and Nestorian Christian buildings. In addition to the name *Shahr-i Daqianus*, it is also known locally as *Apsus* or ‘Ephesus’. In the neighbouring valley of Toyuq is a famous Islamic shrine, replacing an earlier Buddhist one, that attracts pilgrims from throughout Islamic China known as the ‘Cave of the Seven Sleepers [of Ephesus]’.¹⁰⁸ The same association of *Daqianus*, *Ephesus* and the Cave of the Seven Sleepers is found in northern Iraq as well as in north-western Afghanistan, several places in Turkey and elsewhere in central and western Asia. In north-western Afghanistan, for example, the cave of Ashab al-Kahf near Maimana is locally supposed to be the resting place of the Seven Sleepers and is associated with *Daqianus*, as well as a nearby ruined city called *Shahr-i Afsuz* or ‘Ephesus’.¹⁰⁹ All relate, of course,

to the persecution of the Christians by the Emperor Decius which entered into legend in the story of the Seven Sleepers of Ephesus. Its occurrence throughout Asia, however, is due to traditions that arrived with Islam rather than the Romans, for the tradition is preserved in the *Qur'an* (18: 9–27).

While hardly 'Roman', one aspect of Roman Syria was to have a profound effect upon Central Asia for over a thousand years. This was Nestorian Christianity. We have already observed above ('Rome in India') how the Nestorian Syrians, following their persecution in the Roman Empire, became active after the fifth century in the sea trade with the East. They were similarly active inland. The combination of Christian missionary activity and the Sasanian deportations from Syria had already made Christianity an important minority religion in Iran by the third century, with missionaries reaching Merv in Central Asia by AD 200 or earlier.¹¹⁰ In 410–15 Syrian sources describe the establishment of a Metropolitan See in Samarkand. In 635 Chinese sources mention a Nestorian missionary from Syria establishing a church in Xinjiang, with further missions bringing Christianity to China after the early eighth century.¹¹¹ It took root in Central Asia as early as the fifth century, becoming one of the religions of the Soghdians after the sixth century and of the Uighur Empire of Xinjiang after the eighth. Many archaeological discoveries, in both the former Soviet Union and in China, attest to its importance. These have included the churches themselves as well as paintings and manuscripts written in Aramaic as late as the twelfth century. From the Uighurs, Nestorianism passed to the Mongols, forming an important element – not to mention a strong Christian lobby – in the Mongol elite following the conquests of Chingiz Khan. Nestorianism in Central Asia was the main origin of the medieval European legends of Prester John, the oriental Christian emperor who would supposedly join forces with western Christendom to drive the Muslims from the Holy Land. One Nestorian priest from Peking even performed mass for Edward I of England at his court in 1297.¹¹²

Chinese sources offer little more. Later Han annals refer to the arrival of 'Romans': an envoy representing 'the King of Da-tsin, An-tun' in 166. Da-tsin is the later Chinese name for Rome and 'An-tun' is presumably Marcus Aurelius Antoninus. This 'envoy' landed at Annam and was probably a Syrian – or Palmyrene – and was almost certainly a private merchant rather than an official representative of Marcus Aurelius. In 226 another 'Roman' merchant called Ts'in Lun (probably another Syrian) reached Vietnam and China, being received by the Emperor Wu at Nanking, and in 284 another trade delegation from Roman Egypt presented the emperor of China with goods. Chinese sources also mention jugglers from Syria between the second century BC and second century AD. Syria was certainly famous for its jugglers in the Classical sources. All of these chance remarks, however, are not evidence of any overland 'Silk Route', but merely of sea trade.¹¹³

In contrast to the Roman lack of interest in lands to the east of their borders, the first main Chinese imperial expansion in the first few centuries BC was marked by a drive to the west, together with efforts to gain first-hand intelligence. The most energetic of the Han emperors, Wu Ti (141–87 BC), sent his general Chang Chi'en on two diplomatic missions westwards. Chang travelled as far west as Ferghana (mainly in present-day Uzbekistan), gathering intelligence on all the lands he passed through, as well as on Bactria, India and Iran. This resulted in Wu Ti establishing relations with Mithradates the Great of Iran in about 113 BC. At the end of the first century BC another mission was sent to Iran under Kan Ying. Although Kan Ying was apparently deliberately misinformed about the trade routes further west by Iranians anxious to keep it to themselves, some information about the western lands and the Roman Empire was brought back. The Emperor Yang Ti (605–17) tried unsuccessfully to establish direct relations with Constantinople, and there was a resurgence of Chinese interest in the

Roman Empire after the establishment of the Tang dynasty in 618, when a fairly detailed Chinese description of Constantinople was produced.¹¹⁴

Archaeological remains, despite their occasionally spectacular nature, add surprisingly little to the picture derived from literature. In the Far East, Roman or Roman-inspired Antonine intaglios were excavated at the site of Oc-Eo, a trading station in the Mekong Delta just inland from the Gulf of Thailand. At another Mekong site, P'ong Tuk, a Roman bronze lamp was found, and a coin of Maximian was found in the Tonkin region. But it must be emphasised that such chance remains – and even the mentions in the Chinese sources – are no more than occasional snippets. Any evidence for Roman trade with south-east Asia is largely illusory, more likely to have arrived through Indian middlemen rather than directly, and in any case is evidence for sea trade, not overland.¹¹⁵ In Central Asia there have been occasional Roman coin finds, from Tiberius through to Justinian, as well as a few other Roman and Roman-derived objects: vessels, terracottas, glass, bronze, jewellery, etc. Most of the coin finds, however, are from stupa deposits, so are questionable as evidence for trade, and none compare in quantity with those found in India.¹¹⁶

Perhaps the most spectacular archaeological evidence for a Roman cultural presence in Central Asia is the discoveries at Begram. Begram was one of the royal cities of the Kushan Empire in the first–third centuries AD. Two rooms excavated in the palace contained an astonishing treasure that comprised an eclectic collection of art works from all over Asia. It included stuccoes, bronzes, ivories from India, lacquers from China and – most important for the present discussion – among the most impressive collections of Roman glassware ever discovered (Plate 3.11). The treasure has been interpreted variously, mainly as either a private royal collection by the Kushan kings for aesthetic purposes – an ancient ‘museum’ – or as tax in kind of the luxury goods which were traded through Begram, with the rooms in which they were found some sort of bonded warehouse. A similar major find recently has been a hoard of Partho-Roman silver objects found in Buner, North-West Frontier Province, Pakistan, dating from the first century BC to the first century AD.¹¹⁷ Either way, it is graphic evidence indeed for both the trade between China, India and the Roman world, and the nature of the goods traded.

Controversy, however, has centred on the date of the treasure. There are many archaeological and stratigraphical problems relating to the excavations in the 1930s and 1940s, so that dating has had to be dependent almost entirely upon stylistic analyses of the treasure itself. The objects range over a long period, making any date for their deposition difficult. Most opinion favours a mid-third-century AD deposition, but an important study has re-examined the glass in the light of current knowledge of Roman glassware.¹¹⁸ The glass is seen to be fundamentally different from the Roman glass of Syria and Palestine, the closest similarities being with Egyptian glass. Some might even have originated in Yemen. The glass is now re-dated to between 50 and 125 AD, favouring an early second-century AD date for the concealment of the treasure.¹¹⁹

The artistic implications of this are discussed more below (‘Romano-Buddhist’ art). More important for the present discussion are the Egyptian and Red Sea origins of the glassware. This implies that the glass reached Begram by sea via the Red Sea and India, thence by land to the Hindu Kush, following the route described above (Roman trade with India) and in the *Periplus*. If the route was directly overland, it is far more likely that the objects would be from Syrian workshops rather than Egyptian and Yemeni. The Begram treasures, therefore, support a sea route from the Mediterranean to Central Asia, rather than the land route initially implied.¹²⁰

The Roman Empire could provide little that China wanted. Glass was important, and the Begram glass might have been en route to China, but most glass found in Xinjiang is



Plate 3.11 Roman glass beaker from Begram depicting a gladiator (photo © RMN-Grand Palais (musée Guimet, Paris)/Thierry Olivier)

probably of local manufacture, not Mediterranean. Silk, the most publicised item of the China trade, in fact only arrived indirectly via India, rather than on any purported 'Silk Route' overland through Asia.¹²¹ Despite its glamour, silk into the Roman Empire was never the main commodity imported from the East, but was far exceeded by spices and other commodities. With little to trade in return, commodities had to be paid for in gold coinage. This makes the almost complete absence of Roman coins in China all the more surprising, especially when compared to India. Apart from a few isolated finds the only hoard was one consisting of sixteen coins from Tiberius to Aurelian found at Xian, and some Byzantine gold coins found in Xinjiang. Once again, this paucity suggests that the China trade was largely indirect, coming via India rather than overland through Iran.¹²² The real question that must be posed concerning Roman trade with China, therefore, is not what *Roman* objects are found in China, but what Indian?

The route to China from the Mediterranean was, therefore, at best indirect. It was mainly subordinate to the India trade and the sea routes; there were never any direct overland routes established with China. The overland trade that did exist went from either the Persian Gulf or (mainly) the Red Sea to Barygaza (Broach) in north-western India; in other words it was indistinguishable from the India trade reviewed above. As for Palmyra's much vaunted part

in this 'Silk Road', it formed more a part of the sea routes, for Palmyra's trade went down the Euphrates and thence to the Persian Gulf.¹²³ From Barygaza the trade went northwards through the Kushan Empire that straddled north-western India and Central Asia, and perhaps eventually to China, with much of the mechanism of the trade probably in the hands of Soghdian merchants from the environs of Samarkand.¹²⁴

From the Chinese end, any drive towards the west was motivated above all by the problem of the Hsiung-nu and other warlike nomadic nations on their north-west frontier, not by any interest in the Roman Empire and even less by what goods they had to offer. The nomad problem dominated Chinese politics obsessively for many centuries – as the Great Wall today bears mute testimony – and Chinese policies in Central Asia were invariably diplomatic and military efforts at out-manoeuvring the steppe nations. Above all, under the Confucian principles that governed China, trade was far too low class for government to demean itself with and merchants were severely restricted, mainly to prevent them from acquiring land and moving 'up class'. In Han and Later Han China, farming rather than trade was regarded as the roots of both society and economy. The official policy was to despise trade and merchants as 'lesser occupations' – indeed, in the Northern Wei period (fourth–fifth centuries) the status of merchants and traders was not much higher than that of slaves. When it did figure, it was aimed at gaining control over the sources of raw material – such as jade – and livestock in the Tien Shan Mountains.¹²⁵ Trade further west was of negligible interest, and efforts by the Han Chinese to 'link up' with some hypothetical trans-Asian caravan routes entirely myth. Political contacts further west – such as those established with the Parthians – were solely to diplomatically outflank the Hsiung-nu rather than for trade.

None of the routes, therefore, were part of any concerted overland trade mechanism aimed at linking China and the West. Of the few bits of both archaeological and literary evidence that we have, by far the bulk supports a mainly sea route to north-western India, thence through the Kushan Empire. Other overland routes were almost negligible in terms of Roman trade links with Central Asia. In reviewing the evidence for these routes, one senses that we have inherited a little of our Victorian forebears' obsession with routes, dominated as it is by concerns with wheeled transport. In actual fact, established routes – lines drawn with such confidence across maps – were largely non-existent in ancient times, the itineraries of Isadore of Charax (or the ninth-century Arab geographers) notwithstanding. There were rarely ever 'roads' or even trade mechanisms in the organisational sense, with all the associated paraphernalia that define roads and trade routes in more recent history. Ancient 'routes' were nothing more than amorphous networks, with many minor branches and offshoots subject to endless fluctuations and variations. They even varied from journey to journey as well as period to period, depending upon the myriad factors of supplies, water, trading opportunities, political circumstances and security. In the days before wheeled transport a route need not even be a track: for animal transport, anywhere barring high mountains would qualify as a route – and even many high mountainous regions qualified. The greatest mountain 'barrier' in the world, for example, the Pamir and Karakorum 'knot', may appear impenetrable but was honeycombed with routes in antiquity where only one exists now.¹²⁶ It seems ironic that in such terrain the motor car and twentieth-century technology creates far more barriers than they conquer. Any ancient 'route', therefore, was at best simply a broad channel of communications across a region for the movement of people, goods and ideas. It rarely followed any fixed pathway. It was never transcontinental.

In reviewing the vast literature on the subject, one gains the impression that the whole story of Rome–China trade has been vastly exaggerated by the myth of the Silk Route. The images it evokes now could not be further from the truth. Such is the power of the Silk

Road today that few realise that the whole thing is a modern fabrication. The term was only coined in the mid-nineteenth century by the Baron von Richtofen, a German geographer of Central Asia, to describe the largely imagined silk trade with China in Roman-Han times.¹²⁷ Even then the term did not come into common usage until the twentieth century. The person now probably associated with the 'Silk Road' more than anybody else, Marco Polo, never mentioned the term. It was not until 1938 that a book entitled *The Silk Road* was first published by Sven Hedin, one of the main explorers of the region. Another work of the same title was published in 1966.¹²⁸ Since then the term has not only gained credence, but has caught both popular and academic imagination with an enthusiasm out of proportion to the evidence. Any number of spurious tracks throughout most countries between the Mediterranean and China are confidently pointed out as 'the Silk Road' – not only by tourist guides but by academics who should know better. Modern scholarship has become almost obsessed with the idea and virtually all discussion of Roman trade with the East revolves around it, with the 'Silk Road' being the glib answer to all questions of trade and communication. It is significant that Edward Gibbon, writing of Roman trade with the East, makes no reference to any purported 'Silk Road' or route. Eastern trade was seen – correctly – solely in terms of Arabia and India, China is not mentioned, and silk only referred to in passing in reference to India and the spice trade.¹²⁹ Today, there seem to be few references to the history or geography of the inner Asian countries without some reference to it. The fall of the Iron Curtain in 1989 prompted a virtual 'Silk Road' mania. Its 'reopening' has been formally announced on several occasions, even by heads of state, and there is a rash of 'Silk Road' conferences, projects, exhibitions, institutions and publications of every description at highest academic level.¹³⁰ The 'Silk Road', in other words, has now been elevated to unquestioned fact.¹³¹

But the fact remains that the existence of the 'Silk Road' is not based on a shred of historical or material evidence. There was never any such 'road' or even a route in the organisational sense, there was no free movement of goods between China and the West until the Mongol Empire in the Middle Ages, silk was by no means the main commodity in trade with the East, and there is not a single ancient historical record, neither Chinese nor Classical, that even hints at the existence of such a road.¹³² The arrival of silk in the West was more the result of a series of accidents rather than organised trade. Chinese monopoly and protectionism of sericulture is largely myth.¹³³ Despite technology existing in ancient China far in advance of anything in the West, most of it did not reach the West until the Middle Ages (usually via the Mongols) when much of it was already up to a thousand years old.¹³⁴ Both ancient Rome and China had only the haziest notions of each other's existence and even less interest, and the little relationship that did exist between East and West in the broadest sense was usually one-sided, with the stimulus coming mainly from the Chinese. The greatest value of the Silk Road to history is as a lesson – and an important one at that – at how quickly and how thoroughly a myth can become enshrined as unquestioned academic fact.¹³⁵

'Romano-Buddhist' art

If one were to place a second-century AD sculpture from a Buddhist monument in Gandhara next to a Roman sculpture from the Mediterranean of the same period, the differences would be indistinguishable to anybody but an expert (e.g. compare Plates 3.12 and 3.13). The undoubted 'Roman' appearance of much of the Buddhist art of north-western India – Gandharan art – and Central Asia in the first few centuries AD is the most dramatic and graphic evidence of Rome beyond its frontiers. Not surprisingly, it has prompted wide speculation.¹³⁶



Plate 3.12 Fragment of a Gandhara sculpture in the Lahore Museum



Plate 3.13 A Roman sarcophagus sculpture in the Antalya Museum

The region known as Gandhara straddles the borderland of northern Pakistan and eastern Afghanistan, centred around Peshawar and Charsadda. Other centres were Taxila, near Rawalpindi, to the east, the Swat Valley to the north, and Hadda, near Jalalabad in Afghanistan, to the west. This was the heart of the ancient Greek kingdom of India, founded by the Greeks of Bactria in the middle of the third century BC.¹³⁷ Indo-Greek civilisation was hybrid, a mix of Greek and Indian as well as Central Asian and Iranian elements. Its predominant elements were the Buddhist religion and Classical art. The fusion of these two completely foreign elements eventually produced the art style known Gandharan.

Gandharan art evolved between the first century BC and the first century AD. Politically, this was a confused period, for the old Indo-Greek kingdom had collapsed in the face of successive Scythian, Parthian and Kushan invasions from the north. The latter were originally a nomadic nation from the western Chinese borderlands. The Kushans carved out an empire centred on Gandhara that straddled the Indo-Iranian and Central Asian borderlands. It lasted until its overthrow by Sasanian Iran in the middle of the third century AD. The Kushans adopted both the Hellenistic art and the Buddhist religion of the lands they conquered, providing Gandharan art with political expression.

Gandharan art – usually sculpture – is almost entirely Buddhist in subject matter but displays essentially Classical styles. The term ‘Romano-Buddhist’ was first coined to describe this in 1876. The characteristic style consists of relief panels adorning virtually every wall surface of stupas (Buddhist shrines), as well as associated chapels and monasteries (Figure 3.6).



Figure 3.6 A votive stupa from Taxila (After Marshall)

These wall surfaces are often divided horizontally by Classical entablatures, with relief panels separated by pilasters, usually in the Corinthian order. Both the entablatures and the Corinthian capitals are often heavily debased and in themselves may not reflect direct Roman influence.

More 'Roman' in style is the sculpture itself. Its characteristic technique of depicting a story in relief as a series of episodic panels is believed to be derived from Roman models (e.g. the Column of Trajan), rather than Indian, where continuous narrative was the norm. A more important iconographical element purported to be from the West is the Buddha image itself. Previous to about AD 100 (the date is subject to dispute), the Buddha was depicted in Indian art in the abstract, e.g. as a footprint. The first Buddha images, which appeared after this date, are believed to have been modelled after statues of the Roman emperors: iconographically, the authority of the Founder, i.e. Buddha, in Buddhist art is linked to the Roman cult of the emperor (compare the two figures in Plate 3.14). This is one of the most predominant themes in Buddhist art. The closest similarities are with the Roman art of the mid-second century.

The strongest links are not so much the iconographic concepts but the actual appearance of the sculpture itself. The resemblance is astonishing (Plate 3.15). The similarity of a standing Buddha found at Mardan to Roman emperor statues, for example, is one of the



Plate 3.14 Gandharan Buddha statue compared with a statue of Emperor Augustus



Plate 3.15 Bust of a bodhisattva from Hadda, Afghanistan (photo © RMN-Grand Palais (musée Guimet, Paris) / Thierry Olivier)

most famous and frequently cited examples.¹³⁸ There are many others. The British Museum relief of the Indian goddess Hairiti would not be out of place in a western church depicting the Virgin and Child, for example, while another extraordinary relief depicting a balcony scene might almost be from a Roman triumphal arch or sarcophagus (Plates 3.16 and 3.17). Indeed, the sarcophagi art of the Roman world probably provides the closest similarities to Gandharan art. Other recurring motifs include: mythical beings from Greek mythology, such as ichthyocentaurs, tritons, gryphons, etc.; Atlas figures, often depicted supporting Classical entablatures; cupids; garlanding and other details of Classical ornament, such as tendrils, bead and reels, egg and darts, palmettes, etc.; the almost universal use of the Corinthian order (Plates 7.37 and 7.38). Many Indian deities are depicted in well-known Classical guise: Vajrapani, for example, is depicted as Heracles, Hairiti as Tyche, and Dionysiac imagery constantly recurs. There is even a puzzling Trojan horse relief that could only have been inspired by Virgil rather than Homer (Plate 3.18). Such works bear almost no relation to contemporary or earlier Indian sculpture, and almost as little with the Hellenised art of Palmyra or Parthia.¹³⁹

Perhaps the most striking are the large numbers of portrait busts in Gandharan sculpture, particularly the stucco sculpture from Hadda in Afghanistan (Plate 3.13). Some,



Plate 3.16 Gandharan relief of the Indian goddess Hairiti with children from northern Pakistan (photo © British Museum)

such as the Heracles relief from Tepe Shotor, appear almost identical to Roman art in Asia Minor or Syria of the first-second centuries AD. Most of the Hadda stuccoes are generally thought to be later, occurring after the third century, although there are problems with dating. One authority sees these stuccoes as ‘essentially Greek figures, executed by artists fully conversant with far more than the externals of the classical style’.¹⁴⁰ They are usually heads of Buddhas or Bodhisattvas which form part of a relief narrative, but now occur mainly in isolation in museum collections. Such busts closely resemble Roman portrait sculpture – the resemblance of one Bodhisattva to the ‘Antinous’ sculptures of the Hadrianic period is a particularly famous example (Plate 3.13).¹⁴¹ These stucco busts are thought to be the result of mass-production, the stucco medium lending itself more easily than stone to imitative workshops. The dominance of Alexandrian stucco workshops is emphasised in this context. Much of the Roman style of Hadda appears to anticipate European Gothic art a thousand years later: just as late Roman art in the West evolved into Gothic, late Romano-Buddhist art in the East evolved into the ‘so-called Gothic art of Hadda’.¹⁴²



Plate 3.17 Gandharan relief depicting a scene from Buddhist mythology (photo © British Museum)



Plate 3.18 The Trojan horse on a Buddhist stupa (photo © British Museum)

There are three channels from which this 'Roman' art might be derived. First, as a natural evolution of the Hellenistic art of the same area (Bactrian Greek), a parallel evolution to the development of Roman art itself in the West. Second, as direct influence by itinerant groups of artists from the Roman Empire. And third, as indirect influence stemming from Romanising elements in Iranian art.¹⁴³

Its evolution from Greek art of the same region – the Hellenistic successor states of Bactria and India – at first appears the most plausible. After all, the Hellenism was undoubtedly there, and the Roman appearance of the later art seems as logical an evolution as Roman art in the West, which evolved similarly from Hellenistic art. It has certainly won eminent supporters, including the scholar who, perhaps more than most, rediscovered Gandharan art, Alfred Foucher.¹⁴⁴ The main objection, however, is that the Greek kingdoms disappeared long before the appearance of the first syncretic Gandharan art style, with the Hellenism of Bactria dwindling almost to 'vanishing point'; there seems no smooth, artistic transition such as occurred between Hellenistic and Roman art in the West. Consequently, there are too many missing links to enable a convincing evolution to be documented, and only the arrival of entirely new contacts with the West can explain the style. There is almost no Buddhist art, for example, at Taxila before about AD 50. Argument, therefore, has revolved around efforts to date many of the pieces with demonstrable western influence as early as possible.

After the initial discovery of Gandharan art, these arguments gradually gave way to those who favoured a more direct Roman origin for the style. But the argument still has much to recommend it. To begin with, the post-Hellenistic invasions – Scythian, Parthian, Kushan – which brought the Hellenistic kingdoms to an end were neither a 'dark age' nor a material break. The archaeological record indicates far stronger continuity in the region than previously believed, with the invaders promoting and continuing Hellenism rather than extinguishing it. The absence of artistic links, therefore, does not mean that such links never existed. They may yet be found – indeed, our knowledge of the archaeology of Afghanistan is notoriously inadequate. The discovery and excavation in the 1960s and 1970s of the Greek city of Ai Khanum on the Oxus has demonstrated beyond doubt that Greek art did take root in the region, fusing with local elements. Finally, relatively recent studies have focused on a hitherto neglected aspect of Gandharan art. These are the so-called palettes or 'toilet trays', dated between the late second century BC and the first century AD, belonging to a Hellenistic tradition that originated in Anatolia or Alexandria. The art of these stone palettes has done much towards filling gaps in the evolution.¹⁴⁵

Most discussion, however, has centred on the second hypothesis, that 'the art must have been a product of journeymen craftsmen from the Roman east' – and the schools which they founded – working in north-western India after the first century AD.¹⁴⁶ Only such direct influence can explain the close similarities. Hence, anonymous Roman sculptors have been postulated arriving in India, either forming entourages in official diplomatic delegations or travelling independently. While no such delegations are known, the reverse is attested, such as the 'embassy' to Antoninus mentioned by Aurelius Victor. This is interpreted as coming from one of the Kushan emperors, either Kanishka or Vasishka. It is suggested that such a delegation might have returned from Rome with sculptors with the specific intention of lending an appropriately 'imperial tone' to Kushan sculpture, our worthy Kushan rustics having doubtless been bowled over with the glories they saw in Rome itself. A sculptor in the wake of such a delegation might have trained an assistant who was responsible, for example, for the undoubted Roman influence in the Calcutta frieze.¹⁴⁷ Support for such hypothetical Roman sculptors roaming the East is seen in the 'Yavana' (i.e. Greek, presumed Roman) artisans mentioned in southern Indian literature at the time, or the apocryphal story of the journey of

St Thomas – a carpenter – to Taxila in the first century AD. The collection of such a travelling artist's stock of finished and unfinished Graeco-Persian gems was found at Taxila.¹⁴⁸ The spectacular discovery of the hoard of Roman glass at Begram (discussed above) in Afghanistan certainly demonstrated links with the art of the Roman world (Plate 3.11). While these were merely trade items, and not Roman works of art produced locally, Begram and the other trade links reviewed above in this chapter may provide a background for the possibilities of artists arriving in the wake of the objects.

An important recent study has re-examined the Roman glassware and other Roman-related objects at Begram, re-dating them to the first and early second centuries AD rather than the mid-third century as previously thought. Thus, the Roman objects at Begram now provide us with the required Roman 'missing link' before the formative period of Gandharan art. Indeed, the Roman-inspired plaster palettes from Begram might well have formed a part of samplers of a western craftsman at the Kushan court.¹⁴⁹ Against this, it must be borne in mind that such efforts to re-date the Begram treasure have centred almost exclusively on the Roman glass; equally exhaustive studies of the Indian ivories and Chinese lacquers that also make up the treasure need to be incorporated before a conclusive date for Begram can be arrived at.¹⁵⁰

Other speculation has emphasised the importance of Hellenistic elements in Iranian art of the same period. The sculpture at Palmyra, Hatra and Shami in south-western Iran, as well as the paintings at Dura Europos, belong to this 'Irano-Hellenistic' style. Here, the frontality of the art, as well as the dress, the ornament and the framing of the reliefs are seen to be essentially Iranian variations on an underlying Hellenistic theme (Plate 2.38). Many of these elements, however, especially frontality, more probably originate in Arabian funerary architecture.¹⁵¹ These variations are also found in the Gandharan art of north-western India, and are therefore seen to be an extension. In this context the precarious nature of Greek art in Bactria has been emphasised as well as, perhaps, its shallowness, in contrast to the remarkably more durable arts of Iran.¹⁵² The stucco medium of, for example, the Hadda busts, which are generally late, is also thought to be inspired by Iranian art which favoured the stucco medium, particularly after the Sasanian occupation of Gandhara in 241.¹⁵³ But while such connections undoubtedly exist, the Roman element in Gandharan art is far more pronounced than the Parthian – or even Palmyrene – calling for more complex explanations which suggest more direct links.

In Central Asia, artistically an extension of the Gandharan art of north-west India, many more Classical elements have been recognised. Third-century AD 'Greek' seal impressions depicting Eros, Hermes, Pallas, Heracles, Zeus and other mythological figures were found at Niya in Xinjiang.¹⁵⁴ An important group of wall paintings were recovered from Miran on the southern rim of the Takla Makan Desert in Xinjiang which show astonishing western influence. They have been dated broadly to the third-fourth century AD – the site itself was abandoned in the fourth century. The group betrays specifically Roman rather than Hellenistic characteristics: Roman techniques of portraiture, the treatment of the drapery and the use of chiaroscuro (a clear coat of paint laid over the highlights that was to become popular in Byzantine art). One of the paintings is actually signed by the artist, Tita, presumed a variation of the name Titus, which suggests a Roman origin. All the stylistically related paintings from Miran appear to be by the same hand so it is suggested that Tita might have been a master heading a group of itinerant artists. Other paintings from the caves at Qizil, on the opposite side of the Takla Makan Desert to Miran, have Classical friezes in perspective that 'might easily have graced a Roman wall'. The figures themselves, however, are more Indian in style.¹⁵⁵ It might be that the borders, which depict the friezes, were painted by different artists from those

who painted the figures, i.e. local and foreign artists (from the West?), a common technique of wall painting. The Miran paintings – those by Tita and his ‘school’? – have been related stylistically to a group of sculptures of the same date from Swat. How a Roman artist came so far east, let alone practised so widely over an area almost as large as the Roman Empire itself, must be a matter of pure speculation, but too much must not be pinned onto what are, after all, stylistic speculations.¹⁵⁶

At the Soghdian site of Qafa-i Kahkaha in the western foothills of the Tien Shan a seventh-century wall painting of a she-wolf suckling two infants – Romulus and Remus? – suggests Roman derivation, but it is probably too far distant for the resemblance to be other than coincidental.¹⁵⁷ Distant Classical derivatives, such as debased Corinthian capitals and Classical decorative motifs, are also found in the paintings of Xinjiang, but derived via Gandhara rather than directly from the West, as well as further east into central China (such as the Big Goose Temple in Xian: Plate 3.19). In Central Asian art as a whole, the emphasis given to the human form has been viewed as a western element.¹⁵⁸ Overall, however, the Xinjiang paintings are more Irano-Indian than Romano-Indian (with the notable exception of Miran). One authority concludes his examination of this region by commenting on the tenaciousness of earlier Persian art forms on the Eurasian steppes, found in objects as far away as Siberia to the east and the Ukrainian steppes to the west, that were never matched by Classical forms.¹⁵⁹

The real problem lies in the state of Gandharan art itself. The story of its rediscovery since the nineteenth century is sad tale of despoliation of sites, looting and accidental discoveries. By far the majority of objects in museum collections are divorced from their contexts, with very few from controlled excavations. It is a problem that continues today more than ever before: the destruction and looting of the National Museum in Kabul and



Plate 3.19 Big Goose Temple, Xian, where the pilasters and entablatures are distantly derived from Classical architecture via Gandhara

the illicit excavations in Afghanistan are merely the latest episodes in a long and ongoing story.¹⁶⁰ Consequently, it is impossible to date much of the sculpture closer than first century BC to sixth century AD, and chronology is the biggest problem that continues to plague the study of Gandharan art. Most is divorced both from its sculptural and archaeological contexts, as well as the broader social and historical contexts that might provide us with the information to fill the many gaps in its evolution. Gandharan art and the academic questions which surround it, perhaps more than most other art styles, is a victim of its own intrinsic collectability and value.

Much discussion has concerned the conceptual origins of the Buddha image. In particular, it has been argued that this was directly inspired by the Roman cult of the emperor, central to the Buddhist iconographical cult of the authority of Buddha (Plate 3.14). The origins of such a cult, however, whether of the Roman emperor or the Buddha authority, lie not in Roman art but in Persian. The cult of the Great King is one of the most predominant themes of Achaemenid art, emphasised at Persepolis and continuing as a major Iranian artistic theme in the rock reliefs of the Sasanian emperors (e.g. Plate 3.8). It has also been argued that the development of the Buddha image occurred entirely within the religious development of Buddhism in India, and not as a response to any western ruler concepts.¹⁶¹ The episodic style of Gandharan reliefs, believed to derive from Roman art, also occurs far earlier in the Persepolis reliefs.

In postulating how Roman artisans might have arrived in India, speculation has centred around the various delegations to Rome from 'Bactria' and elsewhere in the East which the historical sources mention, such as those which came to Augustus.¹⁶² It is suggested that such delegations might have brought back groups of artists with them. But the central point of these delegations is that, if anything, they are evidence of an *east to west* movement, not the reverse: we have virtually no evidence that such delegations were reciprocated by Rome, our only evidence is for Indians coming to Rome. Other speculations on the artistic links have looked to the Roman trade with southern India, reviewed above, for an explanation. Ancient southern Indian sources certainly mention 'Yavana' artisans working in southern India. But even if the Yavanas were Romans (and it is unlikely the term was so precise, as we have noted), no 'Romano-Indian' art has been found in the south, where all the archaeological evidence, such as it is, suggests that it should be. It is all in the north-west, which had few links with the south – and even fewer links, apart from artistic, with the Roman world. Indian literature furthermore makes no mention of Yavanas – craftsmen or otherwise – in the north at this time.

The similarities between Roman and Gandharan sculpture are self-evident – and overwhelmingly persuasive enough to be convincing of artistic links. But the 'missing links' that have been put forward – a hoard of Roman glass here, an unexplained series of stuccoes there, the occasional intaglio elsewhere – are not enough. What is lacking are sculptures themselves to provide missing links, as it is in sculptural art that the similarities exist, not glassware or other objects. What is more lacking, however, is any convincing historical framework that would have allowed such links to form. Central to the argument is the existence of 'journey-men craftsmen' from the Roman world. The idea that a hypothetical sculptor in an entirely hypothetical entourage of an equally hypothetical Roman delegation to the Kushan court might have trained local craftsmen in Roman sculpture to influence a subcontinent is so ridiculously tenuous as to appear unworthy of serious consideration. The picture of itinerant groups of Roman sculptors – swags of samplers, patterns and tools over their backs, wandering around from court to court in parts of remotest Asia that few of even the best educated Romans had ever heard of, teaching the natives how to sculpt in languages mutually incomprehensible, heads brimful of abstruse iconographical concepts – somehow lacks conviction.

Even more lacking is any cast-iron archaeological or social context in which the hypothesis might be placed.

Most of all, it must be pointed out that the controversies over Graeco-Bactrian versus direct Roman versus Irano-Hellenistic origins for Gandharan art are not in conflict: *all* hypotheses must be substantially correct. None of the hypotheses can by themselves account for the unquestionably western character of the style. But the combination of *all* forces and influences is the only possible explanation for perhaps the most extraordinary syncretism in art history. To argue for one hypothesis over the others is to miss the point.¹⁶³

Notes

- 1 Wheeler's (1954) classic, but now outdated, work has long been the standard account of this presence.
- 2 Cimino (ed.) 1994: 12–14, quoting various Latin authors. For Cyrus' vision see Frye 1963: 109–11; Ball 2010: Chapter 4.
- 3 Suetonius *Nero* 19.
- 4 According to Strabo 2. 13. 3, an account, now lost, was written of this campaign by Dellius, a friend of Antony's who accompanied him as one of the army commanders. Our main source for this campaign is Plutarch *Antony* as well as Strabo 2. 13 and Dio 49. 24–32. See also Minorsky 1945; Bivar 1983: 58–65; Southern 2012.
- 5 Moses Khorenats'i (2. 22) in fact attributes the entire defeat of Antony's force to the Armenians.
- 6 According to Moses Khorenats'i 2. 23, who, in writing a national Armenian history, had every reason to play down Armenian defeats and Roman successes. See also Lang 1978: 139.
- 7 Dio 49. 32. 2.
- 8 Tacitus *Annals* 12.13. Stein 1940: 324–46; Boyce and Grenet 1991: 84–6 and refs; Sherwin White and Kuhrt 1993: 77 and refs.
- 9 For Takht-i Sulaiman see Schippmann 1971: 339–41; Herrmann 1977: 113–18 and Matheson 1976: 102–4 and refs.
- 10 Minorsky 1945; Bivar 1983: 63–4; Boyce and Grenet 1991: 70–9.
- 11 Kleiss 1973; Herrmann 1977: 82.
- 12 Herodian 6. 6. 1–3. See also Chapter 1.
- 13 See Chapter 1. See also Minorsky 1945.
- 14 This expedition is related in Strabo 16. 4. 22–4, and (more briefly) Dio 53. 29 and Pliny 6. 32. 160–2. For modern accounts see Pirenne 1961: 93–124; Isserlin 1984; Sidebotham 1991: 20–30; Groom 1996. See also Young 2001: 100–1, who interprets the campaign in terms of military gain rather than the usual economic and trade reasons.
- 15 Bowersock 1983: 96–9.
- 16 Strabo 1. 2. 32. For the Egyptian 'Land of Punt' in Hatshepsut's records and the story of the visit of the Queen of Sheba to King Solomon in 1 Kings 10, see Hourani 1995: 7–8.
- 17 Identified by Sir Lawrence Kirwan with Aynuna in Saudi Arabia. See Groom 1996: 5. Nappo (2010) locates it further south at al-Wajh.
- 18 Dio 52. 29. 8 calls it 'Athlula', Strabo 16. 4. 24, 'Athrula', known in south Arabian inscriptions as Yathil. See Doe 1983: 127–8.
- 19 See Doe 1983: 120–4.
- 20 Bowersock 1983: Appendix I. Shahid (1984b: 72–4), however, argues for a third-century AD date for this inscription, arguing that it formed a part of Imru'l-Qays' Arabian campaign during the time of Constantine, but this seems dubious. See Chapter 2, 'Tanukh and Queen Mawiyya'.
- 21 Hammond 1973: 22–5. See also Schmid 1999.
- 22 The *Periplus* (26) refers to the capture of Eudaemon Arabia – Aden – by Romans, but this is generally thought unlikely (see Miller 1969: 14–16). Herodian in fact describes a further expedition into south Arabia by Severus during his Mesopotamian campaign in 192: 'Severus hurried on into Arabia Felix . . . [and] destroyed many towns and village there . . . and plundered the countryside' (Herodian 3. 4. 3). This is almost certainly untrue, and Herodian is presumably confusing the Arabs of Arabia Felix with the Arabs of Mesopotamia, who would have inhabited the upper Tigris and Euphrates at that time. This was, in fact, probably Xenophon's 'Arabia' – see Donner 1986.

- Shahid (1984b: 72–4) also postulates a third-century AD campaign into south Arabia during the time of Constantine, basing his argument partly on the Barraqish inscription, but this too seems doubtful.
- 23 Plutarch *Crassus*.
 - 24 See Holt 1999: 55 n. 20 for a summary of sources. Lieu (1986) views this as part of a broader tradition of removing conquered peoples in the ancient Near East, e.g. Assyrian deportations, Babylonian exile of the Jews, etc.
 - 25 The Afghans traditionally claim to be descended from the lost tribes of Israel. See Caroe 1958: 3–7.
 - 26 Pliny *Natural History*: 6. 47.
 - 27 Staviskij 1995: 201–2.
 - 28 Herrmann *et al.* 1993: 43; see also Bader *et al.* 1995: 39–50.
 - 29 When the Syriac *Chronicle of Se'ert* identifies them as descendants of Alexander. See Lieu 1986; see also, 'Survivors of Edessa', below.
 - 30 Dubs 1957. See also Boulnois 1966: 64ff.; Ferguson 1978: 599–601, Franck and Brownstone 1986: 114–15 and Ishjamts 1994: 163–4. For the historical background to this story, see also Ma and Sun 1994: 240–3 and Zadneprovskiy 1994: 463–8. The whole story, however, has been passionately rejected by Raschke 1978: 679–81 (who describes Dubs' interpretation of the facts as 'a work of fiction of moderate interest'), although Ishjamts 1994: 163–4, Staviskij 1995: 200–2 and Baumer (2014: 25–7, Pl. 16) accept it.
 - 31 Staviskij 1995: 201.
 - 32 It was built on the 'Hippodamian' grid plan. See Adams and Hansen 1968. See also Herrmann 1977: 97–8; Lieu 1986: 6.
 - 33 Pigulevskaya 1963: various refs; Adams and Hansen 1968; Tafazzoli and Khromov in Litvinsky (1996) 92–3; Wiesehöfer 1996: 218–19.
 - 34 Matheson 1976: 156–7.
 - 35 But see the important discussion on city plans in Chapter 6.
 - 36 See Chapter 6, however, for possible eastern origins of this type of monument. Ghirshman 1956: 148–9; Matheson 1976: 238–41 and refs; Herrmann 1977: 101–5.
 - 37 Supposedly built, according to al-Tabari (4. 690), after Queen Khumani, the mythical daughter of Artaxerxes, sent armies against Rome capturing large numbers of POWs. For the Band-i Qaysar and other 'Roman' bridges, see Reuther 1938–9: 570–1; Pope (ed.) 1938–9: 1228–30; Matheson 1976: 140, 152, 156; Herrmann 1977: 97–8. Ghanimati (2000: 144–5) also detects the hands of Roman prisoners of war in the banded construction techniques of the early Sasanian religious complex at Kuh-i Khwaja in Sistan.
 - 38 The Sasanian emperor depicted is considered to be Ardeshir, not Shapur, according to Herrmann 1969.
 - 39 All published in the series *Iranische Denkmäler* as follows: Darabgird: Trümpelmann 1975; Bishapur 3: Herrmann and Howell 1981; Bishapur 1 and 2: Herrmann, MacKenzie and Howell 1983; Naqsh-e Rostam: Herrmann, MacKenzie and Howell 1989. See also Wiesehöfer 1996: 160–1.
 - 40 Sarre in Pope (ed.) 1938–9: 596; Herzfeld 1941: 314–17; Trümpelmann 1975.
 - 41 MacDermot 1954; Herrmann 1977: 92–4; Herrmann and Howell 1981; Whitby 1994: 235–6.
 - 42 Shapur *Res Gestae* in Frye 1984.
 - 43 Overlaet (2009) suggests the usurper Emperor Uranus Antoninus as the standing emperor in Darabgird and Bishapur 2 and 3.
 - 44 Sarre in Pope 1938–9: 597; Bivar 1972: 269–76; Whitby 1994: 236.
 - 45 Herzfeld 1941: 318–19. The fifth and lowest panel was only discovered in 1975; see Sarfaraz 1973 and 1975.
 - 46 Herrmann in Curtis *et al.* (eds) 1998: 42; Overlaet 2009.
 - 47 I am grateful to Georgina Herrmann for correcting my former words on the quality of the workmanship.
 - 48 Frye 1963: 247–53; Lieu 1986; Dodgeon and Lieu 1991: 163; Baum and Winkler 2000: Chapter 1; Baumer 2006: Chapter II; Ball 2010: 195–7.
 - 49 According to the Syriac *Chronicle of Se'ert*, the main source for this story, but they were more likely to have been descendants of the prisoners of Carrhae, still maintaining their 'Roman' identity.
 - 50 See Le Strange 1905: 408 for the church north of Herat. For an excellent overview of Christianity in Central Asia, including the Christian remains at Merv, see Litvinsky and Vorobyeva-Desyatovskaya

- in Litvinsky (ed) 1996: 421–6. See also Wiesehöfer 1996: 200–5; Baum and Winkler 2000; Baumer 2006.
- 51 Theophylact Simocatta 5. 6. 9–10; Lieu 1986: 499.
- 52 See Chapter 8.
- 53 Lieu 1986: 487.
- 54 Ever since Warmington's classic account of this was published in 1928 (new edn 1974) there has been an increasing literature on this subject. The standard for many years was Part 3 of Wheeler 1954; important publications since have been Miller 1969, Raschke 1978, Sidebotham 1986: 20–47, Begley and De Puma 1991, Cimino 1994, Boussac and Salles 1995, Reade 1996, Young 2001, Parker 2008, Tomber 2008, Seland 2010 and McLaughlin 2014, to name but a few of the many books on the subject. See also Schmittenhennner (1979) for a review of the literary evidence.
- 55 See Warmington 1974 for the extreme 'colonialist' view (albeit first published in 1928 when British India was at its height). Parish (1991: 225–6) views the idea that the foreigner played the dominant role in Indian commercial relations with the West as entirely colonial and post-colonial.
- 56 Cimino 1994: 10, with bibliography; Tomber 2008: 30, 141–3.
- 57 A compendium of these sources is in McCrindle 1901. See also Sastri 1927. For the *Periplus* see below.
- 58 McCrindle 1901: 103–4; Warmington 1974: 43 and 394b; Sidebotham in Begley and De Puma 1991: 30–5; Sidebotham 2011, especially Chapter 12.
- 59 Casson in Begley and De Puma 1991: 10. Even if one allows for much smaller Arab-style Indian ocean-going ships, rather than the massive Roman grain-carrying tubs of the Mediterranean on which Casson bases his calculation, the figure is still formidable.
- 60 Pliny 12. 41. 84; also 6. 26. 101; Wheeler 1954: 142; Miller 1969: 223–30; Sidebotham in Begley and De Puma 1991: 26–31; Cimino 1994: 17–18 and 141. Raschke (1978: 634–7) largely dismisses Pliny's evidence. See also Sidebotham 1986 and 2011, McLaughlin 2014.
- 61 Translations of *Periplus* by Schoff (1912), Huntingford (1980) and Casson (1989). See also Agatharchades of Cnidus 1990. See also Warmington 1974: 43, Wheeler 1954: 141–52, Miller 1969: 16–20; Whitehouse 1990; Casson in Begley and De Puma 1991: 8; Seland 2010: Chapter 3.
- 62 For the Yavanas see Tarn 1951: 254–8; Narain 1957: App. I; Warmington 1974: 60–8, 112, 261–2; Deo in Begley and De Puma 1991: 39; Raman in Begley and De Puma 1991: 125ff.; Cimino 1994: 64–70; Vassiliades 2000: Chapter 3. The whole question of the Yavanas and foreigners generally in Indian literature is fully discussed in Parish 1991: esp. Chapter 7.
- 63 About the only variant not derived from Ionia is, surprisingly, the name 'Ionian Sea' on the west coast of Greece, which has no linguistic connection to 'Ionia'.
- 64 As Boardman's (1994: 24) cautionary note emphasises.
- 65 Deshpande in Cimino 1994: 175–6.
- 66 For a summary of the numismatic evidence see Raschke 1978: 665–73; Turner 1989; Cimino 1994: 135–42; Gupta in Cimino 1994: 168–9. I am also indebted to a joint lecture on the numismatic and archaeological evidence given by David MacDowall and James Howell entitled 'Roman and sub-Roman finds in India' at the British Academy on 12 December 1994.
- 67 Ray (1994: 178) sees these as possibly reflecting the trade in wood for boat construction in India, rather than direct trade in other commodities with the Mediterranean.
- 68 A point emphasised by Deo (in Begley and De Puma 1991: 39) in his discussion of this evidence.
- 69 Will in Begley and De Puma 1991.
- 70 Orton in Begley and De Puma 1991. A similar argument has raged about the relationship between the earlier – fourth-century BC – 'northern black-polished ware' from India and Hellenistic 'black gloss ware' from the West. See Erdosy in Allchin 1995: 100–5 and Whitehouse in McNicoll and Ball (eds) 1996: 25–6 for the arguments.
- 71 For a summary of the archaeological evidence see Gysens, Colazingari and Deshpande in Cimino 1994: 148–57 and 182–3. I am also indebted to the joint lecture on the numismatic and archaeological evidence given by David MacDowall and James Howell entitled 'Roman and sub-Roman finds in India' at the British Academy on 12 December 1994.
- 72 Stern in Begley and De Puma 1991.
- 73 De Puma in Begley and De Puma 1991: 82–112.
- 74 Deo in Begley and De Puma 1991; Raman in Begley and De Puma 1991; Gupta, Raman and Deshpande in Cimino 1994: 167–83.

- 75 Wheeler 1946; 1954: 173–9; Casal 1949; Allchin 1995: 147–9. New excavations by the University Museum, Pennsylvania, and Madras University were carried out between 1989 and 1992; see Begley 1996.
- 76 Wheeler 1954: 174–5.
- 77 Raman and Comfort in Begley and De Puma 1991. It must be pointed out, however, that the terra siggilata was found in the industrial area, not in the houses (of which none were excavated).
- 78 Wheeler 1954: 178–9.
- 79 Whitehouse 1990: 490.
- 80 Ray 1994: 49 and 69.
- 81 The archaeological evidence for trade between Mesopotamia and the Indus civilisation since the third millennium BC hardly needs reiterating here. See, for example, Hourani 1995: 6–11 and Roaf 1990: 98 for a summary of the evidence.
- 82 Begley in Begley and De Puma 1991: 7; Gupta in Cimino 1994: 171–3; Shajan *et al.* 2004; Tomber 2008: 30, 141–3.
- 83 Cosmas Indicopleustes 11; Whitehouse and Williamson 1973: 32–3; Ball 1986a: 110–12; Whitehouse 1996; Parker 2008: 236–40; Kurikilamkatt 2005. For Christian remains on and near the Gulf, see for example Ghirshman c.1960, Whitehouse 1974: 21–3, Ball 1986a: 103, Okada 1992, King 1996.
- 84 Eusebius 5. 10, 3. 1; Lane Fox 1986: 278; Baumer 2006: Chapter X; Kurikilamkatt 2005. For Apollonius, see Chapter 8, ‘Julia Domna and the Arabs who ruled Rome’.
- 85 Procopius 1. 20. 9–13. Cosmas Indicopleustes (11. 337) writes that Taprobane (Ceylon) was ‘much frequented by ships from all parts of India and from Persia and Ethiopia, and it likewise sends out ships of its own’. No mention is made of Rome. See also Whitehouse and Williamson 1973 and refs; Rubin 1989; Carswell in Begley and De Puma 1991: 199; Coningham and Allchin in Allchin 1995: 172; Whitehouse 1996.
- 86 Warmington 1974: 145–260; Miller 1969: 25; Raschke 1978: 650; Grant Parker 2008: 147–65.
- 87 Peacock and Blue (eds) 2006; Sidebotham 2011.
- 88 de Ligt 1993: 74.
- 89 For the Roman involvement in the Persian Gulf trade see: Ammianus Marcellinus 14. 3. 3; Hourani 1995: 13–17; Whitehouse and Williamson 1973; Williamson 1972; Warmington 1974: 86–7, 131, 138; Gawlikowski 1994a, 1996a; Ray 1994: 53–7; Whitehouse 1996; Potts 1990. Sidebotham (1986: 15–17) in fact comments on the extreme paucity of Roman objects found in south Arabian archaeology.
- 90 See Whitehouse’s (in Begley and De Puma 1991) important cautionary notes on this matter.
- 91 Tomber 2008: 30.
- 92 Warmington (1974: 184–219, 258–60) summarises the Indian and Far Eastern products that the Arabians and Ethiopians kept secret from the Romans. See also Sidebotham 1986: 15. Raschke (1978: 654–5), however, argues for an East African origin of cinnamon.
- 93 Warmington 1974: 250–1.
- 94 As Raschke (1978: 673) cautiously notes.
- 95 Casson 1989: 75.
- 96 Ray 1994: 74–5.
- 97 Buccellati and Kelly-Buccellati in Weiss 1985: 221–2.
- 98 Casson in Begley and De Puma 1991. However, excavations at Berenike and elsewhere on the Red Sea, as well as discoveries of wrecks there, suggest that some of the ships at least were large sized ships of a Mediterranean/Roman type, as well as the Indian Ocean – sewn dhow – type, although the Roman type vessels might only have been for local use. See Sidebotham 2011: 195–205, Blue 2012; McLaughlin 2014: 95–100.
- 99 Ray 1994: 176–80.
- 100 Crone 1987: Part I; Hourani 1995: Chapter 3; Ministry of Information, Oman (1979); Severin (1982).
- 101 Whitehouse and Williamson 1973.
- 102 Crone 1987; Hourani 1995: Chapter 1.
- 103 Wheeler 1954; Ferguson 1978; Raschke 1978; Staviskij 1995; Graf 1996; Young 2001: Chapter 5; Wood (ed.) 2013; Whitfield and Sims-Williams (eds) 2004; Hill 2009; Baumer 2014; Ball 2015: Chapter 5.
- 104 Translated by Schoff 1914. See his extensive commentary, as well as Frye 1984: 275–81 and Fraser 1996: 88–93 and refs.

- 105 Pliny 6. 52; Strabo 11. 7; see also Staviskij 1995: 191–2.
- 106 Ptolemy 1. 11. 4–8, 1. 12. 2–10, 6. 16. 1–8, 6. 21.1 See Cary and Warmington (1929) 196–7, Ferguson 1978: 594. Interestingly, the name ‘Maes’ is a Scythian one: cf. the first-century BC Indo-Scythian king Maues. The name ‘Titianus’ or ‘Titus’ has also been associated with a painter at Miran in Xinjiang (discussed below), which also has associations with the Scythian kingdom of Khotan. At modern Tashkurghan, a major stopping place on the Karakorum Highway between Islamabad and Kashgar, there are some remains of an old fort and town, which is undated, although important seventh–fourth-century BC graves have been excavated in the vicinity. See Ma and Wang in Harmatta (ed.) 1994: 210–11. However, Tashkurghan is a common Turkish name in Central Asia: another Tashkurghan, for example, is the first town in Bactria after crossing the Hindu Kush from the south in Afghanistan, although the citadel mound there has revealed nothing earlier than fifteenth century. See Ball 1982: 269. Stein (1912, 1: 345) tentatively identifies the ‘Stone Tower’ of Hsiuen Tsiang with the site of Charkhlik further east in Xinjiang, to the south of the Takla Makan Desert.
- 107 Le Strange 1905: 314–15; none of the surface pottery, on superficial examination, could be dated, however, earlier than the ninth century – personal observation.
- 108 Stein 1912, 2: 359–61; Von Le Coq 1928: 56–7, 93–5.
- 109 Yate 1888: 151–8; Dupree 1980: 116–18.
- 110 As we have observed above, ‘Survivors of Edessa’.
- 111 Zhang Guang-da, and Litvinsky and Vorobyova-Destyatovskaya in Litvinsky 1996: 298 and 421–6.
- 112 This was Rabban Sauma. See Rossabi 1992.
- 113 Dubs 1957; Boulnois 1966: 71; Warmington 1974: 130–1, 262 and 394g; Ferguson 1978: 594–5; Graf 1996. There is considerable uncertainty over the Chinese names for the Roman Empire; ‘Da Tsin’ might refer to somewhere else altogether: see Hill 2009: 23–7, 254–7. Chinese envoys in Bactria in the late second century BC discovered to their surprise Chinese goods that arrived via India. See Miller 1969: 255–6.
- 114 Ferguson 1978: 591–9; Raschke 1978: 615–19; Graf 1996.
- 115 Warmington 1974: 125–6, 394f., 204–6; Ray 1994: 111–13.
- 116 Ball 1982: Sites 17, 122, 404, 428, 1000, 1065, 1087; Staviskij 1995: 192–200.
- 117 Baratte 2002. For Begram see Ball 1982: Site 122 for references; see also Tomber 2008: 122–4.
- 118 E.g. Taddei in Cimino 1994: 214–16.
- 119 Whitehouse 1989a.
- 120 Whitehouse 1989a, 1989b: 95.
- 121 Procopius 1. 20. 9. Even as late as the sixth century Cosmas Indicopleustes records silk as coming entirely by sea. See Miller 1969: 25.
- 122 See Ferguson 1978: 585, 590–1 and 595, and Raschke 1978: 625–30 for summaries of this evidence. For the Byzantine coin finds in the Tarim, see Zhang Guang-da in Litvinsky 1996: 292.
- 123 Gawlikowski 1994a, 1996a.
- 124 *Periplus*; see also Raschke 1978: 630; Whitfield 1999, various refs. Interestingly, the name of the medieval Genoese colony of Sudak on the Crimean coast is thought to derive from Soghdia. See Baumer 2014: 178.
- 125 Xinru Liu 1988: 48–9; Sinor (ed.) 1990.
- 126 See, for example, the map in Klimberg-Salter (1976) – or the vast number of guerrilla supply routes that sprang up through the mountains separating Afghanistan and Pakistan following the Soviet invasion.
- 127 An uncle of the better known baron of that name, the ‘Red Baron’. See Richtofen 1877: 454.
- 128 Boulnois 1966.
- 129 Gibbon 1: 54–6.
- 130 E.g. at the formal opening of the Iran-Turkmenistan rail link at the border town of Sarakhs in Iran on 13 May 1996, attended by eight regional heads of state.
- 131 For example, the series of specialist academic publications coming under the ‘UNESCO Silk Roads Project’ is only one of many such usages now in vogue in academia. Staviskij (1995: 191), for example, refers to the existence of the ‘Great Silk Road’ as ‘indisputable’.
- 132 Raschke (1978: 621–2) in fact writes ‘There is no reason to assume that efforts to organise the entire Silk Route from Syria to China enjoyed any lasting success until the later political expansion of the Arabs and Mongols.’
- 133 Raschke 1978: 622–3.
- 134 See Ronan and Needham 1978: Chapter 6.

- 135 Ball 2015: Chapter 5.
- 136 See Zwalf 1996: 67–9 for a summary of the controversy. See also Allchin *et al.* (eds) 1997; Ball 2008: 111–15; Baumer 2014: Part II; Perry 2014; Boardman 2015: 162–94.
- 137 Tarn 1951 and Narain 1957 are the main studies. See also Bernard in Harmatta (ed.) 1994; Holt 1999; Sidky 2000; Vassiliades 2000; Mairs 2014; Boardman 2015: Chapter 4.
- 138 Illustrated together, for example, in Higuchi 1984: 129.
- 139 Soper 1951; Errington and Cribb (eds) 1992: 118–35, ‘Classicizing statuary’; Boardman 1994: 125–45, with the ‘Trojan horse’ relief on p. 136; Zwalf 1996: pls 92, 98, 157, 340, 355–77, 470–1.
- 140 Boardman 1994: 143.
- 141 E.g. virtually any of the stuccos illustrated in Barthoux 1930; Zwalf 1996: pls 595–629, especially 616–19 from Hadda, and the uninhibited realism of the delightful ‘laughing youth’ of Pl. 626. For the ‘Antinous Bodhisattva’ see Barthoux 1930: Pl. 37. For the Heracles relief see Boardman 1994: 141–4.
- 142 Rowland 1977: 167–70.
- 143 See Boardman 1994: 122–3; Zwalf 1996: 67–9 for summaries of the controversy.
- 144 Foucher 1905–51; Boardman in Errington and Cribb 1992: 36–7; Boardman 1994: 122–3.
- 145 Francfort 1979a; Boardman 1994: 116–17; 2015: 142–53.
- 146 Wheeler 1949; Soper 1951; Wheeler 1954: Chapter 13; Wheeler 1968a: 149–71; Warmington 1974: 190–9; Rowland 1977: Part 3; Whitehouse 1989b; Santora in Cimino 1994: 225–7.
- 147 See Soper 1951. These ‘embassies’ are discussed more fully in Chapter 8, where they are interpreted differently.
- 148 Whitehouse 1989b: 94–5; Boardman 1994: 119.
- 149 Whitehouse 1989b.
- 150 A late first–early second-century date is now also preferred for the ivories. See Nehru 2004; Simpson 2011: 24–5.
- 151 Pietrzykowski 1985–6.
- 152 Boardman 1994: 99–108.
- 153 Rowland 1977: 134.
- 154 Stein 1912, 1: 274 and 284; Fig. 95.
- 155 Boardman 1994: 150–1.
- 156 Stein 1912; Rowland 1977: 186–7; Bussagli 1978: 21–4; Baumer 2014: Part IV. See also Paula 1994. See also the very Roman appearance of some Han period woollen tapestries from Shanpula (a centaur and warrior) and Loulan (a Hermes), now in the Xinjiang Regional Museum in Urumqi. The former might be from Bactria or even the Black Sea; at the very least they are evidence of Classical art travelling. See Mu Shunying 1994: Pls 267–70; Baumer 2014: Pls 100, 123.
- 157 Marshak and Negmatov in Litvinsky 1996: 273 and Fig. 33. The she-wolf motif is in any case associated with the legendary origins of the Turks in Siberia.
- 158 Bussagli 1978: 25–9.
- 159 Boardman 1994: 148–51.
- 160 Apart from various press reports, the main accounts are to be found in *The Art Newspaper* 65, December 1996, and in the *SPACH* (*Society for the Preservation of Afghanistan’s Cultural Heritage*) *Newsletter* 2, December 1996. See also Ball (1997), review of Zwalf 1996, in *Journal of the Royal Asiatic Society*. The reports make horrific reading: the Begram glassware, for example, gone or smashed, as well as virtually all of the Gandharan sculpture. At Hadda, many of the clay and stucco sculptures were smashed by iconoclasts when they were in the process of being excavated in the 1930s, while the outstandingly well-preserved sculptures of the Tepe Shotor – including the Heracles relief and the Fish Porch – complex at Hadda was blown up in about 1980. See also the various essays in Krieken-Pieters 2006.
- 161 Allchin and Fabregues in Errington and Cribb 1992: 46–8.
- 162 These ‘embassies’ and their ramifications are discussed in more detail in Chapter 8.
- 163 See also Boardman 2015: 167; Robinson 2015: 58.

4 The towns and cities¹

Much is made of the Hellenistic and subsequent Roman urbanisation of the East, of how cities were both the cornerstone of Roman rule there and their main legacy, that the 'Roman government consciously encouraged the development of settlements into cities'.² Yet the fact remains that the Romans did not found a single new city in the East. All were re-foundations of existing cities, some Seleucid foundations but most of them cities that had existed thousands of years before. Beirut, the one city often cited as a new Roman foundation in contrast to the others, was a Phoenician city long before, as excavations have revealed. Even the Macedonian 'foundations' were hardly the 'Greek' cities that they are often viewed as. They were usually Syrian, in terms both of the majority of their population and their character, for after the Battle of Magnesia in 191 bc, which cut off the supply of settlers from Greece, 'we can be sure that the foundation of cities means not colonisation but the grants of autonomy to native towns'.³

To some extent, the impression of Roman urbanisation is distorted by inscriptions and definitions, and the surviving inscriptions have been interpreted as a 'conscious disassociation' by the Roman cities from their Near Eastern past.⁴ But the inscriptions were only written by the colonisers or by westernised natives, and cannot be taken as representative of a city's 'consciousness'.⁵ Furthermore, Roman definitions of city status or otherwise are not so much evidence for urbanisation as imperial whim: a minor settlement, such as Shahba, might be promoted to the rank of city merely because an emperor was born there, while a major city, such as Antioch, might be demoted to the rank of village because another emperor was insulted there. 'Roman foundation' of a city in the East or the definition otherwise of its status was usually nothing more than a bureaucratic matter that had only minimal effect on the city concerned. The Romans neither defined nor founded cities in the East. The East had great cities long before and could always teach the Romans a thing or two about cities and urbanisation.

Perhaps nothing demonstrates more thoroughly the essential shallowness of 'Roman' cities than the rapidity by which their Greek names reverted to the older Semitic ones after the Arab conquest in the seventh century. Philadelphia reverted to Amman, Scythopolis to Beit Shan, Epiphania to Hama, Beroea to Aleppo, Hierapolis to Mambij, to name just a few. Virtually the only places which retained their Greek names were those which were wholly Macedonian in foundation in the first place, such as Antioch (Antakya) or Laodicea (Lattaqiya). The only other exceptions, surprisingly, were some of the cities of Palestine: Neopolis remained as Nablus instead of reverting to Shechem, Sebaste remained Sabastiya instead of Samaria, for example. Even Jerusalem retained its Roman name of Aelia, as Arabic Ilya, until the Middle Ages. Elsewhere, the Greek names disappeared and the ancient names revived – or probably retained, for such was the rapidity and thoroughness of the revivals that they almost certainly

remained in common usage all the way through the Macedonian-Roman 'interregnum'.⁶ If the names are anything to go by, it was as if nine centuries of Graeco-Roman cities disappeared overnight.

But the cities were certainly the centres of Roman rule, however 'un-Roman' they might have been in origin, composition or character. In the following overview, the main cities and groups of cities are outlined, with a view to assessing the impact of Rome.⁷

Antioch, the imperial city⁸

Origins

Antioch was born out of the chaos following the division of the spoils after the death of Alexander of Macedon. The bulk of the Asiatic portions of Alexander's empire fell to Seleucus Nicator, one of Alexander's main generals. His first capital was Seleucia on the Tigris, appropriately close to Babylon where Alexander had died. But Babylon had also been a capital of the Persian Empire. Associating a new capital too closely with a city that saw the death of two empires – not to mention the perennial threat of an Iranian revival on his doorstep – prompted Seleucus to look further west. It was a wise move, for this is exactly what did happen with the establishment of the Parthian Empire in the third century BC.

Seleucus' choice fell on Seleucia-ad-Pieria, founded within a year after his victory over Antigonus at the Battle of Ipsus in 301 BC. It was located on the north Syrian coast, appropriately sited with a view to the Macedonians' all-important life-line to the Mediterranean. In April 300, a month after its foundation, Seleucus founded another city in Seleucia's agricultural hinterland some thirty kilometres upstream on the Orontes, naming it after his father Antiochus (Figure 4.1). Antioch, however, was not the first Hellenistic foundation in that locality: in 307 BC Antigonus had founded his own city, Antigoneia, several kilometres north of the site of the future Antioch.⁹ Seleucus' foundation of Antioch, therefore, was both to proclaim his victory over and obliterate the memory of his rival. Thus, out of chaos on one hand and spite on the other, Antioch was born.

Seleucia remained the capital until the accession of Antiochus I Soter, Seleucus' son, in 281 BC. But Seleucia's lifeline to the sea was also its potential death-knell, for the Seleucids' bitter rivals, the Ptolemies of Egypt, occupied Seleucia from the sea on several occasions. Hence, Antiochus moved the capital to Antioch, where it remained.¹⁰ It expanded rapidly. Antioch was settled initially by Greeks and Macedonians, but it was soon to have a considerable population of Jews as well as, of course, a large native Syrian population.¹¹ When Pompey conquered it in 64 BC it was the most populous city of Syria, and the natural choice of capital for Rome's new province.

It was a Roman provincial capital rather than Seleucid royal capital that the city's main urban embellishments celebrate. In the first century BC Caesar endowed a basilica, the 'Kaisarion', as well as the rebuilding of the Pantheon, a new theatre, an amphitheatre, an aqueduct and a baths. The amphitheatre was one of the earliest in the Roman world. The Antioch 'Olympic Games' instigated at this time eventually became one of the most famous festivals of the Roman world. Agrippa endowed some new baths, and enlarged the theatre and restored the hippodrome.¹²

In the first century AD there was a new wave of embellishment. A great colonnaded street was laid out, two Roman miles in length, with tetrapyla at the main intersections. Herod and Tiberius paid for the paving and the colonnading respectively. Midway was an oval plaza with a column and statue of Tiberius adorning the centre and a nymphaeum to one side.

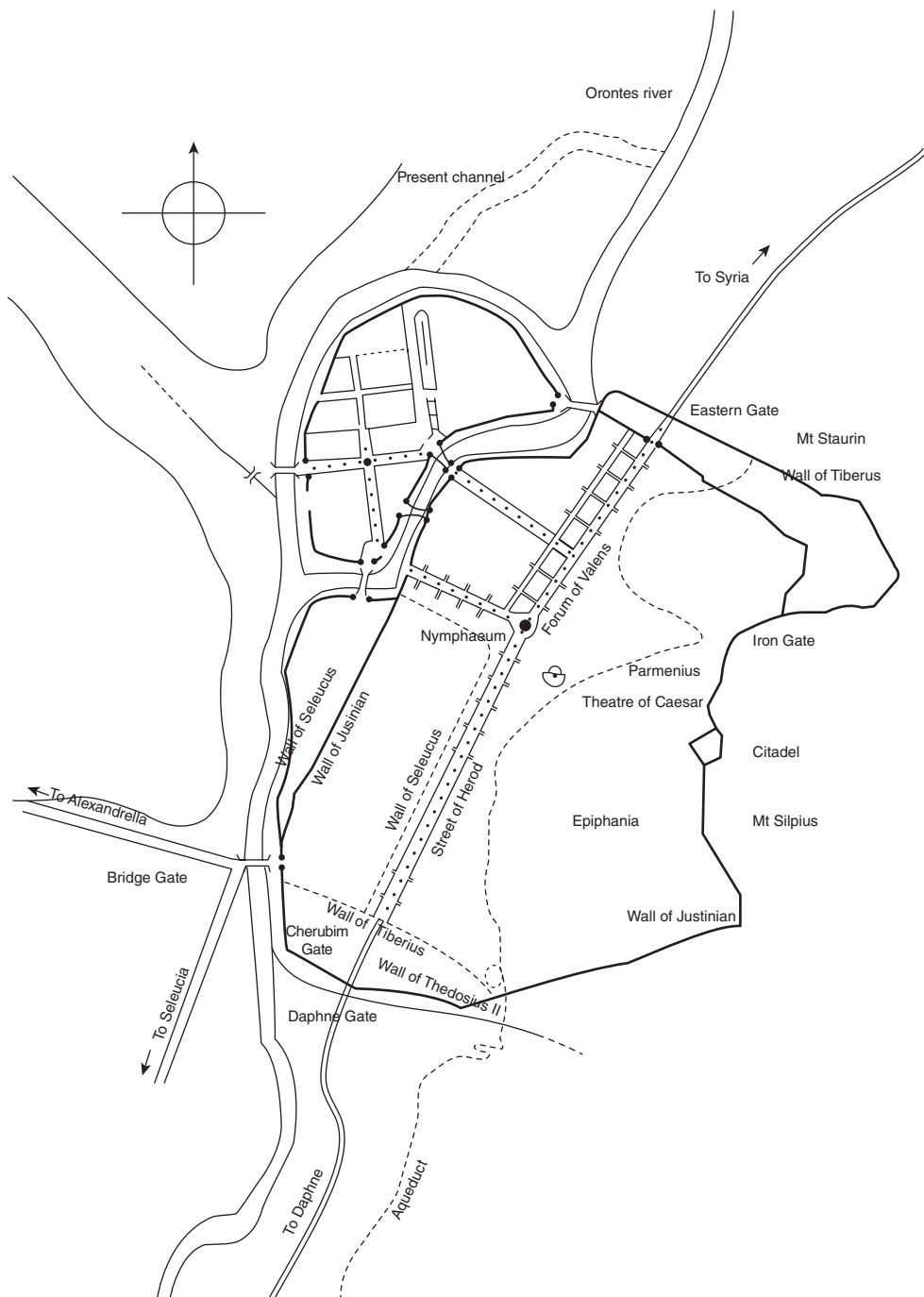


Figure 4.1 Plan of Antioch (After Downey/Cimak)

From this plaza a secondary colonnaded street led off to the west. Tiberius also completed the Epiphania quarter and endowed a number of temples, including one to Jupiter Capitolinus, and the East Gate was surmounted with a statue of Romulus and Remus with the she-wolf. With the addition of the Temple of Jupiter Capitolinus and the Romulus and Remus Gate, Antioch was given the trappings of a Roman city.¹³

Over the following century, more baths, temples, aqueducts and a theatre, together with further embellishments and restorations of existing monuments (particularly following the earthquake of 115) were endowed by Gaius Caligula, Claudius, Titus, Trajan and Hadrian. Following the city's support for the pretender Niger, Septimius Severus (no lover of the Antiochenes who made him the butt of their humour) demoted the city to village status, elevating Laodicea to provincial capital over Antioch – punishment indeed, coming as the culmination of a tradition of rivalry between the two cities.¹⁴

After the mid-third century, there was increasing disaffection at Antioch. Although its status as capital was soon restored, Antioch's humiliation caused many resentments to surface. Philip the Arab's heavy taxes and levies led to discontent in 248, after which there was increasing support for a pro-Iranian faction led by Mariades (Aramaic Maryad), a member of the senatorial class. Pro-Roman accounts, not surprisingly, describe Mariades variously as a thief and embezzler. However, there seems little doubt that his sentiment was not only genuine but reflected popular pro-Iranian feelings amongst the native Syrian population.¹⁵ The traditional Iranophilism of the Syrians dated back to the time of Pakores' occupation of Syria in the first century BC, and probably preserved an earlier memory of the days of the Achaemenid Empire. While content enough with Roman rule, pro-Iranian feelings were quick to re-emerge. Mariades defected to Iran, returning with the army of Shapur II in 256.

After the Iranian occupation, much was rebuilt by Valerian, who began the fort that later became Diocletian's palace. Diocletian's palace anticipated his one at Split in both size and layout, and is depicted on his triumphal arch at Salonica. It had pillared galleries overlooking the river, and a colonnaded street running from the centre of the island to the palace with a tetrastyle in the middle. He also carried out an extensive rebuilding programme in both Antioch and Daphne. After Rome moved to Constantinople, Antioch came in for renewed embellishments, albeit with an increasing Christian flavour. Constantine's octagonal church was one of the greatest of the early churches, and further extensive embellishments were carried out by Constantius, Julian, Valens, Theodosius I and Theodosius II.¹⁶

The calamitous sixth century saw the beginning of the end. A major fire in 525 followed by earthquakes in 526, when a quarter of a million were said to have perished, and another in 528 destroyed most that had been rebuilt after the sack by Shapur II nearly two centuries previously. This was followed by another Iranian occupation under Khusrav Anushirvan in 540. Justinian's restoration was aimed mainly at refortification and the city utilities. A plague devastated the population again in 542, with minor outbreaks recurring for the rest of the century, and there were more earthquakes in the middle of the century. The Iranians occupied Antioch once again in 611 under Khusrav Parviz, but Heraclius regained Antioch in 628. However, when the Muslim Arabs appeared on the scene soon after, the citizens no longer had the heart to defend what was left of their once great city, and opened its gate to them without resistance – one almost senses with relief.

Eastern city or foreign implant?

It is often assumed that Antioch was founded by the Macedonians (and Greeks) in a vacuum; that Greek civilisation brought urbanisation to a region of the Near East which hitherto had

not known it, and remained a Greek/Hellenistic enclave since. Long before Seleucus, the Parthians had founded a temple on the site of the future city, and an Iranian element was a constant feature of its subsequent history down until the seventh century as we have noted.¹⁷ A preoccupation with Greek civilisation often obscures historical reality. To begin with, such assumptions minimise the Macedonian element: 'Macedonian' soon becomes equated with 'Greek' in a haste to identify all Hellenic civilisation with Athens, forgetting that the Macedonians – at least at first – were not only distinct from the Greeks but bitter enemies of them as well.

More important, it omits the local element. For despite its original Macedonian foundation, its subsequent rise as the third city of the Roman world, its gloss of Graeco-Roman monumental architecture and the Graeco-Roman trappings of its organisation and administration, it was a *Syrian* city above all: in population and character. Antioch never existed in any vacuum, nor did it exist without earlier Syrian roots. Antioch lies at the southern end of the Amuq Plain, a finite area which has a long and continuous sequence of ancient settlement (Figure 4.2).¹⁸ Antioch forms as much a part of this cultural continuum as, for example, Tell Achana (Alalakh) or any other settlement in the vicinity does.

The third-century historian Herodian – a native of Antioch – leaves little doubt of this when he emphasises the people of Antioch as Syrians rather than as Greeks and Romans. 'The fact is that those who live in the East, separated from the West by a great continent and broad sea, scarcely ever hear of Italy.' Syrians may have written in Greek, much as intellectuals in Europe were still writing in Latin until the eighteenth century or educated Indians writing in Persian until the nineteenth (or English in the twentieth). But that did not detract from their Syrian character. Literacy in Greek belonged only to a small elite who could hardly be taken as representative of overall 'character': Aramaic was the language of everyday use, spoken by the majority. Herodian's descriptions are, admittedly, fairly deprecating about his fellow-Syrians. But while a staunch Romanophile, Herodian still referred to the Romans as 'them', not 'us'.¹⁹ The urban architecture of Antioch belongs more within the eastern variants of Roman architecture rather than western (Chapter 6). The question of the language the inscriptions carved on them does not detract from this.

*Antioch as an imperial city*²⁰

Nonetheless, a major Roman cultural element still existed – it was, after all, Roman for seven centuries and only Seleucid for less than two-and-a-half. With Antioch becoming Roman it soon became one of the great cities of the empire, along with Alexandria, Ephesus and Carthage. The Roman element may have been a minority in the native Syrian majority. But Antioch had one attribute which made it distinct, not only from other cities of the East but from those elsewhere in the empire. For it became an imperial city, the seat of emperors like no other apart from Rome itself and, later, Constantinople.

This began very soon after its incorporation into the rapidly growing Roman Empire by Pompey in 63 BC. It was as if the Romans were aware not only of its strategic value but of its imperial associations with the Seleucid kings and its links – albeit largely spurious – to an empire ultimately founded by Alexander. Thereafter, it rapidly became a favoured seat for Roman emperors and a base for their proclamations of power, becoming the virtual capital of the empire on numerous occasions. Vespasian was the first emperor to be proclaimed there, and it formed Trajan's headquarters during his eastern campaigns. Trajan resided at Antioch for three years, and his successor Hadrian was proclaimed from there. When Marcus Aurelius deputed his co-emperor Lucius Verus to take charge of the Parthian campaigns, Verus used



Figure 4.2 The main pre-Seleucid (Babylonian-Persian period) settlements in northern Syria. Given the state of our archaeological knowledge for this period, the real number of settlements is probably much higher

Antioch as an eastern imperial capital, ruled by an eastern emperor, for four years, deputing Avidius Cassius to actually lead the Parthian campaigns while he remained in Antioch and Daphne. Following this, Avidius Cassius himself was proclaimed emperor in Antioch, as was Pescennius Niger a short time after, both enjoying the support of the Antiochenes.

The Severan dynasty, dominated as it was by Julia Domna's Syrian family, formed the closest associations of any emperors with Antioch. Septimius Severus spent seven of his first ten years in office there, probably more than he did in either Rome or his birthplace of Lepcis

Magna. His son Caracalla used it as headquarters for his own eastern campaigns, with Julia Domna residing in Antioch to carry out the actual business of government of the Empire during her son's absence. Following Caracalla's death, the Emperor Macrinus' brief period of office was solely at Antioch, and Elagabalus went there directly after his proclamation to consolidate his position. His cousin and successor Emperor Alexander in turn used it as his headquarters during his eastern campaign.

In the later third century Valerian made Antioch his headquarters. Afterwards, Macrianus and his son Quietus were proclaimed emperors in Antioch and recognised by the Syrians until their defeat by Gallienus. Antioch figured highly in the civil war between Aurelian and Zenobia, with both claiming it as their imperial seat at different times. In about 280 Julius Saturninus was proclaimed emperor in Antioch, even issuing coins, until he was killed by Probus.²¹ When Diocletian came to Antioch for the Iranian campaign he remained there while deputing his Caesar Maximian to carry out the campaign. He remained in Antioch on a semi-permanent basis, celebrating a joint triumph with Galerius and entering his seventh consulship in 299.²²

When Constantine made the momentous decision to move the capital of the empire to the East, Antioch was thus considered to be a natural choice. However, Antioch, like Rome itself, was weighed down by its pagan past, prompting Constantine to choose the less important but less pagan city of Byzantium instead. But this did not alter Antioch's status as second Roman capital. Constantius was made Augustus of the East with Antioch as his capital between 338 and 350, and Julian preferred pagan Antioch to Christian Constantinople, perhaps even considering moving the capital there.²³ Indeed, Julian might well have made Antioch sole Roman capital if it had not been for his premature death. Paganism certainly remained as potent a symbol for Antioch as its imperial status was: the rival Emperor Leontius used it as his own capital, attempting to revive paganism in the process, from 484 to 488 during the time of Emperor Zeno. Antioch's position as imperial Roman city did not quite end with the Muslim conquest, for another rival emperor (to Michael II), Thomas the Slav, went through a Byzantine coronation in 821 in Muslim Antioch. Emperor Nicephoras Phocas' recapture of the city in 969 represents almost as much a Roman triumph as Justinian's recapture of the city of Rome itself over four centuries earlier had been.²⁴ For the Romans, Antioch was an imperial city when they first entered it. It remained so for the rest of their history.

The Macedonian heartland of the north²⁵

North Syria is often viewed a Graeco-Roman enclave, comprising as it does the core cities of Seleucia, Antioch, Laodicea and Apamea. These four cities were occasionally referred to as the 'Seleukiad' or the 'Tetrapolis', all founded in the initial period of Macedonian colonisation. With this core were a number of other Macedonian foundations, such as Beroea (Aleppo), Chalcis (Qinnesrin), Cyrrhus, Larissa (Shaizar) and Dura Europos, named after founding members of the Seleucid dynasty or cities in Macedon.²⁶ As such, they formed a distinctive Graeco-Roman bloc in the East, often regarded as culturally isolated from the Syrian world around them that looked to the west.²⁷

It is often forgotten that the region 'looked to the west' long before the Macedonians arrived: the northern Levantine coast was the Phoenician heartland whose peoples not only looked to the west but colonised it – parts of Italy were Phoenician colonies centuries before they became Roman. Furthermore, views of this area have become distorted more than most from both Classical bias and Near Eastern archaeological fashion. From the Classical viewpoint it is often described as essentially un-urbanised until the Seleucid period, with cities

there being a feature solely of Classical civilisation.²⁸ Equally, Near Eastern archaeological research interests have focused mainly on earlier periods, especially the periods of ancient urbanism in the third and second millennia, to the detriment of others. Hence, a spurious gap between the early first millennium and the Macedonian conquest has ‘appeared’ in the archaeological continuum that has become compounded in discussions of the area.²⁹

To begin with, this region had some of the most important cities of the ancient Near East: Aleppo, Ebla, Carchemish, Tell Achana, to name a few (Figure 4.2). Aleppo in particular is one of the Near East’s perennial cities, disputing with Damascus (and many others) the distinction of being the world’s oldest continuously inhabited city. Most of the urban settlements in the area had unbroken sequences into the Macedonian and Roman periods. For example, ‘Ain Dara and Tell Rif‘at (ancient Arpad), north of Aleppo, have continuous settlement from the Neo-Hittite period to Roman.³⁰ At Ebla, in the understandable attention focused on its third and second millennia remains, it is often forgotten that it was inhabited continuously down to the late Roman period.³¹ Similarly at Carchemish, where the earlier attention on the Neo-Hittite remains led to the assumption that it was unoccupied after the Babylonian invasion, new investigations have shown continuation into the Roman period. The nearby site of Deve Hüyük appears to have been a cemetery for an unlocated Achaemenid garrison in the area, perhaps at Jerablus-Tahtani just downstream, which appears to have been reoccupied after the destruction of Carchemish.³² Indeed, all of the Macedonian Tetrapolis cities, far from being new foundations, were grafted on to older settlements with continuous histories going back hundreds, if not thousands, of years before the Macedonians supposedly ‘invented’ cities in the region. The only exception to this is Antioch (so far as we know: massive overburden at the site would obscure any earlier remains in any case). But even Antioch must be viewed as a part of the cultural continuum of the Antioch Plain, a sequence where Antioch is linked through Tell Tayanat and Tell Achana to a Near Eastern urban tradition many thousands of years old.³³ To suggest that the Macedonian – hence Roman – cities in the region were either new or separate from their cultural environment is absurd.

Seleucia and Laodicea

Seleucia was founded slightly earlier than Antioch, and formed the Seleucid capital until 289 BC.³⁴ However, it was on, or near, the site of earlier settlement, as excavations at the ancient Phoenician sites of al-Mina and Sabuni have demonstrated. Another Phoenician port lay a little further down the coast at Ra’s al-Basit, becoming the Seleucid port of Posidonium.³⁵ Seleucia was, moreover, dominated – literally – by a famous oracle of Zeus Casios on top of the mountain that overlooks the bay of Seleucia. Like all such sacred high places, this was a native religious feature (Chapter 7), and was presumably sacred to Semitic Baal or Hadad long before Greek Zeus. Without doubting its Hellenistic and Roman overlay, therefore, an underlying Phoenician element provided the cultural identity and continuity.

Subsequently the prosperity of Seleucia rose with that of Antioch, becoming the city’s main port throughout the Roman period. Seleucia was, however, subject to considerable flooding by the Orontes River. This has resulted in practically no extant remains today. But it also resulted in Seleucia’s most impressive monument, a massive underground rock-cut water channel constructed to divert floodwaters from the city, begun under the Flavians and completed under the Antonines. There are also several impressive rock-cut tombs, both underground hypogea and rock façades (Plates 4.1 and 4.2). Otherwise, there is little evidence to suggest what form or layout the city took.



Plate 4.1 Rock-cut channel at Seleucia

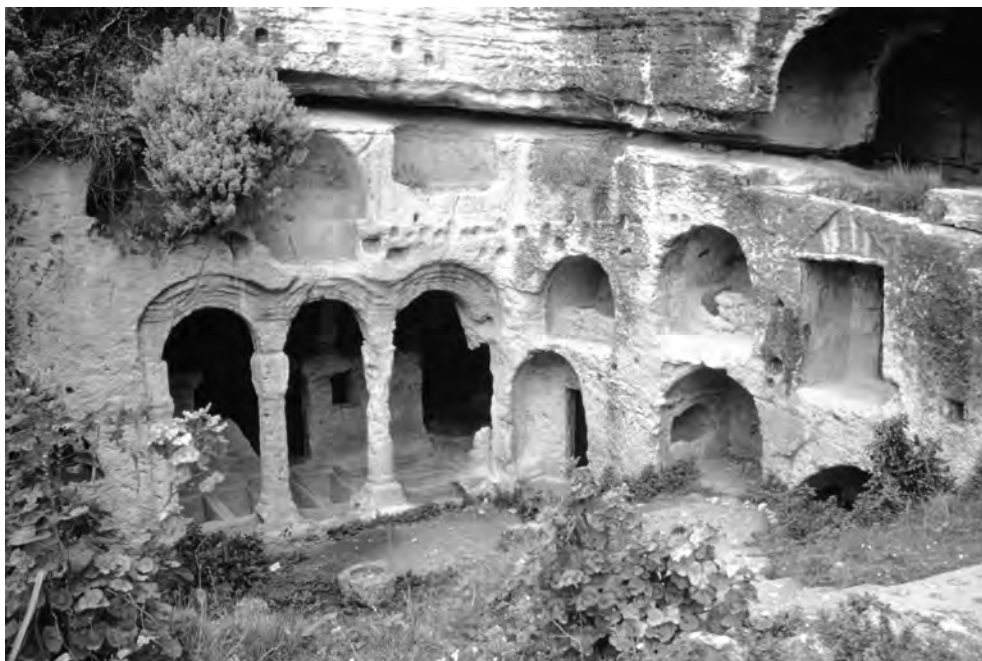


Plate 4.2 Tombs at Seleucia

Laodicaea (modern Lattaqiya) was named by Seleucus I Nicator after his mother, Laodice.³⁶ It was the main harbour for Apamea with which it was linked by a road across the Nusayri Mountains. Laodicaea had an ancient history long before Alexander. In the second millennium BC it was the Canaanite port of Ramitha, part of the kingdom of Ugarit only a few miles further north. Between Ugarit and Latakia, at Ra's Ibn Hani, was another ancient settlement known as Diospolis in the Macedonian-Roman period (its Phoenician name is not known). Excavations have shown continuous occupation here from the late Bronze Age in the second millennium BC to the beginning of the Islamic period.³⁷ As Ugarit declined at the end of the second millennium BC the better natural harbour facilities at Ramitha/Laodicaea increased its importance. Thus, Macedonian-Roman Laodicaea represents a Syro-Phoenician cultural continuum rather than any new Graeco-Roman implant.

Laodicaea became a major port second to Seleucia. It flourished under the Romans: the slopes behind the city were covered with gardens and vines (Laodicaea's wines were famous), Herod the Great endowed the city with an aqueduct and Septimius Severus endowed the colonnaded streets. Very few Roman remains survive, but the basic street plan has been recognised as essentially Hellenistic or Roman (Figure 4.3). There is a fragmentary Corinthian

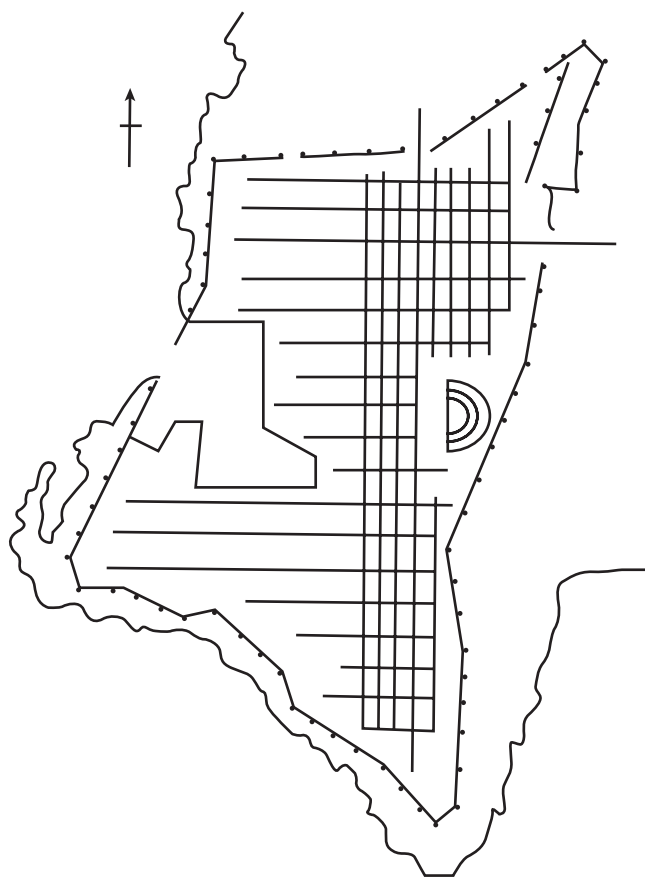


Figure 4.3 Plan of Roman Latakia (After Sauvaget)

colonnade, probably a part of a Temple of Adonis. Nearby is the Tetrapylon that would have marked the intersection of two colonnaded streets (Plate 4.3). Elsewhere, occasional reused Classical fragments or part-buried columns attest to further remains below ground, while parks and roundabouts in the modern city are adorned with re-erected colonnades discovered in the course of building work.

*Apamea*³⁸

Apamea lies at the foot of a high, partly artificial mound with a commanding position overlooking the very fertile Orontes Valley, where occupation has been traced back to the Chalcolithic period. It was the site of the Persian town of Parnakka or Pharnake, renamed Pella by the Macedonians after Philip's capital in Macedon.³⁹ It was again renamed Apamea by Seleucus Nicator (one of three cities he so named) after his wife Apama,



Plate 4.3 The Tetrapylon at Latakia

daughter of the Bactrian Prince Spitamenes, and became the Seleucid army headquarters. With excellent pasturage in the surrounding valley, Apamea was ideally situated for a stud farm, training area and supply centre for the army: 30,000 mares, 300 stallions and some 500 elephants are recorded as being bred and trained there. Virtually nothing survives of the Seleucid city, although the actual gridded layout consisting of an immense walled enclosure over a mile long is presumably Seleucid (Figure 4.4). Pompey destroyed its citadel in 64 BC, but the town continued as a prosperous, albeit less military, centre for many more centuries: its population was recorded as numbering 117,000 free inhabitants shortly after his conquest.⁴⁰ Its oracle and Temple of Bel were famous and its wealth and continued prosperity seemed assured.

On 3 December in AD 115 it all seemed to come to an end when a massive earthquake hit Syria. Antioch was severely damaged – Trajan himself had to camp out in the hippodrome – but Apamea was completely destroyed. However, Apamea's agony was an architect's dream: a blank slate to rebuild the city. This began almost immediately. A local philanthropist, Julius Agrippa, endowed much of the initial work. The great *Cardo* colonnade, today Apamea's most outstanding feature (largely restored), was rebuilt on an unsurpassed scale (Figures 4.4 and 6.10, Plates 4.4, 4.5, 6.14, 7.32 and 7.33). Work continued throughout the second century, progressing from north to south, eventually reaching two kilometres in length. It was enlarged from 30 metres to 37 metres in width and the smaller, east–west *Decumanus* enlarged from 16 to 22 metres. The intersections are marked by single columns on elaborate plinths, rather than the more usual tetrapylons (Plate 4.5). In every way – length, breadth, ornamentation and general opulence – the Apamea *Cardo* is one of the most magnificent monumental avenues of the ancient world. Reconstruction in the centre around the *Agora* was completed in the middle of the second century. Inscriptions record the local families who endowed this new work. By the end of the second century the 'baroque' *nymphaeum*, the magnificent new theatre – the largest in the East and one of the largest ever built in the Roman world – and the rebuilt Temple of Zeus Belos were completed. This was a famous oracle that later figured highly in the growth of the Neoplatonic school of Apamea under Iamblichus. Virtually no trace of it survives now, having been completely destroyed by Bishop Marcellus at the end of the fourth century.

After the beginning of the third century there was a revival of Apamea's position as an army town, when it became winter quarters of the Second Parthian Legion.⁴¹ Its prosperity continued into the fourth century, when it became the centre of an important bishopric. The main Christian monument is a sixth-century church with a distinctive, and architecturally important, quatrefoil plan (Figure 7.23H). However, it all ended dramatically in AD 540, with the invasion of Khusrau I Parviz of Persia, who destroyed Apamea.

The sheer opulence and ostentation of second- and third-century Apamea stands out even in the East, where opulence was the norm. But Apamea was no provincial capital, nor was it the capital of any of the glittering local client kingdoms. Perhaps the explanation lies in its close association with the third century's greatest imperial dynasty, the Severi. While Julia Domna and her female relatives, who dominated the dynasty, were from Emesa, the early history of the Emesenes was intimately tied to the Orontes Valley around Apamea (Chapters 2 and 8). The father of Emperor Elagabalus was from Apamea, and it was at the oracle of Zeus Belos at Apamea that the young Septimius Severus first received intimations of his own imperial destiny. The family's wealth was proverbial. Small wonder that the city found almost as much favour with the family as Lepcis Magna.

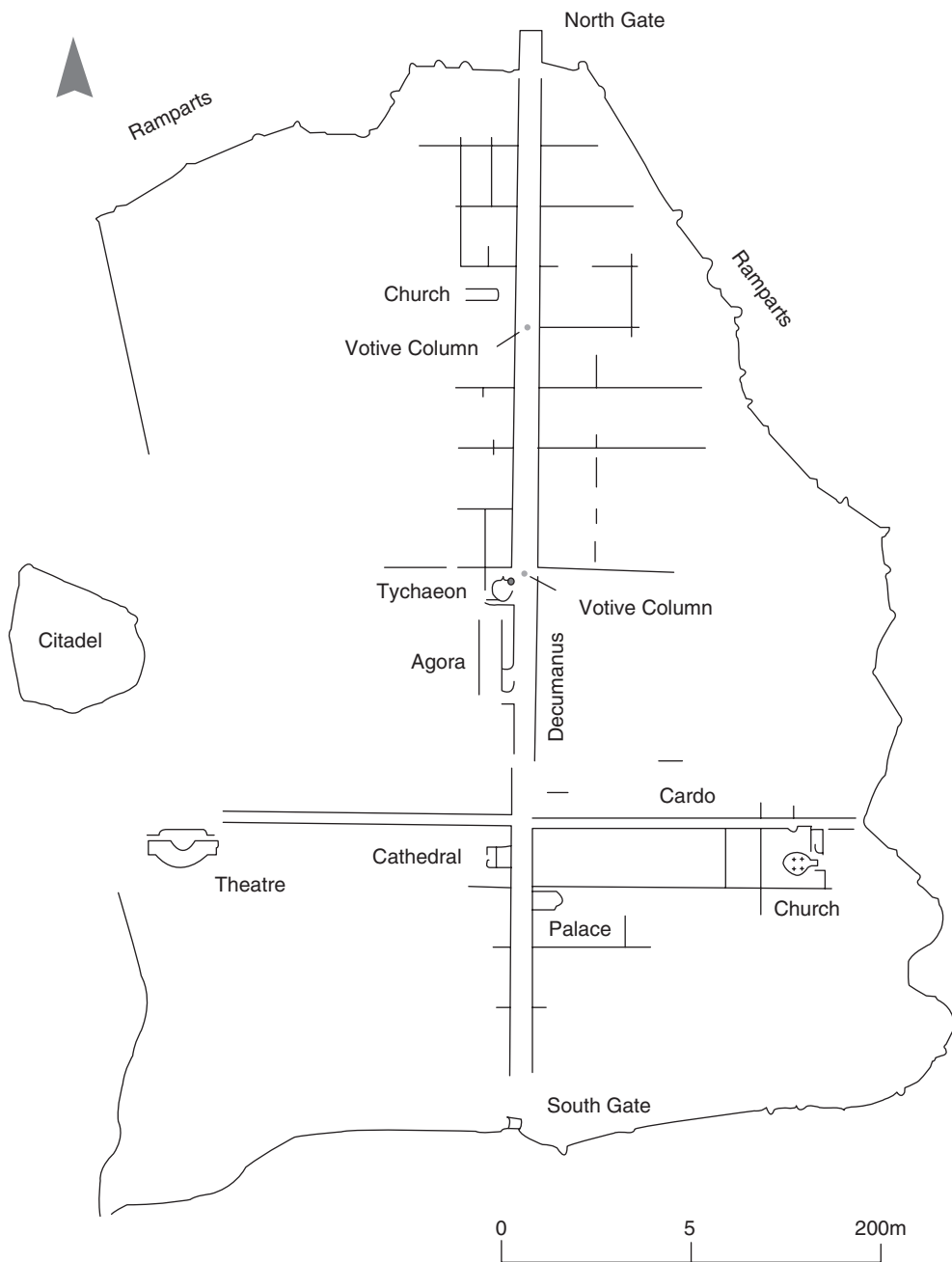


Figure 4.4 Plan of Apamea (After Balty)



Plate 4.4 The main colonnaded street at Apamea



Plate 4.5 Votive column at Apamea, marking the intersection of two streets

*Aleppo*⁴²

Aleppo is ideally located for a great city. Lying midway between the Mediterranean coast and the great bend in the Euphrates, it was a northern pivot for much of the ancient world's trade for many thousands of years. Like Damascus, its origins are very ancient, and we first learn about it in third millennium texts. In the early second millennium it was the capital of the Amorite kingdom of Yamhad and following the collapse of the Hittite Empire in about 1200 BC, Aleppo re-emerged as one of the more important Neo-Hittite city-states in northern Syria. The Seleucid 'foundation' of Beroea at Aleppo, named after a city in Macedon, therefore, marks continuation rather than any new beginning. With the trade routes from the Seleucid East coming up the Euphrates via Dura Europos and across to Antioch, Aleppo profited enormously. This prosperity continued throughout the Roman period.

Such continuity has meant that there is little remaining of the Roman city today.⁴³ The citadel, built only partly on a natural elevation, represents thousands of years of this continuum. This tell continued as the religious and military centre of the city throughout the Seleucid and Roman periods when it was heavily fortified, for it withstood the siege by Khusrau I Anushirvan in AD 540. Only the foundations and parts of the base of the walls might be Roman. The old street plan between the citadel and the Antioch Gate follow the original Macedonian plan, the main souq occupying the *Cardo Maximus* of the Roman city (Figure 4.5). The Great Mosque was built in the courtyard of the cathedral, which in turn was on the site of a Roman temple *temenos* or perhaps the Seleucid *agora*. Parts of the original cathedral are still standing, incorporated into the Madrasa Halawiya next door to the Great Mosque. The oldest mosque in Aleppo, the Tutah Mosque founded in the mid-seventh century just inside the Antioch Gate, incorporates parts of a Roman monumental arch on its west façade (Plate 4.6).

Cyrrhus and Chalcis

Cyrrhus (modern Nabi Khouri) was founded by the Seleucids as a fortified post guarding Antioch's north-eastern flank.⁴⁴ Almost alone among Seleucid foundations, there seems to be no earlier settlement at Cyrrhus. It was rejuvenated and embellished after the Roman conquest as both a military and a commercial centre. Cyrrhus was the birthplace of the pretender Avidius Cassius, who was proclaimed emperor in Antioch following the false report of the death of Marcus Aurelius. It was badly damaged in the Iranian invasions of the mid-third century, but revived after the fourth when it became the capital of the newly created Roman province of Euphratensis. For a time it was known as Hagiopolis ('City of the Saints'), mainly because of its relics of SS Damian and Cosmas. After the Arab conquest it slowly declined, and by the end of the twelfth century it had largely disappeared.

The city forms a rough, walled triangle along the banks of the Sabun River. The outline of the city walls and acropolis still exist, but inside much is indistinct. The city was bisected by a colonnaded street, but the most intact building is the second-century theatre in the centre of the city (Plate 4.7). At the northern end of the *Cardo* a large enclosure, entered through a propylaeum, probably marks the site of a former temple *temenos*. The remains of several churches can still be identified, and outside the city to the east is a fine hexagonal mausoleum with a pyramid roof (Plate 7.15).

Qinnesrin, to the south of Aleppo, is the site of the city of Chalcis, founded by Seleucus Nicator in the late fourth century BC.⁴⁵ During the Roman period it became one of the main centres in northern Syria, surpassing Aleppo for a time, as it stood in the centre of a fertile

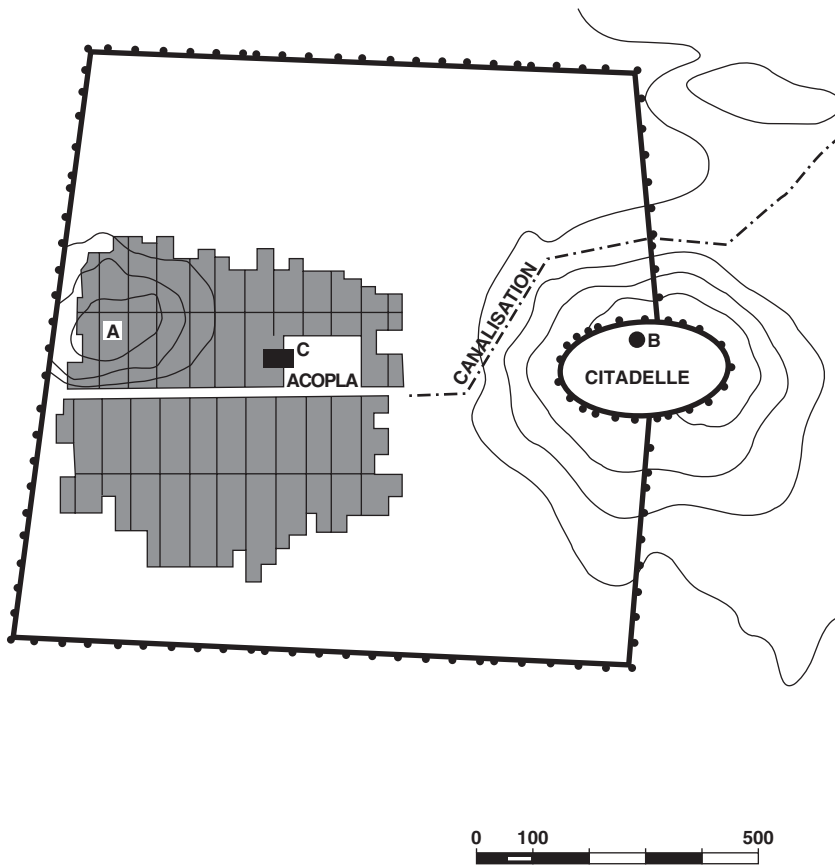


Figure 4.5 Plan of Roman Aleppo. A: Tell. B: High Place. C: Temple (After Sauvaget)



Plate 4.6 Roman arch incorporated into the Tutaḥ Mosque in Aleppo



Plate 4.7 Cyrrhus, with the theatre in the foreground

plain and lay at the hub of the Roman road network in north Syria. Its pre-eminence led to it becoming the capital of the Tanukh confederation in the sixth century. It was fortified in the mid-sixth century by Justinian, but destroyed in about 637 in the Muslim invasions. There is not a great deal surviving, although the acropolis, the outline of the city ramparts, and the street pattern are discernible. Outside is a large necropolis and quarry area, in which are numerous impressive hypogea.

The Euphrates and Mesopotamia⁴⁶

This was a frontier region throughout, even when the empire extended beyond the Tigris. Hence, settlements tended to be defensive in nature, particularly after the fourth century when cities were equipped with some of the most formidable urban defences outside Constantinople. It was also dominated by Iran at different times in its history. Hence, in progressing across the vast plains of north-eastern Syria and into Iraq – the Jazira – one crosses a cultural watershed. Stone construction gives way to the traditional mud-brick of Mesopotamia and Iran, and both people and cultural traditions become more Mesopotamian than Levantine.

The Euphrates was a frontier for the early part of the empire. But rivers rarely divide: more usually they unite (the Nile being a famous example). More important, the Euphrates was a channel for communications. Hence, more cities in the Roman period are to be found on its banks than in the fertile plains that stretch to its north. For much of its history, the garrison town of Zeugma was Rome's main stronghold, frontier town and crossing on the Euphrates. The site is located at Balqis on the right bank just upstream from Birecik in Turkey. Its remains (now almost completely submerged by the Birecik Dam) include some of the finest Roman mosaics in the east (now preserved in the purpose-built museum in Gaziantep; Plate 4.8). The name is an ancient corruption of Seleucia-on-the-Euphrates, founded by Seleucus Nicator with another Apamea on the opposite bank. Zeugma has been identified with the older Persian and



Plate 4.8 One of the floor mosaics from Zeugma, now in the Gaziantep Museum

earlier city of Thapsacus, mentioned first in the Bible. The remains of Zeugma, however, were so scant that even the whereabouts of it were uncertain until relatively recently.⁴⁷ At Raqqa, further downstream on the north bank of the Euphrates, a town was first founded by the Macedonians, called successively Nicephorium, Callinicum and Leontopolis.⁴⁸ Both remained important frontier towns.

*Halabiya*⁴⁹

A more important stronghold lay further downstream. This was Halabiya, ancient Zenobia or Birth Asporacus (a Persian name), one of the main Roman fortifications on the eastern frontier. Again, it is an older site, guarding an important ford. It became a Palmyrene post in the third century AD (hence the name's association with Queen Zenobia – it might have formed one of her tribal lands) guarding the routes along the Euphrates and across the desert. The Romans occupied it after their destruction of Palmyra, and it subsequently assumed major importance as one of the empire's main frontier defences against the Iranians. The emperor Justinian in the sixth century provided it with massive defences, but despite such precautions, the Iranians stormed it in 540 and again in 610. The town never recovered and was slowly abandoned.

The ramparts are pierced by five gateways, three facing the river, reflecting its importance. The main south gate leads onto the main north–south street. The interior is very ruined, and includes two churches. Other remains have been identified as a possible market place, a praetorium from the time of Justinian with vaulted rooms still surviving up to three storeys high (Plate 4.9), a bath house, and a very ruined keep. Parts of the town spread outside the walls as well. Just to the north is the necropolis where there are tower tombs and hypogea in the Palmyrene style. On the opposite bank some three kilometres downstream are the ruins of Zalabiya, a large rectangular enclosure reinforced with square towers similar to Halabiya.



Plate 4.9 The Halabiya 'praetorium'

*Rasafa*⁵⁰

The immense walled city of Rasafa, ancient Sergiopolis, was a minor desert settlement going back to the second millennium, but the martyrdom in about 303 of St Sergius, a Christian officer in the Roman army, brought Rasafa into prominence. A shrine was built over his tomb at Rasafa which quickly attracted a wide following amongst the Arabs as a pilgrimage centre, and the name accordingly changed to Sergiopolis. Under Emperor Anastasius (491–518) and the Ghassanid federation of the sixth century, Sergiopolis was massively embellished, both as a religious centre and a frontier fortification. Its walls withstood the Iranian invasion of Syria in 540, but were taken in the next invasion by Khusrau II.⁵¹

The walls enclose a vast rectangle, pierced by four gateways in the middle of each side (Figure 4.6; Plate 4.10). The main entrance today is through the north gate, a richly decorated triple entrance framed by Corinthian columns supporting a graceful arcaded pediment that is one of the finest intact gateways of Byzantine architecture (Plate 2.46). This belongs within the Near Eastern architectural tradition of sacred processional ways and propylaea reviewed in Chapter 6. Inside is the Cathedral of St Sergius (or Cathedral of the Holy Cross; Plate 4.11), one of the most impressive churches in Syria built in the early years of the sixth century. Other buildings include an elaborate quatrefoil martyrium and a series of immense underground cisterns (Plate 2.47), probably built by the Ghassanid princes. Rasafa/Sergiopolis thus reflects native Syrian Christianity, a native dynasty and native (as well as Byzantine) architectural elements.

*Dura Europos*⁵²

Dura Europos was founded as a Macedonian military colony in about 300 BC by Seleucus I to guard the Euphrates route from Mesopotamia, roughly midway between the two Seleucid

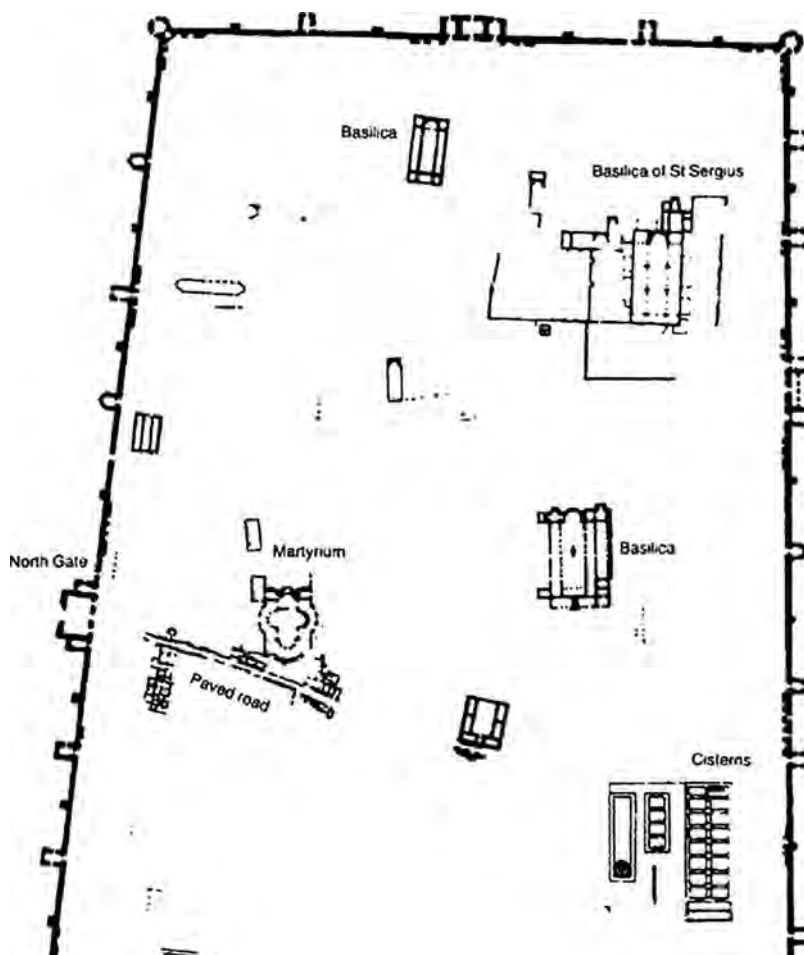


Figure 4.6 Plan of Rasafa (After Karnapp)

capitals of Seleucia-ad-Pieria and Seleucia-on-the-Tigris. It was named after Seleucus' native town of Europos in Macedon; *dur* is an Aramic prefix meaning 'fort' or 'city'. Unusually, therefore, it has no pre-Seleucid origins (although one must remember the name is part-Semitic). With the loss of the Seleucid eastern provinces to the Parthians in the late second century BC it came under Iran. But it remained an autonomous city-state acting as commercial go-between for both empires. This role increased when the Romans replaced the Seleucids in Syria, occupying a similar position to Palmyra. Rome only occupied it directly during the Severan period, when it became an important forward position on their eastern frontier. For most of its history it had maintained its semi-independence under a loose Iranian overlordship, undergoing increasing 'Aramisation' in the process as the links with Macedon became more tenuous. In the third century AD the sack of the city by the Iranians in 256 and the sack of Palmyra by the Romans in 271 brought about a collapse. It quickly declined, so that by the fourth century it was practically deserted.



Plate 4.10 The city walls of Rasafa



Plate 4.11 Rasafa Church of the Holy Cross

The site is an easily defensible one on a plateau overlooking the Euphrates, protected by the river escarpment on one side and deep gorges on two others (Figure 4.7, Plate 4.12). The fourth side, facing the open desert, is defended by impressive ramparts. It was laid out with the regular trappings of a Macedonian military encampment: a street plan following a strict grid pattern dominated in the centre by an open agora, surrounded by commercial buildings on one side and temples to Artemis, Apollo and Zeus to the south. Apart from the actual layout and some fragments of a Doric colonnade in the Temple of Artemis, very little remains of Macedonian Dura, and most of the monuments date from the Partho-Roman period of the first centuries AD.

With Dura's position on the borders of both Rome and Iran, it comes as no surprise that its inhabitants practised a great diversity of religions, and monuments of the Palmyrene, Iranian, Syrian, Jewish, Christian and ancient Greek religions have been found. A Temple of the Palmyrene Gods was built into an angle of the city walls, undergoing several modifications over the first three centuries AD. Just outside the temple is a Mithraeum. Although Mithraism was an Iranian religion – and frescos depicted Zoroaster and other figures – it was erected by Roman soldiers, Mithraism being one of the army's most popular cults.⁵³ The most intact religious buildings were a Jewish synagogue and a Christian 'church' (more correctly a meeting house), both resembling the conventional, private courtyard houses of the day rather than public monuments, as befitted religious minorities.⁵⁴ The synagogue is particularly impressive for the

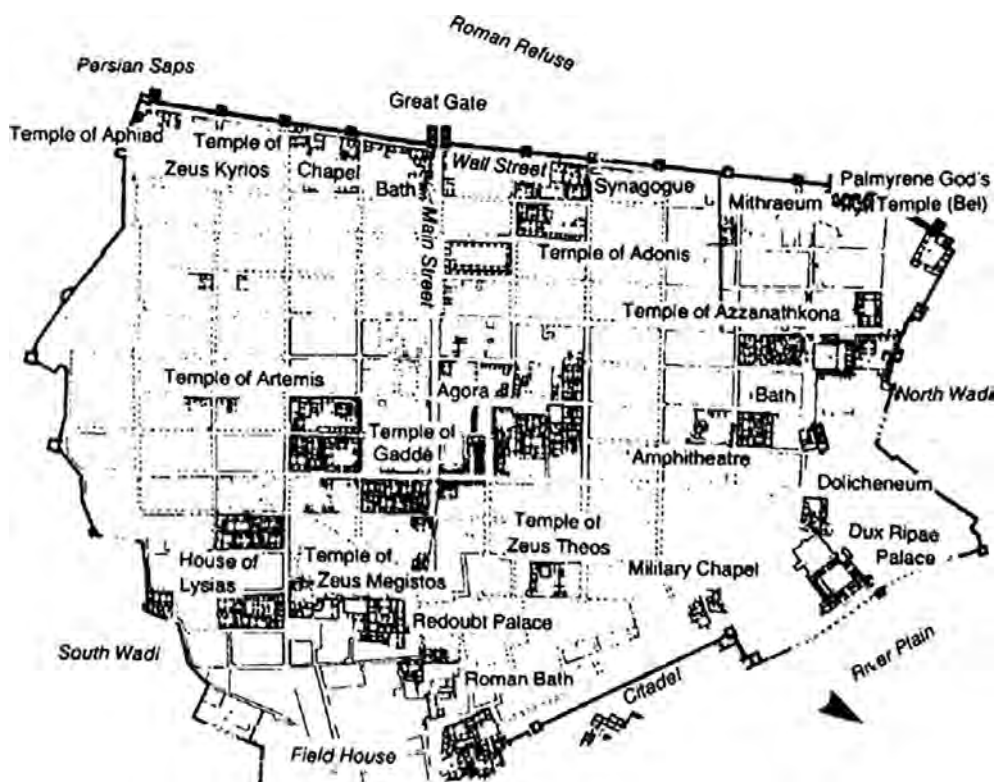


Figure 4.7 Plan of Dura Europos (After Hopkins)



Plate 4.12 Dura Europos, overlooking the Euphrates

series of frescos depicting scenes from the Old Testament – the oldest such cycle surviving (Plate 4.13). The church also contained wall paintings, depicting scenes from the miracles of Christ and other Christian symbols. It also contained a bishop's 'throne' and a font. It is accurately dated by a graffito of AD 231/2, the oldest dated church in the world. Both buildings owe their remarkable state of preservation to their situation alongside the city walls: apart from the protection afforded by the greater bulk of the ramparts behind, the walls were widened in AD 256 and strengthened by filling all adjacent houses – including the synagogue and church – with sand, the house walls forming casemates.

If the Jewish and Christian buildings of Dura had to be hidden away in the back-streets, monuments of the Graeco-Macedonian religion were appropriately situated in the centre by the agora. These were the temples of Artemis, Apollo and Zeus. But the religion of Dura soon acquired a Near Eastern transformation. Artemis became Nanaia, an ancient Mesopotamian deity, and temples to two other goddesses were built alongside: Atargatis, a deity popular elsewhere in Syria, and Azzanathkona, a deity found only at Dura (although she too was occasionally equated with Artemis). The Temple of Artemis-Nanaia, after its reconstruction in the first century AD, resembled Mesopotamian temple styles rather than the peripteral Doric temple first laid out by the Macedonians. This and the Temples of Atargatis and Azzanathkona followed the eastern design of a sanctuary set within a large enclosure.

To the already cosmopolitan pantheon of Dura Europos can be added temples to more obscure Arab gods such as Arsu, Ashera and Sa'ad, as well as gods from the Roman occupation such as Jupiter. But equally important were the civic monuments. The agora formed a large open square in the centre of the city. As the city became more 'orientalised' so did its



Plate 4.13 The synagogue at Dura reconstructed in the National Museum in Damascus

architecture, and the agora gradually became transformed into covered souqs and street-side shops. The houses, too, resemble traditional eastern courtyard houses. The Romans left their mark with the construction of a large praetorium complex covering nearly a quarter of the walled city. As well as a governor's palace consisting of two colonnaded courtyard buildings, there were barracks, a small amphitheatre, several baths and a parade ground. These were the last major buildings to be erected before the city's destruction. Unlike the other monuments at Dura, they are wholly Roman in style, with the Roman foot being used as the standard measurement rather than the local cubit.⁵⁵ Overlooking the Euphrates is the citadel, originally laid out by the Macedonians but in its present form largely Parthian (Plate 4.12). Outside the ramparts a necropolis included underground chambers and occasional tomb towers in the Palmyrene style.

In the physical remains at Dura Europos, one of the few cities whose development has been almost entirely recovered through archaeology, we thus see a city that could almost form a model for the 'Graeco-Roman' urbanisation of the East: an initial Macedonian foundation that gradually merged with the Syrian and other eastern cultures that surrounded it. In the end, it was practically indistinguishable from the Near Eastern environment in which it was initially implanted. The oriental artistic and architectural forms are even more unambiguous than at Palmyra. Despite this it is stated that 'Dura always remained a Greek, or in

the end a Graeco-Roman, city. The traces . . . of Semitic . . . and Iranian languages do not serve to refute this proposition.⁵⁶ The material remains at Dura refute that proposition very strongly indeed. The most that one can say is that Dura was a city of mixed elements, with the non-Hellenistic elements predominating.

Mesopotamia

Further north on the great plains between the middle reaches of the Euphrates and Tigris Rivers, the main cities outside the Kingdom of Edessa were frontier cities, dating mainly from the later empire. Nisibis,⁵⁷ modern Nusaybin, had a very ancient history, figuring prominently in the second and first millennia BC, but Constantina,⁵⁸ modern Viransehir, to the north-west, appears to date from the fourth century AD. Nisibis was of great strategic importance in the later Roman wars with Iran, becoming a major bone of contention on both the battlefield and the negotiating table. It changed hands a number of times, most notoriously in the settlement of the Emperor Jovian following Julian's death in the fourth century. Apart from a very ruined Roman arch and the remains of a fourth-century church, very little survives today (Plate 1.5). Constantina, however, still preserves much of its basalt ramparts that were built in the time of Justinian. Dara was another massively fortified Roman site, with impressive cisterns, quarries and tombs still surviving today (Plate 4.14).⁵⁹

Further east, the garrison town of Circesium formed an important link in the chain of fortified posts of the eastern frontier during the time of Diocletian.⁶⁰ It was strategically located near the confluence of the Khabur and Euphrates rivers and formed the headquarters of the Third Parthian Legion in the fourth century. It is on the site of a former Assyrian post but virtually nothing remains of the Roman period. More survives of the legionary headquarters



Plate 4.14 Some of the cisterns at Dara

of Singara, modern Sinjar in northern Iraq.⁶¹ This was the headquarters of the First Flavian and First Parthian Legions after Septimius Severus incorporated the area into the empire at the end of the second century, and today much of its city walls and a gateway are still standing (Plate 1.6).

The Phoenician coast⁶²

This region was urbanised long before the Macedonian-Roman period and retained its 'native' (in this case mainly Phoenician) character throughout. The main cities, Aradus, Byblos, Sidon, Tyre, Akka (Ptolemais) and Gaza, had enjoyed venerable histories, with Tyre long anticipating Rome in controlling much of the Mediterranean as its own commercial 'lake' in the early and middle first millennium BC. Even the main Roman 'enclave' on the coast, Beirut, was a settlement long before and presumably retained its native population during the Roman period. The one city to retain its Graeco-Roman name was Tripoli (the Phoenician name, however, is not known).⁶³

The northern Levantine coast was dominated by Seleucia and Laodicea, as well as a few minor ports such as Posidonium and Diospolis. All of these largely incorporated and continued older Phoenician ports as we have noted. Further down the Levantine coast, continuity from the Phoenician past was stronger: like Beirut today, even their western gloss remained firmly rooted in Near Eastern traditions.

Macedonian and subsequent Roman conquest did, however, result – indirectly – in bringing one fundamental change to the ancient Phoenician ports. This was the foundation and rapid rise of Alexandria, creating a direct Hellenistic challenge to the Phoenician maritime and commercial supremacy in the Mediterranean. To some extent this was offset during the Hellenistic period by the north–south division of the eastern Mediterranean into the two rival Macedonian dynasties, the Seleucids protecting the Phoenicians' older supremacy against the Ptolemies encouraging Alexandria's rise. But the destruction of Carthage by Rome further exacerbated the position, a Phoenician loss that was Alexandria's gain. With Alexandria and all of the Phoenician city-states passing to the Romans in the first century BC, Alexandria's monopoly of the seas was assured.

Ultimately, perhaps the Phoenicians gained the most. For the Phoenician creative energies and intellectual talents, hitherto absorbed by trade, could be redirected elsewhere. Hence, the Phoenicians enjoyed a renaissance under the Romans. Historiography figured prominently, and Philo of Byblos (probably late second century AD) made available the ancient Phoenician historical works to the world of Classical learning. Chief of these were the writings of Sanchuniathon, who lived in the seventh century BC or earlier.⁶⁴ Josephus, although a Judaeon, together with Eusebius and Procopius of Caesarea as well as Herodian, Ammianus Marcellinus, Libanius, John Malalas and other historians of the Antioch 'school', must ultimately be considered a part of this Phoenician historiographical tradition. In geography the Phoenician achievement in the Roman period was no less impressive. Marinus of Tyre (early second century AD) drew upon ancient Phoenician traditions of navigation, not to mention their nautical charts and other geographical records, to lay the foundations of scientific geography. He was the first to allocate localities to specific latitude and longitude using mathematically based maps rather than merely descriptive itineraries. His work forms the foundation of Ptolemy's geography. Other areas where Phoenician intellectual activity excelled included philosophy, particularly the Neo-Platonists Porphyry of Tyre and Iamblichus of Chalcis, and law, explored in more detail in the section on Beirut below.

*Aradus, Antaradus and Marathus*⁶⁵

Roman Aradus was just one of a closely linked group of three originally distinct Phoenician settlements: Aradus, Antaradus and Marathus. The name Aradus initially applied only to the island two kilometres offshore. Its main colony on the mainland was known as Antaradus, the two evolving to Arwad (or Ruwad) and Tartus (or Tortosa) respectively. Of these, Arwad is probably the more ancient. A few kilometres south of Tartus is the site of another Aradian colony: Marathus (modern Amrit). Indeed, Arwad is about equidistant from both Amrit and Tartus. Amrit tried to break free from Arwad's domination in 219 BC, which probably explains why it declined in the Hellenistic-Roman period while Tartus rose.

The island of Arwad passed peacefully to Alexander of Macedon, having the wisdom to submit rather than try to match the famous siege – and spectacular defeat – that the other Phoenician island-city of Tyre underwent. Because of this, it enjoyed new-found prosperity, but in the Roman period its fortunes passed to Tartus on the mainland. Under the Pax Romana the natural defences of an island were no longer necessary, while the mainland had room for expansion, so Tartus grew at the expense of Arwad. It underwent a major programme of rebuilding in 346 under Constantine, probably because of its ancient shrine dedicated to the Virgin Mary. This shrine itself replaced and incorporated an older Phoenician fertility cult, probably Astarte.

Neither city, however, preserves many remains from either their Phoenician or their Roman past (although Arwad still has foundations of its Phoenician ramparts). But Marathus, which escaped later overbuilding, has many. These include some of the most important Phoenician monuments on the entire coast, consisting of a temple and a number of funerary monuments of considerable importance for the evolution of Near Eastern architecture in the Roman period (Chapter 7; Plates 7.8, 7.21 and 7.22). There are also traces of a stadium, possibly from the Macedonian period (the only one in the Near East apart from Sebaste).

Further down the coast was the important Phoenician cult centre of Arqa (located just inland).⁶⁶ It was a place of some importance in the second millennium BC, mentioned in both Assyrian and Egyptian sources. In the Roman period it was known as Caesarea ad Libanum when its temples of Atargatis and Astarte achieved considerable fame. But it was as the birthplace of the Emperor Severus Alexander that Arqa was best known. However, Arqa never became a Lepcis Magna or even a Shahba (the monumentalised birthplaces of Septimius Severus and Philip the Arab), and the few modest columns at the site belong either to one of the temples or to a palace that Alexander supposedly built here.

Tripoli was founded as a joint colony of Aradus, Tyre and Sidon in about 800 BC.⁶⁷ Hence its Greek name, but, uniquely of the more important Phoenician cities, the original Phoenician name is lost. Its location was at the modern port of al-Mina rather than the present city of Tripoli itself. It was embellished during both the Macedonian and Roman periods, but apart from a few columns and other fragments, all has either been lost through earthquakes and other destruction or obscured by almost continuous over-building since antiquity.

*Byblos*⁶⁸

Byblos, modern Jubayl, was one of the greatest Phoenician city-states, with a long – and archaeologically well-documented – history before the Romans. Civic buildings from the Roman period include street colonnades, a baths, a theatre, a basilica, a nymphaeum and an extensive drainage system. Along with the other Phoenician city-states it was a major trade centre, but Byblos specialised in two commodities. Its chief import was papyrus, imported from Egypt and trans-shipped throughout the Greek-speaking world and elsewhere in the Mediterranean (hence the word for book – bible – derived from Byblos). Its chief export

was cedar wood, as Byblos was the nearest port to the great cedar forests inland. In the area of these former forests over eighty Latin inscriptions from the time of Hadrian have been recorded.⁶⁹ This suggests that the lucrative forestry of Byblos was a monopoly of the Roman emperors. Of equal importance to the cedars, but less emphasised, was the plentiful supply of other timber which Byblos also had ready access to, mostly cypress and Aleppo pine. These were probably more in demand for shipbuilding than cedar. Apart from masts and keels, cedar was used more for monumental building, particularly as roof beams to span the distances that temple roofs demanded. In addition, linen from Egypt was trans-shipped through Byblos, and vintage wine was a popular export.

Byblos was renowned for its religion at least as much as for its trade, and many of its ancient kings bore theophoric names. Central to the pantheon was the cult and famous temple of Baalat Gabal, 'Our Lady of the Mountain'. Hence, the city was occasionally referred to as 'Holy Byblos', one of many such holy cities in the Near East that competed with Jerusalem. Its temple was completely rebuilt in the Roman period on the same position as the Bronze Age one and a colonnaded approach added (Plate 4.15). The colossal Bronze Age stone images were reused in this reconstruction, but contemporary coins depict a large *baetyl*, like the sacred stone of Elagabal, as the cult object.

Baalat-Gabal was the patron goddess, but many other deities were worshipped in 'Holy Byblos' as well. Another large temple to an unidentified male deity was built in the Roman period. The Adonis cult with its important annual festival was also centred on Byblos, where it was almost as important as Baalat-Gabal. The annual blood-red discoloration of the nearby Adonis River (the Nahr Ibrahim) was central to this cult. Egyptian Isis and Osiris were also popular, probably because of their close links with the Adonis cult, and the theme of rebirth and resurrection common to the two cults both anticipated and paved the way for Christianity



Plate 4.15 A short stretch of the restored Roman colonnaded street at Byblos with the Bronze Age ramparts in the foreground

(Chapter 8). Hellenisation might have been a major element at Byblos along with the other Phoenician cities, but when it came to religion it was the older traditions that prevailed.

*Beirut*⁷⁰

Beirut's origins are very ancient, and excavations in the centre of the city have brought to light a Bronze Age settlement defended by ramparts that resemble those of Byblos.⁷¹ As such it was one of many similar Phoenician trading cities on the Levantine coast, but it achieved neither the fame nor the commercial domination of its better known neighbours – it was beneath Alexander's notice in his rampage down the coast – remaining one of the more insignificant ports until the Roman period.

In 15 BC Augustus founded a veteran colony at Beirut. This rapidly became Rome's only Latin-speaking enclave in the Near East, 'an isle of Romanism in a sea of Hellenism', remaining western in outlook to the present day.⁷² It rapidly gained the trappings of a Roman city: two forums, a baths, a theatre, an amphitheatre and a circus.⁷³ Few traces of these have been recovered in excavations, so it is not possible to check whether such buildings were simply a Roman gloss, disguising native elements, as in other Roman cities (Chapter 6). But if these most Roman of all buildings do not display its Latin character, the description of the inaugural games held in the amphitheatre removes all doubt: seven hundred pairs of criminals forced to slaughter each other until none were left alive.

At the beginning of the third century the Emperor Septimius Severus founded Beirut's most famous institution. This was the Law School, the first such institution in the Roman world, and it was enthusiastically supported by the Severan emperors (Plate 4.16). The Beirut Law School



Plate 4.16 Excavations of the Roman remains in Beirut, possibly of the celebrated Law School

was to have a profound effect on Roman civilisation. It represents the birth of Roman – hence European – jurisprudence, of which Justinian’s monumental *Digest* was the first great achievement. It attracted many prominent legal minds, mostly drawn from the Phoenician population of the Levant itself. The most famous were Papinian, a native of Emesa, and his contemporary Ulpian, a native of Tyre. Both were patronised by the Severan dynasty (although Caracalla had Papinian murdered for his association with Geta) and both are acknowledged in Justinian’s *Digest* as forming the basis of Roman Law. This subsequently formed the basis of legal systems throughout Europe, and in much of the rest of the world as well.

Beirut and its justly famous law school, and with it its profound legacy, is regarded as a ‘western’ and Roman enclave in the Near East. But it was founded and promoted by emperors whose origins and destinies were intimately bound to Phoenician culture. Above all, it must be emphasised that, Latin enclave though it might have been, the environment of Beirut and its law school is the Near East, not Italy. Many of the scholars who dominated it were natives of the Near East, however Romanised, notably Papinian and Ulpian. It drew upon literary traditions that stretched back to Sanchuniathon of Beirut in the seventh century BC and legal traditions that stretched back even further to the Judaic traditions of the early first millennium and the Mesopotamian law codes of the early second millennium. Ultimately, therefore, should we be viewing Beirut in the context of Rome or of Babylon?

*Sidon and Tyre*⁷⁴

The greatest of the Phoenician maritime cities in the late second and early first millennia BC were Sidon and Tyre, rivals for commercial and colonial supremacy. From this rivalry it was the island city of Tyre that emerged uppermost, although Sidon gained revenge by joining forces with Alexander in his attack on Tyre, with its subsequent destruction of the city and its population. Accordingly, Sidon enjoyed a revival in the Hellenistic period, if the lavish sarcophagi of its princes, today forming the centrepiece of the Istanbul Archaeological Museum, are anything to go by. Tyre’s main trade was the famous purple dye – ‘Tyrian purple’ – derived from the murex sea-snails found on its coast – a commodity exploited by Tyre more than the other Phoenician coastal cities. Sidon’s main commodity was glass – again a product of the sea, for good glass-producing sands occurred around Sidon.

Alexander’s destruction of Tyre unwittingly sowed the seeds of its revival. Razing the city not only created a new building site, but the mole he constructed to bring the mainland to the island created virgin building land. Hence, this mole was to become the heart of the new Roman city, and Tyre preserves more Roman imperial remains than any other city of the Levantine coast. Another factor was the civil war between Septimius Severus and Pescennius Niger, when Tyre had the good sense to support the former – it did not make the mistake of opposing a winner for the second time. Although Niger plundered the city, Septimius rewarded its loyalty by elevating it to colony in 201. Its renewed prosperity was assured. A magnificent colonnaded Decumanus and a hippodrome, one of the largest in the Roman world, were built on the isthmus (Figure 4.8). The eastern end of the Decumanus is marked by a monumental arch (Plates 4.17 and 4.18). To the west, on the site of the former island, the Decumanus was some 21 metres in width and paved originally with mosaic, replaced in the early third century by marble slabs.⁷⁵ The columns are of imported cipollino from Greece. Baths and gymnasia have also been excavated to the south of the street. To the north is a unique rectangular building with seating around all four of its sides resembling a rectangular amphitheatre, possibly used for religious spectacle. Outside the city stretches a vast necropolis on either side of the paved road that is an extension of the Decumanus. As well as

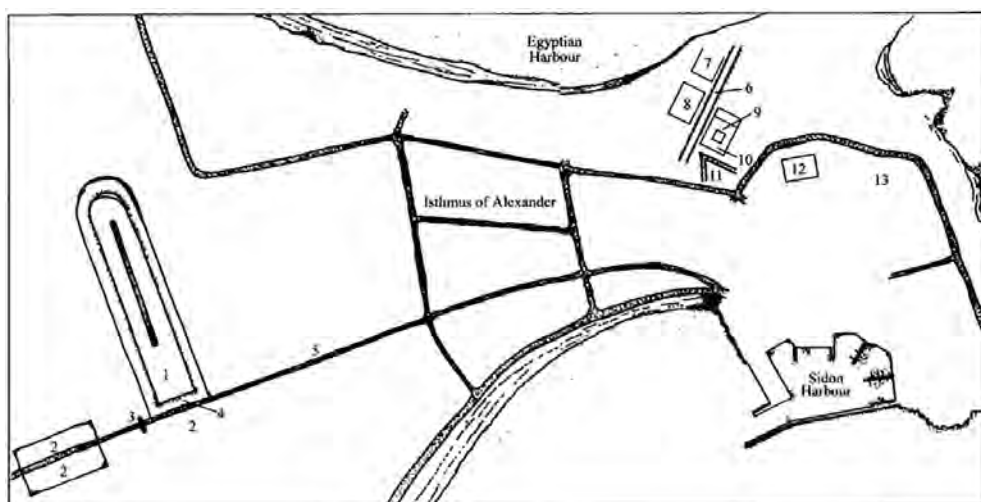


Figure 4.8 Sketch plan of Tyre based on aerial photographs



Plate 4.17 The monumental arch at Tyre



Plate 4.18 The Tyre hippodrome

underground hypogea decorated with some of the finest paintings in the Roman East, there are large numbers of particularly elaborate sarcophagi.

The main cult of Tyre was Phoenician Melqart.⁷⁶ Although Melqart was considered an aspect of Baal in the Phoenician pantheon, the Greeks and Romans equated him with Heracles. The cult was taken with the Tyrian colonists to the western Mediterranean, where it achieved great popularity in Carthage, as well as Cadiz and other Phoenician centres in Spain. Hence, the Straits of Gibraltar were known as the ‘Pillars of Hercules’, a reference to the popularity of the Melqart cult there. The lack of figural representation of the ‘Temple of Hercules’ at Cadiz later baffled the Romans, and the concept of rebirth and resurrection, central to the cult, prepared the way for the later popularity of Christianity in North Africa and Spain (Chapter 8). Astarte was also popular at Tyre, as was Baal-Shamin, forming a possible trinity with Melqart. The Temple of Astarte is depicted on Roman coins from Tyre, but excavations have so far revealed no traces.

*Caesarea*⁷⁷

South of Tyre the next main city was Akka, renamed Ptolemais in the third century BC by Ptolemy II Philadelphus of Egypt to offset Seleucid influence in the region. Ptolemy renamed Amman Philadelphia for the same reason. Akka-Ptolemais was the centre of the Phoenician cult of Hadad, equated by the Romans with Jupiter. Surprisingly, the Ptolemaic Hadad cult was assimilated to that of Jupiter Heliopolitanus of Baalbek, rather than to Jupiter-Hadad of Damascus. However, very little is known of Ptolemais in the Roman period, and virtually no remains have come to light.

Caesarea is probably the youngest city of the Phoenician coastal region, being founded immediately prior to the Macedonian conquest. It was founded in the fourth century BC by King Strato of Sidon, becoming known as Strato's Tower. It remained, however, only a minor settlement on Sidon's trade with Egypt throughout the Macedonian period, although it has traces of second-century BC ramparts. At the end of the first century BC Strato's Tower became one of the main objects of King Herod's ambitious building programme. Herod founded a new city on the site between 22 and 10 BC, renaming it Caesarea in honour of Augustus (Caesar). In addition to its Roman name, Herod endowed Caesarea Maritima (as it came to be known, to distinguish it from other 'Caesareas' elsewhere) with buildings that were a conscious attempt at Romanisation: a Temple to Rome and Augustus, a forum, baths, a theatre and an amphitheatre (Figure 4.9). Much of the building technique followed Roman rather than Near Eastern patterns: for example, archaeological investigations have shown that cast concrete, almost certainly imported from Italy, was used extensively. Concrete was rarely used in the East, stone being more popular, so even some of the builders probably came from Italy. Herod also built a palace and massively enlarged the harbour, possibly in an attempt to rival Alexandria. Large areas of good agricultural land inland were allocated for the city's income. Caesarea was settled with a mixed population of Greek-speakers and Jews, which was to remain a source of friction in the events leading up to the Jewish Revolt.

Herod consciously sought to make Caesarea a *Roman* city above all, bringing 'Roman entertainment, Roman gods, and even Roman concrete', imparting a western veneer which he could not possibly attempt at holy Jerusalem.⁷⁸ Hence, the emphasis on such Roman trappings. Caesarea must have superficially resembled similar port cities of the western Mediterranean. Herod founded Sebaste for much the same reasons, and it too was dominated by a Temple of Rome and Augustus, as well as a forum with a basilica alongside (Figure 4.10), both features highly unusual in the Near East (Chapters 6 and 7). But at Sebaste the Roman veneer is more superficial: the streets were colonnaded in the eastern fashion, unlike Caesarea, while the Temple of Rome and Augustus was built in the Near Eastern style, despite its dedication (Plates 2.12 and 2.13).⁷⁹ The material remains, therefore, demonstrate that Herod's efforts to implant Romanisation in his kingdom found considerable resistance in the more conservative inland. But Caesarea, facing the sea, could look to the West and wear its Roman airs with more confidence.

Hence, Caesarea was the natural choice as capital of Roman Palestine. This accelerated the city's Romanisation and Caesarea remained a Roman enclave. Following the Jewish Revolt, the Caesarea arena was used for the execution of 2,500 Jewish prisoners by Titus. The destruction of the former Jewish capital also meant that greater prosperity flowed into Caesarea. Accordingly, with both Roman confidence and Herod's initial endowments behind it, Caesarea grew rapidly in the first two centuries of Roman rule. A magnificent double aqueduct, now one of Caesarea's more prominent landmarks (Plate 2.14), was constructed during the time of Hadrian. The same emperor endowed a magnificent new temple dedicated to himself, and a superb colossal porphyry statue of the emperor probably belongs to this. Other temples were built and existing ones embellished. Traces of the cults of Mithras, Osiris, Tyche, Apollo, Demeter and Ephesian Artemis have been found in the excavations; native Near Eastern cults are almost entirely absent.

But the third century also saw the introduction of a new Near Eastern element into Caesarea's western fabric. This was Christianity, which became particularly prominent at Caesarea when the great Alexandrian theologian, Origen, turned Caesarea into a centre for Christian learning. By the end of the third century the most famous of the early Christian

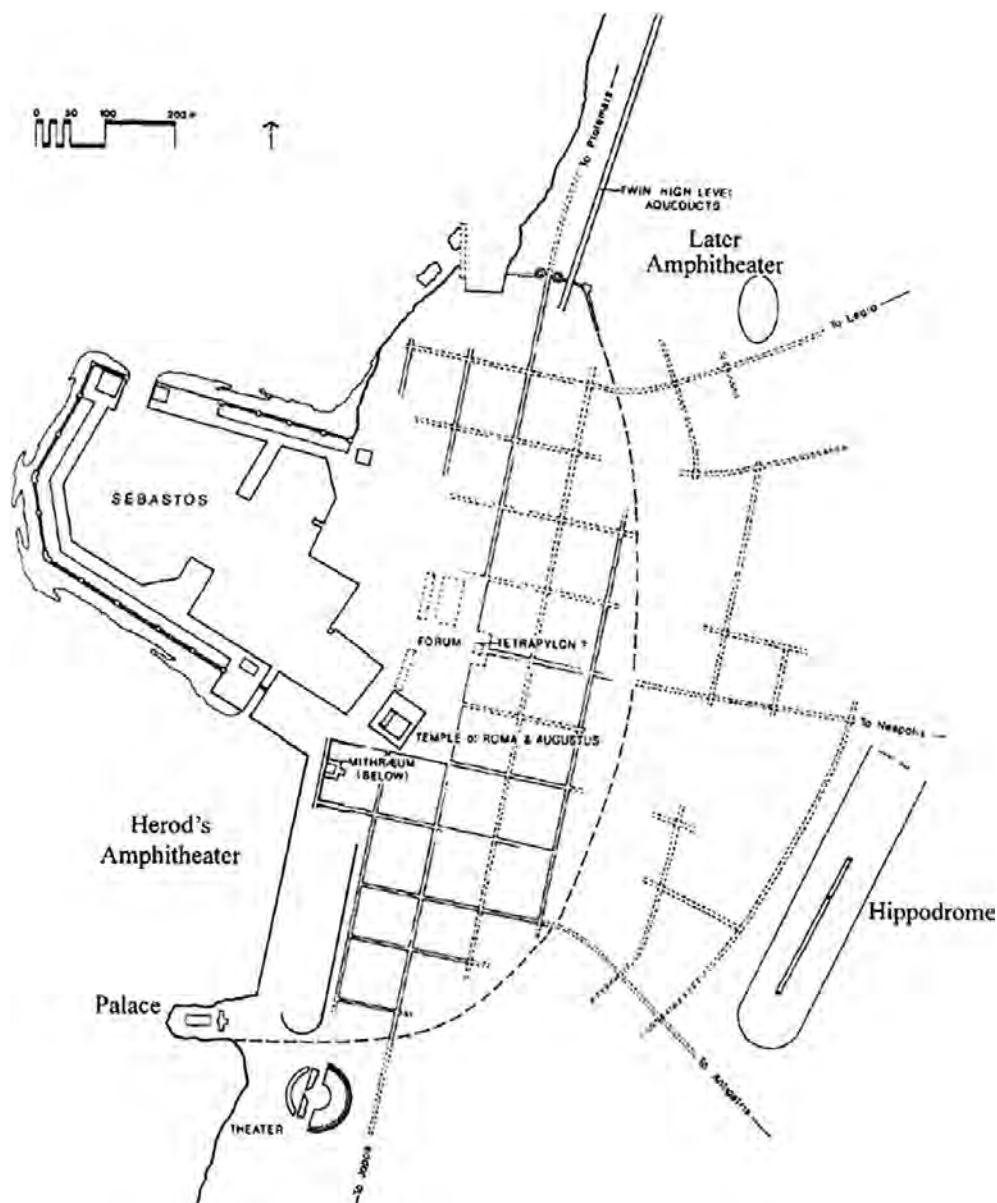


Figure 4.9 Plan of Caesarea (After Porath and Zeik/Roller)

historians, Eusebius of Caesarea, set the seal on the city's transformation. In this way Caesarea participated in what can only be termed a 'Phoenician renaissance'. This immense upsurge of intellectual activity in the later Roman period encompassed the religious, philosophical, historiographical and legal traditions of Antioch, Byblos, Beirut, Sidon, Tyre and Gaza, in addition to Caesarea. It represented the last flowering in antiquity of the cities of the Phoenician coast. To say that these Phoenician cities were 'characterised . . . above all

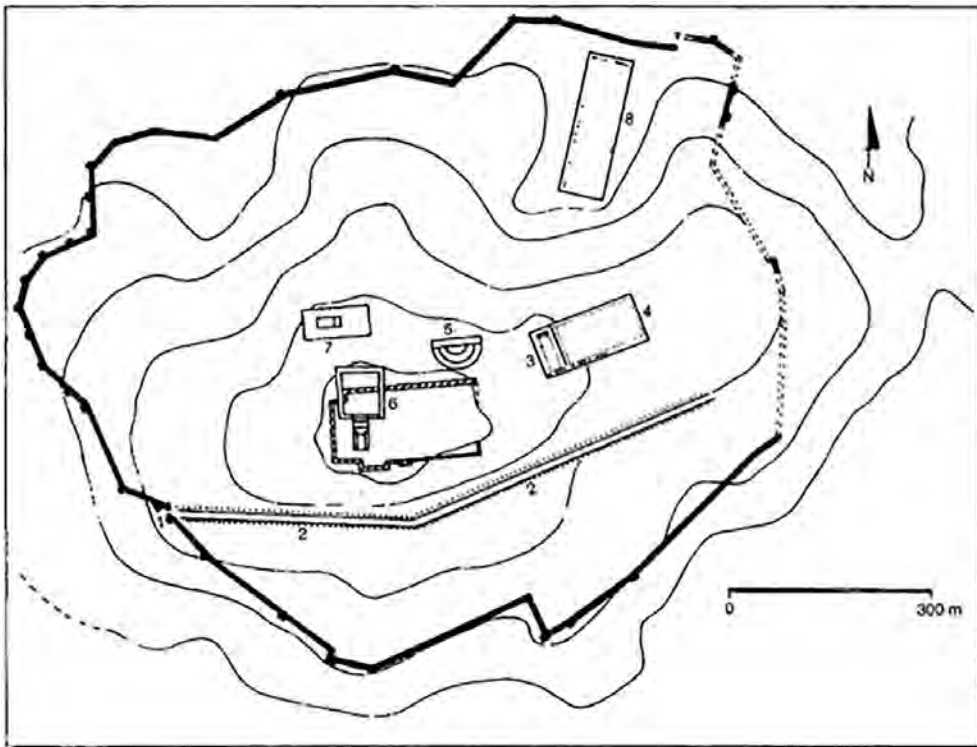


Figure 4.10 Plan of Sebaste. 1: West Gate. 2: Colonnaded street. 3: Basilica. 4: Forum. 5: Theatre. 6: Temple of Augustus. 7: Temple of Kore. 8: Stadium. (After Segal/Crowfoot)

by a recognised identity within Graeco-Roman culture' is to miss the obvious.⁸⁰ Ultimately, Caesarea formed a part of the culture in which it was founded.

The Decapolis⁸¹

The Decapolis was a loose grouping of former Macedonian cities under indirect Roman rule between the first century BC and the first century AD. It covered the present borderland region of Jordan, Israel and Syria, stretching roughly from Amman to Damascus (Figure 4.11). Consisting originally of ten cities (hence its name), the number eventually grew to some twelve or fifteen (the exact figure is uncertain) by the end of the first century AD. Philadelphia (Amman), Gerasa (Jerash), Pella (Tabaqat Fahl in the Jordan Valley), Capitolias (Bait R'as near Irbid), Gadara (Umm Qais), Abila (the last two near the Yarmuk ravine), Raphana and probably Dion are within the present borders of Jordan. The whereabouts of the latter two are uncertain, but they may have been two names for the same place. Arbela (modern Irbid) may also have 'belonged' to the Decapolis although it is more likely that it came under neighbouring Capitolias. The only one on the west bank of the Jordan was Scythopolis (Beth Shean in Israel). Hippos overlooked the eastern shores of the Sea of Galilee on the Golan Heights, and Adra (Der'a) and Canatha (Qanawat) are in the Hauran in Syria. Damascus was briefly included in the first century AD.

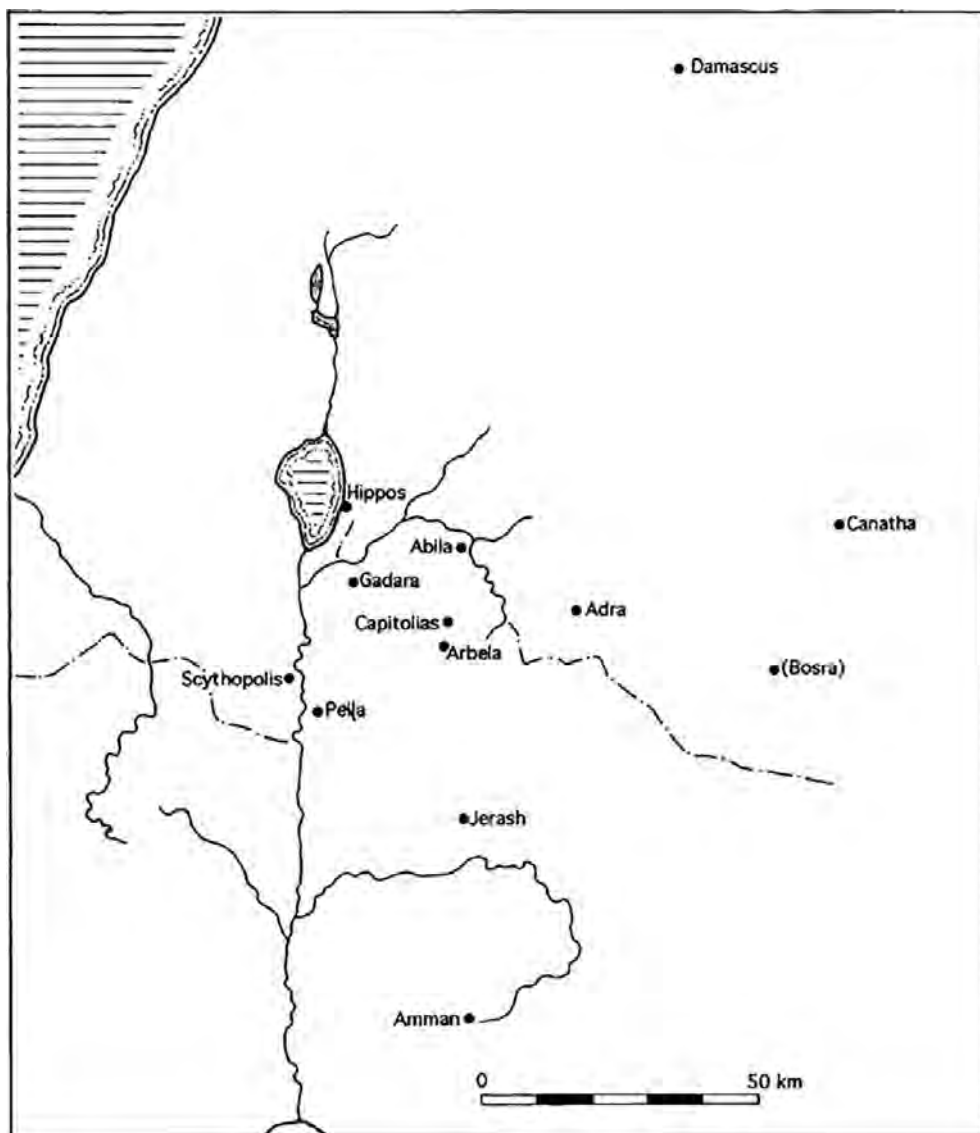


Figure 4.11 The area of the Decapolis

It would be a mistake to view the Decapolis as somehow Hellenistic. Vague an administrative unit though it was, all evidence suggests that it was a creation of Pompey's annexation of Syria, and should not be seen as either Seleucid in foundation or Hellenistic in nature. There is certainly no evidence that the Decapolis was a fully fledged Hellenic League in the same way that Greek city-states were elsewhere in the eastern Mediterranean. At best, it was simply a loose geographical term, reflecting perhaps the Macedonian foundations (or re-foundations) of the cities in an earlier period, together perhaps with some form of economic link (in much the same

way and meaning that urban centres in modern Britain are loosely lumped together under such names as ‘Teesside’, ‘Humberside’, ‘Central Belt’ and so forth). The use of the term may even have been simply propagandistic, an effort to emphasise a Roman presence in an area otherwise Arab. It is doubtful, after all, if the Nabataeans used the term. Hence, the extreme vagueness in the sources of the exact number of cities in the Decapolis: it simply did not matter.⁸²

The Decapolis has been regarded as a form of Hellenic ‘alliance’ against the increasingly powerful Semitic states of Judaea and Nabataea respectively. But all three regions – Judaea, Nabataea and the Decapolis – were in any case indirectly under Rome so that any such ‘alliance’ would have been neither necessary nor tolerated. Furthermore, there was no contiguous union in the territorial sense: both Judaea and Nabataea extended into the area of the Decapolis. Even after the Decapolis was ‘formed’, some Decapolis cities were transferred to Judaeian and Nabataean administration and vice versa at various times, while the actual capital of the Nabataean kingdom itself was moved in the first century AD from Petra to Bosra, within the Decapolis ‘enclave’. As with the discussion on the whereabouts of the Temple of Emesene Baal in Chapter 2, modern notions of territory do not apply. Nabataean influence in particular was considerable. From a cultural and ethnic point of view the populations of the Decapolis cities were mixed Graeco-Macedonian, Arab and Jewish (particularly in Scythopolis). Arabs probably formed the bulk of the population with Greeks and Macedonians – or Hellenised Arabs – forming the upper echelons of the administration.⁸³ The old Semitic names of the cities were either retained or lay just below the surface throughout, resurfacing the moment Roman rule ended. The architecture displays oriental variants, the temples and the cults were to local deities. To view the Decapolis as an ‘island of Hellenism’⁸⁴ or as ‘unambiguously Greek’ in ‘public character’⁸⁵ does not accord with the evidence.

With the end of most of the Near Eastern client kingdoms and the imposition of direct Roman rule – the Judaeian kingdom came to an end in AD 94, followed soon by the Nabataean kingdom in 106 – the Decapolis as a unit seems to disappear. It was all now a part of the Roman Empire, so any propaganda value in the term ‘Decapolis’ was no longer valid. With the subsequent administrative reorganisation, all of the Decapolis cities were divided between the new provinces of Syria, Arabia and Palestine. But informal ‘membership’ still remained an empty honour long afterwards – much as the Hanseatic League continued in the Baltic.⁸⁶

*Damascus*⁸⁷

Written references to Damascus begin in the third millennium. In the Aramaean period of the early first millennium BC Damascus emerged as a major regional centre, and has maintained this status ever since. Following the Roman conquest it seems to have been at different times under Roman and Nabataean administration. Its naturally pre-eminent role was recognised by Hadrian, who elevated it to the status of metropolis. It was further elevated to the status of Roman colony by Septimius Severus.

Much of the gridded street pattern of Damascus today is presumed to be third century BC, although with such a long history before and continual over-building since, this cannot be certain. When originally laid out by the Romans the ramparts enclosed a rectangular area measuring approximately 1,340 by 750 metres, and they follow much the same line today (Figure 4.12). They have however, been continually rebuilt, so that only the foundations or small stretches (particularly along the north side) are considered Roman. The Bab Sharqi (Eastern Gate) is the only original Roman gate still extant (Plate 4.19). It is a standard Roman triple entrance and the central arch is aligned on the Roman Decumanus (the biblical ‘Street Called Straight’). Laid out in Roman times, the Decumanus cut across the older grid pattern

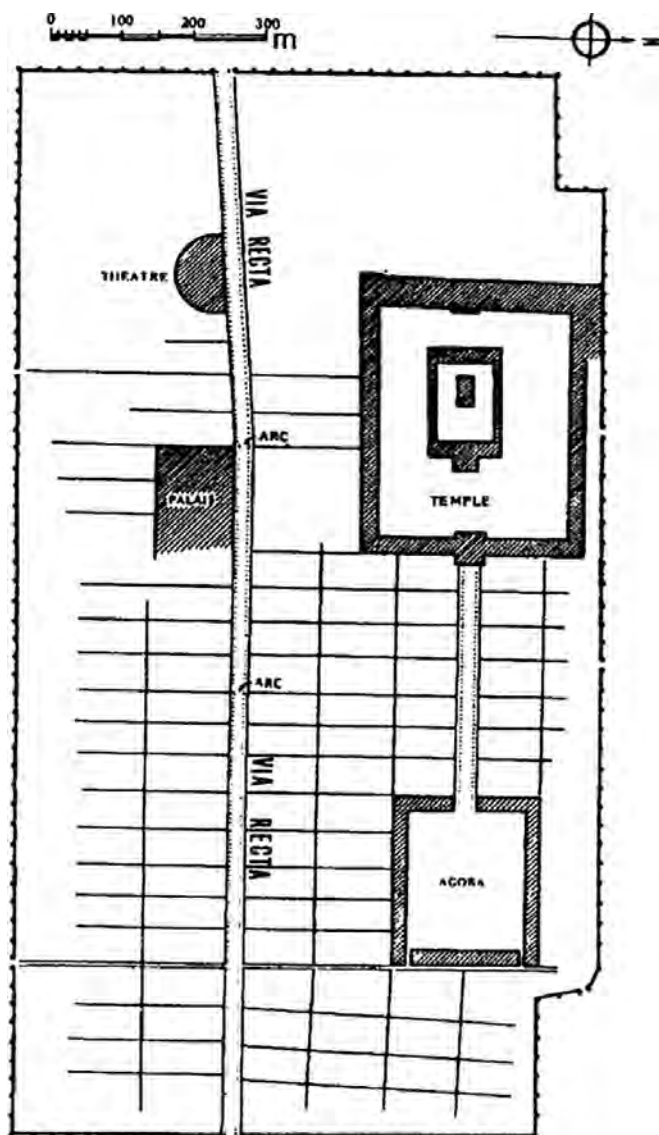


Figure 4.12 Roman Damascus (After Sauvaget)

from east to west. Like other cities of the Roman East, it was originally colonnaded, and a fragment of the original colonnade still stands just inside the Bab Sharqi. Unusually, it was arcaded and in the Doric order. Halfway along the Decumanus are the remains of a Roman monumental arch, probably marking the intersection of two streets (re-erected on present street level, the original being some five metres lower).

The greatest building of Roman Damascus was its gigantic temple of Jupiter Damascenus (Figure 4.13).⁸⁸ The Umayyad Mosque is set in the inner temenos of this temple, itself replacing – and largely incorporating – the earlier temple to Hadad (with whom the Romans



Plate 4.19 The East Gate at Damascus. Note the Doric order and arcuate street colonnade attached to it

equated Jupiter). It was built in the first century AD, although much of the present enclosure wall probably dates from the third or fourth century (Plate 4.20). But it was a far older sacred site, as a ninth-century BC basalt orthostat, probably belonging to the original Aramaean Temple of Hadad, was discovered in the north-eastern corner of the temenos. The temenos wall, of fine ashlar blocks, originally had a square tower at each corner. Each side was originally pierced by triple entrances. The one on the east, with a propylaeum in front of it, was the main gate to the Roman temple and still forms the main entrance to the mosque. The original sanctuary was probably in the centre of the enclosure, but this was destroyed when the enclosure was used for a basilica dedicated to John the Baptist. To the east of the enclosure are the remains of the Roman propylaeum consisting of part of an elaborately decorated pediment resting on four Corinthian columns. This formed the entrance to a gigantic outer enclosure, originally measuring some 315 by 360 metres, or approximately 113,400 square metres in area, that has now largely disappeared. This makes the temple one of the largest in the East.

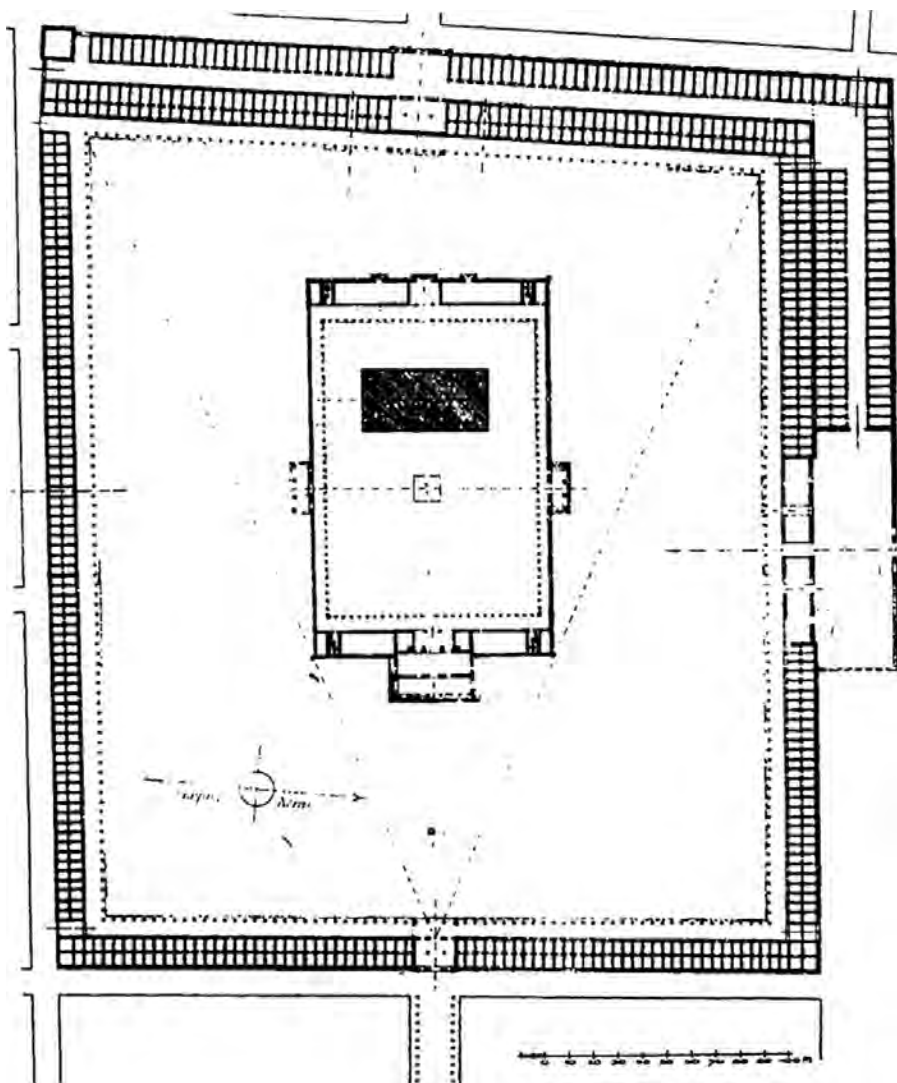


Figure 4.13 The Temple of Jupiter-Hadad at Damascus (After Watzinger)

This temple belongs firmly within Near Eastern, rather than Roman, architectural traditions (Chapter 7), and the cult worshipped there was a local one.⁸⁹ As such, this vast temple marks Damascus as another of the East's holy cities, in this case the centre of the Hadad cult. It seems possible that Herod's great building programme at Jerusalem, marking a conscious effort at making his temple the grandest in the East and its cult pre-eminent, sparked off a religious competition with rival cults. Damascene Hadad – in addition to Emesene Baal, Palmyrene Bel and perhaps even Hierapolitan Atargatis, Tyrian Melqart, Bibline Baalat and numerous other cults – felt prompted to respond with building programmes intended to compete with that of Jerusalem.



Plate 4.20 The enclosure wall and corner tower of the Temple of Jupiter-Hadad at Damascus

*Qanawat and Si'*⁹⁰

Since Bosra was never a part of the Decapolis, Qanawat (Roman Canatha) was the main Decapolis city of the Hauran in the first century BC. In all of the Decapolis cities, the Nabataean element was uppermost and the Hellenistic least. This was because of the important Nabataean cult centre at Si'.

Inside Qanawat itself, the main surviving monuments are also religious. The main concentration is a complex known as the 'Seraglio', a series of buildings with a rather complex architectural history. It consists of two apsidal structures, probably a temple complex, on the south and east sides of a colonnaded courtyard, the southern one second century AD and the eastern temple late third or early fourth century. Other buildings include a possible hall, dated by an inscription to AD 124, a small theatre and the remains of a nymphaeum. To the south-east of the Seraglio there are the remains of a peripteral temple, probably dedicated to the Sun god, Helios, and a prostyle temple dedicated to Zeus (or the local equivalent; Plates 4.21 and 4.22).



Plate 4.21 Decorated entrance to a probable temple in the ‘Seraglio’ complex at Qanawat



Plate 4.22 The prostyle ‘Temple of Zeus’ at Qanawat

The sacred site of Si' (Seia) lies further up the slopes to the south-east of Qanawat. Si' was not a separate town but forms an integral part of ancient Qanawat. The two are connected by a paved road that winds up to Si', probably a processional way. Si' was sacred to the Near Eastern deities Baal-Shamin and Dushara, the latter the main deity of the Nabataean pantheon. The worship of Baal-Shamin was practised here long before the Roman period, although the cult of Dushara probably arrived with the Nabataeans in the early first century BC. Both temples date to the late first century BC, when the Nabataeans ruled the area.

The temple complex at Si' was excavated and studied in great detail at the beginning of the century by an American expedition, which was able to reconstruct the buildings by a careful study of the surviving fragments. It consisted of a sacred precinct entered through a propylaeum, surrounded by three temples to the south, west and north (Figure 4.14). The small temple to the south is a simple, prostyle temple of fairly conventional design, but the other two are highly unusual. That on the west is the Temple of Dushara, which originally had an arched entablature over the columns forming its entrance. That on the north is the Temple of Baal-Shamin, dedicated in 33 BC, with an elaborately decorated entrance (Figure 7.21A; Plate 4.23).⁹¹ Its façade consisted of a pedimented colonnade flanked by two square towers. The sanctuaries of both main temples consist of two enclosed squares which in turn enclose a third square formed by four columns.

Si' forms a major part of Syrian architectural history, for it contains many elements from a variety of cultural traditions. Both deities place it firmly in the local tradition. This tradition is given architectural expression by the twin towers that flanked the Baal-Shamin façade, related to the ancient Near Eastern worship of high places. The fondness for complex and rich decoration owes much to Nabataean architecture, such as at the Temple of Khirbet Tannur in southern Jordan, but the overall 'language' of the decoration – the Classical orders (or modified versions of them) and much of the actual decorative patterns – are Hellenistic. Another element, however, comes from a third direction altogether. This is the circumambulatory arrangement of the sanctuaries, which possibly derives from Iran. This and the other architectural features are analysed in more detail in Chapter 7. Suffice to observe here that the Si' religious complex places this part of the Decapolis firmly within a Near Eastern tradition.

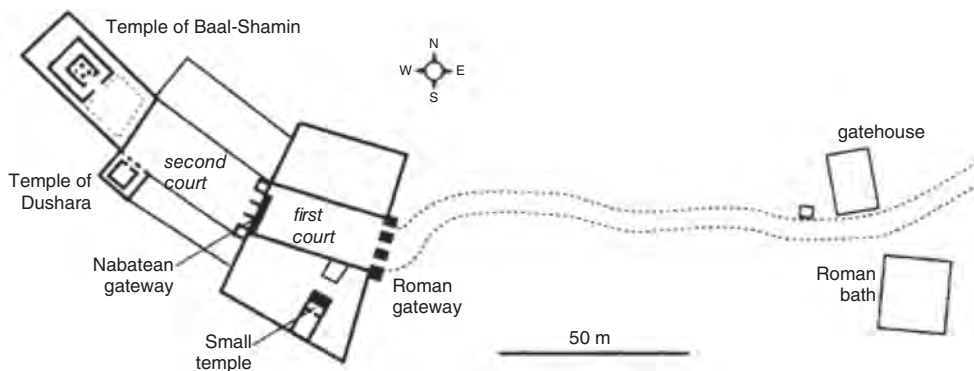


Figure 4.14 Plan of the Si' temples (After Burns/Butler)



Plate 4.23 The reconstructed entrance to the temple of Si' in the Pergamon Museum, Berlin

*Jerash*⁹²

The origins of Jerash (Roman Gerasa) lie in the, as yet poorly documented, Bronze and Iron Ages. The first major evidence for the pre-Roman settlement comes from remains on 'Camp Hill' and the Temple of Zeus temenos, where traces of a Macedonian settlement have been recorded. At the end of the fourth century BC it was re-founded as a city for settling veterans, supposedly by Perdikkas, one of Alexander's generals, under the name Antioch-on-the-Chrysoroas ('the Golden River'). Fragments of an elaborate Hellenistic temple have been found in the Zeus temenos under the Roman period altar. Subsequent centuries saw substantial and rapid expansion (Figure 4.15, Plate 4.24). The *Cardo* was laid out at the end of the first century AD and adorned with colonnades in the Ionic order (Plate 6.11), and a theatre was built next to the Zeus Temple. Decorated archways were erected at the northern and southern ends of the *Cardo* in the early second century AD (Plate 6.24), with a monumental commemorative arch outside the city to the south to mark the state visit by the Emperor Hadrian (Plate 6.23). The most dramatic expansion occurred after the middle

of the second century, when the two Decumani were laid out and colonnaded, the *Cardo* was widened and re-colonnaded in the Corinthian order (Plates 4.24 and 6.3; the older Ionic capitals being re-used for the Decumani), the hippodrome (Plate 6.39) and a second theatre were constructed, and the gigantic Artemis Temple complex with its elaborate propylaeum and processional way were built (Figure 6.8, Plates 6.4, 7.2 and 7.7). These merely mark the city's more ambitious building programmes. Numerous lesser buildings were constructed and more streets were laid out. The *Cardo* continued northwards outside the city, past an unlocated Temple of Nemesis and several mausolea, to the suburb of Birkatayn some two kilometres away (Plate 4.25). A small theatre and tanks were built here, connected to the Maiumas festival held in sacred groves at Birkatayn.⁹³ By the beginning of the fourth century Jerash had reached its maximum extent on both sides of the river, and was surrounded by ramparts. It never expanded, however, as far as the Arch of Hadrian, and the Temple of Artemis remained unfinished, suggesting that the city had overreached itself.

Being perhaps the best preserved Roman city in the East, Jerash has received almost an excess of attention. But the undoubtedly impressive nature of its Roman remains, together with the overt 'Roman-ness' of the architecture, has diverted attention from the real issues. On the surface it appears to be an entirely Roman town – indeed, almost overbearingly so, a foreign transplant that architecturally has more in common with the West than the Near Eastern world in which it was implanted. The architectural forms, styles and details are immediately familiar to the westerner, from its massive Corinthian temples to the decorations that adorn them. On closer examination, however, this obvious 'Roman-ness' recedes behind essentially Near Eastern architectural forms. This is explored in greater detail in Chapters 6 and 7.

It must also be emphasised that while Jerash is deservedly one of the most spectacular towns in the entire Roman world, it was in its own day nothing more than Jerash is today: a minor provincial town. In the Decapolis alone, Scythopolis was larger, and outside it were numerous other more splendid cities. Impressive though the remains undoubtedly are, it was



Plate 4.24 Jerash from the Temple of Zeus



Plate 4.25 The tank at Birkatayn

no capital embellished by successive emperors, nor was it a wealthy city-state controlling major caravan routes throughout the East. Even the region's main artery in Roman times, the Via Trajana from Bosra to the Gulf of Aqaba, bypassed Jerash. It was just a minor market town, made unique today only by the accident of its preservation. Hence, it provides a hint of how a truly great Roman city, such as Antioch or Alexandria, must have appeared.⁹⁴

Jerash thus provides us with a glimpse of the spectacular nature and immense wealth of these Roman cities in the East in their heyday in the second and third centuries. But the size and ambition of its monuments are rather more than a mere accident of preservation. The Temple of Artemis is, after all, one of the largest in the East, rivalling Jerusalem, Damascus, Baalbek and Palmyra. It boasts a magnificent Arch of Hadrian. Never mind that the city itself could not expand to meet this arch, it remains the only monumental Roman arch in the East that approaches the great triumphal arches in Rome itself. The other embellishments, too, mark Jerash as exceptional: the Temple of Zeus only slightly smaller than that of Artemis; the oval plaza, one of the finest Roman architectural ensembles to survive antiquity; together with the colonnaded streets, theatres and other trappings, all add up to something rather more than a minor provincial city. Yet Jerash was not the capital of a Near Eastern kingdom, nor the centre of a major cult, nor astride major trade routes. Why, therefore, the paradox?

Perhaps much of the explanation of Jerash lies in its Hellenic name: Antioch-on-the-Chrysoroas, the result of a dynastic tug-of-war. The whole region was bitterly squabbled over between the rival royal houses of Seleucid Syria and Ptolemaic Egypt. Hence, the name 'Antioch' is a Seleucid dynastic name, to stake a Seleucid claim in the Ptolemaic borderlands. Conversely, Amman was renamed 'Philadelphia' and Akka 'Ptolemais' after Ptolemy Philadelphus of Egypt, staking his claim.

And this perhaps is the key to Jerash's grandeur. Although the name 'Gerasa' seems to have been more commonly used, the name 'Antioch' gave it an image which it felt compelled

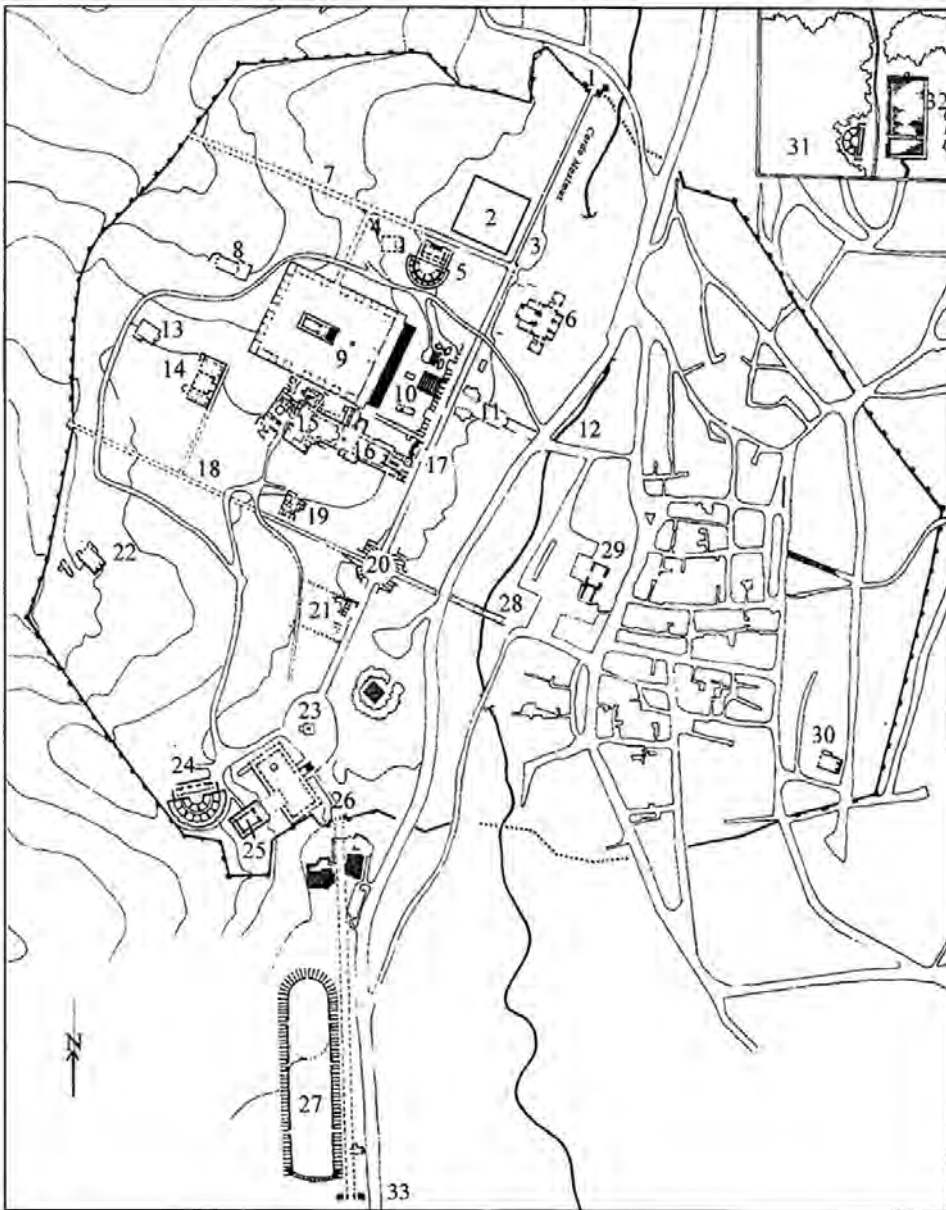


Figure 4.15 Plan of Jerash (After Pillen)

to live up to, the image of its better-known namesake in north Syria. Of course, the Near East in the Seleucid period was littered with ‘Antiochs’, many of them little more than small towns. But Antioch-on-the-Chrysoroas, confronting Ptolemaic Egypt, had a political statement to make. Even after this became no longer necessary when both states became Roman, Jerash tried consciously to model itself on Antioch-on-the-Orontes: the magnificent

colonnaded streets (some of the earliest in the Near East after Antioch itself), the circular plazas, the tetrastylons and nymphaeum appear conscious – albeit paler – imitations of their grander counterparts at Antioch. Jerash even had its processional way out to the cult centre at Birkatayn, a counterpart to Antioch and Daphne. The other monuments, such as the over-ambitious temples or the Arch of Hadrian or the hippodrome, would certainly make sense if Jerash was the centre of a major cult, as Damascus was, or the capital of a local dynasty, as Bosra was, or a Roman provincial capital, as Caesarea was, or the centre of a web of trade routes, as Palmyra was. But Jerash was none of these. Such grandiosity does, however, find an explanation as a conscious attempt to be another ‘Antioch’.

Jerash and its monuments are certainly magnificent. But it is a magnificence that it could never quite achieve, an ambition it could never quite fulfil, monuments that it could never quite finish. The Artemis Temple is a stupendous complex, but it was never finished; the hippodrome is magnificent, but it is the smallest in the East; the Arch of Hadrian proclaims a city that never quite made it. The overriding feeling one has of them is of an empty boast, a pale imitation, an inferiority complex. In the end, Jerash was not so much Semitic ‘Gerasa’ and even less Hellenic ‘Antioch-on-the-Chrysoroas’, but merely ‘Antioch-not-on-the-Orontes’.

*Amman*⁹⁵

Like so many Hellenistic ‘foundations’, Amman is an older city, the Iron Age city of Ammon. Indeed, it is one of the few cities in the region still to preserve Iron Age monumental remains. The region east of the Jordan became a hotly disputed frontier zone between the rival Seleucid and Ptolemaic dynasties. This resulted in a dramatic increase in the strategic importance of Amman, with the obvious natural defences of its citadel. Under the energetic leadership of Ptolemy II Philadelphus of Egypt, much of southern Syria was wrested from the Seleucids in the 270s BC. An upsurge in building activity and cultural assertiveness by the Ptolemaic dynasty ensued, and the strategically important but hitherto minor city of Amman was re-founded in about 255 BC as the new Ptolemaic city of Philadelphia, named after the king. The older name, however, still survived as the region of Ammanitis, corresponding roughly to the biblical kingdom of Ammon. Indeed, some of the sources continued to call the city itself by its old name of Rabbath-Ammon, or ‘Rabbatammana’ – clearly the change of name was regarded as of little significance.⁹⁶ The city too continued to be ruled for the Ptolemies by the same local dynasty who ruled it on behalf of the Achaemenids, the Jewish Tobiad family.

Antiochus the Great managed to capture Philadelphia from the Ptolemies in 218 BC, bringing most of Jordan under Seleucid control. The rise of the Nabataean kingdom further south, however, meant that Amman remained in a border zone. It is not clear exactly whose writ ran in Amman – the Nabataeans probably exerted some form of control after Seleucid decline at the end of the third century – but the uncertainty allowed the Jewish Tobiad family to rule virtually independently. The Roman annexation of Syria in 64 BC meant that Philadelphia became one of the cities of the Decapolis, being the southernmost of the union, a ‘gateway’, as it were, to Nabataea and Arabia. With the creation of the province of Arabia by Trajan, Philadelphia assumed additional importance on the Via Nova Trajana. Traces of this road – consisting mostly of milestones – have been found to the north of Amman. As a result, the second century AD brought considerable prosperity and embellishment. It acquired a theatre, an odeon, a public square or forum, baths, a nymphaeum, colonnaded streets and temple complexes (Figure 4.16, Plate 4.26). To these were added, after the third century, the standard quota of churches, but the Christian period as a whole appears to be one of obscurity, if not decline, for Philadelphia. The colonnaded streets and the temple belong within the

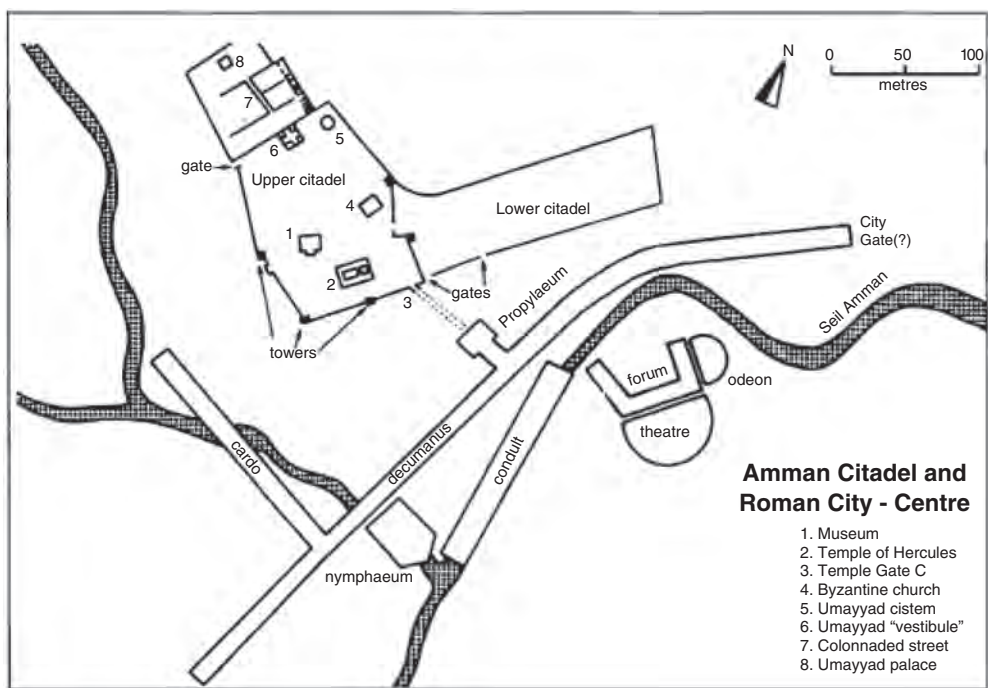


Figure 4.16 Plan of Roman Amman (After Politis)



Plate 4.26 The theatre and forum at Amman

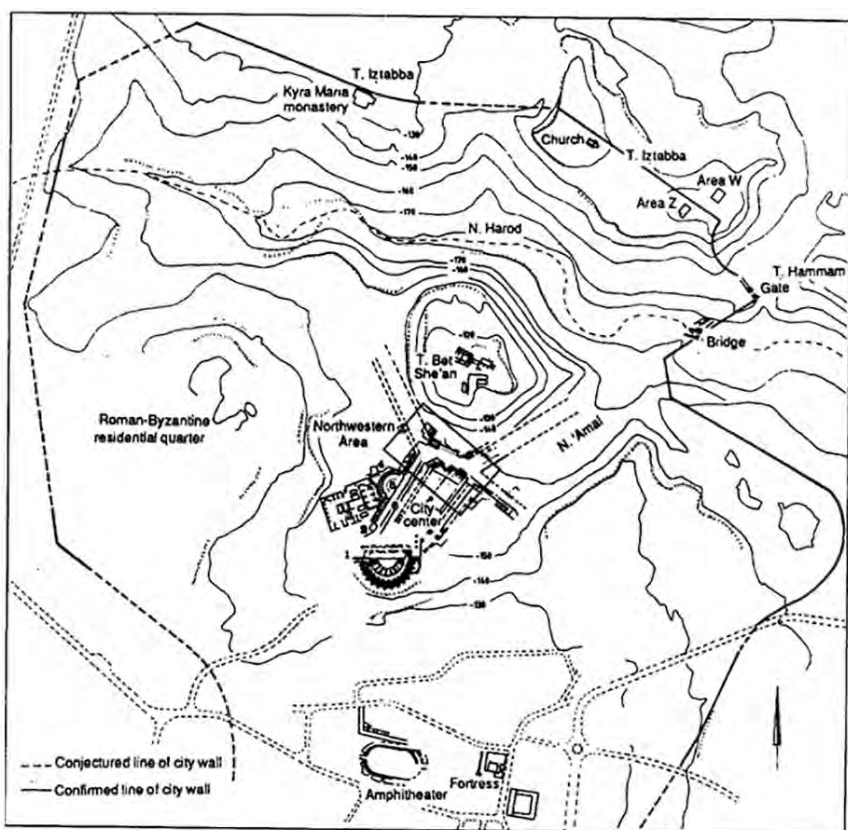
Near Eastern architectural traditions, with the nymphaeum in particular probably a *kalybe*, a Nabataean type of monument (Chapter 6). The perceived ‘unambiguously Greek’ element of Philadelphia/Amman, therefore, recedes against an overall background of both cultural continuity and local assertiveness.⁹⁷

Other Decapolis cities

Capitolias, modern Bayt Ra’s in north Jordan, was founded in AD 97/8 by Nerva or Trajan and named after Jupiter Capitolinus.⁹⁸ It peaked in the latter half of the second and first half of the third centuries, when it minted its own coins and a theatre was built. Both Greek and Nabataean inscriptions of the second and third century show a mixed population. Located only five kilometres from Arbela (Irbid), it is likely that the two ‘cities’ formed a unit – or at least were closely interconnected. Arbela was the older city, with continuous occupation from the Middle Bronze Age or earlier. Arbela, however, does not seem to have appeared in any of the lists of Decapolis cities, so was presumably subordinate to Capitolias.⁹⁹ From the point of view of continuity from a Semitic past, Capitolias and Arbela can be regarded as a single urban unit.

Scythopolis was the largest of the Decapolis cities according to Josephus, and excavations there appear to confirm this (Figure 4.17).¹⁰⁰ The city is liberally provided with colonnaded streets, although there is no overall grid pattern. Four of these streets converge upon a monumental centre marked by an impressive nymphaeum, a propylaeum leading to a temple on top of the Tell, as well as a further temple and several unidentified ornate monuments (Figure 4.17, Plate 4.27). Other major buildings include a large theatre, a baths complex, an amphitheatre and a large exedra facing one of the colonnaded streets. Occupation has been recorded on the Tell of Beth Shean (biblical Beisan) at least since the Bronze Age. The name ‘Scythopolis’ represents a renaming, reverting to Beth Shean (or variants) with the Islamic conquest. The origin of the name Scythopolis is unknown, as there are no known associations of Scythians with Palestine in the Graeco-Roman period. The term ‘Scythian’ does, however, have associations with Jews, as the name ‘Ashkenaz’ is derived from the Old Persian term for ‘Scythian’, and Scythopolis alone of the Decapolis cities probably had a majority Jewish population in the Roman period.¹⁰¹ In support of an argument for Greek character, it has been written that Scythopolis ‘describes itself as a Greek city’.¹⁰² This is to put the cart before the horse: *Scythopolis* ‘describes’ no such thing, an *inscription* at Scythopolis did, which is different. The extensive programme of excavations and restorations has ‘created’ a monumental Roman city that rivals Jerash.

Pella, modern Tabaqat Fahl, lay on the opposite side of the Jordan Valley to Scythopolis and has also been subject to extensive excavations.¹⁰³ These have revealed almost uninterrupted occupation since the fourth millennium BC. It became a walled town, having an extensive trade with neighbouring countries in the Bronze Age, when Egyptian texts record its name as Pahal, of which the modern name, Fahl, is a derivation. Following the Macedonian conquest in the fourth century BC a new Seleucid settlement was founded, centred on the main mound of Tell Hosn. It was ‘renamed’ Pella after the city in Macedon, but the name Pella equally represents a Macedonian approximation of its native name. In the Roman period, Pella shared in the general expansion and wealth of the other Decapolis cities. Coins depict an impressive temple on top of Tell Hosn, as well as a monumental nymphaeum. Remains include a portion of the possible temenos wall of this temple and fragments of a civic complex that included an odeon (Plate 4.28). However, the general scarcity of Roman monumental building stands in marked contrast to the other cities of the Decapolis. No traces, for example, have been found of colonnaded streets.



Inset

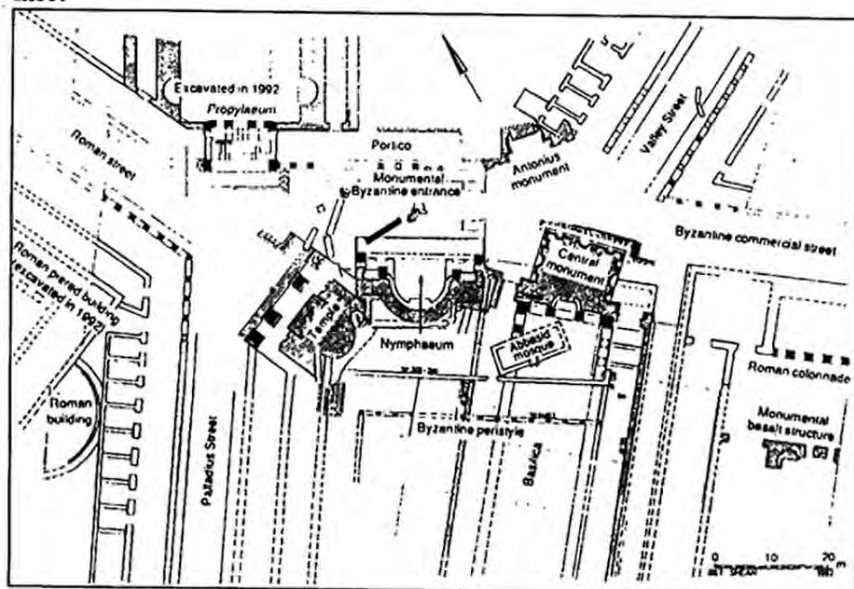


Figure 4.17 Plan of Beth She'an, with the monumental centre inset. (After Foerster and Tsafirir)



Plate 4.27 View along 'Valley Street' at Beth Shean, towards the monumental centre

Far more survives of ancient Gadara, modern Umm Qays in northern Jordan, where remains include a colonnaded Decumanus, a baths, a nymphaeum, a theatre, an odeon, a hippodrome and a monumental arch (Figure 4.18, Plate 4.29).¹⁰⁴ Associated with the town is the thermal baths complex of Hammat Gadar in the Jordan Valley below Gadara. Gadara is certainly the most spectacularly sited of all Decapolis towns, with views over the Sea of Galilee, the Jordan River, the Yarmuk River and the Golan Heights. Perhaps this setting proved inspirational in ancient times, for Gadara was an important centre of learning throughout both the Macedonian and Roman periods, when a number of noted philosophers, poets and rhetoricians were associated with the city. However, it did not match Edessa, Antioch, Beirut or Tyre, for example. The 'school' of Gadarene learning appears to belong entirely in the Hellenistic traditions, and there is no trace of any settlement prior to the establishment of a Macedonian military settlement there. But the name 'Gadara' is a Semitic one, presumably pre-Macedonian, and Nabataean influence is known to have been strong, while the colonnaded street and other elements of its architecture belong within Near Eastern traditions. It would be a mistake, therefore, to overstate either its Hellenistic nature or its intellectual achievement.



Plate 4.28 The civic centre and excavations at Pella, from Tell Hosn

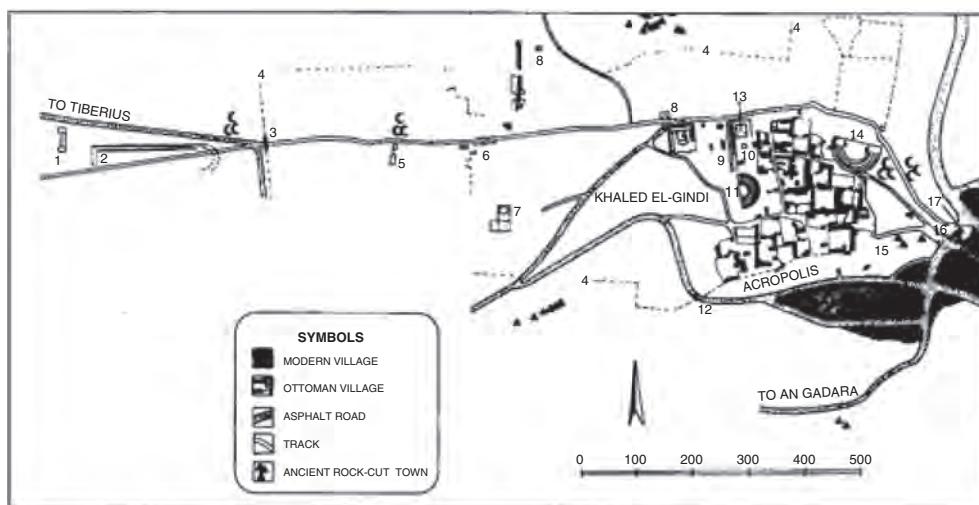


Figure 4.18 Plan of Umm Qais (After Bablick, Hagen, Reidel)



Plate 4.29 The theatre and site at Umm Qays, ancient Gadara

At Abila (modern Qweilbeh), located in the fertile hilly region of northern Jordan just south of the Yarmuk River, the Roman-period settlement once again appears to have been the culmination of continuous settlement from at least the Middle Bronze Age.¹⁰⁵ By the second century AD it was adorned with the normal trappings of a Decapolis city, albeit on a more modest scale than Jerash or Damascus, divided by a *Cardo* and *Decumanus* and overlooked by an acropolis. Excavations and associated surveys have recorded a temple (incorporated into the sixth-century church), a theatre, a market or palaestra, some subterranean aqueducts and possibly a baths and a nymphaeum. The population of the city in the Roman period has been estimated at between 8,000 and 10,000. It had a vigorous economy based on its rich agricultural hinterland, which created enough surplus for the importation of luxury items.

Susita (Hippas), located in the foothills of the Golan Heights, is the most recently investigated of the Decapolis towns.¹⁰⁶ The town plan is bisected by a colonnaded *Decumanus*; and a monumental nymphaeum and a church have been identified.

Roman Arabia: Bosra and Shahba

Bosra¹⁰⁷

For standing remains, Bosra compares with Palmyra and Jerash in the Roman East, although much lies obscured under the modern town. With more of the ancient city being exposed by a programme of relocation, clearance and archaeological investigation, Bosra will probably emerge as the most intact Roman town of the East. Like both Jerash and Palmyra, the Roman nature of the architecture – and hence the character of the city – appears almost overwhelming, and so must be carefully considered.

Bosra had a continuous history of development as a settlement from the Bronze Age. This culminated in the later part of the first century AD when Rabbel II moved the Nabataean capital there from Petra. From then until the final annexation of the Nabataean kingdom in AD 107, the city is inextricably bound up with the Decapolis cities which lay around it: inextricably since the Decapolis was (nominally at least) under Roman administration, while Bosra was the Nabataean capital. There must have been considerable overlap, and certainly no neat 'border lines', during this period.

Bosra became the capital of the Roman province of Arabia, as well as the garrison town of the Third Cyrenaica Legion, under the name Nova Trajana Bostra. It continued to be a major trade entrepôt, taking over much of the trade that Petra previously controlled, forming the head of the new road that the Romans built to the Gulf of Aqaba, the Via Trajana. Bosra remained an important centre in the Christian period, when it became successively a bishopric then archbishopric. During the Ghassanid ascendancy in the sixth century, Bosra formed one of the main Ghassanid centres, and a major cathedral was built – in its day, one of the greatest of the East. It remained a centre of considerable importance down to the Middle Ages as an important stopping place on the pilgrimage route to Mecca.

Most of the layout of the city, and many of the monuments, are from the Nabataean period rather than Roman (Figure 4.19). The eastern area has long been recognised as Nabataean, even though the original settlement was at the western side. Within this eastern area was a large temple enclosure, of which only a few columns of its colonnaded temenos survive. This was entered through a monumental propylaeum, the East Gate or Nabataean Gate, so-called because of the Nabataean style of the capitals which adorn it, dated to the late first century AD (Plate 4.30).¹⁰⁸ The area of the temenos is now occupied by the Old Cathedral, presumably the reason for the temple's demolition. To the south of the temenos are the remains of a palace, still standing in parts up to its first floor (Figure 4.20, Plate 4.31). This was originally thought to be the palace of the Roman governor of Arabia, but is now generally believed to have been that of Rabbel II (although it was doubtless reused by the Roman governors following the annexation). It has a two-storeyed portico surrounding three sides of a courtyard. A series of rooms opened onto the courtyard, those on the main central block being reception rooms, with each wing being domestic and administrative quarters respectively. It has been argued that the main transverse street, the 'Roman' Decumanus, was in fact a part of the Nabataean layout from the time of Rabbel II. Hence, it formed a processional way to the temple similar to the same arrangement at Petra. The West Gate, or Bab al-Hawa, would thus form a part of the whole religious ensemble leading to the temple (Plate 4.32). The northern transverse streets were also a part of this Nabataean monumental layout.¹⁰⁹ The colonnades of these streets are consistent with an earlier, pre-Roman date, as they are in the Ionic order rather than the Corinthian that was more favoured by the Romans. The replacement of first century Ionic colonnades by Corinthian in the second century is well attested at Jerash.

On closer examination, therefore, much of the 'Roman' city of Bosra – and most of its layout – is Nabataean. The Roman contribution to the urban layout probably only consisted of the addition of the colonnaded street from the theatre to the Central Arch ('Theatre Street') and the insertion of focal points at major street junctions: the Tetrapylon at the junction of the Decumanus with the first transverse street, the Central Arch at its junction with the second, and the Nymphaeum and possibly *kalybe* at the junction with the third (Plates 4.33–4.35). The *kalybe* is argued to be a Nabataean type of monument in Chapter 6, where the Tetrapylon, too, is argued to belong more to eastern forms of architecture. The Oval Plaza, just inside the West Gate, might also have been another Roman insertion. However, it is consistent with the processional nature of the street, and oval

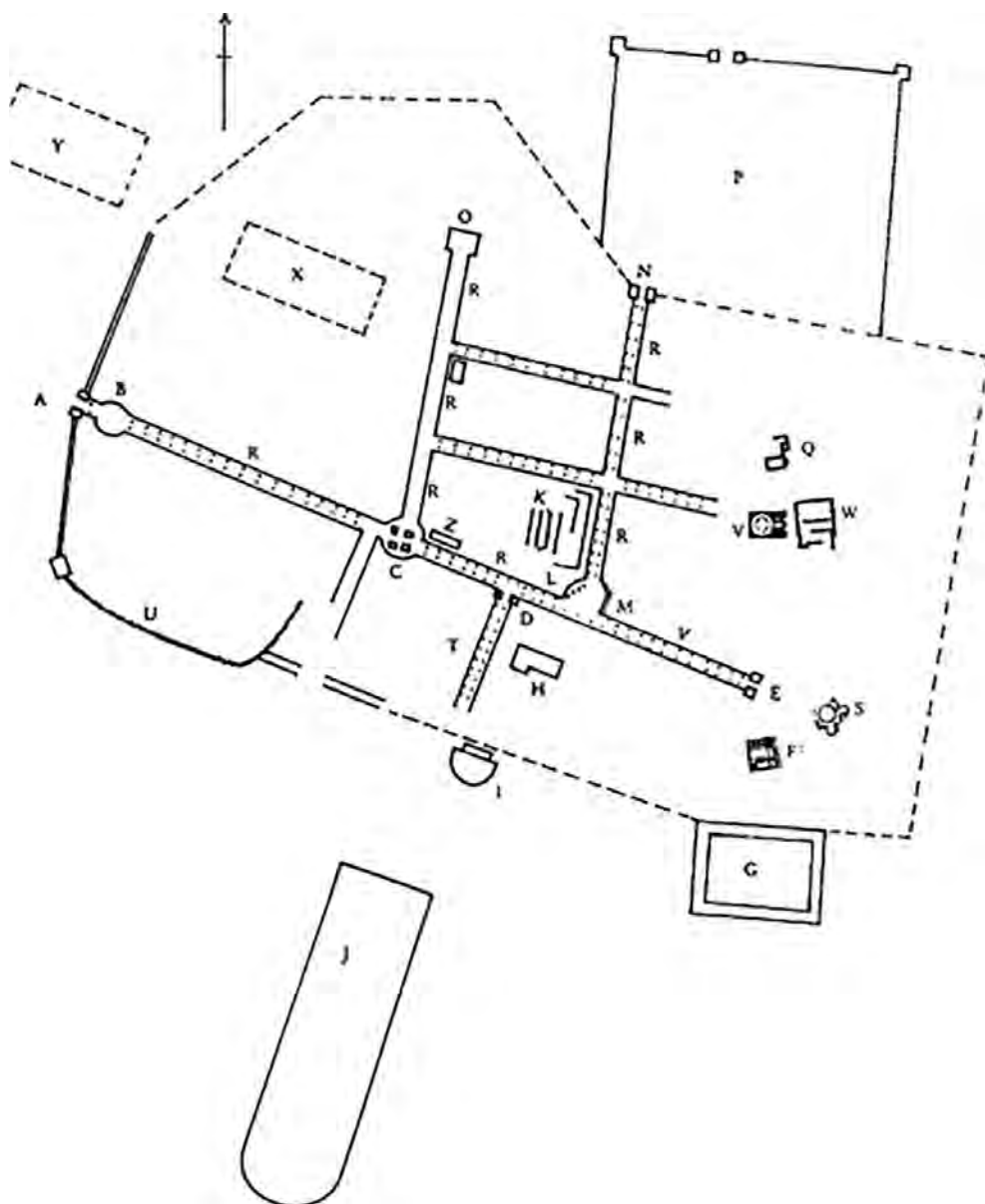


Figure 4.19 Nabataean and Roman Bosra. A: Bab al-Hawa. B: Oval Plaza. C: Tetrapylon. D: Bab Qandil. E: Nabataean Arch. F: Palace. G: Reservoir. H: South Baths. I: Theatre. J: Hippodrome. K: Caravanserai. L: Nymphaeum. M: Kalybe. N: North Gate. O: Spring. P: Legionary Headquarters. Q: Basilica. R: Nabataean streets. S: South Cathedral. T: Roman street. U: city walls. V: North Cathedral. W: Bishop's Palace. X: depression. Y: enclosure. Z: Cryptoportico. (After Meinecke/Peters)

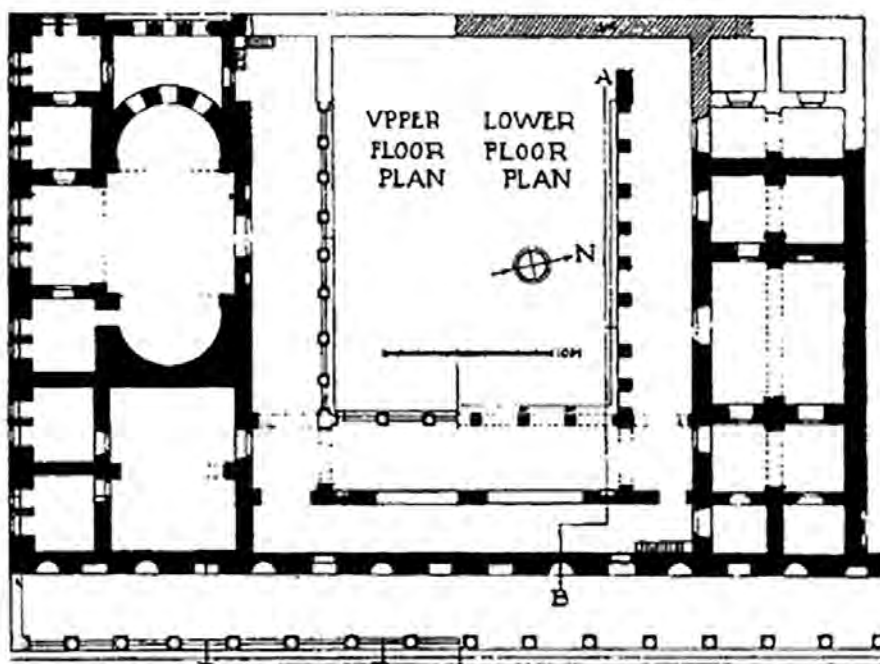
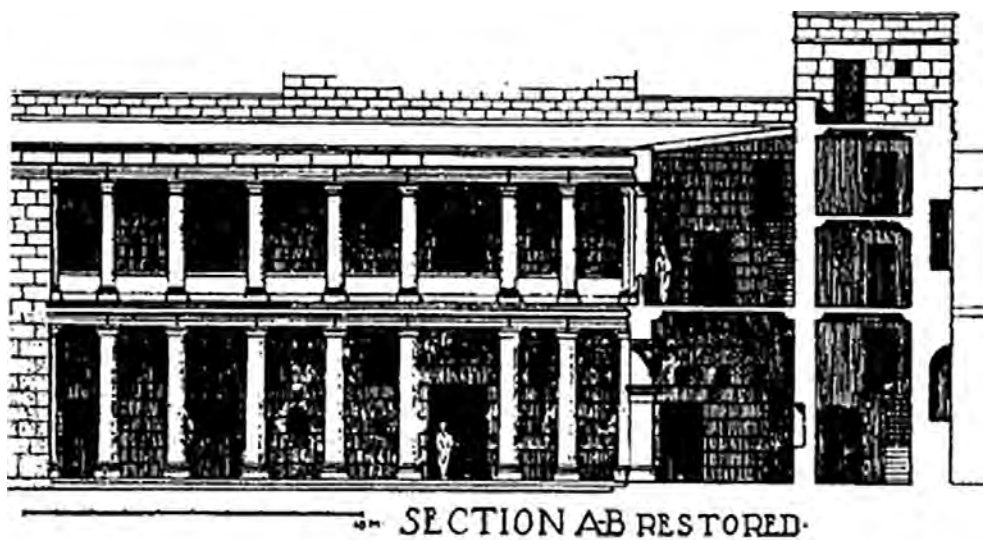


Figure 4.20 The palace at Bosra (After Butler)



Plate 4.30 The Nabataean Arch at Bosra



Plate 4.31 The palace at Bosra



Plate 4.32 The West Gate at Bosra

plazas in general are argued (in Chapter 6) to be more consistent with Near Eastern than Roman forms of architecture, so might also be Nabataean.

The 'Theatre Street' formed a part of a single architectural ensemble of the second century that included the theatre, the South Baths and the Central Arch. This and the southern parts of Bosra around it can, therefore, be viewed as the 'Roman cantonment' (Figure 41). The theatre is one of the largest and most intact in the Roman world, preserved almost perfectly by being incorporated into a castle in the twelfth century (Plate 4.36). It was built in the second century AD, possibly on the site of an earlier Nabataean citadel. It has a diameter of over 100 metres, and with at least 35 tiers would have seated some 15,000 spectators. Around the top of the auditorium a colonnade still stands almost in its entirety. The *scenae frons*, or stage backdrop, has three stage entrances set in deep recesses and was adorned with three storeys of white limestone colonnades in dramatic contrast to the black basalt of the rest of the theatre. Behind the stage are two colonnaded courtyards and an immense vault. The Central Arch – Bab Qandil or 'Arch of the Lantern' – is a standard Roman triple arch, commemorating the Third Cyrenaican legion (Plate 4.33). Building material throughout, both in the Nabataean and Roman periods, was the incredibly durable black volcanic basalt, which is plentifully available locally.¹¹⁰ To the Romans posted to this outpost, the unrelenting black of the Hauran basalt must have appeared depressingly grim. Hence, the white limestone used for the colonnades of the new 'Theatre Street' comes as welcome relief.¹¹¹



Plate 4.33 The central arch at Bosra dedicated to the III Cyrenaica Legion

To this quarter were added other trappings of Roman urban life: the South Baths, which follow a clear Italian design, to the east of the colonnaded street, a possible small amphitheatre to the west, and a hippodrome to the south. On the opposite side of the city the Romans built the headquarters of the Third Cyrenaican Legion. This consists of a large square enclosure identified from aerial photographs, but its identification has been confirmed by the discovery of tile stamps in the enclosure.¹¹² The North Baths probably formed a part of this camp. To the north of the *Cardo* is a large courtyard, the Khan al-Dibis, which was probably the market-place. Nearby is the third century AD pre-Christian basilica, or Roman public assembly hall (Plate 4.37), a superbly intact, large hall, well lit by clerestory windows, open at the front and with an apse at its end. The hippodrome, just outside the city to the south, completes the Roman additions at Bosra. Thus, we see in the urban layout of Bosra a very similar arrangement to that which characterised Indian cities during the period of the British Raj: a large ‘native quarter’, comprising the bulk of the city, a ‘civil lines’ for the



Plate 4.34 The surviving columns of the nymphaeum at Bosra

administrators which would include the main residential and recreational buildings, and a ‘military lines’ or cantonments for the army.

Bosra was a major Christian centre and has a number of important early Christian buildings. The main one is the Cathedral of SS Sergius, Bacchus and Leontius just south of the basilica, built in 512 (Plate 4.38). It is a central plan consisting of an octagonal interior colonnade set in a square, originally covered by a dome some 26 metres in diameter. It is thus an important stage in the development of Christian architecture, forming the prototype that culminated in the great domed buildings of Ravenna and Constantinople. The cathedral at Bosra was also the model for the Dome of the Rock in Jerusalem. There are the remains of a bishop’s palace opposite the cathedral, and another cathedral, also on a central plan, has been discovered in the area near the Governor’s Palace (probably replacing the earlier Nabataean temple).

This rise in the importance of the Christian community must be a reflection of its prevailing Arab character and continuity from the past, which would naturally respond to a local religion. To see it as a form of ‘Greek’ influence and character is out of keeping with the background of Bosra’s history, ethnicity and material remains.¹¹³ Bosra remained a Nabataean/Arab city throughout. Of all the personal names yielded by Greek and Latin inscriptions from Bosra, more than half of them are Semitic. One can assume that the bulk of the population would not record their names in inscriptions, and these would have been



Plate 4.35 The side column of the kalybe at Bosra

overwhelmingly Semitic.¹¹⁴ Even the presence of Greek personal names implies no more Greek character to Bosra than Greek-derived names (such as Peter) in Britain today.

*Shahba*¹¹⁵

Shahba was the birthplace of the Emperor Philip the Arab (AD 232–7) who renamed his home town Philippopolis and elevated it to the rank of colony. Enjoying imperial patronage, it was embellished with some particularly fine monuments in the third century. But Philippopolis does not appear to have ever been more than a small rural town, probably not even expanding to fill the ‘city’ walls which Philip so ambitiously provided it with. It is in the form of a walled rectangle pierced by four gateways, through which pass two paved streets, the *Cardo* and *Decumanus* (Figure 4.21). Their intersection was marked by a *Tetrapylon* (recorded earlier this century but no trace remains today). The streets were



Plate 4.36 The theatre at Bosra



Plate 4.37 The civil basilica at Bosra



Plate 4.38 Bosra cathedral

originally colonnaded. Most of the monuments are along or just off the paved street to the west of the central intersection. A little way along is an impressive portico consisting of four large Corinthian columns. This probably led to a now vanished temple, or perhaps was a monumental façade (*kalybe*). Further along, the street opens onto a wide paved square dominated by a magnificent façade on a podium (Plate 4.39). This is a curious type of building known as a *kalybe*, resembling a nymphaeum. Of possible Nabataean associations, it is discussed in Chapter 6. To the left of the façade is a well preserved prostyle temple, the Philippeion, erected by Philip in memory of his deified father (Plate 4.40). Behind this is a small theatre, also built in the third century. In the centre, the two main monuments are the House of Mosaics and the baths, which follows the standard Roman arrangement of rooms. There are the remains of an aqueduct adjacent to the baths.

Some of the finest mosaics in Syria have been recovered from Shaba (now divided between museums in Damascus, Suwaida and Shahba itself), commensurate with its status as the birthplace of an emperor. Two are of particular note. The first is the ‘Glory to Earth’ or Aion Mosaic. This is of major importance in the history of Roman art in that it is believed to represent the Roman Millennium of AD 248, with the figure of Aion representing the Syrian Emperor Philip the Arab who presided over it – possibly the only figural representation of the Millennium to have survived. The second, the Toilet of Venus, was a popular one in Roman mosaic art, particularly in North Africa, although none with the grace or natural pose of the Shahba Venus. Uniquely, the Shahba Venus at the same time combines another of the most popular of ancient art themes, the Birth of Venus, that anticipated a huge range of later European art (Plate 4.41).¹¹⁶

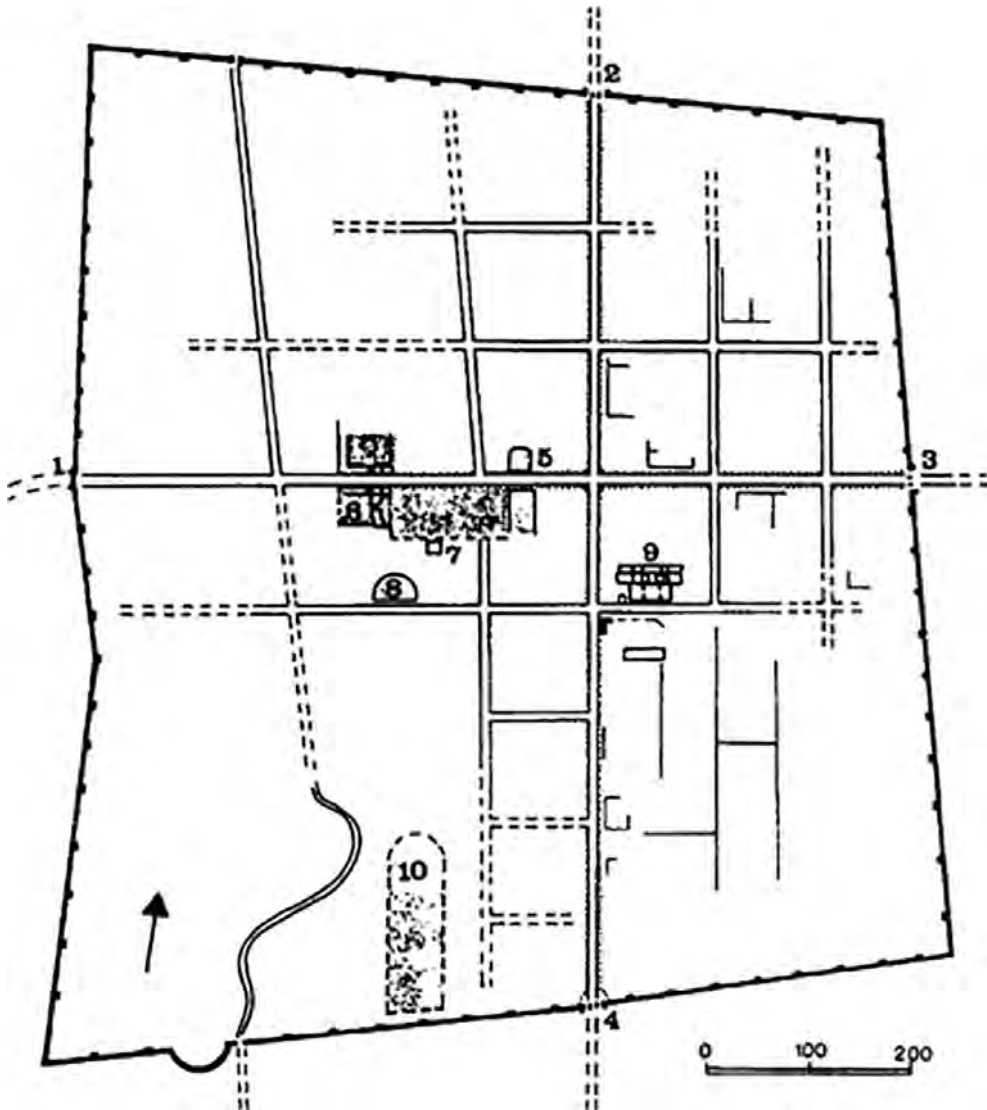


Figure 4.21 Plan of Shahba. 1–4: City gates. 5: Hexastyle Temple. 6: Kalybe. 7: Philippeion. 8: Theatre. 9: Baths. 10: Hippodrome (After Freyberger)

It has been observed that Shahba ‘remains the most complete expression of the export to the edge of the [Syrian] steppe of the Graeco-roman ideal of the city’ and ‘conforms more or less to the “ideal” Graeco-Roman city’.¹¹⁷ But where is the forum? Or the amphitheatre? Where in the ‘ideal’ Graeco-Roman city are the colonnaded streets, which Shahba and other cities of the Roman East abound in, and which do not occur in any cities of the Roman West? These questions and the real ‘character’ of Shahba and other cities are answered in Chapters 6 and 7.



Plate 4.39 The *kalybe* façade at Shahba



Plate 4.40 Interior of the 'Philippeion' at Shahba



Plate 4.41 Mosaic of Venus from Shahba (now in the Suwaida Museum)

Conclusions

Seven hundred years of Roman rule is certainly a long period. But it is nothing compared to the immensely long continuum of Near Eastern cities before the Romans came, which was nearer to seven thousand than a mere seven hundred years. If there is one thing that a study of Roman urbanisation of the Near East proves, it is that cities had been flourishing there for hundreds, often thousands, of years before the Romans came, and the Romans merely occupied these cities, usually located on the same sites. The pottery record recovered from excavations shows continuity into the Roman period, not a break. Since pottery reflects the bulk of the population, the true character of these cities is unambiguously native: the Roman element was superficial. The architectural record (Chapters 6 and 7) demonstrates the same. Virtually all of the 'Roman' cities examined in the above brief survey were continuations of cities that had existed in the same positions for hundreds, even thousands, of years. The Romans brought very little that was new in terms of urbanisation. When looked

at in the full perspective of towns and cities in the ancient Near East, therefore, the Roman impact was small.

The greatest period of expansion and monumentalisation did not take place until some time after the Romans arrived. If the evidence of the cities and their monuments is anything to go by, the actual conquest and first century or so of Roman rule had little initial impact in the Near East. There were massive embellishments carried out at places such as Jerusalem, Bosra and Petra, it is true, but these belonged to the client states, not to Rome. It was only in the second century AD – particularly after the middle of the century – that we begin to see specifically Roman expansion: the large-scale developments and programmes of monumentalisation at Apamea and Jerash, for example. Even these embellishments were local variants of Roman styles (discussed in Chapters 6 and 7), almost certainly carried out by natives, not by architects from the Latin West. The peak of Roman urbanisation in the East seems to be the late second and third centuries, when the cities of the Roman East became some of the most impressive in the empire.

After the third century there began a decline: little urban expansion and few new monuments. The only new monuments were those associated with the new religion: churches and cathedrals. But with few exceptions these did not match the opulence – the sheer ostentatious wealth – of the earlier building programmes. The focus of expansion, and with it the evidence for continued growth and wealth, seems to shift from the cities to the countryside. We examine this evidence next.

Notes

- 1 Gates 2011: Chapter 23.
- 2 Grainger 1995: 180. See also Woolf in Alcock 1997: 1–14.
- 3 Jones 1971: 247.
- 4 Millar 1993: 6–7.
- 5 Just as it cannot be assumed from the modern twinning of a British city with one in Europe that the city, and its inhabitants, consciously associated themselves with a European city.
- 6 Jones' (1971: 228–9) comments on the Greek and native names of the cities. Sherwin-White and Kuhrt (1993: 141–9) comment on the superficial Hellenisation of the Near East. See also Kennedy 2007: 182.
- 7 Only those which came under direct Roman rule are examined; those which formed more a part of the client states have been examined separately in Chapter 2.
- 8 Downey 1961; 1963; Green 1990: 160–4; Roller 1998: 214–16; articles in *ARAM* 12, 2000; Sartre 2005: 189–92; Andrade 2013: 150–4.
- 9 Grainger 1990: 37–8, 41–50, 55–6, 58–60.
- 10 Strootman (2014: 16–18) emphasises that there was never any *specific* Seleucid capital, but it was constantly shifting.
- 11 Jones 1971: 242–3.
- 12 Downey 1961: 154–7, 168, 171–2, 197. The 'amphitheatre' may have been a hippodrome, as is now argued for Caesarea. See Porath 1995.
- 13 Downey 1961: 173–84.
- 14 Downey 1961: 206–29, 239–41.
- 15 See, for example, Lieu 1986: 477; Edwell 2008: 182–4.
- 16 Downey 1961: 318–27.
- 17 The 'Iranophile' element at Antioch is emphasised elsewhere in this book, e.g. Chapters 1, 2 and below. For the Iranian 'pre-foundation' see Boyce and Grenet 1991: 354–6.
- 18 E.g. see Braidwood 1937; Burney 1977; Roaf 1990 with maps and references; Casana 2004; Yener (ed.) 2005.
- 19 Herodian 2. 7. 8–10; 3. 14. 6–9; 4. 2. 1; 6. 7. 4. For the use of Aramaic in general and its implications see Ostler 2005: 377, 534–5 and Chapter 3.
- 20 Isaac 1992: Appendix II.

- 21 Downey 1961: 262, 271.
- 22 John Malalas 12. 38.
- 23 Bowersock 1978: 95–6.
- 24 Norwich 1988, 2: 33.
- 25 A ‘Macedonian New World?’ – Butcher 2003: 99. See also Grainger 1990; Millar 1993: 236–63.
- 26 Note now the recent excavations Jebel Khalid on the Euphrates, a purely Seleucid town, founded on a virgin site with no earlier antecedents. It was abandoned after the end of the Seleucid period, apart from a very minor late Roman settlement. The ancient name for Jebel Khalid has not been identified, but it was probably a military settlement. See Clarke *et al.* 2002.
- 27 For example Millar (1993: 236–7) writes that ‘there is hardly the slightest evidence to suggest that in any one of these cities there was conscious continuity with the populations which had lived there before the Macedonian conquest’.
- 28 E.g. Grainger 1990, Millar 1993: 237.
- 29 Grainger (1995: 15–30) characterises the area as one of population and urban collapse during the Achaemenid period, but admits problems with the archaeology.
- 30 Abu Assaf in Weiss (ed.) 1985: 347–50; Seton-Williams 1967: 16–33.
- 31 Matthiae 1980: 51–7.
- 32 Moorey 1980; Peltenburg *et al.* 1995: 1–28; Marchetti (ed.) 2014; Wilkinson *et al.* (eds) 2015.
- 33 E.g. see Woolley 1953; Haines 1971.
- 34 Downey 1961; 1963; Grainger 1990.
- 35 Woolley 1953: Chapter 10.
- 36 Sauvaget 1934; Grainger 1990; Burns 1992: 143–5; Ball 2006: 141; Roller 1998: 228.
- 37 Legarce 1987.
- 38 Balty 1981 and 1988. See also Grainger 1990; Burns 1992: 45–50; Ball 2006: 121–4; Sartre 2005: 192–4; Andrade 2013: 154–60.
- 39 Balty 1981: 18–31.
- 40 Strabo 16. 2. 10; Millar 1993: 250. Note however Kennedy’s (2007: 111–12) cautionary remark on the unreliability of this figure.
- 41 Balty and van Rengen 1993.
- 42 Sauvaget 1941; Burns 1992: 28–43, 52–3; Ball 2006: Chapter 8; Annalinda 2009.
- 43 Or of the later city, following widespread destruction in the Syrian civil war, still ongoing at the time of writing.
- 44 Frézouls 1977; Grainger 1990: 40–1; Burns 1992: 70–2; Abdul Massih 2006–7; Al Shbib in Le Bihan 2012.
- 45 Monceaux and Bosse 1925; Grainger 1990: 40–1; Burns 1992: 199.
- 46 Millar 1993: 437–88; Edwell 2008.
- 47 Pigulevskaya 1963: various refs; Mango 1982: 118; Gawlikowski 1996b. See also Kennedy 1998; Önal 2009.
- 48 Pigulevskaya 1963: various refs; Burns 1992: 199–210 and refs; Edwell 2008.
- 49 Mango 1978: 24, 29; Lauffray 1983; Kennedy and Riley 1990: 117–18; Burns 1992: 122–4; Blétry and Serdon 2004–5.
- 50 Spanner and Guyer 1926; Mango 1978: 24, 26, 52–5; Krautheimer and Curcic 1986: 151–6, 261–2; Kennedy and Riley 1990: 116–17; Burns 1992: 203–6; Ball 2006: 195–8; Peña 2000: 102–7. See also Fowden 1999 for a full account of St Sergius, the cult, and his following in both the Roman and Iranian worlds.
- 51 Although Khusrau notably also was a patron of the cult of St Sergius at Rasafa. See Greatrex and Lieu 2002: 176–8.
- 52 Kraeling *et al.* (eds) 1956; Welles 1967. After a long silence since Hopkins 1979, which was the main general account and guide to the main sources, there is now almost a glut of publications. See for example (with further references): Leriche *et al.* 1986; 1988; 1990; 1997; 2005; Burns 1992: 114–19; Sartre 2005: 194–7; the papers in Alston and Lieu (eds) 2007; Edwell 2008; James 2009; Chi and Heath 2011; Brody and Hoffman (eds) 2011; Andrade 2013: Chapter 7; Baird 2014.
- 53 Although Hopkins (1979: 200–3) sees oriental (Parthian) elements both in the origin and the architecture of the Mithraeum at Dura Europos.
- 54 Kraeling *et al.* 1956; Welles 1967; Brody and Hoffmann 2011: various articles.
- 55 Ward-Perkins 1981: 347–53.
- 56 Millar 1993: 469–70.
- 57 Pigulevskaya 1963: Chapter 4; Mango 1982; Krautheimer and Curcic 1986: 301 and refs.

- 58 Preusser 1911; Mango 1982.
- 59 Mango 1978: 24–7; Crow 1980.
- 60 Pigulevskaya 1963: 77; Burns 1992: 70 and refs.
- 61 Oates 1968.
- 62 Grainger 1990; Aubet 1993; Millar 1993: 264–95; Sperber 1998; Ball 2009: 36–9.
- 63 Jones 1971: 230–1, 233–4.
- 64 Aubet 1993: 23–5.
- 65 Seyrig 1951; Rey-Cogais 1974; Dunand and Saliby 1985; Burns 1992: 44–5, 50–1, 224–7 and refs; Ball 1997: 109–15; Bessac 2006–7.
- 66 Boulanger 1966: 194; Thalmann 2010.
- 67 Jidejian 1996b.
- 68 Jidejian 1968: Chapters 9 and 10.
- 69 Meiggs 1982: 49–87.
- 70 Hitti 1957: 325–7; Jidejian 1975b; Lauffray 1977; Millar 1993: 279–81, 527–8; Butcher and Thorpe 1997; Roller 1998: 220–2; the articles in *ARAM* 13, 2001.
- 71 Personal observation; Sader 1998.
- 72 Hitti 1957: 309.
- 73 Although excavations have cast doubt upon Lauffray's (1977) reconstruction of ancient Beirut – see Butcher and Thorpe 1997.
- 74 Jidejian 1996a; Roller 1998: 237–8; Sartre 2005: 199–200.
- 75 Now believed to be the palaestra of the adjacent baths complex. See Burns 2016: 230.
- 76 Will 1952; Brundage 1958; Van Berchem 1967.
- 77 Lifshitz 1977a; Raban and Holum (eds) 1996; Holum *et al.* 1988, with refs; Roller 1998: 133–44; Richardson 2002: 104–28; Sartre 2005: 201–2; Elayi 2005; Magness 2012: 170–82.
- 78 Holum *et al.* 1988: 105.
- 79 And not in the 'Italian style', as Roller 1998: 88 and 92–3 writes. Its excavators wrote 'of Roman influence not a trace' – Crowfoot *et al.* 1942: 35. For these Western versus Eastern elements, see Chapter 7.
- 80 Millar 1993: 296.
- 81 For overviews, see Bietenhard 1977; Browning 1982: Chapters 1 and 2, and Bowsher 1987. See also Millar 1993: 408–14; Graf 1995; Kennedy 2007; the articles in *ARAM* 4, 1992, and 23, 2011.
- 82 Jones 1971: 258–9; Bowsher 1987; Tsafirir 2011.
- 83 Cf. Bowsher 1987.
- 84 Graf 1986.
- 85 Millar 1993: 412–13.
- 86 Bowersock 1983: 91–2.
- 87 Watzinger and Wulzinger 1921; Sauvaget 1949; Burns 1992: 72–98; Millar 1993: 310–19; Ball 2006: Chapter 4; Burns 2005: Chapter 5.
- 88 Dussaud 1922; Freyberger 1989b; Millar 1993: 310–19.
- 89 Despite this, Millar (1993: 312–14) writes that 'the dominant culture and historical perspective of the city [Damascus] were by now Greek' immediately after describing the temple which – even by his own description – exhibits fundamentally *non*-Greek characteristics. The archaeological evidence for equating Jupiter Damascenus with Hadad is not considered.
- 90 Butler 1903: 35–61; Butler 1907–20: 346–51; Sartre 1981; Ward-Perkins 1981: 339–41; Burns 1992: 191–4; Ball 2006: 96–100.
- 91 A restored version can be seen in the University Museum at Princeton, made from plaster casts of the masonry fragments found when excavating it.
- 92 Kraeling 1938, particularly Chapter 2 by Fisher and Chapter 3 by Kraeling; Browning 1982; Zayadine 1986 and 1989; Segal 1988: Chapter 2; Seigne 1994; Richardson 2002: 78–102; Sartre 2005: 202–4; Kennedy 2007; Andrade 2013: 160–9.
- 93 McCown in Kraeling 1938: 159–67.
- 94 Although Isaac (1992: 345–6) suggests Jerash, rather than the provincial capital of Bosra, might have been the financial centre for the region during the Roman period.
- 95 Segal 1988: Chapter 1; Northedge 1992; Hadidi 1992.
- 96 Jones 1971: 240.
- 97 Millar 1993: 412–13.
- 98 Lenzen and Knauf 1987; Kennedy 2007: 30; Bowsher 2011.
- 99 Lenzen 1992; Chapman 2011.

- 100 Lifshitz 1977b; Foerster and Tsafirir 1993.
- 101 Jones 1971: 241; Frye 1984: 77–8. Although Scythians are referred to in the Bible as the *Ashkenaz*, known from their raids into the Near East in the 670s BC. Hence, eastern European Jews in the modern period are known as Ashkenazi from their possible Khazar Jewish origins on the ‘Scythian’ steppe. See Ball 2015, Chapters 5 and 8. However, the Scythian raids probably have no connection with the name Scythopolis, which is the specifically Greek form of the name; i.e. not ‘Ashkenazopolis’.
- 102 Millar 1993: 423.
- 103 Smith and McNicoll 1992.
- 104 Wagner-Luse 1978; 1979; 1982; Weber and Khouri 1989; Kerner 1997; Hirschfeld 1997; Hoffmann and Kerner 2002; Bührig 2011; Vriezen 2011.
- 105 Mare 1992; 1997; 1999; Wineland 2001.
- 106 Segal 1997: 15–16, 153–4 and refs; Roller 1998: 169; Segal *et al* 2013.
- 107 The quantity and quality of Bosra’s standing remains has inspired a number of impressive studies, architectural, archaeological and epigraphic. See Butler 1903, Sartre 1985, Dentzer 1986a. See also Ward-Perkins 1981: 345–7; MacAdam 1986b; Segal 1988: Chapter 3; Burns 1992: 61–8; Ball 2006: 88–95; Dentzer *et al.* 2002–3; Sartre 2005: 197–9; Dentzer-Feydy *et al.* 2014.
- 108 Dentzer 1986a; Dentzer *et al.* 2004–5.
- 109 Peters 1983.
- 110 Segal’s (1988: 63) comment on the lack of decorative detail at Bosra, when compared to other cities of Roman Arabia, is entirely explicable by the extremely hard nature of the building material.
- 111 Roman governor to Nabataean contractor: ‘Colonnade the damn street in your barbarous Syrian fashion if you must, but for pity’s sake, don’t make it basalt!’ Or as Sartre (2005: 197) puts it, ‘How might an officer or a high-level official have felt when he learned he was being posted in far-off Arabia? . . . the cheerfulness of the city’s neighborhoods was probably not the first thing to strike a new arrival.’
- 112 MacAdam 1986b: 176.
- 113 Millar 1993: 492.
- 114 Sartre 1985; Kennedy 2007: 182. See also Birley 1988a on names at Lepcis Magna, and Kennedy 1999: 13.
- 115 Butler 1903: 376–96; Segal 1988: Chapter 4; Freyberger 1992; Burns 1992: 216–19; Ball 2006: 100–3; Darrous and Rohmer 2004.
- 116 Dunbabin 1999: 166–7; Dentzer 2009: 143.
- 117 Millar 1993: 531, Butcher 2003: 233.

5 The countryside

‘There is no other country in the world where the architectural monuments of antiquity have been preserved in such large numbers, in such perfection, and in so many varieties as in Northern Central Syria and in the Hauran.’ This was written by Howard Crosby Butler, the leader of the American Archaeological Expedition to Syria at the end of the nineteenth century.¹ The region west and south-west of Aleppo is one of the most extraordinary areas of ruins and probably the greatest storehouse of late Roman architecture to be found in the world. This region is known as the Dead Cities, the remains of an astonishing eight hundred deserted towns, villages and monastic settlements in the hill country between Aleppo, Antioch and Apamea.² Buildings are very well preserved and the villages often have the ancient field and road systems surrounding them intact. In this extraordinary landscape it is still possible to wander along streets, into modest houses or grander villas and churches that in many cases are almost perfectly preserved, with only their roofs missing, to obtain one of the most perfect – and most enchanting – pictures of the world of late antiquity to be found anywhere (Figure 5.1, Plates 5.1 and 5.2).

This appears now to be just one part of a general expansion and upsurge in prosperity and population in the Roman east of late antiquity. A century after Butler’s remarks, David Kennedy writes of the area of north-western Jordan: ‘Never before had the region been as urbanized and as densely extensively settled. Nor was it again to reach such levels until the twentieth century – perhaps not until the 1950s’ and Doran Bar writes of Palestine: ‘During this period [between the fourth and seventh centuries] population and settlement density had reached a level to which this region returned only at the beginning of the twentieth century.’³ Surveys from the Plain of Antioch and the Plain of Madaba to the semi-desert areas of Syria, Jordan and the Negev are revealing a similar high levels of settlement in the countryside. Clearly, the great cities of the Empire which have claimed such a disproportionate amount of study in the past are only a part of the picture, perhaps a small part at that.⁴

The Dead Cities⁵

The term ‘Dead Cities’ is perhaps a misnomer: ‘Dead Towns’ or even ‘Dead Villages’, while less dramatic, more accurately reflects the nature of the settlements. There are roughly three groups, corresponding to the low ranges of hills in which they are situated. These are: the Jebel Shaikh Barakat and the Jebel Sim’an to the west and north-west of Aleppo, which include the best known of the Dead Cities comprising the monastery of St Simeon Stylites and associated remains; the Jebel Barisha and Jebel al-‘Ala to the west near the present Turkish border, which has the largest concentration of ruins; and the Jebel Zawiya to the south-west towards the Orontes Valley, where settlements are mainly a little earlier (Figures 5.2 and 5.3).

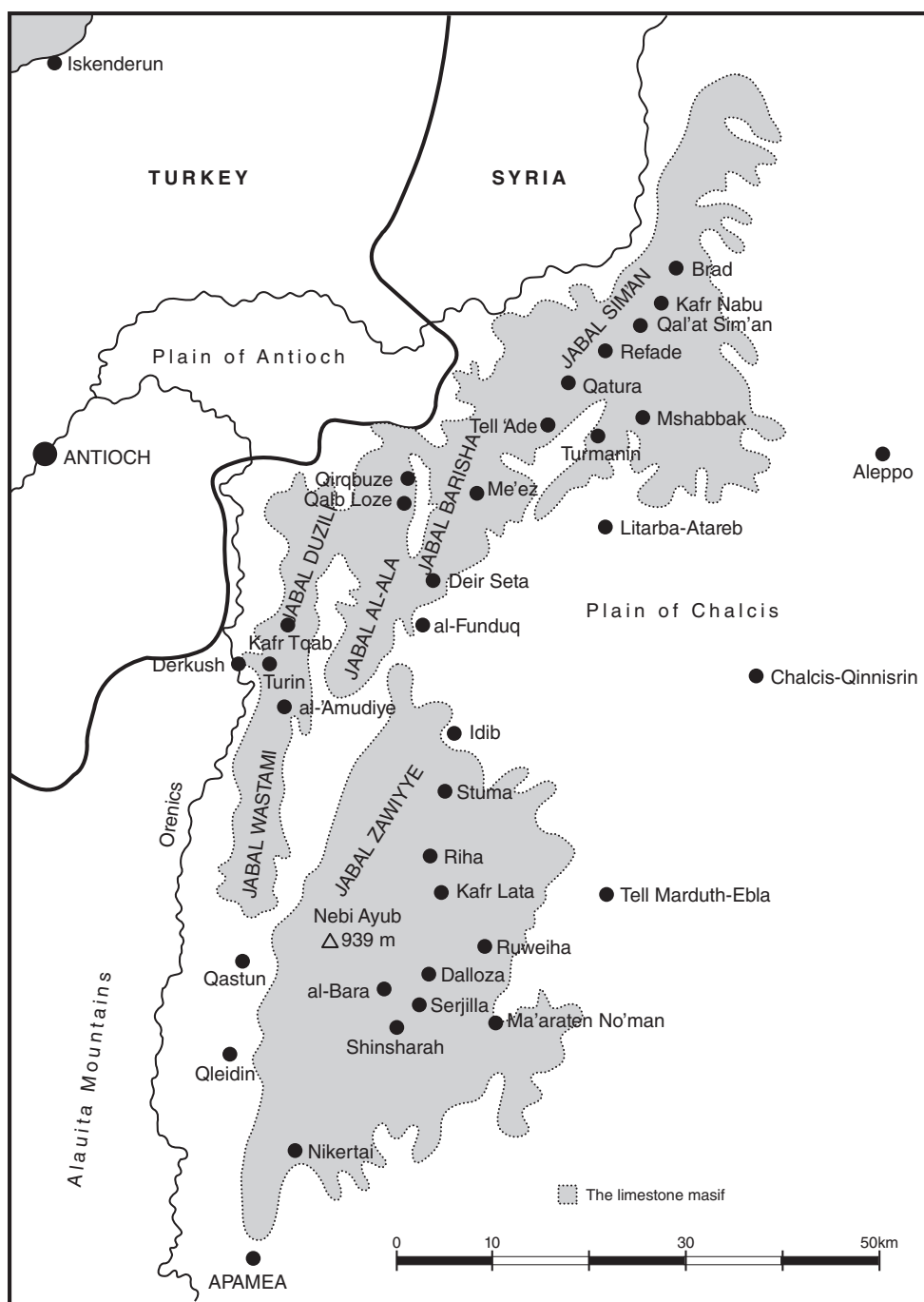


Figure 5.1 Map of the Dead Cities region (After Peña)



Plate 5.1 View of part of the Dead Cities region from Burj Baqirha, with ruined settlements of Baqirha, Dar Qita and Ba'udeh below



Plate 5.2 View of part of the Dead Cities region from St Simeon Stylites, with ruined settlements of Deir Sim'an and Rafada below. Note ancient field boundaries

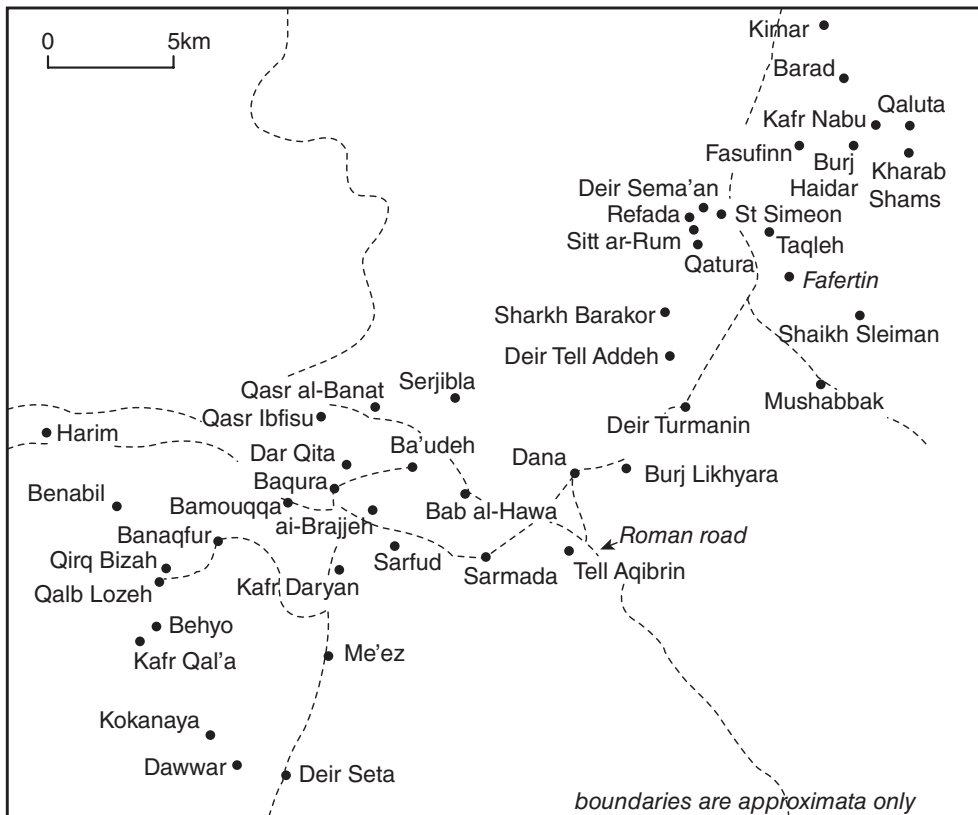


Figure 5.2 Map of the Jebel Barakat-Jebel Sim'an Dead Cities group

The settlements and their setting

Altogether, some 820 rural settlements – country towns, villages and monastic settlements – have been counted, covering an area of 5,500 square kilometres. The settlements are very close together, averaging one every two square kilometres in the north where settlement is densest. They are wider spaced in the Jebel Zawiya to the south, where the average territory per village is seven square kilometres. The majority average just twenty to sixty houses, although many are substantial and probably supported a higher population than numbers suggest. The rural population for the region (excluding the cities) has been calculated at 300,000.⁶ Settlements do not adhere to any overall plan; houses appear fairly randomly scattered. The only exception is the town of Me'ez in the Jebel al-'Ala, which adheres to a grid plan.

Several administrative and market centres have been identified, largely from their size and relatively high number of public buildings. Barad in the Jebel Sim'an, for example, has a public baths, a cathedral, two monastic complexes, five warehouses, and buildings that have been identified as a meeting house and a 'magistrate's residence'. Ba'uda seems to be the market town for the Jebel Barisha. Al-Bara, ancient Kapropera, is the most extensive series of ruins in the Jebel Zawiya, with five churches, six monasteries, many substantial houses



Figure 5.3 Map of the Jebel Zawiya Dead Cities group

and satellite villages (Plate 5.3). Many of the houses are large farmhouses, with olive presses, stables and barns attached. The monastery of Dayr Sobat near al-Bara provides a fairly intact picture of the layout of an ancient working monastery (Plate 5.4). The ruins of al-Bara lie in olive groves, providing an appearance most approximating to the ancient appearance of the Dead Cities in contrast to the harsh, semi-desert appearance that characterises the region today (Plate 5.5). Serjilla nearby seems to have had a town council and a formal administrative structure, as well as public baths, churches and other public buildings including what has been interpreted as a tavern (Figure 5.4, Plate 5.6).⁷

None of the settlements are walled, reflecting the high degree of security in the countryside, although houses tend to be surrounded by high walls (Figure 5.5, Plate 5.7). Fortifications, when they occur, consist of just single towers, usually on the edges of the villages and surrounding fields, generally guarding the fields rather than the houses. These, however, would only protect against casual raids; they would not deter an army (Figures 5.5E and 5.6). The tallest tower at Jarada in the Jebel Zawiya still stands six storeys, nearly twenty metres



Figure 5.4 Plan of Serjilla (After Krautheimer/Butler)

high. The small projecting balcony near the top here and at other towers in the north was a prototype of the machicolation that later achieved such prominence in Arab and Crusader fortifications (Plate 5.8).⁸

Apart from the arceate forms of the churches (see below), which are an essentially non-eastern form, the architecture as a whole reflects the Near Eastern predilection for bold trabeate forms. These are simple slab and lintel construction techniques and long lines of square, almost monolithic pillars supporting porticoes sometimes several storeys high (Figure 5.5, Plates 5.9 and 5.25). These appear very distant from the more flowing, rounded



Plate 5.3 Al-Bara, ancient Kapropera, in the Jebel Zawiya. Note the olive groves surrounding



Plate 5.4 The monastic complex of Dayr Sobat



Plate 5.5 Ancient field patterns and roads between Serjilla and Rabi'ah



Plate 5.6 Serjilla, with the so-called 'tavern' in the foreground

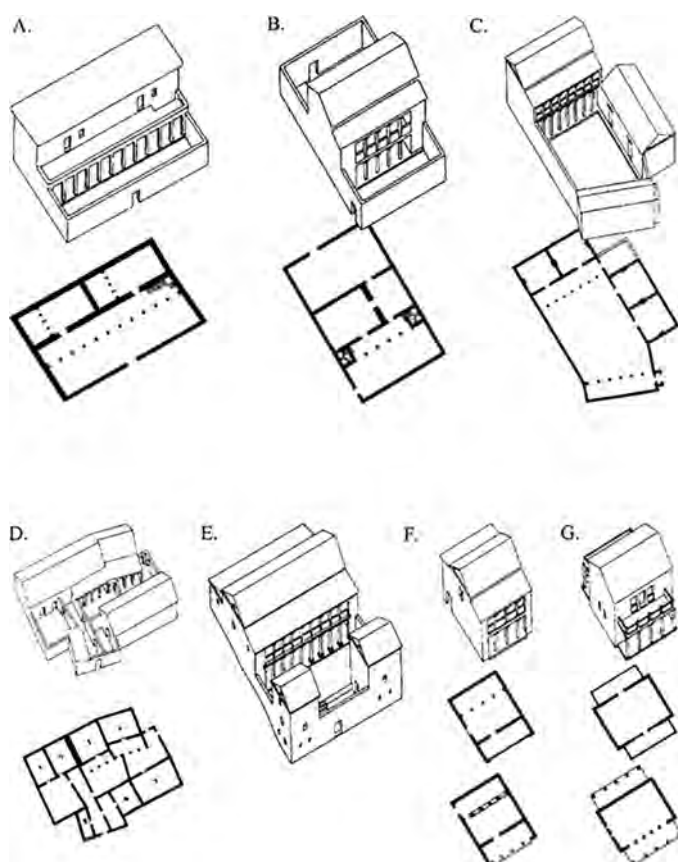


Figure 5.5 House types in the Dead Cities. A: Taqleh. B: Banaqfur. C: Serjilla. D: Behyo. E: Dalloza. F: Serjilla. G: Ba'uda (After Tchalenko)

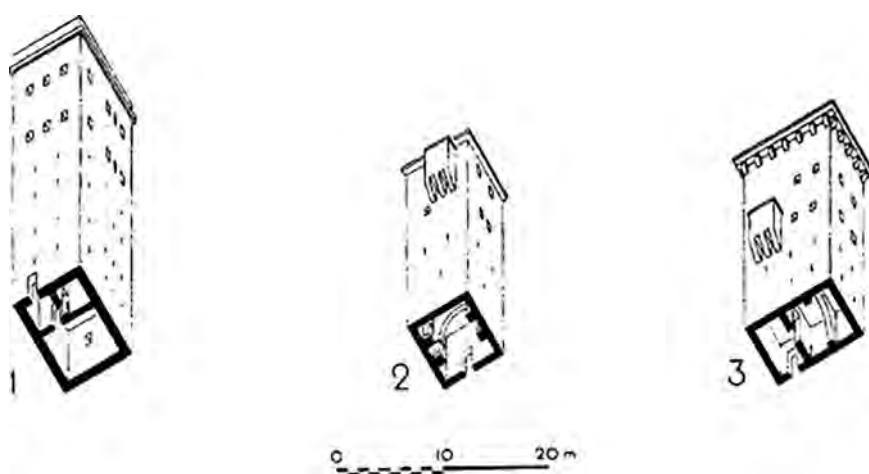


Figure 5.6 Types of towers in the Dead Cities (After Tchalenko)



Plate 5.7 Two storey house at Jarada in the Dead Cities surrounded by a high wall



Plate 5.8 One of the towers at Jarada



Plate 5.9 The monastery at al-Braiij

forms of Byzantine architecture. While the portico form *might* be seen as Classical in origin, the monolithic almost ‘cuboid’ vocabulary of the porticoes seems to refer back to a pre-Classical local tradition (Chapter 6). These simple trabeate forms – allied to a Syrian mastery of stone-working – enabled domestic buildings and towers to reach several storeys. This is similar in some respects to the Hauran (see below), although the corbelling techniques and external stone staircases characteristic there are virtually absent in the north.

Ancient field patterns are often still in place. These consist of stone walls marking field boundaries, with occasional cairns that mark field and village boundaries (Plates 5.2 and 5.5). These fields, however, were also their agricultural death-knell: clearing the stones from the fields and using them to mark their boundaries resulted in the loss of soil cover and consequent erosion. No irrigation channels have been found, nor would they have been necessary. There are occasional field cisterns, but these were to provide water for livestock rather than the fields.

Near Tell Aqibrin is one of the finest stretches of paved road in the Roman world (Plate 5.10). This was a part of the ancient network that linked Aleppo and Qinnasrin with Antioch, although it was not all paved like this. It is constructed of massive limestone paving slabs up to a metre thick. At Bab al-Hawa (‘Gate of Winds’) further west, remains include a Roman monumental arch over the ancient road (Plate 5.11). Other arterial roads connected Antioch, Apamea and Cyrrhus. Alongside were numerous hostelries, public



Plate 5.10 Roman road near Tell Aqibrin



Plate 5.11 Bab al-Hawa arch

fountains and way stations built to service them and assist the traveller. In addition, the region was honeycombed by an extensive network of minor roads, largely marked nowadays by the stone walls on either side. Indeed, lines of parallel rubble walls snaking across a hillside is one of the most ubiquitous – not to say picturesque – features of the landscape today (Plate 5.5).⁹

The houses

In a representative sample of forty-six villages selected for intensive study, 95 per cent of all the buildings were houses.¹⁰ These were generally simple two-storey dwellings, with the upper storey for living quarters and the lower for animals. Unlike the usual form of housing in the Middle East (which consists of rooms surrounding a central courtyard) courtyards were generally just to one or either side of the rooms, with the house forming a central block. The almost universal use of troughs suggests that the courtyards were used for animals rather than ornament – there is no evidence for fountains, pools, or gardens – with the ground-floor rooms for feeding and storage, again demonstrated by the number of animal fixtures in them (Figure 5.5, Plates 5.12 and 5.13). Roofs were generally gabled, presumably made of timber and tile. This is in contrast to the usual



Plate 5.12 A courtyard house in Jerada. Note animal trough



Plate 5.13 A row of portico houses at Serjilla

Near Eastern vernacular, where roofs were generally flat. Stairs to the upper floors were almost invariably external, usually of wood and incorporated into the external porticoes. Porticoes were an important feature of both domestic and public buildings. Many houses had a portico or veranda along one or two sides, usually on two storeys. Longer porticoes alongside streets would form stables or bazaar shops, a tradition that survives intact today, and are probably the architectural descendants of the colonnaded streets that are such a feature of the cities (Plate 5.14). Indeed, such ‘verandaed’ houses must have borne a strong resemblance to European colonial architecture, with the porticoed streets resembling the verandaed streets of the American West and Australian Outback (Plate 6.15). Like the colonnaded streets of the Roman East, such architectural forms are obviously a response to the demands imposed by climate.

The vivid picture of rural life presented by the ruins is graphically supplemented by the mosaics that have been found in the region.¹¹ They depict, of course, the hunting and mythological scenes that were popular throughout the Roman world, which here emanate from the styles and workshops of Antioch.¹² The popularity of abstract and geometric designs anticipates Islam (Plate 5.16), but also of interest are cruder mosaics that are presumably local in manufacture. Although lacking in sophistication, they have a naivety and homeliness that provide an intensely endearing picture. We see the ordinary farms themselves, along with the farmers, their chickens, their livestock and their everyday activities (Plate 5.15). Together



Plate 5.14 View along a porticoed street at Jerada



Plate 5.15 Geometric mosaic Ma'rat (believed destroyed)



Plate 5.16 Ma'rat naïve mosaic (believed destroyed)

with the ruins they conjure up a very vivid and attractive picture that seems far distant from the grandeur of imperial Rome.

One mosaic is worth discussing in detail for the picture of rural sophistication it conveys. This was from the village of Maryamin south-west of Hama (Plate 5.17). The Maryamin Mosaic is one of the most extraordinary works of Classical art to have survived from the ancient world.¹³ It probably formed the centrepiece of a *triclinium* in a villa. It represents quite literally a 'snapshot' of an actual event: a live musical performance. A wooden stage or dais is visible in the foreground, upon which six female musicians perform with (from left to right) clappers (*crotala*), an organ or *hydraulis*, a double flute, metal sounding bows, a cithara and castanets. The castanet player is presumably dancing and appears to be singing; indeed the entire scene conveys movement. Two *erotes* (winged gods) work the bellows of the organ. All the musicians face the audience: a tendency to frontality is typical of late antique art. The performers are gorgeously and elegantly – even flamboyantly – dressed, in richly embroidered robes. The mosaic workmanship is of particularly high quality, with small tesserae permitting fine detail of both

costumes and instruments to be shown, as well as fine gradations of skin tones and shading. The overall style has been dubbed 'Theodosian mannerism'.

Of particular importance are the organ and organist. The mosaic contains probably the most detailed representation of an ancient organ to have survived, allowing for accurate reconstruction. That shown is also more elaborate and complex than those depicted elsewhere or found in fragmentary form in archaeology, such as those from Dion in Greece, or Aquincum in Budapest. Moreover, the two *erotes* clearly demonstrate that this is a bellows organ, not a water organ. The earliest bellows organs are eighteenth century, whereas all earlier organs were water organs. Hence, the Maryamin Mosaic is one of the more important historical sources concerning the history of the development of keyboard instruments. Even more importantly, the organist appears to be playing with both hands, suggesting the development of harmony and polyphony some eight centuries before their rise in the western musical tradition. It also suggests a tradition, perhaps even a monopoly, of female musicianship in Roman Syria: large numbers of terracotta figurines of women playing musical instruments have been found.¹⁴

Public buildings

Public baths are found in six settlements in the Dead Cities, with particularly fine complexes at Serjilla, Barad and Babisqa (Figure 5.7, Plate 5.18) – the latter boasting two. They follow the same general layout of Roman baths elsewhere, but would have catered entirely for a local population, so cannot be taken as evidence for any Latin 'expatriate' population. Thus, they mark the transition from the Roman baths to the Islamic *hammam*.

On top of the Jebel Shaikh Barakat – some 850 metres high – are the remains of a large colonnaded temenos of a Roman prostyle temple of Zeus (Figure 7.1E). Although there is



Plate 5.17 The Maryamin music mosaic (formerly in the Hama Museum)

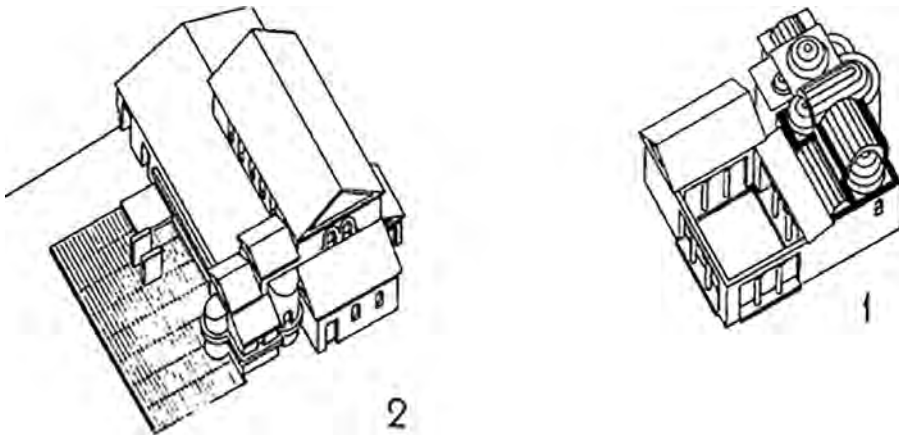


Figure 5.7 Baths in the Dead Cities. 1: Barad. 2: Serjilla. (After Tchalenko)



Plate 5.18 The baths at Serjilla

little standing, its position is spectacular – it is presumably the site of an ancient sacred high place. At Burj Baqirha, on another mountain on the opposite side of the Dana Plain to Shaikh Barakat, is another Temple of Zeus dated AD 161 (Plate 5.19). This also probably occupies the site of an earlier sacred high place. Its monumental entrance and parts of the small prostyle sanctuary are well preserved. One of the best preserved temples is at al-Mushayrfa on the peak of the Jebel Wastani some 700 metres high. Two isolated columns inside the temenos suggest the Mambij pillar cult of Atargatis (or perhaps Melqart, discussed in Chapter 2). Other temples have been recorded at Me'ez, Babisqa, Kafr Nabu, Jebel Srir and at a few other places in the Dead Cities, but their number never remotely approached that of the churches (even allowing for the better preservation of churches and the destruction of the temples).



Plate 5.19 Temple of Zeus at Burj Baqirha

There are also several other ancient sacred high places on exposed hill and mountain tops in the region. These usually consist of open-air enclosures containing an altar, channels, grottoes, tanks and other associated paraphernalia. As such, they resemble the Nabataean high places. Like their Nabataean counterparts, those in the north are often interpreted as places for blood sacrifices, but – again like the Nabataean high places – there is no evidence that they were. Fairly intact high-place complexes have been recorded at Umm Taqa, Kfar Daryan, Rabfa, Barad, Wadi Marthun and Magharat al-Mallaab (Figure 5.8). That at Kfar Daryan is still known as Ashtarat – presumably Ashtaroth or Astarte. Some of those associated with grottoes, notably Wadi Marthun, have been interpreted as oracles.¹⁵

Christian buildings

By far the majority of the non-domestic buildings are churches. Indeed, the remains of an astonishing 1,200 churches have been counted in the Dead Cities¹⁶ – approximately one for every 4.5 square kilometres or one for every 250 people.¹⁷ In the sixty-one settlements of Jebel Barisha alone, for example, twenty-three had one church each, eighteen had two, and five had three – only at fifteen of the settlements have no churches been recorded. This reflects an extremely devout population: at Banqusa, for example, there were three churches in a village

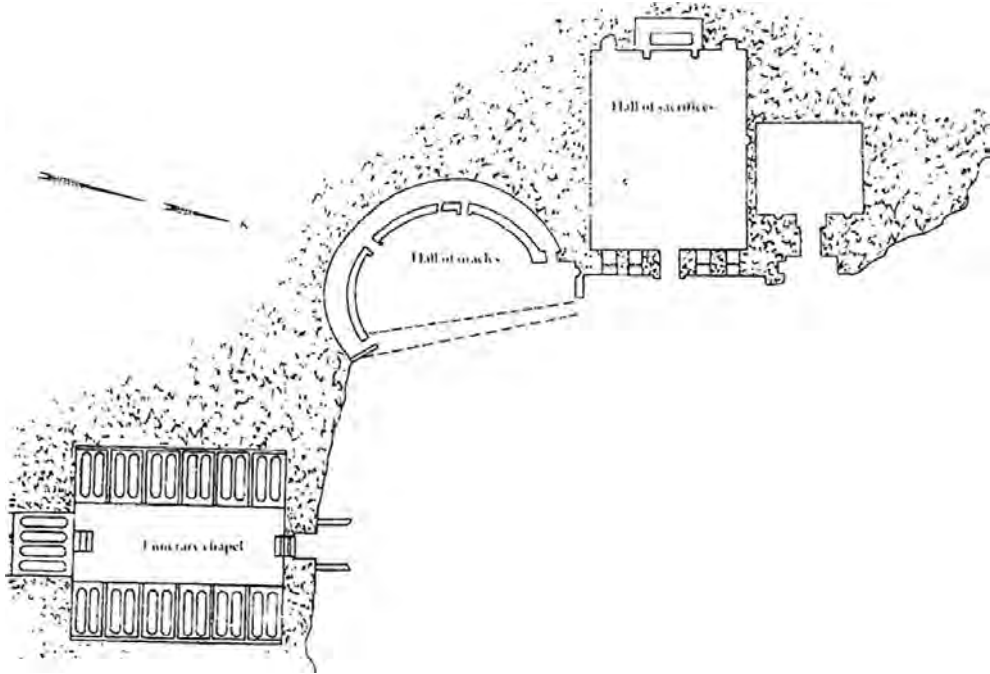


Figure 5.8 Magharat al-Mallaab high place (After Peña)

of only thirty houses, presumably with a population of only 250–300. Accordingly, it has been estimated that the clergy numbered some 4–6 per cent of the population.¹⁸ Many, such as the late fifth-century hilltop church at Mushabbak, are almost perfectly preserved (Plate 5.20). Many form the cores of extensive monastery complexes, such as that of Sitt ar-Rum near Rafada (Plate 5.21). A particularly fine fifth-century monastery church was standing until quite recently at Turmanin, and its associated monastery – originally a very large complex almost as big as St Simeon – is still extant (Figure 5.9). Other monastic remains abound in the region.

The greatest of them all – indeed, one of the greatest religious establishments of early Christendom – was the monastery-church of St Simeon Stylites.¹⁹ It was built in about AD 480–90 on a scale and with a lavishness rarely seen in the Near East since the era of the great temples. The complex is within an immense enclosure, built partly on a terrace overlooking the plain, comprising inner and outer courtyards (Figure 5.10). This seems to recall the great temple enclosures of an earlier era – indeed, it encloses an area approximately a third as large as Herod's Temple at Jerusalem. The main entrance was to the south, up a sacred processional way through a monumental arch leading from the pilgrim town of Telanissos (Dayr Sim'an) below (Plate 5.22). This led into the outer enclosure next to the magnificent baptistery built at the same time as the main church. The main entrance to the church was through the south wing, one of the most splendid features of the complex with the 'wind-blown' acanthus capitals often attracting admiration (Plate 5.23).²⁰ The exterior of the church is particularly graceful: the walls of each wing are pierced by alternating arched windows and doorways, and the junctions of the wings are marked by semicircular exedra; these disparate parts are then pulled together into a harmonious whole by two sharply delineated string courses, the lower one emphasising the



Plate 5.20 The church at Mushabbak



Plate 5.21 Sitt ar-Rum

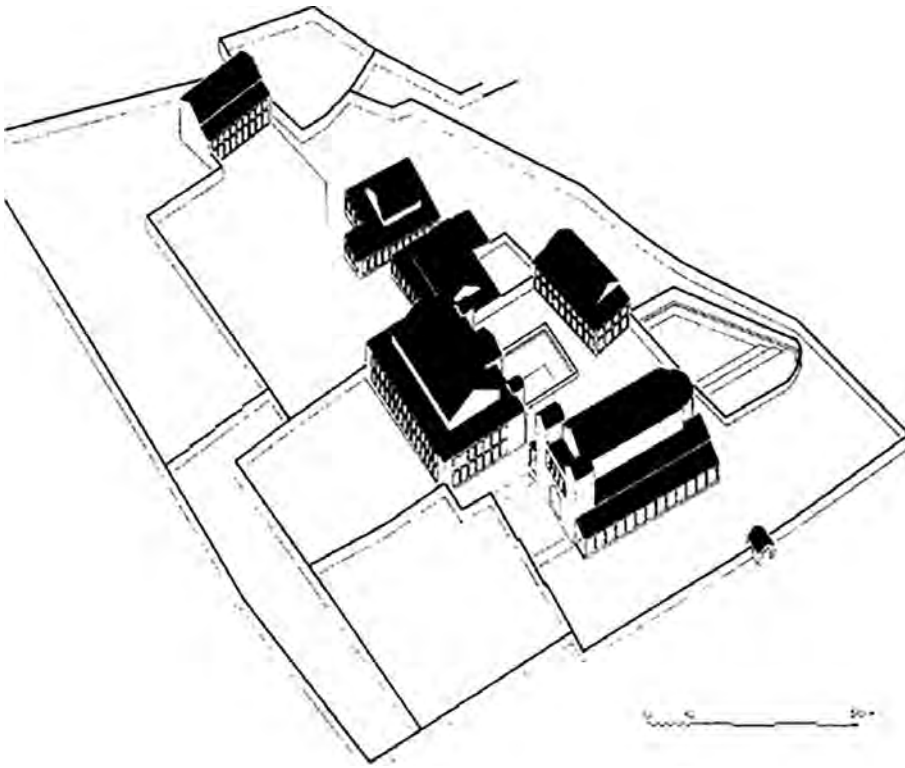


Figure 5.9 Reconstruction of the monastery complex of Turmanin (After Tchalenko)

graceful curves of the openings (Plate 5.24). The stump of St Simeon's pillar is surrounded by a large octagonal chamber, possibly originally domed. The octagon is then squared by the four basilical halls on each side, the east one terminating in a triple apse. The church thus combines three separate early church forms: the central 'martyrium' plan, the basilica and the cross (Figure 5.11). The combination however, does not jar; there are no clumsy transitions nor inherent contradictions, and the three separate elements combine effectively, together forming one of the greatest masterpieces of early Christian architecture. Covering an area of some 5,000 square metres, it was one of the largest churches ever built and could contain some 10,000 worshippers – larger in area than many of the great churches of medieval Europe, such as Notre Dame in Paris or the gigantic Benedictine abbey church at Cluny.

The monastery buildings are grouped around an inner courtyard, formed on two sides by the arms of the church itself. The façades consist of an impressive portico, in places surviving to three storeys (Plate 5.25). The bold trabeate technique of these porticoes, with its strong emphasis on simple verticals and horizontals, contrasts starkly with the more curvilinear architecture of the church itself. These two contrasting styles probably represent a meeting – or clash? – of eastern and western forms: the curvilinear representing a western tradition that culminated in the Romanesque, and the trabeate being a much earlier local tradition with its emphasis on simple angular forms (Chapter 6). Such was the importance of the monastery that it maintained its independence after the Arab conquest down to the tenth century.²¹

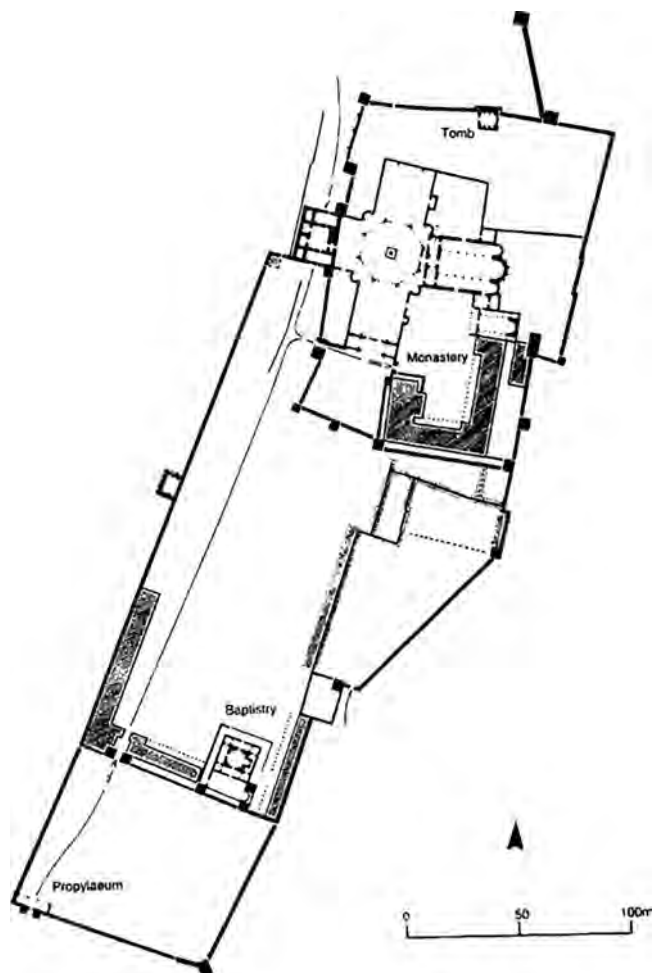


Figure 5.10 St Simeon Stylites (After Tchalenko)

The pilgrimage town of Telannisos (Dayr Sim'an) below St Simeon's contains a large number of religious establishments: churches, monasteries and hospices, many superbly preserved (Plates 5.26 and 5.27). To the south-east of Qal'at Sim'an is the town of Taqleh, which has a fine fifth-century church, and at Fafertin, further east, is the second oldest dated church in Syria (after Dura Europos), a basilica dated AD 372. Earlier dates have been postulated for a number of house-churches in the region. A house-church at Qirqbizeh is probably pre-313, and late fourth-century house-churches have been identified elsewhere, before the canonical basilical form was established in about 390. These house-churches are modest and discreet, being essentially meeting houses, similar to the dated one at Dura Europos.²²

More ruined churches occur northwards along the Jebel Sim'an. At Barad are the remains of a cathedral dated 399–402 and several other churches, as well as a monastery. Kharaba Shams further east has a particularly well preserved basilica of the late fifth century (Plate 5.28). At Qasr al-Banat, near the present Syrian-Turkish border, there is

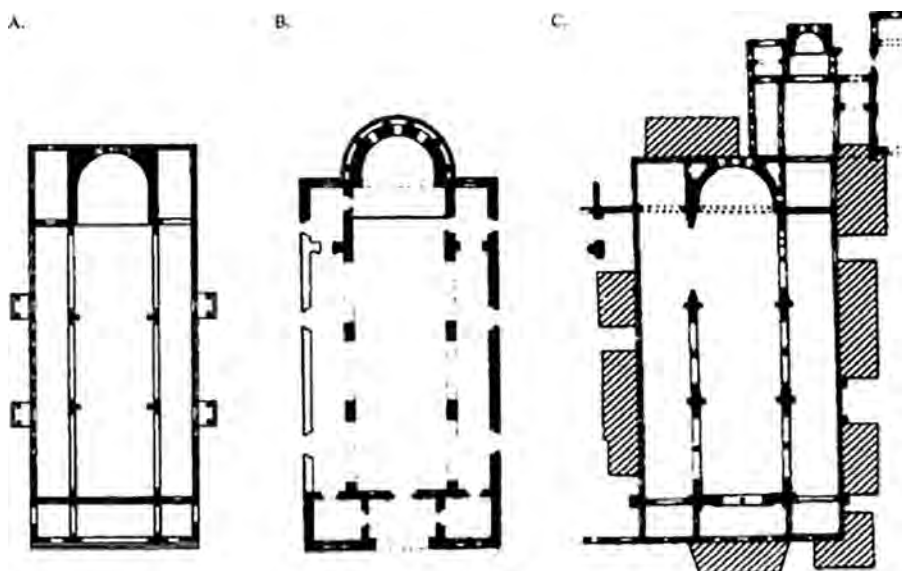


Figure 5.11 Church plans in north Syria. A: Ruwayha. B: Qalb Lauzah. C: Rasafa.



Plate 5.22 Propylaeum to the sacred way up to St Simeon Stylites



Plate 5.23 Main entrance to St Simeon Stylites



Plate 5.24 Exterior of St Simeon Stylites



Plate 5.25 St Simeon monastery



Plate 5.26 A religious complex incorporating a hospice and monastery at Deir Sim'an, ancient Telannisos



Plate 5.27 A church at Deir Sim'an, ancient Telannisos



Plate 5.28 The church at Kharaba Shams



Plate 5.29 The tower at Qasr al-Banat

an extensive sixth-century complex of monastic ruins dominated by a massive six-storey tower (Plate 5.29). The churches generally appear to have been architect-designed, and the names of a number of architects and technicians have been preserved. Both this and the evidence for interrelated regional styles suggests schools of architecture.²³

The Jebel Barisha area further south is astonishingly rich in churches, many villages containing more than one, all with features that make each one unique. Many preserve rich decoration, particularly the flowing string course around windows and doors, an extremely effective technique of binding all elements of a façade together (Plate 5.30). This technique appears peculiar to the area. Many churches form parts of extensive monastery complexes. At the village of Qalb Lauzah is one of the loveliest and most intact churches in Syria, a mid-fifth-century cathedral probably dedicated to SS Gabriel and Michael (Figure 5.11B, Plate 5.31). The entrance is flanked by three-storey towers, a form originating in temple architecture (e.g. Si', Baalbek). Inside, the aisles are separated from the nave by three arches on thick, square piers. The solidness of these piers is relieved by the rich decoration of the capitals and arches, which also surround the doorways leading from the narthex. The aisles are roofed by a series of ingenious interlocking stone slabs. A similar style of church with three large arches resting on piers to divide nave and aisles is the impressive fourth century fortified Church of Bizzos at Ruwayha in the Jebel Zawiya, as well as the churches at Rasafa and Cyrrhus (Plate 5.32). Ruwayha is one of the largest churches in the region and like the similar-sized church at Qalb Lauzah, its façade is framed by two towers.²⁴



Plate 5.30 Jebal Barisha church string course



Plate 5.31 The church at Qalb Lauzah



Plate 5.32 The church at Ruwayha

These probably comprise the largest concentration of churches surviving from the ancient world. Most were quite small; apart from a few exceptions, such as St Simeon Stylites and Qalb Lauzah, there are few larger churches or cathedrals. Although their architecture generally follows imported forms – they almost invariably follow the conventional basilical form developed in the Roman West – there are a number of local variations. For example, entrances are usually lateral in the south wall opening directly into the south aisle, rather than in the western end opposite the apse (Figure 5.11, Plate 5.31). This is suggested to derive from a similar practice in synagogues, perhaps reflecting different entrances for lay and clergy. It often meant that the narthex would be omitted. Apses are usually flanked by two small square chambers, opening from the ends of each aisle, perhaps following pre-Christian temple layouts (Figure 5.11). Apses in the form of small niches would often be found in baptisteries as well, the form perhaps deriving from the torah-niches of synagogues and ultimately evolving into the mihrabs of mosques. In addition, the centre of the nave would often be occupied by an exedra, or bema, facing the apse, reflecting differing liturgical usages in the north Syrian church where the eastern half of the church would be reserved for clergy in certain services. The bemata, like the lateral southern entrances and the small apses found in baptisteries, may derive from synagogues of the Hellenistic period.²⁵ If the overall forms of north Syrian churches reflect western architectural styles, the details and the church buildings associated with them were local in style: the monastery buildings, for example, are architecturally almost indistinguishable from domestic buildings.

Much of the wealth of the region might have been tied up in church plate.²⁶ The sheer quantity of churches certainly appears to suggest considerable wealth in the countryside, but it might equally suggest the exact opposite: the number of churches in contemporary

Ethiopia, for example, is evidence of rural poverty rather than wealth. Compare the sudden increase of opulent cinemas in Depression-era America. However, when taken with the evidence of the houses themselves, there seems little doubt that the Dead Cities region was very prosperous. The sheer number of religious buildings might be explained by the rhythm of the olive cultivation, which demands intense activity from October to February, leaving the remainder of the year relatively free – to build churches?²⁷

Whereas the settlements in the Dead Cities declined after the seventh century, the monasteries probably survived for several centuries longer – the monastery of St Simeon Stylites, for example, maintained its independence until the tenth century. The first Muslims respected the Christian monasteries and the learning they represented, for in addition to being centres of Christianity they were repositories for the Classical learning to which Muslims felt heirs as much as Christians did. Indeed, it has even been suggested that the decline in Islamic civilisation in the Near East in the twelfth and thirteenth centuries was due as much to the extinction of the Christian monasteries as to external factors, such as the Mongol invasions.²⁸

Economy

The Dead Cities were dominated by the olive industry, as the limestone terrain of the north Syrian hills is ideally suited for their cultivation. In this context the immense importance of this commodity in the ancient world, far more important than the olive is nowadays, must be emphasised. The olive was the ancient world's sole source of oil. It was used for cooking, anointing, as a base for medicines, aromatics and cosmetics, but most importantly, it was virtually the sole form of lighting. The demand, consequently, was huge. This increased enormously after the North African provinces, traditionally the main source for olive oil in the Roman Empire, were lost in 439. That date corresponds to the height of the Dead Cities' prosperity after 450 (see below).

Accordingly the olive was the main cash crop, and large numbers of olive presses have been found throughout the region – 245 in the 45 villages intensively surveyed by the most recent French mission. The village of Qalb Lauzah, for example, had twenty-three olive presses for an estimated population of 250, Dayhis has forty in a population of 500, Kafr Marays sixty for 300.²⁹ Such extraordinary quantities can only be explained in terms of export, not domestic consumption. One recalls that Antioch was the only city of the Roman Empire that had public street lighting – a fact solely due to the local availability of plentiful olive oil. From nurturing, harvesting and pressing to packing, marketing and transporting, the entire region revolved around olives. The industry was both very labour intensive and seasonal, demanding regular influxes of itinerant labour, perhaps nomads. The seasonal nature of the industry released a large labour force onto the market at the end of the season in February, which might then have been used in the construction industry until the olive season resumed in October. This might indicate an itinerant, presumably nomadic, labour force, as suggested above. On the other hand, the high cash value of the olive crop would support a high seasonally idle, sedentary population. This has been used to explain the large number of churches in the Dead Cities.³⁰

After olives, grapes were the commonest crop, along with cereals, pulses, vegetables and fruit. Stock raising probably figured almost as highly as olives: the courtyards and ground floor rooms of most houses surveyed contained livestock troughs (Plate 5.12). Stock raising tended to be more predominant in the north of the region, with cereals more predominant in the south towards the Orontes Valley. Olives were grown throughout, but predominating in the centre of the region, almost to the exclusion of all else.

Nearly all of the pottery recovered, both from excavation and surface collections, was simple in style and locally produced, reinforcing the impression of rustic simplicity.³¹ Features previously interpreted as ‘bazaar streets’ and ‘shops’ on examination have proved to be mainly stables. There was little trace of the hand of imperial Rome, apart from some traces of Roman surveying between the first and third centuries. None of the settlements were veteran colonies, and there was virtually a complete absence of the kind of great landowners – usually absentee Romans – that characterise the rural areas of the North African provinces.³² The substantial wealth of the region furthermore suggests an absence of crushing imperial taxes or rapacious landlords. There is no evidence of the large slave estates that characterised southern Italy and other parts of the empire. It was all local Syrians, peasants, but peasants who were extremely well off and could afford paid labourers rather than slaves.

Date

The towns are mostly late Roman in date, but are often as representative of earlier countryside architecture since the basic forms did not change much. Indeed, few other areas in the Roman world evoke the life of the provinces as well as the Dead Cities do. The existence of a number of walls of superbly fitted ‘Cyclopean’ masonry (for example, at Rafada, Bamuqqa, Babisqa and Banqusa) suggests the survival of earlier building techniques. In general the observed pattern is that of continuous growth from the first to the mid-third century. Following a slump in the mid-third century, they continued to grow steadily again between about 270 and 550, particularly after 320, with a noticeable increase between about 410 and 480. The peak was roughly the thirty years between 450 and 480, after which they declined until about 610. Surprisingly, there seems to be little evidence for substantial decline following the Iranian invasions of the 540s. Rather, there was continued stability. This suggests that the Iranians supported the local infrastructure, presumably to incorporate it into their own empire, and the locals in turn supported the Iranian occupation; there is no evidence for the sort of destruction that the sources imply.

The invasions of the seventh century changed the picture. While these invasions were not necessarily destructive, they nonetheless brought about a decline through more indirect means. The Iranian conquests at the beginning of the century resulted in the closure of the Mediterranean to Syria, hence a loss of the all-important markets – particularly in olive oil – upon which the economy depended. This was reinforced by the Islamic conquest: the demographic and political centre of Syria moved from Antioch to Damascus. Accordingly, the region behind Antioch declined. Exhaustion of the soils coupled with a loss of ground cover and consequent erosion would have contributed to an agricultural decline. The very agricultural expansion itself, requiring as it did clearing the fields of their protective covering of stones, contributed to the soil loss from the beginning. While Aleppo was a large enough centre to sustain this decline, the towns and villages in the region were not: the populations slowly moved elsewhere, leaving their towns empty. Not being destroyed by invasions or natural disasters, they became simply ghost towns, surviving in some cases almost intact.

Explanations

An explanation was first proposed by the French aristocrat who surveyed them, the Comte Melchior De Vogüé. His interpretation provides a romantic image of a rich, elegant, rural aristocracy: of leisured country gentry in their villas surveying their pillared courtyards and the formal gardens that surrounded them and the slaves toiling in the fields beyond from the

ornate verandas of their first floors that succumbed to the scourge of Islam – an interpretation heavily influenced by the picture of rural France on the eve of the Revolution.³³ Subsequent surveys, however, did not substantially alter the picture – and with good reason, for the picture of rural idyll presented by the ruins is difficult to resist. Much the same interpretation was confirmed by Howard Crosby Butler half a century later, whose lavishly published American surveys of the beginning of this century still form the basis of much research in Syria; a rich, highly sophisticated but essentially aristocratic rural elite, although the end was put down more to environmental change (such as loss of vegetal cover) than anti-Christian, anti-aristocratic Muslims.³⁴ The definitive survey, again French, of the Dead Cities was the outstanding study in the middle decades of this century by Georges Tchalenko.³⁵ He interpreted a much more diverse society, but again essentially a ‘civilisation rurale’ consisting of great estates that gradually broke up into smallholdings.

New French investigations under Georges Tate have dramatically altered the picture.³⁶ Based on excavations at one village, Dayhis, followed up by an intensive survey of a representative 46 other villages, the Dead Cities were reappraised as an essentially peasant community of independent smallholdings. Houses were small – albeit often solidly built of fine masonry and richly decorated – consisting of just one or two dwelling rooms above the stables, and generally clustered together in an irregular plan. There was very little evidence for larger estates; the ‘villas’, on examination, proved to be extended peasant houses for larger families rather than villas. Although very prosperous, the image of leisured country gentry in their villas was vanquished: the Dead Cities became a community of workers with the means of production in their own hands enjoying the benefits of their labours – from aristocratic feudalism to workers’ paradise. But the sheer quality, quantity, wealth, elegance and sophistication of the Dead Cities could not stand in more contrast to their apparent explanation: of a simple, rustic, peasant and herder community. Tate’s admirable work poses as many questions as it answers.

Today, the region appears bleached white by the sun and drained of soil by wind and rain so that now it is a harsh, bare terrain of protruding bedrock, stones and sparse vegetation (Plates 5.2, 5.5 and 5.33). It might appear strange that this area supported such a large population in the past. But it must be remembered that this was the hinterland of Antioch, one of the greatest cities of the Mediterranean world in Roman times and the natural centre of Syria in late antiquity. Attempts at explaining the settlements concentrate merely on their *rural* aspect, but the true explanation must ultimately be urban, as Antioch’s hinterland. They must be viewed, therefore, as much in the context of Antioch as of the countryside.

The massive increase in the countryside after the fourth century, both in terms of population and wealth, might well correspond to a decline in the cities. Such a decline has been observed in Chapter 3. The cities in late antiquity were becoming increasingly undesirable places to live. The urban proletariat became more impoverished when the imperial coffers had less money to support them. Inner cities as a consequence became more squalid: we see little quality building after the fourth century, and late Roman urban architecture in the East is characterised by shoddy workmanship, second-hand materials and smaller units – in other words, slums. Mob rule became common, particularly associated with the rival chariot-racing factions, the greens and the blues.³⁷ Families who could afford it therefore might well have moved to the countryside for a better quality of life. In this context it must be borne in mind that the Dead Cities form as much a hinterland of Antioch as the other cities of north Syria: Apamea, Laodicea, Beroea, etc. Having moved out, the wealthy middle classes would have taken to the land, only coming to the depressed cities on occasion for market and other reasons. It is tempting to see the two extreme rural interpretations



Plate 5.33 The village of Ba`udeh between al-Bara and Serjilla

as products of French political thought, lying as they do at either end of the political spectrum: the one essentially eighteenth-century pre-Revolutionary aristocratic, the other late twentieth-century Left Bank Socialism.³⁸

The Near East boasts some of the greatest monuments of the Roman world: gigantic temples such as Baalbek, great monumental cities such as Apamea or Jerash, long colonnades and a wealth of other buildings and cities. But in many ways the most impressive are not the biggest but the smallest. What makes the Dead Cities so remarkable is not so much their large size but their smallness, their very *ordinariness*, nothing more than unassuming, everyday, small country towns such as can be found the world over. One can see virtually the full layout of modest but prosperous country towns in their entirety: the farmhouses with the labourers' cottages, stables and farm buildings nearby; the parish church and the village shops; the central squares with the modest public buildings clustered around them; the olive presses and cattle troughs and other workings of small farming; the field boundaries and the roadways to the market towns in the countryside surrounding them. The mosaics provide a vivid picture of the life that was lived there, depicting everyday rural scenes of farming, the hunt, of ordinary life. It all seems so remarkably peaceful and rural, so ordinary and everyday – as indeed it must have been. The picture first projected of the Dead Cities, by De Vogüé and the first explorers, of country gentry and great estates, has now been dismissed. But the picture of country life that the new studies show is hardly less appealing. It is impossible not to be utterly enchanted by the Dead Cities.

Other areas

The real significance of the Dead Cities is that they only appear unique *now*, and not necessarily in the past: they are, in other words, an example of the accident of preservation. If they had been built of wood or mud-brick instead of limestone, we would not have heard of the Dead Cities. Explanations have consequently concentrated on them as a unique phenomenon, but such rural wealth may well have been more widespread in the past than present remains indicate. The Dead Cities, therefore, might reflect an overall level of wealth in the Roman East in late antiquity, and not be just an isolated instance.

Elsewhere in north Syria

To some extent, the Dead Cities are a victim of their own impressiveness. The focus of attention on these remains has detracted from studies of the ancient countryside elsewhere. Hence, many observations on ancient settlement in the countryside made on the basis of the Dead Cities might be distorted: the Dead Cities are unique not necessarily because this was the only area so densely populated and wealthy, but merely because it has survived so remarkably. An examination of the countryside outside the limestone area, therefore, where standing remains might be less common, is vital to place the Dead Cities into their true rural context.

The first areas that must be considered are the non-limestone valleys and plains of the Dead Cities region itself. For it must be remembered that 'Dead Cities' are found almost exclusively in the limestone hills, not on the fertile valleys and plains below them where one would most expect to find settlement – the Dana or Antioch Plains, for example. But an apparent absence of settlement here only represents an actual absence of recording, not necessarily of remains. In the hills, where even farm sheds were built of limestone, the remains are still extant, while in the plains any settlements would have been built of far less permanent mud-brick (as they were until comparatively recently) and have consequently disappeared. No efforts have been made to record such settlement – if they existed – or to determine land-use in the valleys in order to compare them with those observed in the limestone areas. The record is recoverable: the sophisticated, intensive surveying techniques pioneered by Wilkinson and used with such success elsewhere in northern Syria and surrounding regions have recovered a remarkable quantity and quality of information in comparable terrain – and have usually revealed a higher density of settlement than would be apparent from a superficial survey.³⁹ A similar survey of the plains and valley floors of the Dead Cities region must be considered a priority if the Dead Cities are to be fully understood.

When we turn to other regions adjacent to the Dead Cities, the record is revealing – and similarly frustrating. Other regions in north Syria – for example, the Quwayq River, the Antioch Plain, the Orontes Valley – have also been subject to archaeological survey, in some cases quite detailed, but usually attention has been focused on the earlier periods, with Roman and later settlement only of marginal interest. Some details, however, have a bearing on the Dead Cities. In a survey of the Quwayq River basin north of Aleppo, only 9 out of the 88 sites surveyed had early Roman fine wares. There was then a total lack of fine wares from the second to the fourth centuries AD. Settlement picked up again after the fourth century, with late Roman fine and coarse wares recovered from 27 sites and a smooth continuity of occupation into the early Islamic period. The record seems to suggest considerable mobility: sites were abandoned and later reoccupied, with a lack of population and abandonment between the second and fourth centuries. A peak in settlement after the fourth century appears parallel to the pattern observed in the Dead Cities.⁴⁰

Another area in northern Syria (now in fact in south-eastern Turkey) which offers very close similarities to the Dead Cities is the Tur Abdin, which also large numbers of monastic settlements together with elaborate and highly decorated domestic buildings – indeed, in some ways the Tur Abdin can be regarded a ‘living’ version of the Dead Cities.⁴¹

In a survey of the Orontes Valley alongside the Jebel Zawiya Dead Cities, large numbers of sites were recorded, but interest was focused almost exclusively on the earlier periods, mainly the Bronze Age. We do not know if there was any absence of Roman sites or merely that they were left unrecorded.⁴² In the Plain of Antioch where one would expect to find rural settlement in a similar density to that of the Dead Cities, former interest similarly focused almost solely on the Bronze and Iron Ages: ‘Roman’ was recorded in passing as being present, but no other details were given.⁴³ More recent surveys by the Oriental Institute Amuq Valley Surveys have corrected this imbalance, revealing ‘a massive expansion of settlement over the hills and into the mountains from the third century B.C.’ and a dense rural settlement in the late Roman period.⁴⁴

In the region of the former Kingdom of Edessa (the Roman province of Osroene), intensive surveys have once again revealed a ‘massive increase’ in rural settlement in the ‘late Roman/Byzantine’ period, exactly corresponding to the observed pattern in the Dead Cities, declining in the seventh and eighth centuries. ‘During a span of approximately 300 years extending from the reign of Diocletian, settlement apparently attained a peak, both in the number of sites and aggregate site area.’ The expansion is marked not only by an increase in settlements but also in intensive cultivation made possible by artificial field fertilisation.⁴⁵

Thus, the pattern of rural settlement and development is affected not so much by the actual remains but by archaeological priorities. We have already observed in Chapter 5 how academic priorities can distort the received view of urban continuity. The rural surveys, in concentrating too exclusively on specific research interests, have resulted in the true picture being slanted.

The desert fringes

These hold a surprising amount of remains. Their existence in fact belies the term ‘desert’: although certainly dry and sparse by temperate standards, the areas hold sufficient water in wadis as well as qanats (underground water canals) and wells which can, when properly conserved, support settlement and agriculture.⁴⁶ A ‘group’ of settlements, albeit rather amorphous, comprises a long chain of widely spaced, mainly late Roman ruined desert settlements, cities and fortifications throughout the north-western desert areas of Syria. It includes places as far apart as Qasr Ibn Wardan and al-Anderin towards Hama and Rasafa south of the Euphrates.⁴⁷ They are quite different to the Dead Cities: more wide-spaced, as befits the sparser terrain; often defensive in nature, either against nomads or the Iranian invasions of the sixth century; and there is an increasing use of mud-brick, as the sources of good stone become scarcer the further away from the hill country. Because of their wider scatter, they do not form as cohesive a group as the Dead Cities do.

Most stretch in a rough line south-eastwards, crossing the old desert route to Palmyra via the Jebel Khass. From Sfira (ancient Sipri, where there are the remains of a mid-second millennium fortified city, as well as some Roman remains), two routes branch off, the first following the old route to the east towards Rasafa, and the second to Palmyra via Isriya. Both routes include ruined towns with churches and other remains. Isriya, ancient Seriana, at an important desert junction on the second route roughly halfway between Aleppo and Palmyra, as well as between Hama (Ephiphania) and Rasafa, has a beautifully intact Roman prostyle temple of the third century AD, surrounded by the ruins of the ancient town (Figure 7.10G, Plate 5.34).



Plate 5.34 Roman temple at Isriya

Isriya is virtually the only third-century monumental building in the region. Remains in the semi-desert area north-east of Hama are generally later, and a survey confirmed a denser population in the fifth–sixth centuries than in the first to fourth.⁴⁸ Salamiya, ancient Salamias, is an extensive area of ruins with continuous occupation down to the tenth century. At al-Andarin the ruins of the ancient fortified town of Androna cover a large area. It was abandoned soon after the Arab conquest. Public buildings are of well-made masonry blocks and included eleven churches, a baths complex, many houses and a military complex, but most of the houses are of mud-brick.⁴⁹ Another desert fortification, Qasr al-Antar to the south-west, was built in 557–8. The most important of the desert remains is Qasr Ibn Wardan. There are three buildings: a palace, a church, and a barracks (Figure 5.12, Plate 2.48), forming an imperial desert outpost built between 561 and 564 during the time of Justinian. Their building style is unique in Syria, built entirely in the imperial style of Constantinople, rather than in the local Syrian style. Hence, construction is of alternating bands of small stone masonry and brick, identical to buildings in Constantinople, rather than the large masonry blocks that were almost universally used in Syria. In a country where even modest domestic buildings were of stone, the use of brick was exceptional – and presumably unnecessary. Indeed, the bricks are identical in size to the standard bricks of Constantinople – presumably the actual moulds were imported. With such a clear disregard of local building practices, the design, the architects and even some of the skilled workmen of Qasr Ibn Wardan were presumably imported. The church plan – probably a chapel or private church to the palace – may also have been imported from Constantinople as it does not copy local Syrian models.⁵⁰ The complex at Qasr Ibn Wardan is an anomaly. It has no associated town or religious centre, yet its strong architectural associations with the imperial capital indicate that this was no mere desert outpost under local control. Clearly, its construction must have been of considerable

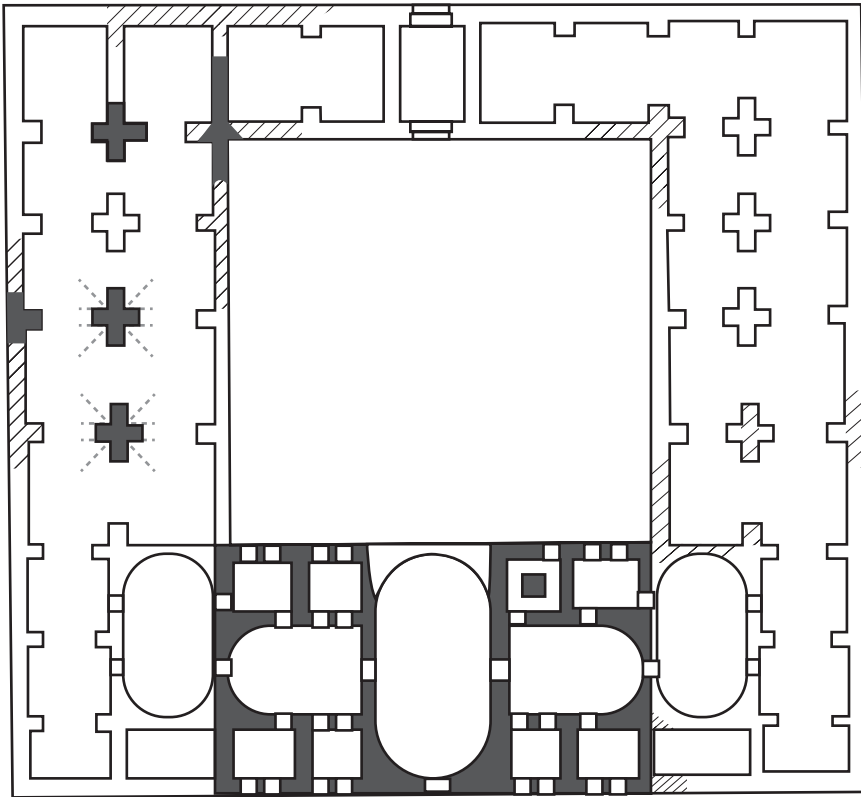


Figure 5.12 Qasr Ibn Wardan

importance to Constantinople. The complex is more in the nature of the ‘desert palaces’, such as Qasr al-Hayr or the ones in Jordan, such as appeared later in the Umayyad period.

Cilicia

The amount of standing Roman remains in Cilicia are scarcely less than in the Dead Cities, although it is less well surveyed. The section of coast between Seleucia (modern Silifke) and Corycos (modern Kiz Kalesi), for example, is almost continuously built up with substantial buildings surviving (Plate 5.35). Remains of extensive towns and cities can also be found at Olba, Tarsus, Pompeiopolis and Hierapolis Castabala.⁵¹ This area forms almost as important a hinterland to Antioch as the Dead Cities do.

*The Negev*⁵²

A surprising number of cities – or, at least, large settlements, the term is controversial here – exist in areas of the Negev Desert that today appear unproductive or at least peripheral in terms of agriculture (Plate 2.27). Such settlements exist at Elousa, Nessana, Eboda (Avdat), Sobata (Shivta), Kuraub (Mampsis), Rehovot and Saadi (Soudanon). They are all quite large, generally



Plate 5.35 Remains of the late Roman settlement at Kanlidivane in Cilicia

fourth century and later (although some were Nabataean), and exhibit all signs of comfortable prosperity, with large, opulent churches. Wine presses were common, a surprising feature in view of the aridity of the area today. All except Eboda and Nessana are unfortified. Like the Dead Cities, they declined in the seventh century, becoming deserted by the end of the century.

Outside these ‘cities’, the countryside presents an equally surprising picture. Large numbers of smaller late Roman sites have been found, mainly along the wadi beds. Most are agricultural – many nothing more than agricultural installations – with threshing floors, wine presses and sheep pens providing evidence for intensive sedentary agriculture. Again the sheer quantity seems astonishing: 223 in the Har Nafha region, 170 in Har Hamran, similar numbers throughout the Negev. Their development was a result of a long and gradual process since the Nabataean period in the first few centuries BC. There was a sharp decline in the Umayyad period, with none continuing after the middle of the ninth century.

*Jordan*⁵³

In the region of north Jordan around the Decapolis cities, surveys have revealed traces of some 600 rural sites, 400 of them occupied from the fourth to the seventh centuries (excluding the Decapolis cities themselves).⁵⁴ Aerial surveys around the comparatively small town of Umm al-Jamal have revealed traces of as many as 200 farms.⁵⁵ The hinterland of Pella has revealed an astonishingly rich range of agricultural activity that peaked in the ‘Byzantine’ period (presumably fourth–seventh centuries). Initially, in the Hellenistic period, the area was fortified with large-scale defensive systems, indicating considerable rural instability. These gradually gave way to smaller fortified posts in the earlier Roman period and eventually to open farmsteads in the late Roman. The rural area so far surveyed included for this period a large ‘villa’, several smaller farmsteads and a number of indeterminate structures, at least twenty-two wine presses, water installations (basins, cisterns, reservoirs), several open

enclosures (presumably animal pens) and agricultural terraces and field walls scattered over the landscape.⁵⁶ Investigations in and around Madaba has emphasised the richness of the late Roman period: remains of many churches, a palace, and large numbers of mosaics have been recorded, evidence of a wealthy community.⁵⁷

Surveys in the more arid regions further south in Jordan reveal a similar picture of expansion, with a sharp increase in settlement in the sixth century. Of the 125 sites around Hesban, 108 (86 per cent) belong to the fourth–seventh centuries, while further south, between the Mujib and al-Hasa wadis, over 400 Christian funerary inscriptions have been recorded together with settlements in areas today that are completely bare. A survey of the Kerak plateau recorded a sudden and massive increase in settlement in the Nabataean and early Roman periods, peaking in the late Roman period when 132 sites were recorded, a maximum for any period. In the hinterland of Petra large numbers of agricultural sites have been recorded, but there was an overall decline in the Late Roman period. In a survey of the hinterland of Aqaba in the south-eastern Wadi Arabah region, only one site with Persian or Hellenistic material was recorded. There followed a sudden increase in rural settlement with early Roman or Nabataean material recorded at 88 sites, with a sharp fall off in the late Roman and Byzantine period.⁵⁸

Considerable evidence for the Roman road network has also been recorded, particularly of the *Via Nova Trajana*. It ran from Bosra, the capital of Roman Arabia, and terminated at Aila, the province's main seaport on the Gulf of Aqaba, linking up the main Roman cities in the province (except, surprisingly, Jerash). Its length was some 430 kilometres (excluding subsidiary roads). Construction probably began in 107, soon after the annexation, and was completed between 111 and 114. It is documented by the *Peutinger Table* and by numerous inscriptions from the time of Hadrian to Constantine found on some 240 milestones. In addition, there are several well-preserved paved and unpaved stretches visible on the ground, with more visible from the air. Associated with the road network are numerous forts, way stations and settlements. The roads do not represent the creation of new routes by the Romans, but are the renewal of older Nabataean routes, themselves probably forming a part of the even older Persian Royal Road system.⁵⁹

The Hauran⁶⁰

The Hauran is dominated by the range of volcanic hills known as the *Jebel Arab*, *Jebel Druze* or *Jebel Hauran*, and the area is covered in black basalt rock and lava flows. The central Hauran is up to 1,800 metres high and is often snow-covered in winter. To the south-east it gives way to the Black Desert of eastern Syria and Jordan. This area of volcanic desolation is interspersed with abundant, rich agricultural land and settlement, and has almost as high a concentration of ancient remains as the *Dead Cities* – the remains of some three hundred ancient towns and villages have been recorded (Figure 5.13). The volcanic action also produced a highly fertile soil in the valleys and plains not covered by the lava flows, making it a major granary in antiquity. The basalt, furthermore, is also one of the hardest building materials known, so much is still standing. Virtually all building work in the Hauran until recently used this readily available material.

There are several factors that make the architecture of the Hauran of particular interest. The first is the extremely durable basalt building material which rarely weathers or crumbles, so that preservation is unusually high. Its considerable tensile strength allowed for the development of unusual building techniques not normally possible with softer stones. Hence, it was possible to cut long basalt 'beams' and use them in much the same way as timber was



Figure 5.13 Map of Nabataean and Roman sites in the Hauran and surrounding region

used elsewhere, particularly in corbelling and cantilevering techniques to roof considerable areas – ten metres and more (Figure 7.29). This produced a distinct, cantilevered ‘slab and lintel’ style that is peculiar to the black basalt areas of the Hauran. The second factor, which stems directly from the first, is the large quantity of domestic architecture that survives: many of the more humble buildings were built of the basalt as well. The third factor is the Nabataean element. For two centuries before the Roman conquest the area came under

the influence of the Nabataeans with their distinctive and vigorous architectural traditions, moving their capital here from Petra in the first century. The architecture of the Hauran thus forms a fusion of Hellenistic, Nabataean and Roman styles.

Villages and their settings

The wealth and stability of the region is reflected in the number and opulence of the public buildings at village level, particularly temples and inns. The villages were essentially self-governing and local, owing little to imperial Rome. The village 'strategos' mentioned in Greek inscriptions was the equivalent of the traditional village shaikh, and does not imply Greek or Roman institutions. Even the settlements described as 'cities' in inscriptions were probably little more than villages: the 'cities' of Dionysias, Canatha, Philippopolis and Maximinianopolis, for example, are located in a compact row eleven kilometres apart, while the concentration of settlements around Dionysias (Suwayda) are less than five kilometres apart.⁶¹

Houses followed a standard pattern of rooms grouped around a courtyard that is common in the Middle East both today and in antiquity, but in the Hauran they differed in one important point. Because of the immense strength of the basalt it was possible to build several storeys, with three and even four storeys still standing in places (Plate 5.36). Ground floors were used for storage and stabling, with upper floors for living and sleeping, a pattern that today in the Middle East occurs only in Yemen. Upper floors would often be connected by external stone staircases corbelled out from the walls – good examples occur at Umm al-Jimal (Plate 5.36). Roofing consisted of long basalt slabs. Where a space was too wide to be spanned by a single length, two methods would be used: either corbels would be built out at the tops of walls to narrow the space, or a series of arches would span the space and the beams placed from arch to arch, i.e. lengthways to the room. Another peculiarity of the Hauran (although it is occasionally found elsewhere in Syria, e.g. the Palmyra tombs) was the doors, which often consisted of a single slab of basalt (sometimes weighing several tons) with stone hinges that would allow it to open and close smoothly. Good examples of these building techniques can be seen in most of the ruined towns of the Hauran (Plates 5.37 and 5.38).

Superbly intact houses survive in many villages, but perhaps the best are at Umm al-Jimal in Jordan. Impressive though these houses are, close examination reveals a very similar picture to that of the Dead Cities: rich peasantry rather than large landowners. Houses generally consisted of a courtyard containing mangers and animal troughs, with stables in the courtyards, and ground-floor rooms were generally small, suggesting animal or storage use.⁶²

Elsewhere, houses could be quite grand. At Inkhil are the remains of a superb second century villa (Plate 5.39). It has a façade with elaborately decorated doorways and conch head niches. Inside is a vaulted central hall flanked by smaller rooms, beautifully decorated with busts and other sculptures, all carved from the hard basalt. Further west towards Qunaytra, there are a number of well-preserved houses at Kafr Nasal, including two virtually intact two-storey houses with Doric colonnades in front. They both have some fine decorative treatment, and their layout – a high vaulted central hall flanked by smaller rooms – recalls that of Inkhil. They are second–third century in date.

In the Safa Desert most of the remains fall into two categories. First are the frontier posts, mainly Roman, that guarded against incursions by nomads. Second are the large numbers of rock-cut inscriptions and petroglyphs pecked onto the iron-hard surfaces of the basalt boulders by nomads in the first few centuries AD, evidence of a surprisingly high level of literacy enjoyed by these tribes. They are written in the Safaitic script (which gets its name from the Safa region), derived from the Aramaic.



Plate 5.36 Three storey building in Umm al-Jimal



Plate 5.37 Example of corbelling in the Hauran at Umm al-Jimal



Plate 5.38 A stone door at Qanawat

Settlements have survived from the Bronze Age and even the Chalcolithic period. But the period of greatest prosperity was the early Roman, when the Hauran participated in the ‘explosion’ of building activity in the second century AD brought about by Roman prosperity and stability. This building activity continued throughout. New surveys in the southern Hauran reveal dense settlement with continuity from the Nabataean period through to the Umayyad, suggesting a continuity and stability of rural population that was little affected by the arrival of Rome.⁶³ In the Golan surveys have revealed a well-populated and flourishing rural life of the second–third century, continuing to the sixth and seventh centuries without any discernible break.⁶⁴

Parts of the ancient road network have survived in the Hauran, usually converging upon Bosra. As well as the Via Trajana, part of a Roman road exists at Imtan (ancient Mothana), and guard-posts and milestones that marked the Roman road that crossed the Laijja, as well as parts of the road itself, are still visible. The countryside is also covered with long lines of stone walls marking field boundaries, most of which are probably ancient, similar to the rural landscape of the Dead Cities. Aerial photographs of the Jordanian side of the border reveal ancient field boundaries, roads and other evidence of ancient land use.⁶⁵



Plate 5.39 A 'villa' at Inkhil

Public buildings

An unusually large number of small rural temples and shrines have survived in the towns and villages of the Hauran, providing a particularly revealing picture of the everyday religion of the countryside. Suwayda (Nabataean Soada) was an important centre for the cult of Dushara. Its name was changed in Roman times to Dionysias, as the Romans identified Dionysius with Dushara. The fine third-century peripteral temple recorded at the beginning of the century is no longer extant, but a few more fragmentary Roman ruins exist elsewhere in the town and excavations are uncovering more. The important remains of Qanawat, just outside Suwayda, as well as its shrine at Si', have been examined in Chapter 4. To the west of Qanawat are the ruins of a temple at 'Atil, ancient Athila. This is a Roman prostyle temple built in AD 151, with two columns in antis in a curious Corinthian and Ionic composite style. There are the remains of a second temple as well as a church nearby. There is another very fragmentary Roman temple in an Ionic-Corinthian composite order at Suwaylim, ancient Selaema, further north (Plate 7.34).

More remains are in the area to the south and east of Suwayda. At Kafr, ancient Capra, the village contains many reused ancient fragments and parts of ancient houses, but the ruined temple, recorded at the beginning of the century, is no longer extant. Many more remains are

found south and east of Salkhad. A prostyle temple dated AD 124 is recorded at Mashquq, and at Miyamas are the remains of two adjacent, small prostyle temples, that were later converted into a single church. At Mushannaf, ancient Nela, on the edges of the desert, is a well-preserved prostyle temple in antis standing in a large colonnaded temenos, as well as other ruins (Figures 7.2D and 7.11F). The architecture appears late second century AD, but an inscription on its temenos wall suggests a first century date.

North and west of Suwayda, temple remains occur at Hayat and Hit, ancient Eitha. More have been recorded at Sur (ancient Saura) and Sahr, the former also containing a very ruined Nabataean temple (Figures 7.11D and 7.16G). Sanamayn, ancient Aere, has a magnificently decorated prostyle Temple of Tyche built in 191, one of the finest monuments in the Hauran (Figure 7.14L). It is a square temple entered through a triple entrance which faces a niche surmounted by conch, flanked by two decorated smaller niches. The interior has a Greek key entablature supported by Corinthian columns on pedestals. The rich decoration is all the more remarkable for the extreme hardness of the basalt into which it is carved.

While there are important church and monastic remains in the Hauran, they cannot be compared to the quantity or quality that occur in the Dead Cities – nor, indeed, with the pagan remains in the Hauran. It is possible that the strong Nabataean element here – and Nabataean cult centres – meant that paganism showed greater resistance to Christianity than in the north, at least until the emergence of Bosra as a major Christian centre in the sixth century. Umm al-Qutayn, south of Salkhad, is a ruined Roman town that includes at least three churches and a monastery, the latter well preserved. More ruined churches have been recorded at Umm al-Jimal and elsewhere in the southern Hauran. The Church of St George at Ezr'a, the ancient episcopal town of Zorava, built in 515, is in a remarkable state of preservation (Figure 7.23E). It follows a central plan consisting of an octagon within a square, much the same as the near contemporary Cathedral at Bosra. The circumambulatory plans of these Hauran churches contrast with the basilica and house plans of the north Syrian churches, and might be a survival of the circumambulatory plans of the Nabataean temples of the Hauran (Chapter 7). There was another slightly later church at Ezr'a, St Elias, in the form of a cross, a plan that was to gain increasing popularity in later periods.

Shaqqa, ancient Sakkaia or Maximiniopolis, was a Roman town elevated to the rank of colony. In the centre are the remains of a large public building known as the *qaysariya*. There is also a basilica with a square tower, dated AD 176. Like the Bahira basilica at Bosra, this would originally have been a Roman public assembly hall rather than a Christian church. Mismiya, ancient Phaena, had a particularly fine 'praetorium' dating from AD 160 (Figure 5.14), no longer extant. It consisted of a central chamber of cruciform plan with intact vaulting supported by Corinthian columns.

Conclusions

The general picture emerging is one of overwhelming rural increase in the Roman Near East from the fourth century onwards: in settlement, population, productivity and wealth.⁶⁶ This is demonstrated most of all by the record of the Dead Cities, where most of the standing remains occur. The Hauran is the only other region where a comparable amount of standing remains are to be found. These are generally earlier than those of the Dead Cities, where the rural wealth began in the third century or earlier, but sustaining it throughout the later Roman period as well, so the overall picture is not substantially altered. Whenever the record is examined elsewhere, new archaeological investigations endorse the picture. Whether it is in prime agricultural land such as the hinterlands of Antioch, Edessa, Pella and Madaba, or areas of

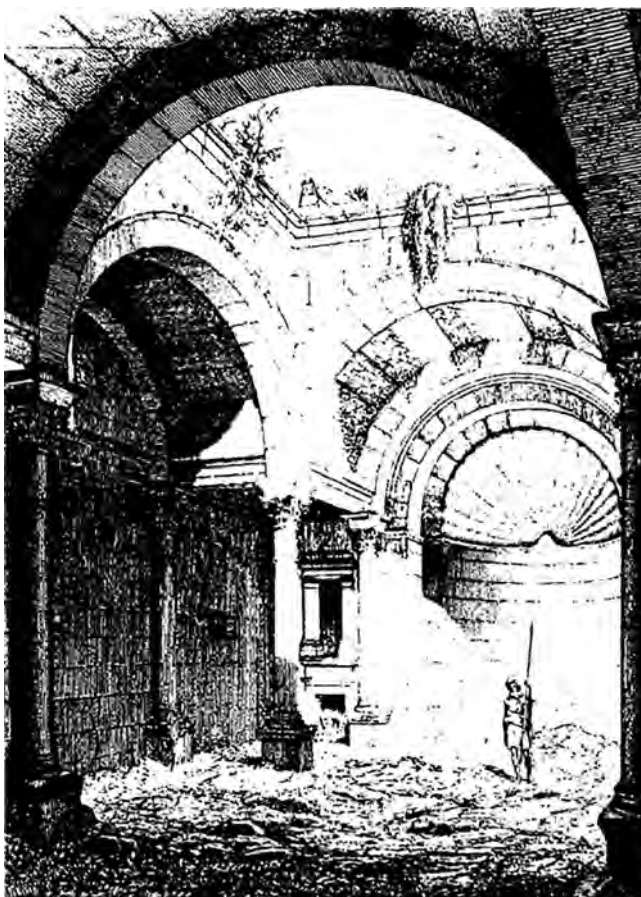


Figure 5.14 The 'praetorium' at Mismiya, drawn in the nineteenth century by De Vogüé

marginal agricultural potential, such as the semi-desert areas of the Negev, southern Jordan or north-eastern Syria, the same pattern emerges. The only exceptions are the borderland areas of eastern Syria and northern Mesopotamia, where the evidence for rural settlement shows a decline after about AD 250, with an increase only becoming apparent after the beginning of Islam in the eighth–ninth centuries. This is presumably because the area was a battleground between Rome and Iran, as the rural decline is paralleled by an increase in fortifications, whether Roman or Sasanian.⁶⁷

The other picture to emerge is that while the cities might have been the centres of Romanisation (and even this must be modified – see Chapter 4), the countryside remained firmly Near Eastern. The idea that the villages 'represented their attraction to a Graeco-Roman urban, or sub-urban, style of life and communal organisation' because of the existence of a few Greek inscriptions simply does not hold.⁶⁸ On the contrary:

The theory that villages in the Roman East had an elaborate system of self-government cannot be sustained on the evidence of these inscriptions. It should be discarded. In its

place we should instead take the common-sense view that villages were ruled by headmen, by informal gatherings of well-respected local men, and by the landowners and their bailiffs . . . This was the general pattern of village rule in all Iron Age societies, from Assyria to the Industrial Revolution. There is no reason to assume that the Roman East should be any different.⁶⁹

The evidence of the countryside thus presents a picture of substantial wealth in the late Roman period. In many ways, this reflects a far more real wealth than the great temples and urban building projects of the second and third centuries do. Such lavish buildings certainly have an opulence that might reflect wealth, but perhaps only the wealth of a single sector of the society. Indeed, the great temples might just as easily be evidence for impoverishment rather than wealth, the impoverishment of a society bled white in order to build such over-ambitious projects (one recalls, for example, that both the great Temple of Artemis at Jerash and the huge temple complex at Baalbek were never finished). Or, like the glittering opulence of cinemas in the Depression-era United States and the massive, grandiose dams and agricultural schemes in Stalinist Soviet Union, they might even reflect poverty, more than wealth, built as a façade to shore up an image of wealth by a labour force made cheap from poverty. This may not necessarily be so in the second and third centuries, but it is important to guard against superficial assumptions of wealth. Most of all, it is important to emphasise that the far more modest remains in the countryside reflect a *real* wealth, the wealth of a substantial section – probably the majority – of the population.

This pattern of rural increase in the late Roman period is certainly in stark contrast to the cities, which saw their greatest increase and prosperity throughout the second and third centuries. Thus, the wealth of the countryside, Tchalenko's 'civilisation rurale', appears to mark a parallel urban decline. Such patterns of alternating urban and rural development, with the increase of population in one made at the expense of the other, is a familiar pattern in the ancient Near East when observed over a period of many thousands of years.⁷⁰

In the light of this we must revise many of the received notions of the decline of the Roman Empire. The idea that the peasantry and countryside declined, that the cities were 'parasitic centres of consumption' and that landlords and increasing state demands oppressed the countryside, that the Roman Empire was, in short, in a state of decadence, simply no longer holds true. On the contrary, the evidence from the countryside shows an Empire – at least in the East – that was prosperous, confident, stable and anything but declining.⁷¹

Finally, the evidence shows that the East more than any other region was the source of wealth, *real* wealth, for the Roman Empire. A wealth born of trade further east, but also a wealth born of the thousands of years of continuity in the East long before the Romans. A wealth that found its expression in the greatest upsurge of building activity, both in the cities and in the countryside, reflecting a broad prosperity not found elsewhere in the empire. The acquisition of the eastern provinces in the first centuries BC and AD led directly to the rise of Rome and of Roman greatness, the first period of greatness in European history. Their loss after the seventh century led to the European Dark Ages. The East was the real heart of the Roman Empire. Small wonder that Rome itself moved to the East.

Notes

1 Butler 1903: 12.

2 Seven hundred is the figure normally cited, but Peña (1996: 12) puts the figure at 'around 820'.

3 Kennedy 2007: 24; Bar 2004: 307.

- 4 For an overview of the Late Roman and Byzantine countryside in the East, particularly Jordan, see now Graf 2001. See also Gatier 1994; MacAdam 1994; Butcher 2003: 145–53; Sartre 2005: 224–33.
- 5 The Dead Cities have been extensively studied ever since they first came to notice in the nineteenth century in several series of multi-volume studies. See: De Vogüé 1865–77; Butler 1903; 1907–20; 1929; Tchalenko 1953–8; Peña, Castellana and Fernández 1987; 1990; 1999; 2003; Tate 1992; Peña 1996; Biscop 1997. For the religious aspects of the Dead Cities and their relationship to the state see Hammond 1987. For an excellent overview of both the Dead Cities themselves and the research, see the review article by Foss 1995: 213–23. For other general overviews see: Souaf 1957; Boulanger 1966: 395–418; Ward-Perkins 1981: 326–6; Krautheimer and Curcic 1986: 137–56; relevant sections of Burns 1992; Ball 2006: Chapter 9; Tate in Alcock 1997: 55–71; Ball 2007: Chapter 9; Hadjar 2008; Abdulkarim and Charpentier 2009; Zerbini in Le Bihan 2012.
- 6 Foss 1995: 222.
- 7 Peña 1996: 57–9; Tate 2013.
- 8 Tchalenko 1953–8: Pl. xxi.
- 9 Despite Peña's (1996: 197) rather puzzling words that 'there are so few vestiges of the secondary and local roads . . . in the region'.
- 10 Tate 1992.
- 11 Donced-Vôte 1988; Balty 1977.
- 12 E.g. see Levi 1946.
- 13 Zaqqouq and Duchesne-Guillemin 1970; Balty 1977, pp. 94–100; Dunbabin 1999, pp. 170–1. I am grateful to Bruce Wannell for discussions of the musicological aspects of this remarkable mosaic.
- 14 Observed in museums in Damascus, Aleppo, Hama and Idlib: on a visit in 2011 I did not observe a single male terracotta musician.
- 15 Peña 1996: 201–3. These and the Nabataean high places are discussed further in Chapter 7.
- 16 Peña 1996: 39.
- 17 Compare this ratio with the figures for the United States (generally a more church-going public than in Western Europe), where 'The ratio of population served by each church has slipped from 3,897 in 1900 to 6,139 in 2010' is cited (http://www.namb.net/Population__Church_Ratios/ – accessed 30/05/2015).
- 18 Peña 1996: 86–8: 116.
- 19 Peña 2000: 94–101; Hadjar 2008.
- 20 I find they look like cabbages!
- 21 Peña 1996: 136–40.
- 22 Peña 1996: 63–4.
- 23 Peña 1996: 52–4.
- 24 See also 'High places' in Chapter 7.
- 25 Peña 1996: 63, 76, 96–100.
- 26 Foss 1995: 222.
- 27 Peña 1996: 39–40.
- 28 Peña 1996: 121–5.
- 29 Tate 1992; Peña 1996: 34–8. Though it is not certain whether these might be grape presses for wine. See also Zerbini in Le Bihan 2012.
- 30 See also Decker in Kingsley and Decker (eds) 2001.
- 31 Although the predominantly rustic nature of the finds and the lack of fine and luxury finds might create a false impression of rusticity. The Dead Cities were, after all, deserted slowly and peacefully (see below) rather than through destruction, so that everything of any value would have been taken from the sites in antiquity.
- 32 Peña (1996: 17–25), however, sees the initial settlements as a part of the colonisation and Romanisation policies of Trajan and Hadrian, and regards the settlements as essentially Roman in character, not native.
- 33 De Vogüé 1865–77.
- 34 Butler 1903, 1907–20.
- 35 Tchalenko 1953–8.
- 36 Sodini *et al.* 1980; Tate 1992; Biscop 1997; Tate 2013.
- 37 See Cameron 1976, especially Chapter X, 'Riots and Politics'.

- 38 But perhaps this present interpretation of ancient Antiochene middle classes discovering rural bliss in the countryside from where they would commute to declining inner cities is as much a child of its time and place: from the perspective of contemporary Britain.
- 39 Since confirmed in the Antioch region at least: see Casana 2004 and Casana and Wilkinson 2005. See also Wilkinson, T.J. 1989; 1990; 1992; 2003.
- 40 Kenrick and Northedge in Matthers (ed.) 1981: 439–71.
- 41 Palmer 1990; Hollerweger 1999.
- 42 Courtois 1973.
- 43 Haines 1971.
- 44 Casana and Wilkinson 2005: 44; Casana 2004.
- 45 Wilkinson in Marfoe *et al.* 1986: 38–46; Wilkinson 1990: 114–26, 130–2.
- 46 Gawlikowski in Alcock 1997: 37–54; Geyer 2000. See also Butcher 2003: 153–6.
- 47 Schlumberger 1951.
- 48 Lassus 1936.
- 49 Mango 2004–5; Strube 2004–5; 2006–7.
- 50 Burns 1992: 197–8; Ball 2006: 125–7.
- 51 E.g. see Akurgal 1990: 341–6 and refs; Taskiran 1993.
- 52 Mayerson 1960; Shereshevski 1991. For a good summary of recent work and overview, see Foss 1995: 223–34. See also Hirschfeld in Alcock 1997: 72–88; Negev 1998.
- 53 Graf 2001.
- 54 Piccirillo 1985. See also Kennedy 2007.
- 55 Kennedy 2007: 99–100.
- 56 Watson 1996.
- 57 Clark 2011.
- 58 Schick 1994; Piccirillo 1985; Peterman 1994: 554–7; Miller (ed.) 1991; Fiema 2002; Parker *et al.* 2014: 134–47, 364–9. See also the Wadi Arabah region: MacDonald 1992: 83–95; Smith 2010. See also, for example, Walmsley *et al.* 1999; Graf 1992a; King 1985; MacDonald 1988 and 1992, Kennedy and Bewley 2004: 160–1, 208–16.
- 59 See Graf 1995 and refs.
- 60 Butler 1903; Barkay *et al.* 1974; MacAdam 1983; Dentzer 1986a; De Vries 1986 and 1990; Graf 1992b; Burns 1992, various refs; De Vries in Peterman 1994: 552–3; Kennedy and Freeman 1995; Ball 2006: Chapter 5; Assaf 1998; Butcher 2003: 157–61; Dentzer and Dentzer-Feydy 2009; Hatoum in Le Bihan 2012.
- 61 Jones 1971: 284–90; MacAdam 1983.
- 62 De Vries in Peterman 1994: 552–3. Also De Vries 1986, 1990 and 1998.
- 63 Kennedy and Freeman 1995.
- 64 Barkay *et al.* 1974; Al Halabi in Le Bihan 2012.
- 65 E.g. Kennedy and Bewley 2004: Figs 4.8, 11.3.
- 66 See Graf 2001.
- 67 Wilkinson and Tucker 1995: 58–77; see also Bard 1996, Lyonnet 1996, and Simpson 1996, all in Bard and Hauser (eds) 1996.
- 68 Millar 1993: 427.
- 69 Grainger 1995: 192–3.
- 70 See, for example, Ball *et al.* 1989: 41–4; Wilkinson and Tucker 1995: 77–88.
- 71 Foss 1995: 221. Contrary to what happened in the western Empire: see Ward-Perkins 2005. See also Fisher (2011: 186–92), who points out that the greatest building period of the Jaffnid tribes in the desert fringes was in the late sixth century at the time of economic decline in the rest of the empire.

6 Secular architecture

Imperial stamp or imperial veneer?

Architecture is Rome's most visible legacy. Ever since late antiquity, its colossal ruins have awed all who came under their spell. The vast ruins in Rome inspired Gibbon's equally vast work and a host of others. Architects from all ages, from Renaissance masters to the builders of the great Ottoman mosques, have been in turn inspired and dominated by Roman architecture as they sought to both emulate and surpass it. The basic principles of Roman architecture have become canonical, enshrined in works from Vitruvius to Sir Bannister Fletcher, dictating the forms of virtually all western architecture down until the nineteenth century. Even today, in an age dominated by skyscrapers and technological wonders, many are more impressed by a visit to Rome's great ruin-fields of Pompeii, Lepcis or Jerash than to Manhattan or Tokyo.

Apart from their impressiveness, the reason why Roman ruins impress is their immediate familiarity. We have all seen the direct descendants of Roman architecture, from nineteenth-century public buildings to minor details in banks and parish churches. Our colonial ancestors took these forms with them to all parts of the world, so that they are almost as familiar in Goa, Sydney or Buenos Aires as they are in Vienna, Berlin or Madrid. Roman architecture, therefore, more than any other single element symbolises western civilisation: its origins, its continuity, its strength. A 'westerner' visiting Lepcis Magna or Baalbek is, as it were, coming home. Roman architecture is nothing if not a cultural statement: it is 'us'.

With the possible exception of North Africa, more intact Roman monuments survive in the East than any other part of the Roman world, from gigantic temples to entire cities. And here the cultural statement has an additional element: not only are the monuments 'ours', they serve to underline the difference with 'them'. When viewed for the first time, the western visitor feels immediately at home among familiar architectural forms: columned porticoes, Corinthian capitals, and standard systems of architectural layout and decorative treatment that have dominated western architecture from the time of the Romans to our own. The long lines of Classical colonnades that stride so confidently across eastern ruin-fields appear to proclaim the superiority of one culture over another in no uncertain terms. Such architectural masterpieces not only 'belong' to 'us', they are used to reaffirm a cultural difference and superiority, a Roman – and by extension western – triumph. In the emotive words of Rose Macaulay:

Entering some Arab village of squalid hovels, we are in a Roman colony, among temple columns, triumphal arches, traces of theatres and baths which no one has had the intellect or the cleanliness to use since the Arabs expelled the civilized Graeco-Roman-Syrian inhabitants and squatted among their broken monuments, stabling their horses in the nave of a Christian basilica, their camels in a richly carved pagan temple, their families in mud huts clustering about the proscenium of a theatre: the broken heirlooms of the race that ruled stand like desolate ghosts among the squalor.¹

Such highly charged descriptions with barely disguised racism use the Roman buildings to reinforce cultural prejudice, and are unfashionable now (although Rose Macaulay's book remains a popular one). But the sentiments are still alive, and even in academic studies Roman architecture is often viewed as part of a single, overall pattern because of its superficial homogeneity.² It is seen almost as if there was an 'official' Roman architecture suborned to traditions emanating from Rome and Greece, that minimised regionality.³ One study of eastern Roman architecture emphasises the international, 'imperial' style and universality of Roman architecture, drawing upon Greek prototypes but deliberately designed to be a single theme throughout the empire, so that an integrated 'common cultural basis' can be created. Architecture is viewed as a manifestation – almost a tool – of Rome consolidating its power over subject peoples by a common, imposed vocabulary that was the same in every city of the empire. The only regional differences perceived were in minor decorative details and some construction techniques, otherwise regionality was non-existent.⁴ Another academic study, when discussing Near Eastern temples, writes that 'the *expression* given to this cult in architectural form belongs, as always, to the Roman Empire . . . the Near Eastern Greek city of the Imperial period (is) marked above all by its monumental public buildings' i.e. the region is defined in Graeco-Roman terms by the architecture imposed on it.⁵ The architecture appears entirely – almost overwhelmingly – Roman (or 'Greek'); a foreign transplant that has more in common with Italy or Greece than with the ancient Near East where the buildings are found. In other words, a symbol of ruler and ruled, an attitude that is better disguised than Macaulay's overt 'them' and 'us' contempt, but not substantially different.⁶

That there is a homogeneity to Roman architecture cannot be denied. But it was not the overriding factor. Nowhere was regionalism more important in Roman architecture than in the East. In the past, this has been minimised, usually because Roman architecture has been viewed almost exclusively from the Classical perspective. In the following two chapters, the architecture of the Roman East is examined from the perspective of the context where it occurs: the Near East.

For the purposes of discussion, the architecture is divided into component elements, from broader themes (such as city plans) to minute details (such as decoration). It must be emphasised, however, that these divisions are artificial ones for convenience only. No single type of monument or element existed in isolation, but was a part of a whole. In particular, the division into secular and religious, while convenient to describe separate buildings (for example, theatres as opposed to temples), in no way reflects real divisions, either in terms of function or design. A monumental arch, for example, might have both religious and urban functions, while theatricality was as much an element in religious buildings as it was in buildings for entertainment. Indeed, religion was – and still is – more all-embracing in eastern cities than in western ones. Only in the East does one find holy cities, where an entire city's function and layout (for example Rasafa or Jerusalem) was subordinated to religion. To some extent, therefore, not just the temples and churches but all of the architecture described here is religious.

The urban layout⁷

Planned towns

The ordered grid system of planned towns is often cited as the most pervasive feature of Graeco-Roman domination over Near Eastern urban forms.⁸ It is usually contrasted with the more haphazard Near Eastern tradition – with all the cultural differences that emotive words such as 'haphazard' versus 'orderly' imply. Grid systems are emphasised as much for their

symbol of the superiority of order over disorder as for their origins, Greek or otherwise.⁹ Even when early forms of Near Eastern grid systems are acknowledged, the Greeks of Asia Minor (Smyrna and Miletus in particular) are emphasised more.¹⁰

The grid system is often referred to as the 'Hippodamian' town plan after Hippodamos of Miletus, who used it in his rebuilding of the city of Miletus in 479 BC following its destruction by the Iranians.¹¹ The origins of Greek town planning are viewed to go back further to the ordered layouts of Old Smyrna and pre-Hippodamian Miletus in the eighth–seventh centuries BC.¹² Here, however, the layouts are merely ordered rather than truly planned – the difference is important – and were not the planned grids that we see in Near Eastern systems such as Zerneki Tepe or Tell al-Amarna (see below). Old Smyrna and Miletus in any case appear isolated examples in the Greek world at the time, where town layouts were normally as haphazard as their Near Eastern counterparts. In any case both Old Smyrna and Miletus are in Asia, not Europe, so any considerations of the planned town must include an Asiatic as much as a European origin.

The first truly planned towns in Classical tradition are at either extremity of the Greek world: southern Italy and Sicily and the Black Sea rather than the Aegean. Megara Hyblaea in Sicily had a regular layout, although no overall plan. The first laid-out gridded plan was probably Poseidonia (Paestum) in the sixth century BC, possibly the first fully planned town in the Mediterranean. Several more followed: Metapontum, Selinus and Akragas are those whose plans – and dates – have been ascertained from archaeology. Gridded layouts are viewed as a feature of colonisation, deriving from land allocations essential to the principle of colonisation.¹³ Colonies are by their nature planned artificial creations as opposed to evolved cities, which are more organic. In this context, it is significant that many of the Near Eastern predecessors of the planned town reviewed below were also colonies.

These early examples of the gridded town plan are Greek colonies in Sicily and southern Italy of the sixth–fifth centuries BC. This is in contrast to the lack of such plans on the Greek mainland, the origin of the colonies themselves. The origins of such plans are presumed to be Old Smyrna and Miletus, hence many of the Ionian colonies around the Black Sea have gridded layouts. The earliest dated Greek colony on the Black Sea, Borysthene (modern Berezan), was founded in the seventh century on the Dniepr estuary. While no grid plan has been recognised for this period, by the sixth century a gridded layout of regular house blocks was superimposed. On the Taman Peninsula Gorgippia (modern Anapa: Plate 6.1) and possibly Phanagoria were also on a planned grid. Perhaps the most perfectly preserved gridded layout in the Classical world is the town of Chersonesus in Crimea, probably of the late fifth century, with its associated gridded *chora* of land allocations of the fourth century. However, it must be emphasised that the pattern is not consistent in the Black Sea: some of the sites investigated (such as Hermonassa) definitely did not have gridded layouts, while for most it has not been possible to discern their original layouts either way.¹⁴

It is significant that southern Italy and Sicily saw colonisation by the Phoenicians before the arrival of the Greeks.¹⁵ In this context it is worth noting that the only extensively excavated Phoenician city in North Africa not obscured by later overburden is Kerkouane in Tunisia. Founded probably in the sixth century, 'the urban plan shows a well-designed checkerboard pattern with regular crossing streets, but the city blocks (*insulae*) have varying dimensions and adjacent components'.¹⁶ Elsewhere in North Africa, the 'haphazard' plans of Phoenician towns are contrasted with the grid-plans that supposedly characterise Roman towns. Such contrasts are made, for example, at Dougga, Carthage or Sabratha, where Roman 'order' was superimposed over an older Phoenician 'haphazard' or 'un-Roman' plan.¹⁷ However, other cities, such as Lepcis Magna, Sbeitla or Oea were also of Phoenician foundation and have



Plate 6.1 Ancient Gorgippia, modern Anapa, on the Taman Peninsula in Russia

only grid plans; their grids are *assumed* to be Roman for no reason other than the assumption that ‘grid-plans equals order equals Roman’ – an essentially circular argument.

In the Phoenician homeland in the Levant, there is evidence of city planning in the layout of Byblos as early as 2800 BC. Here, ‘precautions had been taken in laying out the streets so that access to the city walls from the centre of the city and the residential area would be easy and rapid in the case of attack’.¹⁸ Other Near Eastern planned layouts are discussed below. The Phoenicians, therefore, may have brought town planning from the East long before the Romans.¹⁹ Clearly, the Greek colonial origin of the planned town is more complex than it appears, involving traditions in Italy as well as Asia Minor, Phoenicia and the Black Sea – hugely exacerbated by the archaeological difficulties of determining both urban layouts and their dates underneath later overburden.

The rebuilding of Miletus under the Persian Empire after its destruction in 479 BC as a fully planned city on a large scale by Hippodamos marks a new and more ambitious phase in the history of town planning, for while older town plans were based on the street unit, new Miletus was based on the insula unit (Figure 6.1). Piraeus, Rhodes and Thourioi were also newly laid out at the time, based on mathematical principles.²⁰ These cities are also attributed to Hippodamos, although the mathematical principle of town planning is Iranian, where it is based on the circle (see below).

Whatever the claims of Hippodamos, the arrival of the Iranians at his home town certainly marks a hallmark in the history of town planning. At the same time, a new concept in town planning appears in the Aegean with the building of Mausolus’ new monumental city of Halicarnassus. This ‘illustrates the importance of the city as a medium of self-glorification and propaganda’.²¹ These new elements involved the introduction of visual priorities: monumental terracing, grand approaches, massive propylaea and a monumentality generally that was to characterise much Hellenistic planning later at places such as Pergamon, Cos, Lindos

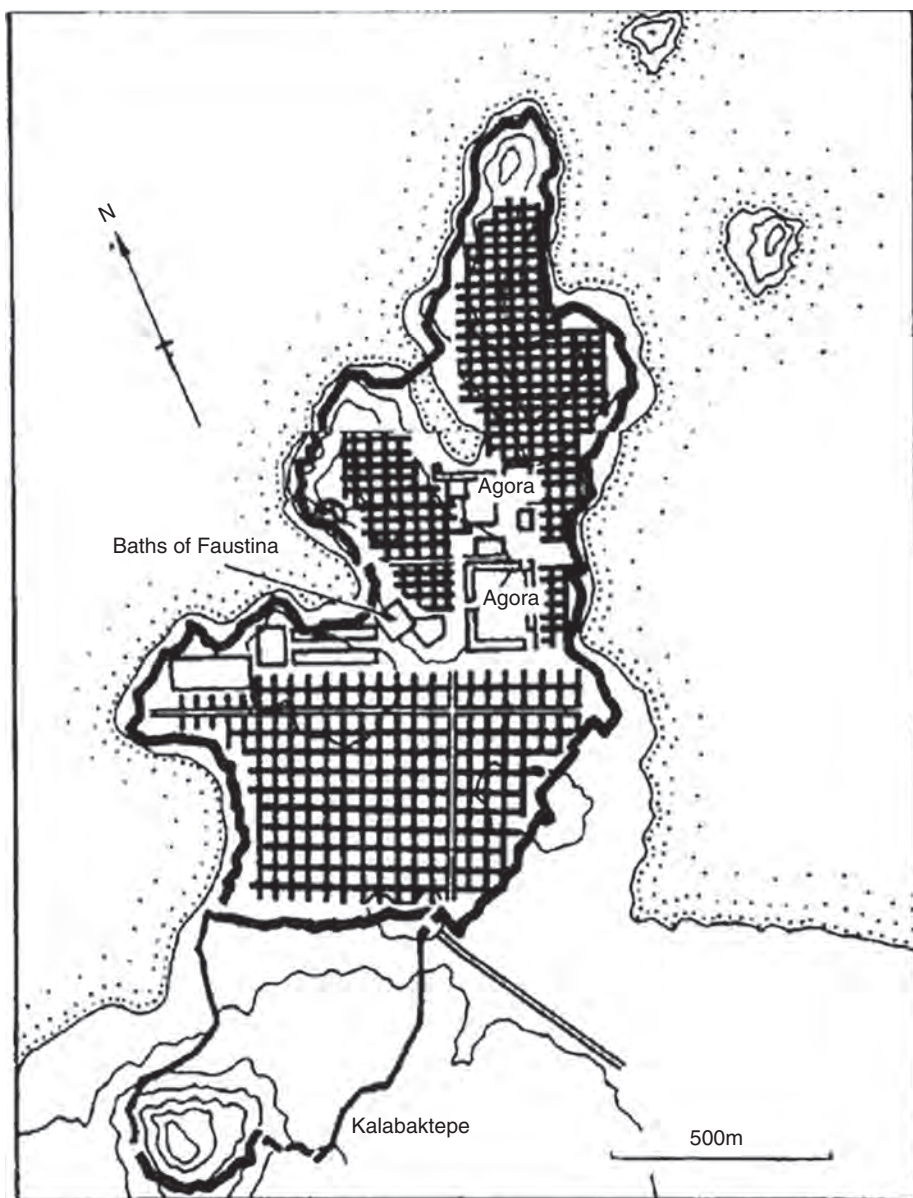


Figure 6.1 Hippodamos' plan of Miletus (After Owens)

and Labranda. Once again, Iranian origins can be glimpsed behind these new elements, demonstrated so impressively by the monumental royal layouts of Pasargadae, Susa and Persepolis, or perhaps by the earlier Neo-Babylonian ceremonial centre of Babylon.²² The Iranian royal cities were planned and laid out entities revolving around the king. It is important to bear in mind that the Greek and Hellenistic examples cited are on (or just off) the coast of Asia, not Greece.

From this innovation, the Hippodamian planned system became the standard form of cities throughout the Greek, and subsequently Hellenistic and Roman, worlds. In the East it assumed its greatest popularity following the conquests of Alexander, which instigated a spate of city foundations (or refoundations).²³ Indeed, the conquests of Alexander are usually taken as an urban watershed in most histories of urbanisation in the East. This is mainly because the Hippodamian town plan became a part of the ‘standard package’ of Hellenisation, with grid systems recognised on Hellenistic sites from as far apart as Dura Europos on the Euphrates to Ai Khanum (Alexandria Oxiana) on the Oxus and Taxila in India, and still discerned in the layouts of cities such as Latakia, Damascus and Aleppo today.

The grid system of town planning, however, has an older pedigree in the East than in the West. The system emerged fully developed in the East long before Alexander or even Hippodamos – indeed, the haphazard nature of early Greek cities is conspicuous in comparison to their later orderliness.²⁴ In Syria, the seventh-millennium BC settlement of Tell Bouqras on the Euphrates already showed signs of an overall imposed plan, while at the fourth-millennium BC Sumerian colony of Habuba Kabira on the middle Euphrates in Syria, more careful overall planning is evident.²⁵ It was laid out in the form of a rectangle, traversed by two north–south and east–west thoroughfares, with the city temple at its intersection (Figure 6.2).²⁶ At the early first-millennium BC Neo-Assyrian provincial capital of Dur Katlimmu (Tell Shaikh Hamad) in north-eastern Syria, a systematic plan and layout based on a rectangle, with main north-south and east-west intersecting thoroughfares, becomes more apparent.²⁷ Such a layout was to characterise the Roman towns of Syria a millennium later. A more perfect example of the ‘classic’ grid system has been found at the towns of Kahun and Tell al-Amarna in Egypt, laid out in about 2600 BC as colonies for construction workers.²⁸

Despite such examples, it must be conceded that most ancient Near Eastern cities did not, in general, adhere to a grid system or any discernible pattern. Their plans were more a product of long evolution and growth rather than new layouts. However, further east, in the Indian subcontinent, the grid system was a feature of both Harappan and early historic city plans. Third millennium Harappan cities have been noted for their orderly layouts and gridded street plans ever since their rediscovery in the early twentieth century (Figure 6.3).²⁹ Whereas ancient Near Eastern grid systems appear to be isolated examples, those of the Indus

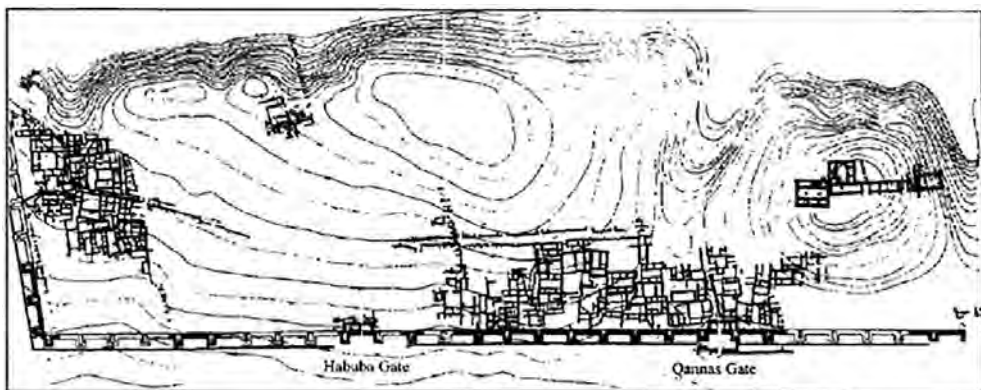


Figure 6.2 The Sumerian colony of Habuba Kabira on the Euphrates (After Stromenger)

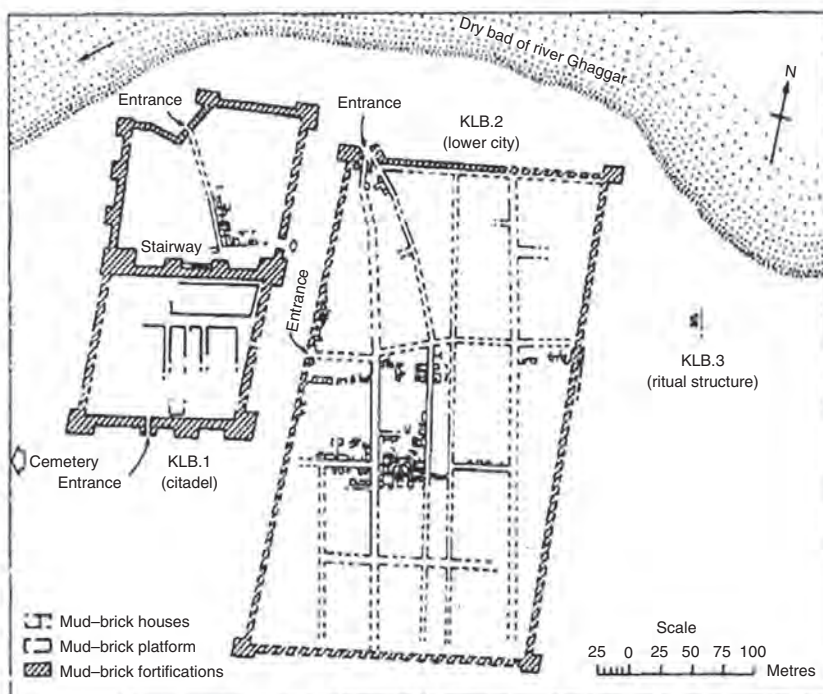
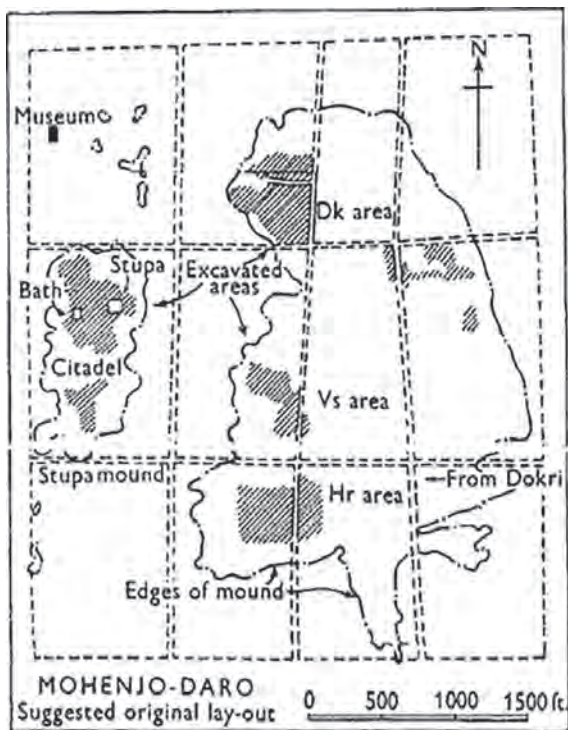


Figure 6.3 Harappan city plans: Mohenjodaro and Kalibangan (After Wheeler/Thapar)

civilisation are part of a fully developed and general system whose popularity continued long after its collapse. The planned, square layout emerges fully developed at, for example, the early fourth-century BC site of Sisupalgarh in Orissa. Sisupalgarh is dated broadly to about 500–200 BC, with its first rampart – which outlines and defines its gridded town plan – undated, but probably built before 500 BC.³⁰

This Indian tradition of laid-out grid systems was formalised in the *Arthashastra*, a remarkable late fourth-century BC document from north-eastern India, a treatise covering all aspects of government for the Emperor Chandragupta Maurya, written by Kautilya, his chief minister.³¹ In Book 2 the layout for the ideal fortified city is described in detail, with arterial roads meeting at right-angles and the royal residence in the centre surrounded by the chief temples and ancillary services (armoury, warehouses, record office, stables, etc.) (Figure 6.4). Elsewhere in the *Arthashastra* the ideal layout for a fortified military camp is also described in detail. When transcribed graphically, the resemblance to the ‘text-book’ Roman military camp of centuries later is remarkable, albeit presumably illusory (Figure 6.5).³²

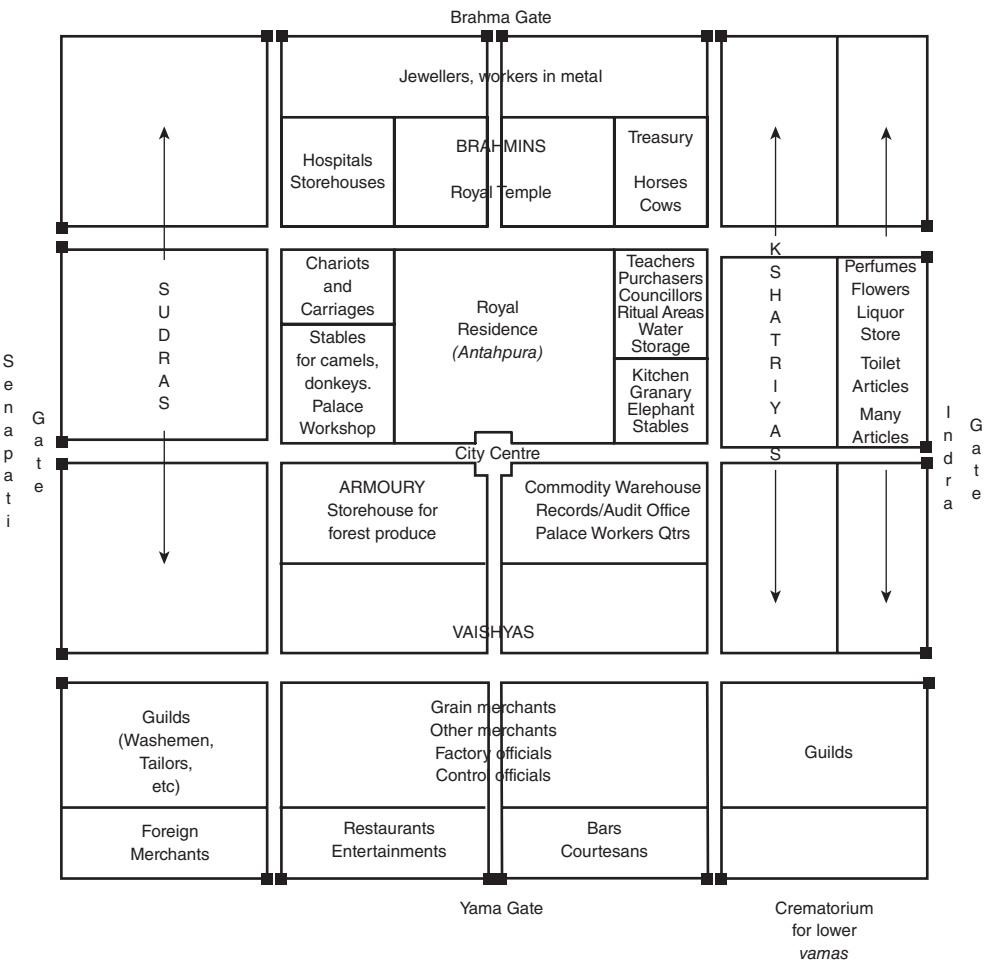


Figure 6.4 The ideal city according to Kautilya (After Rajarangan/Allchin)

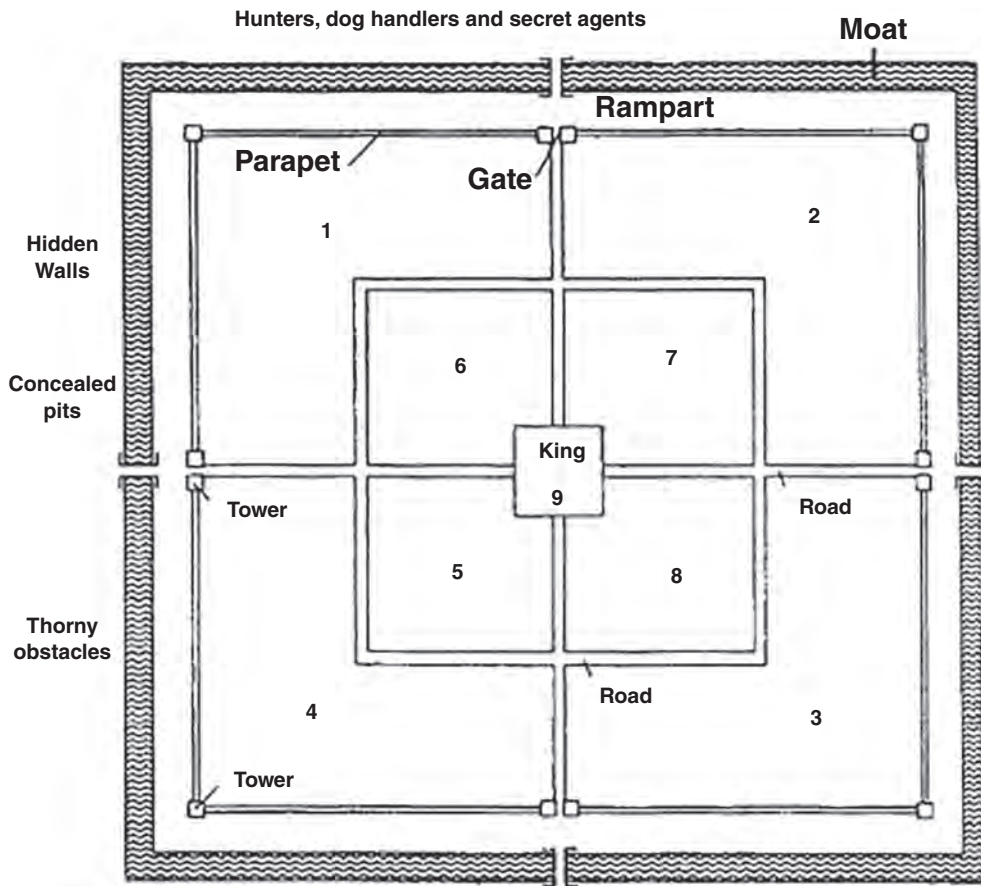


Figure 6.5 The ideal fortified camp according to Kautilya (After Rajarangan/Allchin)

Nevertheless, remarkable though Kautilya's town planning is, Hippodamos in the early fifth century BC is still earlier than Kautilya in the late fourth. But the Kautilyan form is the culmination of a tradition stretching back several thousand years as we have noted. Of course, Sisupalgarh or Mohenjodaro are a long way from Miletus, and it might appear impossible for an ancient Indian tradition to influence the Mediterranean and that Hippodamos' invention was an entirely independent development. But the matrix which bound the two cultures together was the Achaemenid Empire in the sixth to fourth centuries BC – significantly just prior to Hippodamos' 'invention'. Achaemenid civilisation was an international and eclectic one, where religious, architectural and other ideas were transmitted freely throughout Asia, with Greek ideas travelling east as much as Indian ideas west. The Persepolis reliefs graphically underline this, with Greeks rubbing shoulders with Indians, Scythians with Arbas. Indian ideas influencing ancient Greece is no less believable than Greek ideas influencing ancient India (which is well documented). The nature of Achaemenid civilisation is well enough known not to need restating here. Against this background, it is very easy to see how town-planning ideas might have been transmitted, particularly with an urban-based power

like the Achaemenids (who ruled from no less than four capital cities). Furthermore, Miletus was better placed for receiving eastern stimuli than most other Greek cities. Not only was it ruled by the Iranians for over two hundred years, but Miletus before that was the greatest of the Greek colonial powers, establishing a maritime and trading network throughout the Black Sea and elsewhere in the East.

The orderly layout of a town or city seems to have been a high priority of the Achaemenids. There can be little doubt, therefore, that Miletus received its idea of town planning from (or via) the Iranians. The native Iranian tradition was probably the circle, brought from their original homelands in Central Asia (and it is notable that Plato's 'ideal city' resembled a circle). Achaemenid city plans in Central Asia often follow this, developing upon much older Central Asian circular plans.³³ It is noteworthy that Hellenistic city plans in Central Asia as often followed this circular Iranian plan as their 'own' Hippodamian plan.³⁴ The circular plan later achieved considerable popularity in Parthian, Sasanian and early Islamic town plans as well as in medieval India, such as the twelfth–thirteenth-century circular city of Warangal in the Deccan.³⁵ An alternative origin for the circular plan was the third millennium BC city of Mari on the middle Euphrates, which was laid out in the form of a circle.³⁶

Elsewhere in the Achaemenid Empire little investigation has been carried out on town plans. The grid plan of Zerneki Tepe in eastern Anatolia is virtually 'classic Hippodamian' (Figure 6.6). When first surveyed, Zerneki Tepe was tentatively thought to be Urartian, but

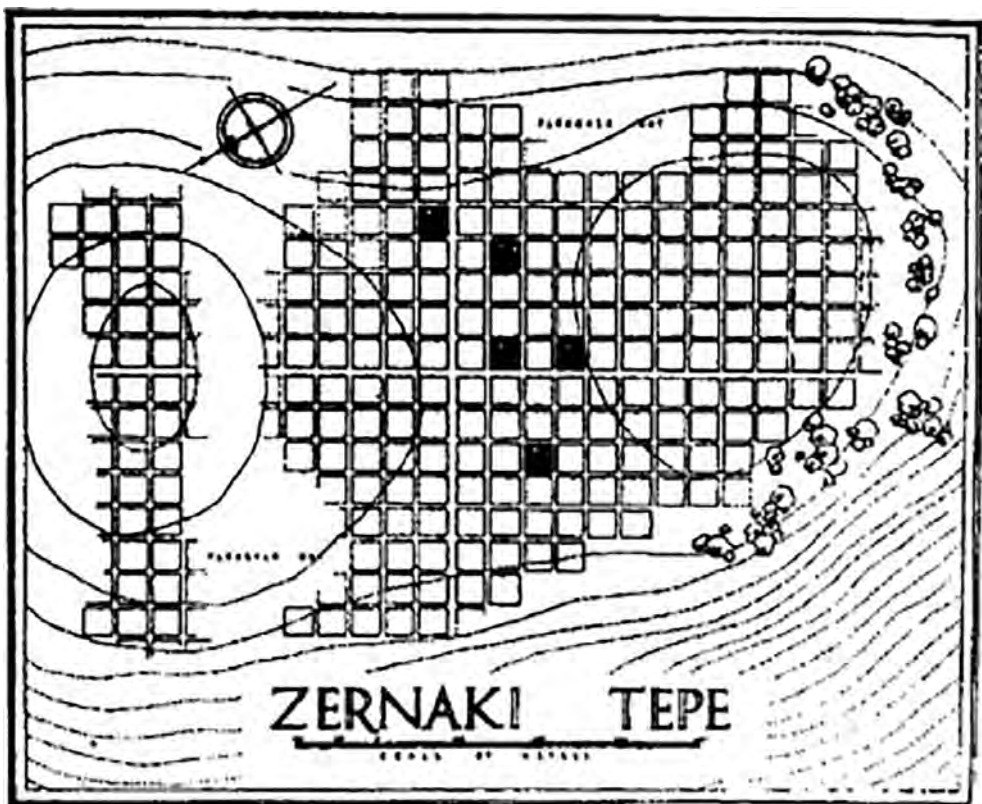


Figure 6.6 Zerneki Tepe (After Burney)

subsequent investigations have favoured an Achaemenid date.³⁷ A grid plan is also suggested in the lower town of the Urartian site of Karmir-Blur in Armenia.³⁸ Excavations at the Median and Achaemenid capital of Ecbatana (Hamadan) have exposed a regular grid-plan divided into standardised *insulae*, but the date is uncertain: Seleucid, Parthian, Sasanian and Islamic material having been recovered.³⁹ The rectangular layout of Achaemenid Kandahar, together with the suggestion of an overall plan that predetermined later development, may suggest a grid system rather than any other form, but this cannot be certain without further excavation.⁴⁰ Elsewhere in the Indo-Iranian borderlands Achaemenid garrison towns appear to be similarly ordered and based on a square plan. Even the new Macedonian city foundations in north Syria have been characterised as adhering to a pattern – viewed as Seleucid – of relatively large acropolis on the edge of a planned town, which is virtually the ‘classic’ Iranian *qal‘a* and *shahristan* form.⁴¹ It is significant that Hippodamus’ development of the town plan came in Miletus *after* it became a part of the Persian Empire, so whatever one says about the ‘Hippodamian system’, it is as correct to call it Iranian as it is Greek. Suffice to say that the Achaemenids practised town planning, they would have been aware of the Kautilyan system, and they carried many such ideas with them to the West.

The spate of city foundations and refoundations in the East by Macedonians and Romans have nearly all been characterised by the Hippodamian grid-plan, hence often cited as one of many examples of western influence and ‘character’. Many, such as Apamea or Dura Europos, unambiguously betray these characteristics. But many others do not have an overall grid pattern, even when their Hellenistic antecedents are not in doubt. Examples are Cyrrhus in Syria, Sepphorus and Scythopolis in Palestine and Philadelphia (Amman) in Arabia (Figures 4.16 and 4.17). Others are too obscured by overburden for any layout, grid or otherwise, to be discernible. At other ‘Graeco-Roman’ cities in the Near East that do have grid plans (e.g. Aleppo, Damascus), there is no actual evidence whether the grid plans are Macedonian or earlier.⁴² Establishing a secure date for a city layout when it has been continuously occupied is virtually impossible. Even the planned layouts that are definitely established to be Macedonian-Roman in origin are of a distinctive Syrian variety.⁴³ At other ‘Roman’ cities, such as Tripoli in Lebanon, no trace of any grid system survives in the present plan. In other words, the number of *definitely dated, definitely established* grid-planned cities in the East of Hellenistic foundation is tiny – and certainly not enough to make any overall statement. To assume such town plans in the East to be evidence of western influence is an oversimplification.⁴⁴

Sacred and processional ways⁴⁵

A feature which differentiates Roman planned towns from the earlier Macedonian ones was the imposition of one or more main thoroughfares. In its classic form (e.g. Figure 4.15), this consists of an east–west *decumanus* intersecting a north–south *cardo* at right angles. Such intersecting thoroughfares which characterise eastern Roman cities, however, are only assumed to be Roman. They may well have existed before the Roman occupation – indeed, the evidence from Bosra suggests that here at least it was a Nabataean innovation.⁴⁶ The evidence reviewed above, too, shows it in Syria as far back as the fourth millennium BC at Habuba Kabira. In Roman Syria, the intersection would often be marked by a monumental arch and the thoroughfares almost invariably colonnaded. These features are discussed separately below.

Some of these thoroughfares have been explained as sacred processional ways. The main colonnaded street at Palmyra, for example, has been interpreted as a *via sacra* from the main

necropolis to the great Temple of Bel (Figures 2.14 and 6.7D, Plates 2.35 and 6.2). The short length of colonnade re-erected at Byblos probably lined a sacred way leading from the north of the city to the Temple of Baalat-Gabal (Plate 4.15). The colonnaded streets at both Nabataean capitals of Petra and Bosra have also been suggested to be sacred ways leading from the main city entrances to temple enclosures (Figure 6.7A and B, Plate 2.18). This might have formed a Nabataean tradition of sacred ways, with as many as perhaps five such sacred ways at Petra alone (Figure 2.10). The main Petra high-place complexes of Umm al-Biyara, al-Khubtha and Madhbah have magnificent rock-cut ways leading up to them. The way up to the latter from the Wadi Farasa, with its associated paraphernalia of 'way stations', has been likened to a processional way, and the whole summit of the peak on which it was situated was isolated from the rest of the ridge by an artificial, rock-cut chasm, approached through a monumental propylaeum. Another processional way led up to the Deir to the west of Petra. This enigmatic monument is discussed further in the sections on oval plazas and funerary monuments below. For the moment, it need only be noted that, uniquely at Petra,

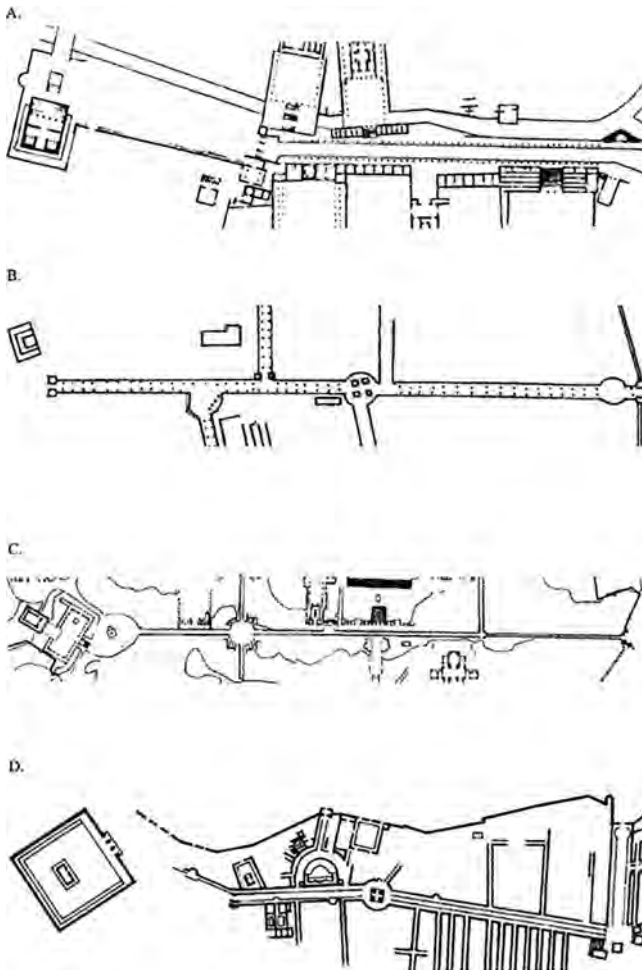


Figure 6.7 Comparative processional ways. A: Petra. B: Bosra. C: Jerash. D: Palmyra. Not to scale



Plate 6.2 The main colonnaded street at Palmyra

the Deir is an extensive complex of elaborate monuments that is completely isolated from other rock façades: the way up approaches no other monument. The Siq at Petra – the narrow cleft leading into the city – with its associated ‘god blocks’ and the recently revealed relief of a camel caravan has also been interpreted as a processional way. Elsewhere in the Nabataean kingdom, a first-century BC processional way led from Qanawat out to the Shrine of Si’ (Figure 4.14), and the sixth-century Madaba Map depicts a colonnaded *Cardo* at Kerak (ancient Characmoba) terminating in a semi-circular plaza in front of the cathedral (presumably on the site of a former Nabataean temple). Another Nabataean processional way has been identified at Sela to the north of Petra, although this might be earlier.⁴⁷

In this context, it must be remembered that Damascus, Shahba, Jerash and Amman, all of them with famous colonnaded streets, were all within the orbit of the Nabataean kingdom (Figure 2.9).⁴⁸ At Jerash the main colonnaded street – the *Cardo* – is conventionally viewed from its southern, Amman, approach (Figure 4.15). But if the map were to be turned upside-down and viewed from the north – from the Antioch approach – the *Cardo* becomes a processional way that leads to the Zeus Temple, with the Oval Plaza aligned on the temple temenos forming the culmination of the procession (Figure 6.7C, Plate 6.3). As such, this ‘processional way’ must include by extension the continuation of the *Cardo* by some two kilometres from the North Gate out of the city past the Temple of Nemesis (known by inscription) to the sacred area of Birkatayn. This sacred area comprised the (now vanished) Temple of Zeus Epicarpus, the ‘Festival Theatre’ and a sacred tank. As a sacred extra-mural area, Birkatayn has been likened to Daphne outside Antioch. The sacred way that links it to the city has its counterpart with the Nabataean sacred way linking Qanawat with Si’. The Jerash colonnaded *Cardo*, therefore, forms a part of one of the most extensive and monumental sacred ways in the East.⁴⁹



Plate 6.3 View along the main colonnaded street at Jerash towards the Temple of Zeus

Jerash also boasted another of the most elaborate sacred approaches in Classical architecture. This was the approach to the Artemis Temple (Figure 6.8, Plate 6.4). Forming an integral part of the propylaeum is its monumental processional way that includes a small stretch of colonnaded street. The eastern half of the city, on the left bank of the Jerash (Chrysoroas) River, has been obscured by modern housing ever since the first surveys in the nineteenth century. It is not possible, therefore, to trace the Artemis processional way beyond the footings of its bridge. But it can be assumed that it began – and may have been colonnaded? – at the eastern entrance to the city, where we might postulate a third oval plaza at Jerash.

The importance of sacred processional ways in eastern architecture derives from the prominence given to religious procession in eastern ritual. We get some indication of this in the accounts of the Emperor Elagabalus' brief reign in Rome. Being the hereditary high-priest of a major eastern temple, he was obliged to continue upholding the ritual after becoming emperor. The religious procession of Elagabalus' cult was treated with derision by the Romans, but underneath the scorn some important information emerges. The central element of Elagabalus' ritual was a procession in which the cult object – in this case the black stone of Emesa – was processed in an elaborate chariot, often with other cult objects. The procession

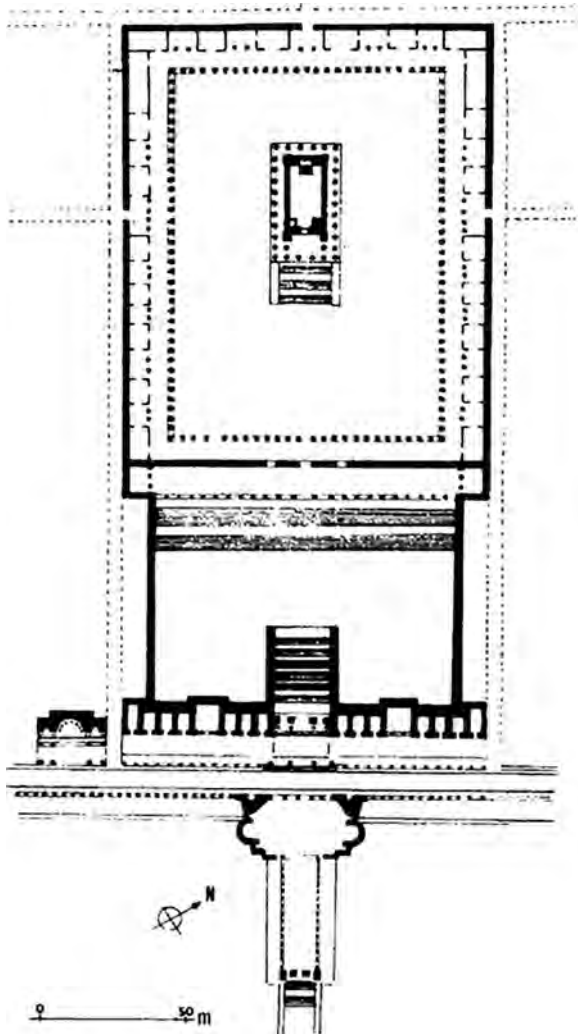


Figure 6.8 The Artemis processional way and temple at Jerash (After Segal)

was led by the priest-emperor who walked backwards, facing the chariot, along a processional way that led to the temple. Prominent members of the community – in this case the Senate (much to their acute embarrassment) – were obliged to participate.⁵⁰ This was presumably the standard ritual at the Temple of Emesene Baal in Syria. One can assume that the other great temples of the East demanded similar processions. An inscription on the Temple of Zeus at Dmayr north-east of Damascus also makes a reference to religious procession.⁵¹ Of course, religions elsewhere in the Greek and Roman worlds held sacred processions and even had processional ways – the concept is hardly exclusive to the East.⁵² But in the East it was elaborately expressed in architecture.

The eastern tradition of religious procession continued into Christianity, particularly in the East where it remains an important part of ritual to this day. The annual Easter procession



Plate 6.4 The Temple of Artemis processional way and propylaeum at Jerash

along the Via Dolorosa in Jerusalem, for example, is a part of this tradition. And like their pagan counterparts, Christian ritual processions could demand elaborate architecture. At the great pilgrimage church of St Simeon Stylites in northern Syria, for example, the culmination of the pilgrimage was a procession which gathered in an open space flanked by two religious complexes (churches and hospices) in the lower town of Telannisos – the Christian equivalent of the oval plazas of pagan sacred ways. The procession then ascended the hill along a sacred way, passing underneath a monumental arch – equivalent to the temple propylaeum of pagan architecture – and finally into the great enclosure of the monastery-church itself (Figure 5.10, Plate 5.22). All of the main elements of a great temple processional way are repeated exactly. Elsewhere in eastern Christian architecture, remains of monumental sacred ways exist at Alahan monastery in Cilicia and the monastic island of Gemili off the coast of Lycia.⁵³ But perhaps the greatest of the Christian processional ways in the East is that of Rasafa, the ancient pilgrimage centre of Sergiopolis (Figure 4.6). The city revolved around the cult of St Sergius, and its main thoroughfare acted as a sacred way for the pilgrims who flocked there. The magnificent North Gate of Rasafa – one of the finest city gates to survive antiquity – was not defensive in nature but religious: a propylaeum to a sanctuary comparable to the greatest religious propylaea of pagan architecture, with the city itself forming the sanctuary (Plate 2.46). From the North Gate the pilgrim processed along a sacred way (only partially excavated) past the Martyrium of St Sergius, past another church and eventually to the Church of the Holy Cross, the focus of the entire city.⁵⁴

Sacred ways existed in the Ionian East too. Both Ephesus and Miletus, for example, boasted particularly fine ones leading out to the nearby temples of Artemis and Apollo respectively. An equally impressive one has survived leading to the Temple of Apollo at Cyrene (Plate 6.5). The eastern context of Ionian architecture has already been emphasised above, so the origins of this feature too probably lie further east. Processions were an integral part of ancient Mesopotamian ritual. The Processional Way and Ishtar Gate built by Nebuchadnezzar at Babylon in the sixth century BC is the best known and one of the most magnificent examples (Plate 6.6).⁵⁵ Equally magnificent was the Gate of All Nations and Processional Way at Persepolis (Plate 6.26). While not religious, the processional way was at least ritual, being the route that ambassadors from the subject nations would take to pay their respects at the court of the Great King during the Iranian new year festivities.⁵⁶ Egypt too had its sacred processional ways, most notably the ‘Sphinx Avenue’ from the Temple of Luxor to the Temple of Karnak (Plate 6.7). The sacred ways of the Roman East – and with it so much of the layout, structure and function of the eastern Roman city – were a part of this non-western tradition.

*Colonnaded streets*⁵⁷

In the East the main thoroughfares were almost universally colonnaded. This feature sharply differentiates eastern from western Roman architecture. In addition, the arch marking the intersections was often (but not always) a four-way arch or *tetrapylon* (Latin *quadrifons*). Colonnaded streets perhaps form the most ubiquitous element of Roman eastern architecture, sharply defining the difference with the West more than any other feature. They have often been examined from within the broader context of Classical architectural traditions, with explanations for them either unsatisfactory, unconvincing or entirely lacking. Surprisingly,



Plate 6.5 View along the sacred way at Cyrene towards the Sanctuary of Apollo



Plate 6.6 The reconstructed Processional Way and Ishtar Gate of Babylon in the Pergamon Museum, Berlin



Plate 6.7 The sacred way at Luxor, the 'Sphinx Avenue'

nowhere has this almost wholly eastern architectural feature been examined in terms of Near Eastern architecture. The tetrapylon is examined separately.

More than any other single architectural feature, the colonnaded streets provided eastern Roman cities with their unique character. A distant view of an eastern Roman city today resembles a veritable forest of columns, in strong contrast to, say, Ostia or Dougga. From end to end and side to side main thoroughfares are lined with well-ordered rows of them, providing monumental vistas rarely encountered in the ancient world. These colonnaded streets bestow upon the city an architectural unity, a common thread that binds the separate parts to make the whole city a single monument. Virtually no city of the Roman East was complete without at least one colonnaded street. They survive most famously at Apamea, Palmyra, Bosra, Jerash and Sebaste, mainly through accidents of survival (Plates 4.4, 4.5, 4.24, 6.2 and 6.3).⁵⁸ The most magnificent is the *Cardo* at Apamea, 37.5 metres wide including its sidewalks (the carriageway alone is 20.5 metres) stretching for a total length of 1.85 kilometres (Figure 4.4, Plates 4.4, 4.5 and 7.33). With its commemorative columns marking the intersections, not to mention the occasional flourish made by statue brackets and spiral fluted columns, the Apamea *Cardo* offers one of the most splendid unbroken vistas that have survived antiquity (Plate 4.4).⁵⁹ For sheer ostentation, however, probably no street in the Roman world matched the colonnaded street leading to the 'Egyptian Harbour' at Tyre. The columns themselves were of imported Italian *cipollino*, and the surface of the carriageway was paved in the second century with mosaic and covered over in the early third century with marble.⁶⁰ Even smaller cities with comparatively few extant remains today, such as Deir al-Qal'a in Lebanon, Shahba or Hammat Gader, were adorned with street colonnades. Whenever new excavations take place, it is the colonnaded streets that appear, as it were, almost to spring from the earth, such as at Scythopolis (Beth-Shean; Plate 4.27) and Madaba. Only rarely have excavations at a Roman city in the East failed to reveal a colonnaded street, such as at Pella. But this is probably because they were either dismantled in antiquity or still lie buried, rather than never existed.⁶¹ Even where the Roman remains have been all but obliterated by later building, such as at Latakia, Damascus or Jerusalem, traces of the street colonnades have survived. Most of the cities depicted on the Madaba Map contain colonnaded streets, such as at Kerak, Nablus, Lod, Eleutheropolis, Ashdod, Ashkalon, Beersheba, Gaza and Jerusalem. The present city plans of Ashkalon, Gaza and Jerusalem have been found to follow their ancient plans as depicted on the Map, so they are presumably accurate representations.⁶² The colonnaded streets of the Roman East, either extant or known from archaeological, literary or graphic evidence, have been listed elsewhere, so there is no need to give a complete catalogue here: suffice to say that they are its most ubiquitous architectural feature.⁶³

They are almost as common in Anatolia as well: Ephesus, for example, boasts street colonnades as great as any in Syria.⁶⁴ Colonnaded streets become more of a feature nearer to Syria (e.g. at Side), particularly in Cappadocia where good examples exist at Olba, Pompeiopolis and Hierapolis Castabala (Plate 6.8). Outside the East, colonnaded streets only occur in a few places in North Africa, notably at Luxor in Egypt (the Camp of Diocletian), Lepcis Magna in Libya, Timgad in Algeria and Volubilis in Morocco (Plate 6.9).⁶⁵ The Madaba Map also depicts them in the towns of Pelusium and Athribis in the eastern Nile Delta, but these are close enough to Palestine to belong more to a Syrian than an Egyptian tradition.⁶⁶ That at Lepcis Magna is explained as a conscious 'orientalism' (Chapter 8).

In Europe, there are virtually no colonnaded streets, even in Greece, otherwise the origin of so much of Roman architecture. The streets of Italica in Spain, birthplace of Trajan and Hadrian, were lined with arcades in the second and third centuries (Plate 6.10).⁶⁷ The only other major exceptions are from the Tetrarchy in the early fourth century: Diocletian's



Plate 6.8 Colonnaded street at Pompeiopolis in Cilicia



Plate 6.9 Colonnaded street at Timgad in Algeria

palace at Split and Galerius' mausoleum complex at Thessalonike (Figure 6.9). The former has two colonnaded streets intersecting in the middle.⁶⁸ These are not colonnaded streets in the eastern fashion but are arcaded, unlike the trabeate tradition that characterises eastern colonnaded streets.⁶⁹ The models for the palace at Split are in any case from the East: Diocletian's camps at Palmyra and Luxor, for example, or his earlier palace at Antioch. Diocletian's palace at Antioch, judging from contemporary descriptions and its depiction on the Arch of Galerius at Thessalonike, was very similar to his one at Split. It incorporated a colonnaded street from the centre of the island to the palace, with a Tetracylon in the middle, very similar to the Diocletian Camp at Palmyra (Figure 6.25).⁷⁰ Galerius' complex at Thessalonike is closer to the Syrian style. It consists of a colonnaded section of the Via Egnatia intersected by another colonnaded street leading to his mausoleum, the intersection marked by a four-way arch (Figure 6.9).⁷¹ The eastern associations of this arch are discussed further in the section on Tetracylons below. The colonnaded streets appear to be a deliberate copy of eastern models, presumably to commemorate Galerius' eastern victories, much as his triumphal arch does. These few examples of colonnaded streets in the Roman west are, therefore, late in date and (apart from Italica) styled on eastern traditions. It is safe to conclude that colonnaded streets are an eastern innovation, not Roman.

The oldest colonnaded street recorded is the earlier form of the *Cardo* at Apamea (recovered from a study of excavated fragments). In its original form, dating from the end of the Seleucid period, the street was lined by two storeyed colonnades in the Doric order. These were replaced when the *Cardo* was rebuilt and widened to its more familiar and largely Corinthian form, following their destruction in the earthquake of 115 (Figure 6.10B).⁷²

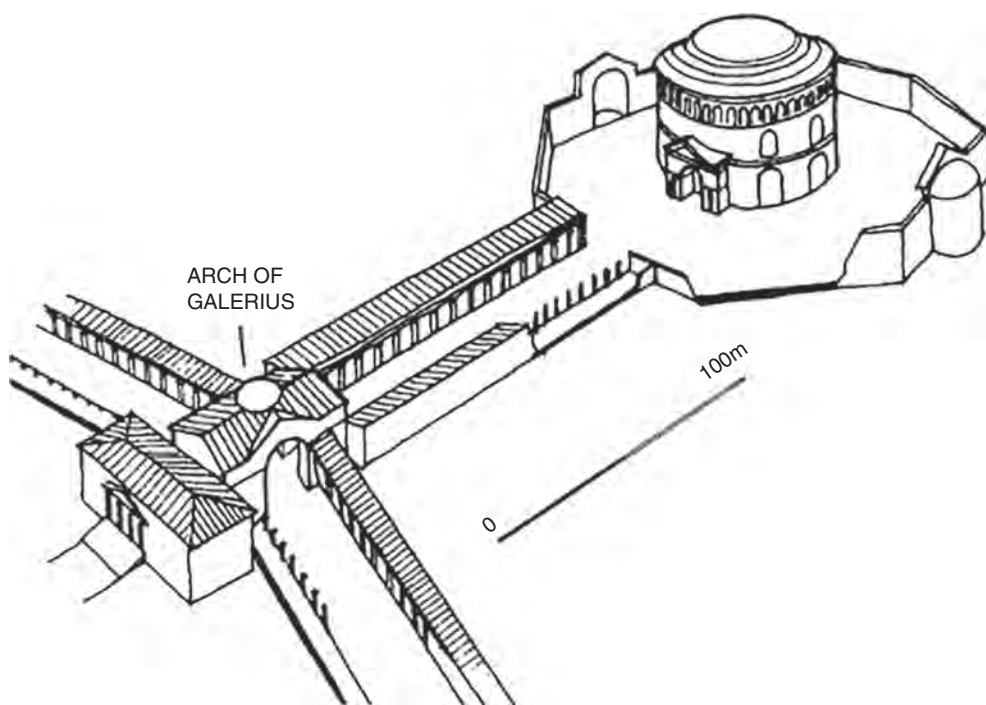


Figure 6.9 The colonnaded street and tetracylon at Thessalonike (After Ward-Perkins)



Plate 6.10 Street arcades at Italica in Spain

Otherwise, the earliest was probably at Antioch, laid out soon after the Roman conquest (Figure 4.1). It was two Roman miles in length, endowed by Herod and Tiberius who provided the paving, roofing and *tetrapyla* at the main intersections. These were among the earliest of their kind in the Roman period, contemporary with other colonnaded streets at Olba and Pompeiopolis in Cilicia. Midway along the street was a plaza, probably with Tiberius' column and statue in the middle, and a nymphaeum. There was another colonnaded street from the Plaza to an unidentified monumental building on the Orontes. Tiberius' colonnades were rebuilt in the time of Claudius after earthquake damage. Trajan restored them again after the earthquake of 115, and Antoninus Pius paved the colonnaded street and all other streets in 'Theban granite'. The devastating earthquake of 458 destroyed the tetrapylon and colonnades, but the Emperor Zeno built two new colonnaded streets with a tetrapylon between them, presumably replacements of the ones destroyed in this earthquake.⁷³

The sixth-century Antiochene historian John Malalas has left us with one of the most complete descriptions of a colonnaded street from antiquity:

On his [Tiberius'] return to Rome (from the Persian campaign), he came to Antioch the Great and built outside the city near the mountain known as Silpios two great and very handsome roofed colonnades, with a total length of four miles. He built vaulted *tetrapyla* at the cross-streets, adorning them with mosaic work and marble, and ornamented the street with bronze figurines and statues. He surrounded the colonnades with a wall, enclosing the mountain within it . . . The council and people of Antioch set up a bronze statue in honour of Tiberius Caesar and placed it on a great Theban column in the

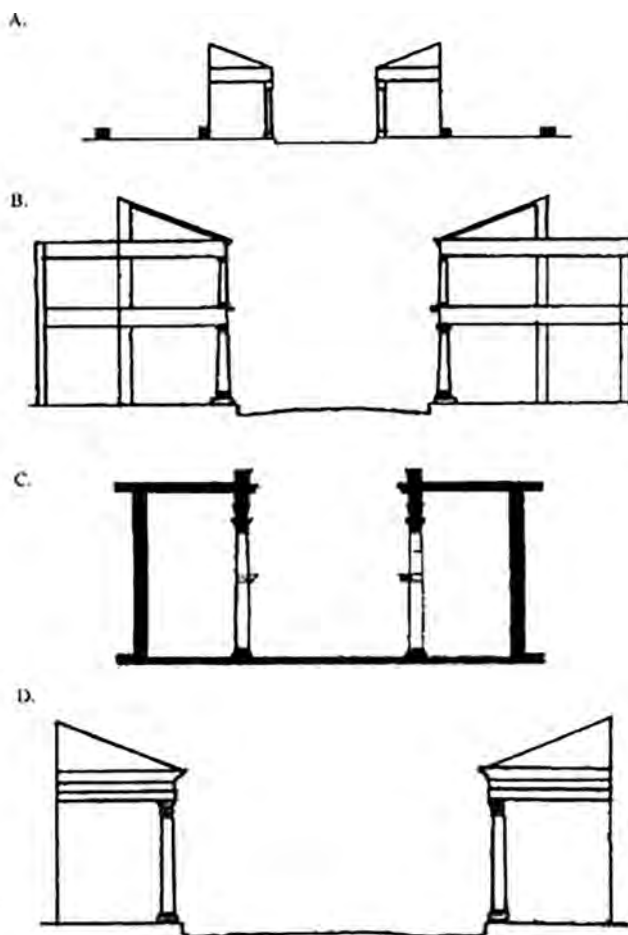


Figure 6.10 Colonnaded streets. A: Antioch. B: Hellenistic Apamea. C: Palmyra. D: Roman Apamea (After Lassus, Balty, Gabriel)

street in the centre of the colonnades he had built. This place was called the navel of the city, and had a relief of an eye carved in stone. This statue stands to the present day.⁷⁴

The original Apamea and Antioch colonnaded streets are no longer extant, although limited excavations have confirmed their layout and their width.⁷⁵ Probably the oldest still extant is the northern, Ionic, section of the *Cardo* at Jerash, completed in the late first century AD (Plate 6.11) and widened and re-colonnaded in the Corinthian order in the second century.⁷⁶ The second and third centuries are the date of most colonnaded streets, part of a general ‘monumentalisation’ that characterised the Roman East during the peak of their prosperity in that period. The construction of colonnaded streets, however, continued to be popular well into late antiquity in the East. A late Roman colonnaded street has been excavated (and re-erected) at Madaba, and the two transverse streets of the eighth-century Umayyad town of Anjar in Lebanon were colonnaded almost as a conscious archaism (Plate 6.12).⁷⁷ Probably

the latest occurrence of a colonnaded street is the eleventh–twelfth-century Ghaznavid bazaar at Lashkari Bazar in southern Afghanistan, where virtually a ‘canonical’ colonnaded street (albeit in brick, not stone) has been excavated (Figure 6.11).⁷⁸ In late antiquity it is tempting, too, to see the porticoes that characterise many of the ‘Dead Cities’ of northern Syria (Chapter 5) as architectural descendants of the colonnaded streets, but this might be illusory. Suffice that the colonnaded streets remained an integral feature of eastern urban architecture down to the Islamic period.

The eastern predominance of the colonnaded street is refuted by some authorities (although convincing examples of an equal western predominance are lacking).⁷⁹ Colonnaded streets are seen to be a part of a broader Roman tradition of porticoed streets; engaged pilasters and arcades are included as a part of this tradition. However, architecturally porticoed and arcaded streets, together with streets lined with pilasters or engaged columns, such as those at Ostia or Vasio, belong to a separate tradition architecturally quite distinct from the colonnaded streets of the East.⁸⁰

More usually, the late Hellenistic colonnaded stoas of Greece and Asia Minor are cited as the origins of the eastern colonnaded street, ‘essentially the adaption of the Greek stoa and



Plate 6.11 The original Ionic Cardo at Jerash



Plate 6.12 The Umayyad colonnaded street and tetrapylon at Anjar

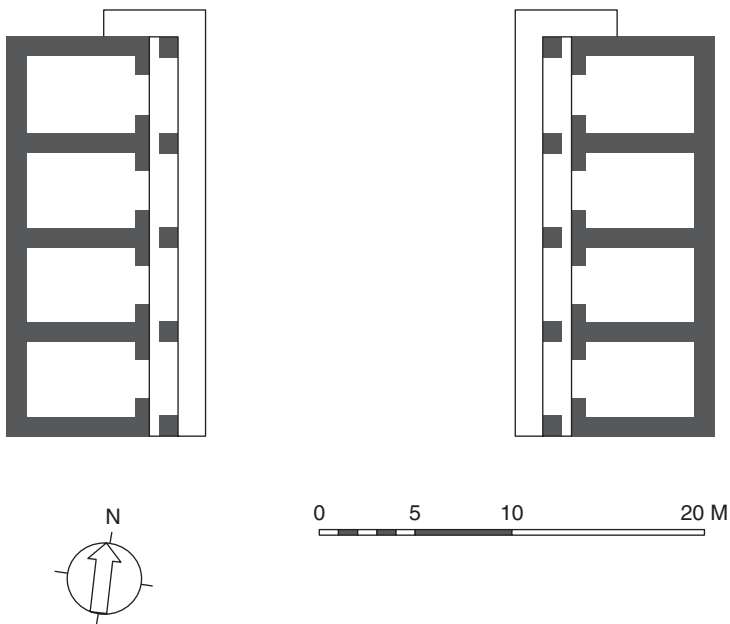


Figure 6.11 Part of the eleventh-century bazaar at Lashkari Bazar in Afghanistan (After Le Berre/Schlumberger)

its application to the street system'.⁸¹ But the stoa and the colonnaded street differ fundamentally in concept, the one a feature of open spaces, the other of thoroughfares.⁸² Furthermore, if they had developed out of stoas, one would surely find colonnaded streets in Greece where the stoa originated, rather than in the Near East which had no tradition of stoas. One must look for an origin, therefore, in eastern urban traditions, not western.

A possible explanation might be the sacred processional ways of many eastern cities, discussed above. But although these appear to be an eastern architectural tradition and *may* explain Palmyra and some of the other colonnaded streets, it is not possible to explain all in terms of religious processions. The colonnaded streets of Antioch, Apamea, Sebaste and Amman, for example, were not sacred ways (i.e. they did not, as far as we know, lead to temples). In any case, the eastern tradition of sacred and processional ways simply explains the *way* or route, not its *colonnades* (which formed no ritual function apart from a suitably gorgeous backdrop). Many of the eastern processional ways were in any case uncolonnaded (e.g. Si'). The actual colonnading, therefore, must be a separate tradition.

In contrasting the ubiquitousness of colonnaded streets in the East with their absence in the West, a reciprocal contrast immediately becomes apparent. This is the ubiquitousness of forums in the West in contrast to their paucity in the East. Forums do exist in the East, so the contrast is not absolute. They are discussed in more detail below ('Forums'); suffice to say that the 'canonical' Roman forums of the West are extremely rare in the east. Even when an occasional open space in an eastern city has been identified as a forum, they do not compare, in scale, function or quantity, to the forums of Roman Europe and North Africa where they form virtually a universal feature of every town and city centre. It can be fairly safely stated, therefore, that where a western Roman town was dominated by its forum, an eastern one was dominated by its colonnaded street. In other words, the one is the counterpart of the other.

With this observation, both the origin and the function of colonnaded streets becomes clearer. For one of the most fundamental of eastern urban architectural concepts is the market street, or bazaar. The bazaar street (Arabic *souq*, Persian *bazar*) forms the core of most traditional Middle Eastern towns and cities.⁸³ A bazaar is a street, usually a single broad avenue or occasionally a series of narrow, winding lanes. But it is never an open place such as the western-style market-square (out of which both the agora and the forum – and ultimately the Italian piazza – evolved). Bazaars are frequently covered and are often just as grand monumental works of architecture, particularly in Iran, as Roman colonnaded streets are (Plate 6.13). In the first cities of the Near East commerce congregated around specific quarters, but perhaps as early as about 1500 BC the urban concept of a bazaar, or 'street of shops', had emerged.⁸⁴ By the first millennium BC it had become commonplace, and has remained an essential part of the eastern urban fabric to this day.

With this in mind, we can view the colonnaded streets of the Roman East in a fresh perspective. Libanius, in writing of the Antioch colonnaded street, emphasises the colonnades as a socialising factor, their use in 'social intercourse and association' and how 'every one of them [the citizens] has its front door opening on to the colonnades', with the consequent beneficial effect of good neighbourliness and morale of the city generally. 'Thus the extent of the colonnades has made its contribution not only towards human pleasure but especially to human wellbeing.'⁸⁵ For the essential features of these streets were not so much the colonnades themselves but what lay behind them. These were rows of shops (Plate 6.14). At Palmyra, we often have the names and trades of the proprietors themselves inscribed over the shops, while at Apamea and Jerash some of the shops behind the colonnades have been dramatically – and appropriately – restored. The colonnaded streets of the East, far from



Plate 6.13 The traditional Iranian covered bazaar, the equivalent of the roofed colonnaded streets



Plate 6.14 Rows of shops behind the main colonnade at Apamea

being evidence of any Roman character, become on analysis grand oriental bazaars.⁸⁶ When the grand colonnade at Palmyra was 'converted' to a souq in the Umayyad period, it was simply continuing the tradition for which the colonnade was built in the first place, while at the eleventh–twelfth-century city of Lashkari Bazar in Afghanistan the colonnaded street/oriental bazaar comes full circle.⁸⁷ The eastern colonnaded street thus becomes the counterpart to the western forums and agoras; both originated as markets.

This certainly explains the function of the streets but not necessarily the colonnades themselves: why colonnade a bazaar? There is, of course, the obvious need to embellish, to architecturally emphasise a part of a city that was, after all, its economic mainstay. The great urban bazaars of Iran, for example, are every bit as grand and monumental as a 'Roman' colonnaded street. Of course, the monumental bazaars of Isfahan and Shiraz are a thousand years and more later than those of Palmyra or Petra, but the concept is the same and the strength of tradition wholly eastern. Merely the vocabulary, the veneer, is different: in Syria they are dressed up in Graeco-Roman colonnades instead of Persian vaulting. It must be recalled in any case that in north Syria the idea of a monumental colonnade adorning a public building goes back thousands of years before the Romans, to the third-millennium BC royal palace at Ebla, for example, or the early first millennium BC palace and temple at Tell Tayanat (Figure 6.12).⁸⁸

But perhaps the real reason for colonnading a bazaar was simply the need for shade. The Iranians vaulted their bazaars, the Syrians colonnaded theirs. In this way it is worth recalling

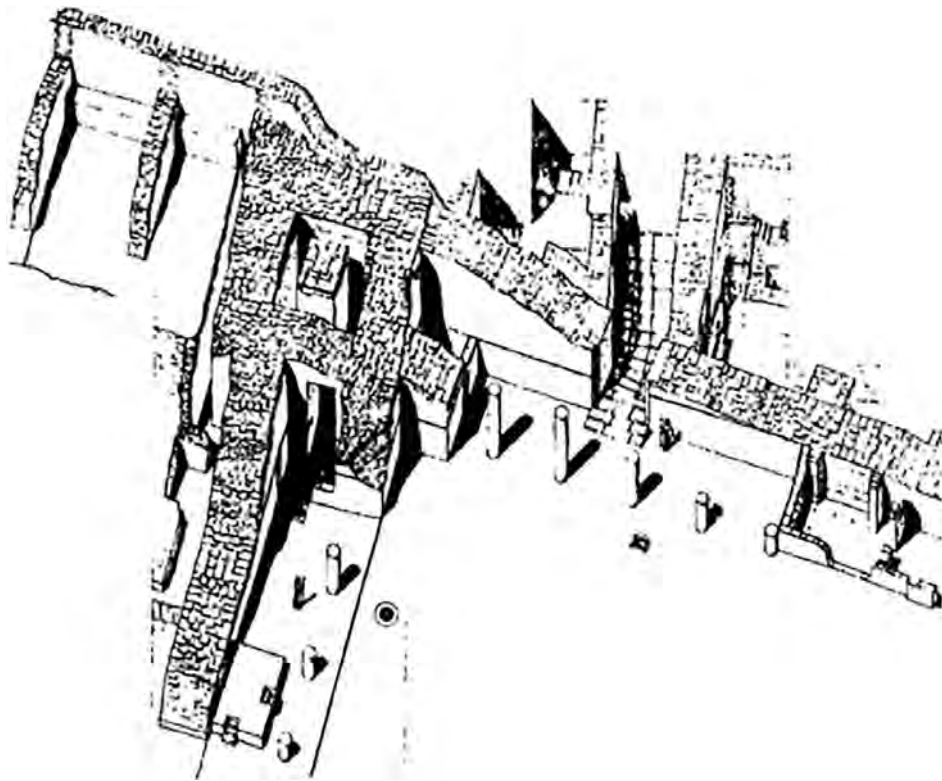


Figure 6.12 The colonnaded palace façade at Ebla (After Matthiae)

that when European street architecture was transposed to America or Australia, the streets became, in effect, colonnaded (Plate 6.15). One authority⁸⁹ emphasises the naked – albeit photogenic – impression that colonnaded streets give now, standing in relative isolation and divorced from their covered roofs, adjacent building walls, etc. (e.g. Plate 6.2).⁹⁰ It is easy to forget, therefore, that the prime aim of these colonnades was to provide cover. Hence, the few ancient descriptions of colonnaded streets are of covered buildings, rather than the open monuments they appear today in their ruined state. Antioch's colonnaded streets, for example, are described specifically as roofed,⁹¹ and the collapse of the colonnade at Hierapolis is described with reference to beams and timbers – implying a roof – that were enough to crush fifty soldiers underneath them.⁹²

In modern theoretical reconstructions of colonnaded streets, it is almost invariably the sidewalks rather than the carriageways that are shown as roofed (e.g. Figures 6.10, 6.13).⁹³



Plate 6.15 Colonial street at Braidwood, New South Wales, where the streets are traditionally – in effect – ‘colonnaded’

Doubtless, sidewalks were roofed, and it is true that the main ancient depiction that we have of an eastern colonnaded street – that of Jerusalem in the Madaba map – does show the sidewalks roofed and carriageway open (Figure 6.14).⁹⁴ But a closer examination of the extant remains shows that in some cases, at least, it would have been the carriageways that were roofed, rather than the sidewalks. The Amman colonnaded streets, for example, had no sidewalks (Figure 6.15),⁹⁵ and there is no sidewalk for a long stretch of the Jerash Cardo (Figure 6.16; see also Figure 4.15).⁹⁶ Even in many cases where there are sidewalks, the flanking walls were too narrow and too flimsy (such as many stretches of the Jerash Cardo or the Petra Decumanus) to have reached either the height or the strength to support a roof at entablature height. Any roof, therefore, might have been over the carriageway rather than the sidewalks. This would have been a more effective means of providing shade, but at the same time allowing light and air to circulate to the street below through the columns on either side. Such roofed colonnaded streets would have appeared from the outside almost identical to the covered bazaars of eastern tradition (Figure 6.17).

Even where the colonnaded streets remained open, they may well have been covered with temporary awnings during the summer months.⁹⁷ Columns in this case would be extremely practical for tying such awnings to (and perhaps provides an additional explanation for the ‘statue brackets’ of the colonnades at Palmyra and elsewhere?). This is, after all, just the case in many unroofed modern eastern bazaar streets where temporary shades are erected during the summer (Plate 6.16), while the traditional Iranian bazaar is permanently roofed (Plate 6.13).

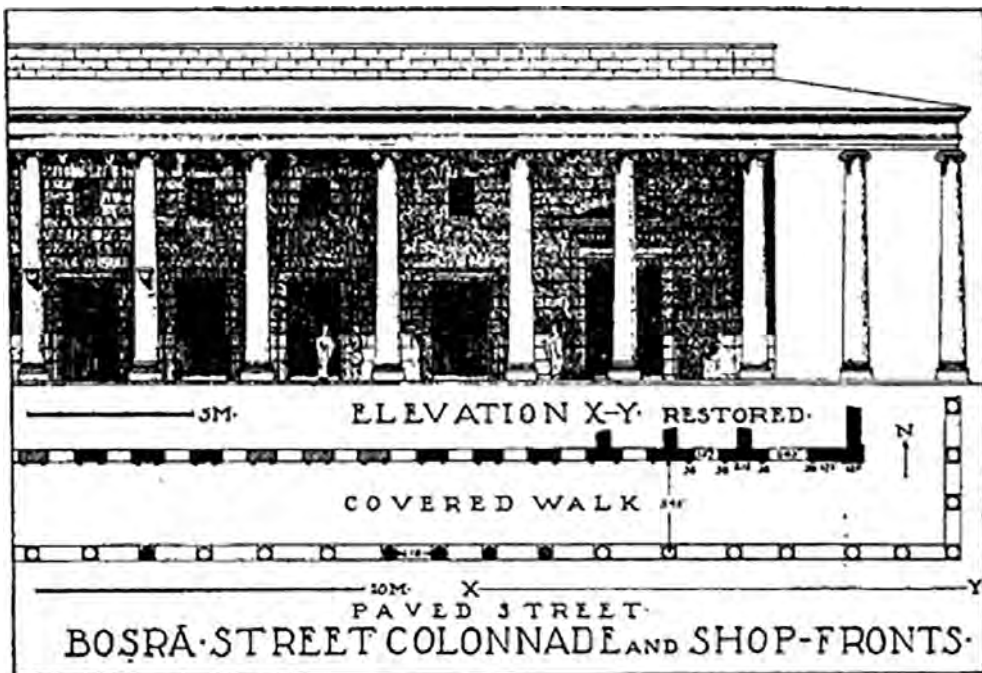


Figure 6.13 Reconstruction of a Bosra street colonnade, with the sidewalk roofed (After Butler)

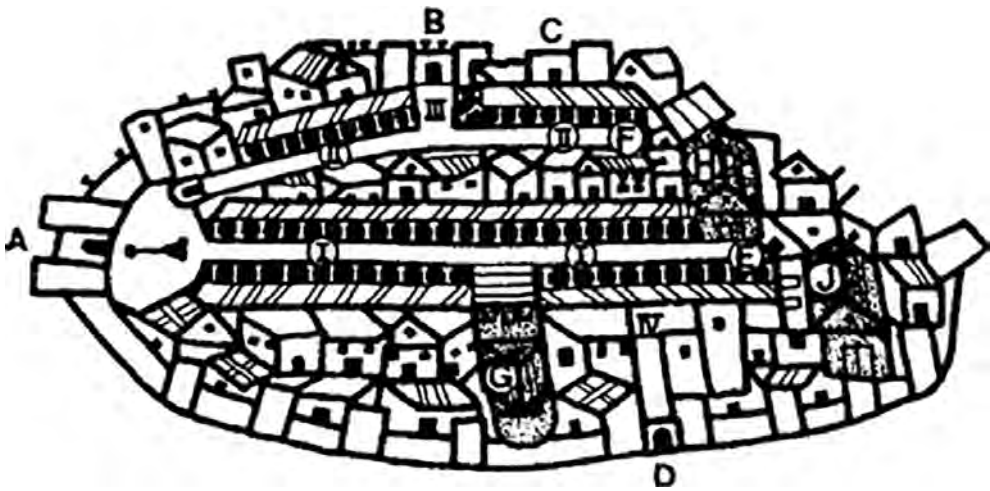


Figure 6.14 The Jerusalem street colonnades depicted in the Madaba mosaic, with the sidewalks roofed (After Avigad/Segal)

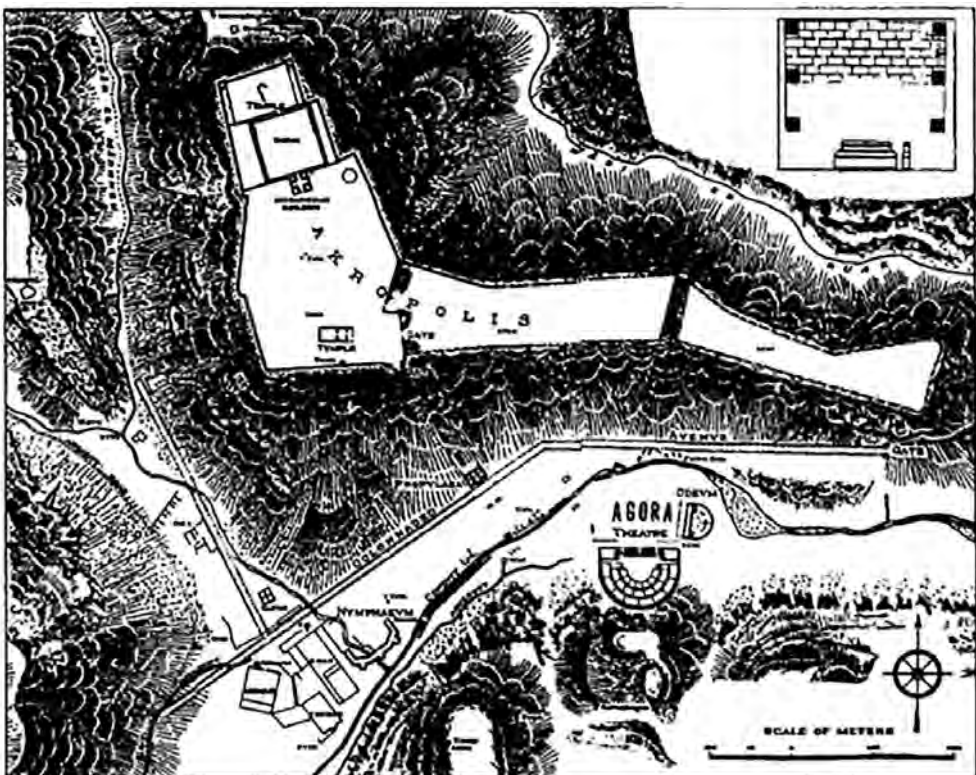


Figure 6.15 The Amman colonnaded street, with detail inset. Note the absence of a sidewalk (After Butler)

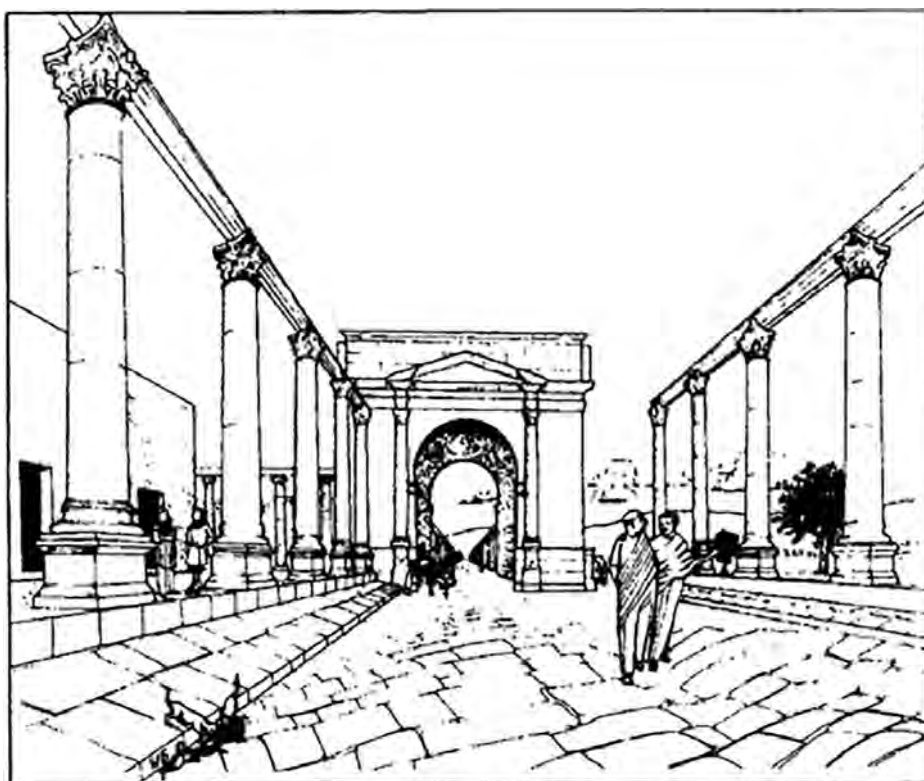


Figure 6.16 Reconstruction of the Jerash Cardo. Note the absence of a sidewalk on the right

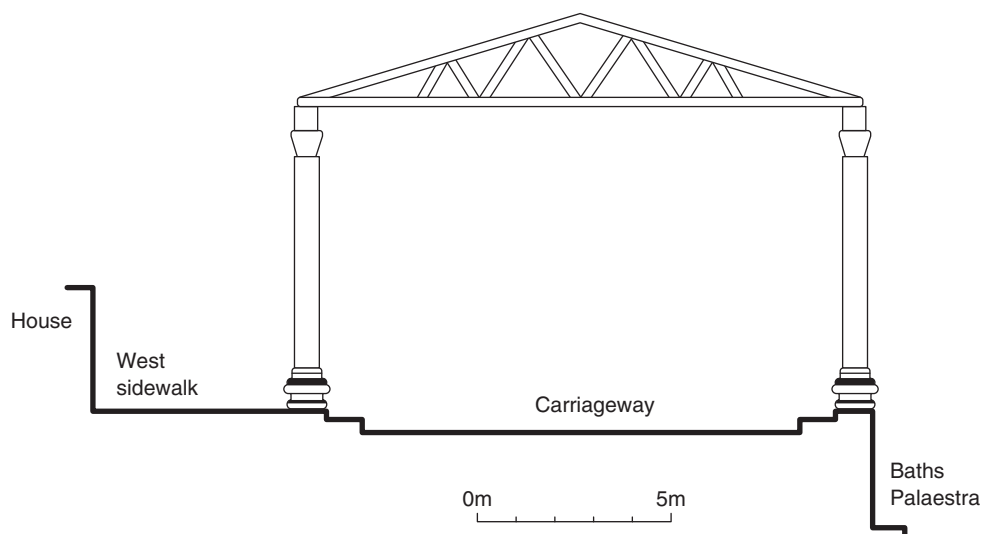


Figure 6.17 Sketch section of part of the Jerash Cardo, showing what form it would have taken if roofed



Plate 6.16 A canopied traditional bazaar street at Istalif in Afghanistan

The four-way arch

The study of architecture is, quite literally, the study of arches. Rarely is this more applicable than in Roman architecture, where arches are its most characteristic feature. Arches – particularly the so-called ‘triumphal arch’ – are one of the most familiar and dominant forms in Roman architecture. Leaving aside the question of the eastern origins of the arch form, Roman monumental arches belong firmly within the architectural traditions of imperial Rome – indeed, they are used to proclaim Roman triumphs, both literally and symbolically as political propaganda – ‘the symbols both of Roman rule and Roman cities’.⁹⁸ One study of Roman architecture has divided Roman arches into five basic types: (1) free-standing commemorative arches astride major roads, often outside city walls; (2) town gates forming a part of city walls; (3) propylaea, or monumental entrances to major monuments; (4) civic arches astride major thoroughfares within a city; (5) four-way arches at intersections.⁹⁹ The latter type is almost as much a feature of eastern Roman cities as the colonnaded street: Latin *quadrifons* or, more usually, Greek *tetrapylon*.¹⁰⁰ This is analysed here. Other types are discussed elsewhere.

Two types of tetrapylon are generally recognised: the roofed and the unroofed. The former, usually consisting of four arches in a square covered by a dome, is the true tetrapylon. The latter, usually consisting of four free-standing piers or kiosks not connected by arches, are more correctly known as a *tetrakionia*. Both typically mark the intersection of two colonnaded streets; only rarely are they found isolated from an intersection.

Two further subdivisions can generally be recognised of the former, true tetrapylon, type: funerary and civic monuments. The tetrapylon funerary monuments, usually standing on podia, are found throughout the empire. Their origin has been seen in Greek tetrastyle altars. In the West, examples are the monument of the Julii at Saint Remy and similar monuments in Italy at Aquileia, Sarsina and Nettuno, all of the early first century.¹⁰¹ In North Africa such tombs belong more to a Libyo-Phoenician tradition, such as those tetrapylon tombs in the south necropolis at Ghirza.¹⁰² In the East, examples exist at Barad and Dana in north Syria (Figure 7.25B and C, Plate 6.17).¹⁰³

It is the civic tetrapylon and tetrakionion that is our main concern here. They generally appear now free-standing, marking the intersections of streets. Many, however, were probably once joined to colonnades now gone, so are not necessarily as freestanding as their present condition might suggest. Many formed the centrepiece of circular plazas.¹⁰⁴

As with colonnaded streets, tetrapylons tend to be found mainly in the East. Important exceptions are the Arch of Trajan and the Arch of Septimius Severus, both at Lepcis Magna,



Plate 6.17 A tetrapylon tomb at Dana in north Syria

the Arch of Marcus Aurelius at Oea (Tripoli) and the Arch of Galerius at Thessalonike (Figures 6.9 and 6.19, Plate 8.13).¹⁰⁵ Of these, two have marked eastern associations: the Arch of Septimius at Lepcis, along with its colonnaded street, was a part of a general ‘orientalisation’ of the Severan city (Chapter 8), while the Arch of Galerius was erected to commemorate his victories in the East.

In the East the earliest were probably at Antioch, although none survive. These were the tetrapylons built by Tiberius during the initial laying out of the colonnaded streets, discussed above (Figure 4.1). Other tetrapylons were built at Latakia, Jerash (the North Tetrapylon), Palmyra (in the Diocletian Camp) and, after the fourth century, in Caesarea (Figures 4.9, 6.16, 6.18 and 6.19, Plates 4.3 and 6.18). The Madaba Map depicts tetrapylons that have now vanished at Nablus, possibly Eleutheropolis, Ashkalon, Gaza and Jerusalem, all of them marking the intersections of colonnaded streets.¹⁰⁶ A four-way arch described as a ‘tetrapylon’ has been recorded in the exact centre of the desert settlement of Rasm ar-Ribayt, a stage on the Chalcis–Isriya–Palmyra route in north Syria.¹⁰⁷

Slightly more common was the variation of the tetrapylon more strictly called a tetrakionion (it is not known which type the Antioch tetrapylons were). These have been found at

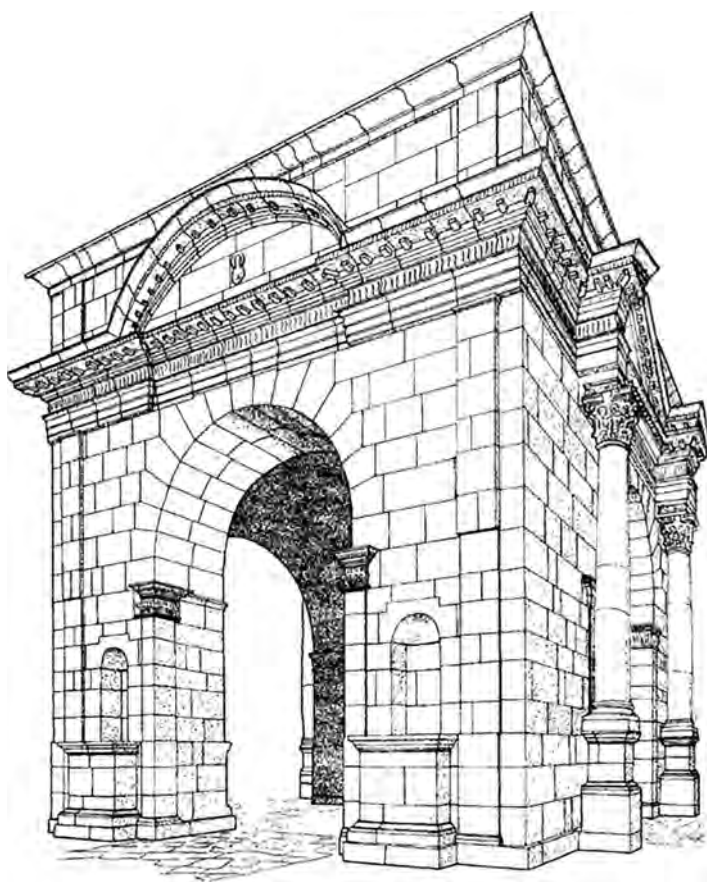


Figure 6.18 Reconstruction of the North Tetrapylon at Jerash

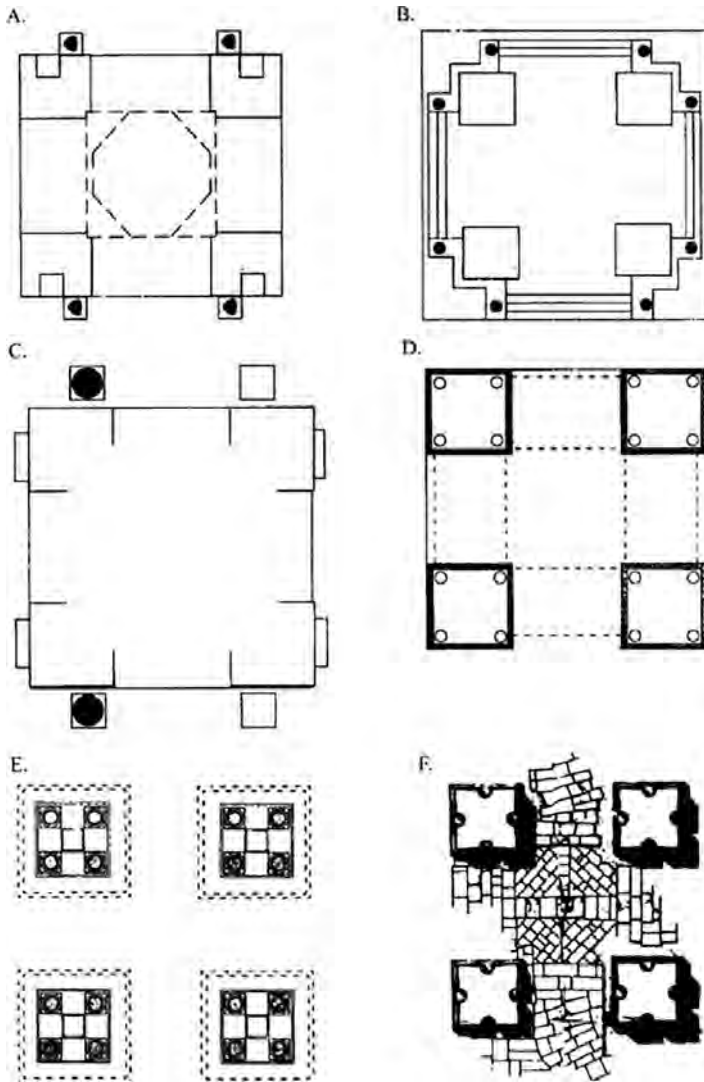


Figure 6.19 Comparative tetrapylon plans. A: Tripoli. B: Lepcis Magna. C: Jerash North Tetrapylon. D: Jerash South Tetrapylon. E: Palmyra. F: Bosra. Not to scale

Palmyra, Jerash (the ‘South Tetrapylon’), Bosra, Beirut, Shahba and Antipatris (Figure 6.19, Plates 6.19 and 6.20).¹⁰⁸ Both types are invariably civic monuments built to provide urban focal points at the intersection of two colonnaded streets (with the exception of Antipatris, where the streets do not appear to have been colonnaded). Typically, the tetrapylon has the colonnades joined to it, while the tetraklion stands isolated in an (occasionally circular) plaza. Its popularity continued into the Umayyad period, when new tetrapylons in the ancient style were built at Anjar, Beth-Shean and Damascus.¹⁰⁹ This form of monument, therefore, forms an integral part of the eastern colonnaded street. As such, its origins presumably also lie in the East.



Plate 6.18 The North Tetrapylon at Jerash under restoration



Plate 6.19 The restored tetrapylon at Palmyra



Plate 6.20 The South Tetrapylon at Jerash

Once again, the four-way arch is a well-known type of building with a distinguished history when viewed from the eastern perspective. This is the Persian *chahartaq*, literally ‘four-way arch’, of which the Greek *tetrapylon* is an exact translation. The *chahartaq* is one of the oldest, most fundamental and most ubiquitous ‘building-blocks’ of Persian architecture, in both religious and secular buildings.¹¹⁰ Its commonest occurrence, the domed four-way arch, became the standard form of the Zoroastrian fire-temple during the Sasanian period (Figure 6.20E–G; Plate 6.21). It is significant that one of the tetrapylons in Syria, the Rasm ar-Ribayt ‘Tetrapyrion’ mentioned above, is described as a fire monument. The Pyrtanium of Ephesus – effectively, a fire-temple – also consists of an altar underneath a canopy held up by four columns.¹¹¹

The origins can be traced back to the religious buildings of the Achaemenids. It occurs in their shrines (not necessarily fire-temples) at, for example, Guga Shahr and Susa (Figure 6.20A and B) where they are simply roofs supported by four columns (it is not known what form the roofs took, but they were presumably flat). It had evolved into its canonical form, possibly as a fire-temple, at least as early as the first-century BC Parthian complex at Kuh-i Khwaja in Sistan, where it appears fully developed.¹¹² A late Parthian domed building, not necessarily a fire-temple (albeit with just two arches, not four), is found further to the west at Qal’a-i Zohak in north-western Iran (Figure 6.20C and D).¹¹³ By the Sasanian period it had become the main architectural expression of the Zoroastrian religion. These range from very small, isolated dome-chambers on hillsides – the classic *chahartaq*, virtually identical to the tetrapylon – such as Niyasar or Baz-i Khur (Plate 6.21), to the focal chambers of large, complex religious establishments such as at Kunar Siah or Takht-i Sulaiman. They range in date from the second century AD to the end of the Sasanian period or later.¹¹⁴

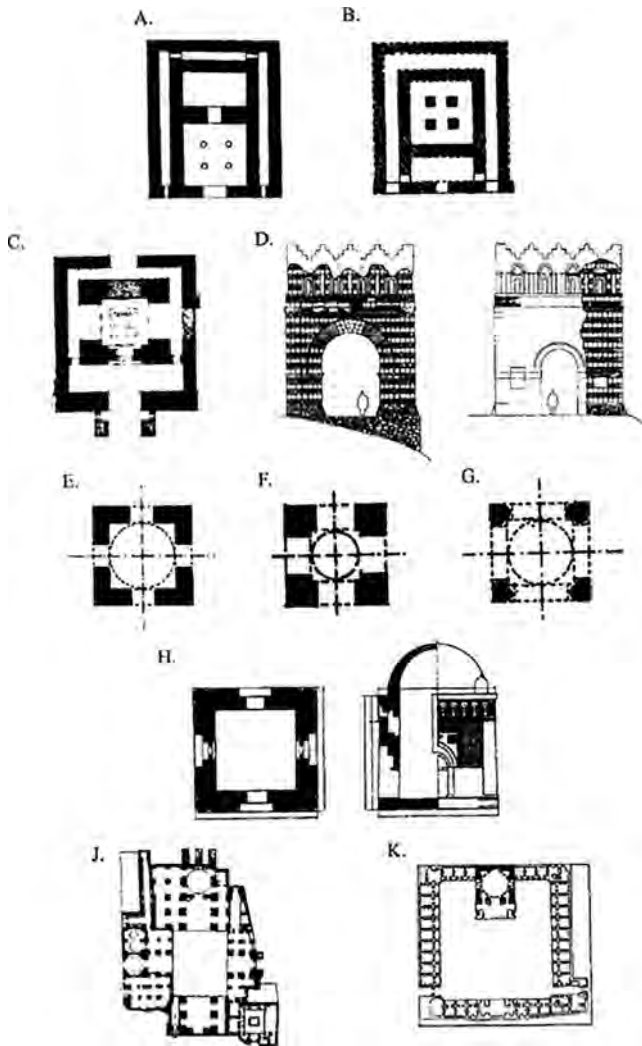


Figure 6.20 The evolution of the Iranian chahartaq. A: Gaga Shahr; B: Susa (Achaemenid). C: Kuh-i Khwaja; D: Qal'a-i Zohak (Parthian). E: Qasr-i Shirin; F: Niyasar; G: Ateshkuh (Sasanian). H: Bukhara; J: Ardistan; K: Qazvin (Islamic). Not to scale

The form continued in unbroken transition into Persian Islamic architecture in two types of buildings: the domed mausoleum and the mosque prayer-hall. The key building for this transition is the tenth-century Tomb of Isma'il at Bukhara (Figure 6.20H). One of the masterpieces of Islamic architecture, in form it is a Sasanian *chahartaq*. From this a distinct style of Islamic domed mausolea evolved that culminated in the great royal mausolea of Mughal India, such as the Taj Mahal.¹¹⁵ The form also occurs in the Iranian style of mosque, presumably originating when the first Muslims converted Sasanian fire-temples. It was enthusiastically incorporated into subsequent mosque architecture, becoming the sanctuary dome chamber in front of the *mihrab*, typical examples occurring in Isfahan, Ardistan and Qazvin (Figure 6.20J and K). It has remained an essential feature in Persian Islamic architecture ever since.¹¹⁶



Plate 6.21 The Sasanian *chahartaq* at Niyasar

The form enjoys an equally high profile in Persian urban architecture, where it typically marks the intersection of two covered bazaars. As such it is one of the most ubiquitous features of towns and cities throughout Iran today. Shah Abbas' great Qaysariya Bazaar in Isfahan, for example, incorporates at least six *chahartaqs* at its junctions, and equally splendid examples can be seen at Shiraz, Kerman and just about every other covered bazaar in Iran (Plate 6.22).¹¹⁷ Thus, we see in the covered bazaar-*chahartaq* combination of Persian architecture the exact counterpart to the colonnaded street-tetrapylon combination of eastern Roman architecture.

Of course, the quantity of accurately dated, very early domed four-way arches in Iran is very small. In addition, the oldest accurately dated covered bazaar in Iran is probably the Qaysariya Bazaar of Isfahan, dated 1620. But they are the culmination of a long period of development, and the strength of the tradition argues for ancient hypothetical prototypes. The impermanent nature of most of Iranian urban architecture when compared to Roman – brick and mud versus stone – not to mention the cataclysmic devastations that have been wreaked on Iranian cities by invaders since the medieval period, have ensured that buildings such as bazaars do not survive.

It must be remembered that domed buildings occur in Roman architecture in the West as well, evolving out of the domed tombs of Etruscan and republican architecture and culminating in such buildings as the Emperor Nero's Golden House and the Pantheon. Both the *Domus Transitoria* and Golden House of Nero include domes at four-way junctions.¹¹⁸ But both the strength of tradition and the history of the dome form in Iran, when compared to the relative paucity of the tradition in the West, leaves little doubt as to its main origin. The origins of the dome lie in the mud-building traditions of the East, where a dome is the simplest and most natural means of roofing a building in an area where timber is scarce or unavailable.



Plate 6.22 The *chahartaq* marking the intersection of two bazaar streets in Kerman

Hence, mud domes have been postulated for the seventh-millennium BC Halaf circular houses of the Syrian steppe, a system of roofing for domestic houses which is still practised in the same region today (see, for example, the conical roofs in Plate 5.2).¹¹⁹ The sheer richness and strength of mud dome traditions in the vernacular architecture of Iran probably argues for an origin there as well.¹²⁰

Other ornamental arches

These belong to the western tradition of Roman architecture, so require little analysis here. One type marked the gateway into a city, another simply marked an important focal point within a city. A third type was a monumental propylaeum to a religious complex. This is discussed in the section on religious architecture, Chapter 7, below. From an architectural point of view the two former types can be considered together and indeed could occasionally serve both purposes: the Arch of Hadrian at Jerash, for example, functioned both as an approach to the city and as a commemorative arch (Plate 6.23). Both types would to all intents and purposes appear the same, with one or three archways, and both might be embellished in the same way with niches, statuary, pilasters, engaged columns, entablatures and attic storeys. The only difference is that a city gateway would usually (but not invariably) be joined to the city walls.

The main extant or partly extant examples of ornamental city gateways include the Antioch Gate at Aleppo, the North Gate at Rasafa (Plate 2.46), the Bab Sharqi at Damascus (Plate 4.19), the Bab al-Hawa at Bosra (Plate 4.32), the North and South Gates at Shahba, the West Gate at Umm Qays, the North Gate at Baalbek, the North and South Gates at Jerash (Plate 6.24), the Bab as-Siq Gate at Petra and the Damascus Gate at Jerusalem.¹²¹ There were doubtless many more, now no longer extant. Not included here are the many city gateways that were merely simple, unadorned arches.



Plate 6.23 The Arch of Hadrian at Jerash



Plate 6.24 The restored South Gate at Jerash, a copy of the Arch of Hadrian

Free-standing commemorative arches include the *Cardo Arch* at Palmyra (Plate 2.35), the 'Nabataean' Arch and the Arch of the Lantern at Bosra (Plates 4.30 and 4.33), the Arch of Hadrian at Jerash (Plate 6.23), the *Ecce Homo Arch* at Jerusalem, and the *Temenos Arch* at Petra.¹²² Monumental arches occasionally mark an awkward junction, such as the North Gate at Jerash and the monumental arch at Palmyra. Both categories are often erroneously known as 'triumphal' arches. In fact there are no triumphal arches, i.e. arches formally commemorating an emperor's triumph, in the East, and only three are known to be actual commemorative arches. Those at Jerash and Jerusalem are both dedicated to Hadrian, and the Arch of the Lantern at Bosra honours the Third Cyrenaican Legion, which was based here. Another dedicatory arch, honouring Hadrian, was known to be built by the Third Cyrenaican Legion at Dura Europos in 116.¹²³

There were occasionally minor eastern variations to the 'standard' form of Roman monumental arch. The decoration, for example, often belongs more to eastern styles than western, such as the use of the 'Syrian niche'. This is discussed separately below. Otherwise, a Roman monumental arch in the East is the one monument that appears unambiguously to be an import from the West. Indeed, more than any other type of Roman monument, the 'triumphal arch' has been used to make a cultural statement in Europe generally – Marble Arch in London, the Arc de Triomphe in Paris, or the Brandenburg Gate in Berlin are among the best known symbols of modern Europe. Variations of the theme have even been used as symbols of European rule elsewhere: the Gateway of India in Bombay, for example, built in 1911 to commemorate George V's visit to India for the Delhi Durbar.

While recognising the unambiguously 'western' nature of this type of monument, the origins of the monumental arch in ancient Near Eastern architecture must also be recognised. Probably the first to use the arch in a monumental, symbolic way were the Assyrians in the early first millennium BC. The winged bull gateways to the Assyrian royal capitals and palaces at Khorsabad, Nineveh and Nimrud were built deliberately to impress, to overawe and to proclaim the greatness of a monarch and his nation. All of these were drawing upon models already thousands of years old by the time of the Neo-Assyrian Empire. The Neo-Babylonian Ishtar Gate over the processional way built by Nebuchadnezzar in Babylon in the sixth century BC takes the symbolism of a monumental gateway one stage further (Plate 6.6). None of these are isolated arches in the way that the Roman triumphal arches are, all form a part of walls. But the royal gateways of the Achaemenid palaces in the fifth century BC mark a new departure. The Gateway at Cyrus' palace at Pasargadae and – more particularly – the monumental Gateway of Darius at Susa were, for the first time, gates that had no practical function as openings through walls, but were single monuments in their own right (Plate 6.25). The Gate of Darius at Susa, flanked by two statues of Darius, has been rightly compared to an 'Arc de Triomphe'. A new and probably more important monumental processional gateway to the Susa Apadana has since been discovered on the western side of the palace. The Gate of All Nations built at Persepolis by Xerxes (Plate 6.26) is the most extant of these Achaemenid gateways.¹²⁴ The massive, monumental second-century BC gates of Sanchi and Sarnath in India are developments from the Achaemenid model, as ultimately are the Roman and later European tradition of triumphal arches.¹²⁵

Dedicatory columns

Votive and monumental columns, like monumental arches, are another feature of Roman urban spaces. The intersections of the colonnaded streets at Apamea, for example, were marked by votive columns rather than the more usual tetrastyle (Plate 4.5),¹²⁶ the oval plaza that formed the urban heart of Antioch had a column in the centre with a statue of Tiberius



Plate 6.25 The foundations of the gateway to Darius' palace at Susa



Plate 6.26 The Gate of All Nations at Persepolis

on top (Figure 4.1),¹²⁷ the oval plaza just inside the Damascus Gate at Jerusalem also had a column in the centre (Figure 6.14),¹²⁸ and the same arrangement of a votive column in a plaza inside a gate is known from mosaic depictions of *Kastron Mefaa* in Jordan (Figure 6.21).¹²⁹ Similar single commemorative columns are known at Palmyra, Edessa, Yʿat in the Baqʿa Valley, in the temples of Mar Simʿan and Baalbek in Lebanon, and elsewhere.¹³⁰ This type of monument became particularly popular with the imperial style of Constantinople, where successive emperors commemorated their reigns with massive votive columns which dominated the city's skyline as the minarets do today (Plate 6.27).



Figure 6.21 *Kastron Mefaa* as depicted in the Church of St Stephen mosaic. Note votive column (After Piccirillo)



Plate 6.27 Column of Constantine in Constantinople

The commemorative column became a feature of Roman cities in the West as well, becoming, like the arches, a familiar feature of subsequent European ‘triumphal’ architecture in general (such as Nelson’s Column in Trafalgar Square). The best known is Trajan’s column, dominating his forum in Rome as a graphic and visible reminder of the emperor’s achievements.¹³¹ The Forum of Trajan was designed by an eastern architect, Apollodorus of Damascus. An ‘Eastern School’ of monumental visual priorities has been viewed as influencing Apollodorus of Damascus, hence ‘the new emphasis on the dramatic juxtaposition of elements of a city to form a new whole’ in his design for the Forum of Trajan in Rome.¹³² Indeed, some authorities see the Roman idea of mounting a statue on a dedicatory column to be inspired by Syrian prototypes, such as the first-century BC Commagene monuments (Plate 6.28).¹³³ Dedicatory and cultic columns have an ancient pedigree in eastern architecture. The eleventh-century Persian traveller Nasir Khusraw describes an ancient column ‘ten ells height’ outside Maarat an-Nu’mān with an inscription in a ‘foreign and unfamiliar script’.¹³⁴



Plate 6.28 Votive first-century BC Commagene funerary column at Karakush

At Edessa, two such columns survive, one dedicated to an Edessan queen, although both may have had ritual functions associated with the cult of Atargatis (Plate 2.39). The Atargatis cult involved two columns which a priest would scale for contemplation during the annual New Year ritual,¹³⁵ and evidence for a similar practice has been found at the temple of Mushayrfa at the top of the Jebel Wastani in northern Syria.¹³⁶ This found its echo in the later pillar cult of St Simeon Stylites and his imitators in northern Syria (where *forty-four* stylite monasteries have been identified), as well as the pillar tombs (either single or paired) that feature in the funerary architecture in the same region (Figure 7.26, Plate 6.29).¹³⁷ A solid square tower at Kastron Mefaa (Umm ar-Rasas) in Jordan has also been interpreted as a stylite tower (Plate 6.30).¹³⁸ The popularity of the Syrian pillar cults is suggested to be an inspiration of the Islamic minaret: the stylite monk addressing devotees from his pillar being replicated by the muezzin calling the faithful to prayer from his minaret.¹³⁹ Stylitism in the Tur Abdin has been related to the pre-Islamic tomb tower ‘cult’, e.g. at Palmyra: a stylite deliberately choosing a



Plate 6.29 Funerary columns at Sarmuda in north Syria

place of the dead just as other recluses (including St Simeon himself) would wall themselves up in a ‘tomb’ as another form of self debasement. Contemplation of death was seen as a holy duty, hence too the charnel houses of early Christian monasteries. Both Qartmin and Habsenus in the Tur Abdin had hermits’ towers.¹⁴⁰

The intersection of the two main streets of Shapur’s ‘victory city’ at Bishapur in Iran is marked by a dedicatory column on which stood a statue of Shapur (Plate 3.4).¹⁴¹ This, however, is seen as Roman in inspiration – the ‘Roman’ remains at Bishapur are discussed in Chapter 3 (‘Survivors of Edessa’). But it might equally have had an earlier Iranian inspiration, for the Mauryan victory pillars of the fourth century BC in India are suggested to be Achaemenid influence.¹⁴² Although such influences appear to be of design rather than concept, the concept probably belongs to an older Indian or Indo-Iranian tradition. The idea of a victory pillar or tower certainly continued in Indian architecture, e.g. the fifteenth-century Hindu Victory Tower at Chittorgarh.¹⁴³ Whether or not Achaemenid architecture was the source of the votive column, the Achaemenid Empire would still have been the medium for the transmission of the idea from India to the Near East. The idea might also have arrived in



Plate 6.30 Tower at Umm ar-Rasas in Jordan

the West through the broader Hellenistic world of the first century BC, which included both Commagene and north-western India. The idea continued into the Islamic era as the victory tower concept implicit in many minarets in the eastern Islamic world (such as the Kalan Minaret in Bukhara, the Minaret of Jam in Afghanistan or the Qutb Minar in Delhi).¹⁴⁴

*Nymphaea*¹⁴⁵

Technically, the nymphaeum was originally a religious rather than a secular building, a monument dedicated to the nymphs. However, by the second century AD it had become a civic monument, functioning as an ornamental public drinking fountain. As such it belongs within the broad sphere of Roman architecture, apart from some decorative details (such as ‘Syrian niches’, discussed in Chapter 7 below).

Nymphaea were usually in a prominent public location alongside a main street or overlooking a public square. Hence, they functioned in a similar way as monumental arches did, providing an urban focal point. Nine nymphaea in the region were examined in one survey:

Qanawat, Susita (Hippos),¹⁴⁶ Suwayda, Umm Qays (Gadara), Bosra, Beth-Shean (Scythopolis), Jerash (Plate 6.31), Amman, and Petra. Two more, at Pella and Akka (Ptolemais), are known from coin depictions but are unlocated,¹⁴⁷ and more are recorded in the sixth-century Madaba mosaic map at Kallirrhoe, Nablus and possibly Eleutheropolis and Gaza.¹⁴⁸ To these can be added the nymphaeum that overlooked the oval plaza at Antioch described by Libanius, and others at Byblos, Apamea, Temnin al-Foqa in Lebanon and Palmyra.¹⁴⁹ The Amman 'nymphaeum' has since been interpreted as a type of monument known as a *kalybe*, described next.

The kalybe

One controversial building in the East that has no counterpart elsewhere in Roman architecture, despite superficial resemblances, is the *kalybe*.¹⁵⁰ It closely resembles, and possibly evolved from, the nymphaeum but is distinct, serving simply as a public façade or stage setting, solely for the display of statuary without any fountain.¹⁵¹ The *kalybe* was first identified as a distinct monument at Bosra (Figure 6.22A), where it overlooks a square opposite the nymphaeum, the two combining into one of the finer urban ensembles in eastern Roman architecture.¹⁵² Very little of it remains, but at Shahba nearby is a remarkably intact *kalybe* (Figure 6.22B, Plate 4.39). This has been interpreted as part of an official imperial cult complex that included the temple opposite honouring the family of Philip the Arab.¹⁵³ Another monument at Shahba, usually described as a 'hexastyle temple', has also been interpreted as a *kalybe*: its plan has little resemblance to temple plans, but follows the Shahba *kalybe* closely



Plate 6.31 The nymphaeum at Jerash

(Figure 6.22C).¹⁵⁴ The Hippos and Amman ‘nymphaeums’ have also been re-interpreted as *kalybes* (Figures 6.22D, 6.23).¹⁵⁵ Other, smaller, *kalybe* structures have been identified at Umm al-Zaitun, Shaqqa and al-Haiyat, all in the Hauran.¹⁵⁶

Functionally, therefore, the *kalybe* resembles a theatre *scenae frons* or stage backdrop, rather than a nymphaeum. Although now recognised as a distinct building type (rather than just waterless nymphaea), they are not satisfactorily explained or placed within a broader architectural context. These puzzling monuments have undergone various incarnations, as interpretations have differed widely. Some probably were nymphaea: that at Amman, for example, was successively interpreted as a nymphaeum, then a *kalybe* and now a nymphaeum again. Many have been interpreted as buildings dedicated to the imperial cult; a good case for this interpretation exists for Shahba, although there are few similar such cult buildings in mainstream Roman architecture. More cautious interpretations simply suggest

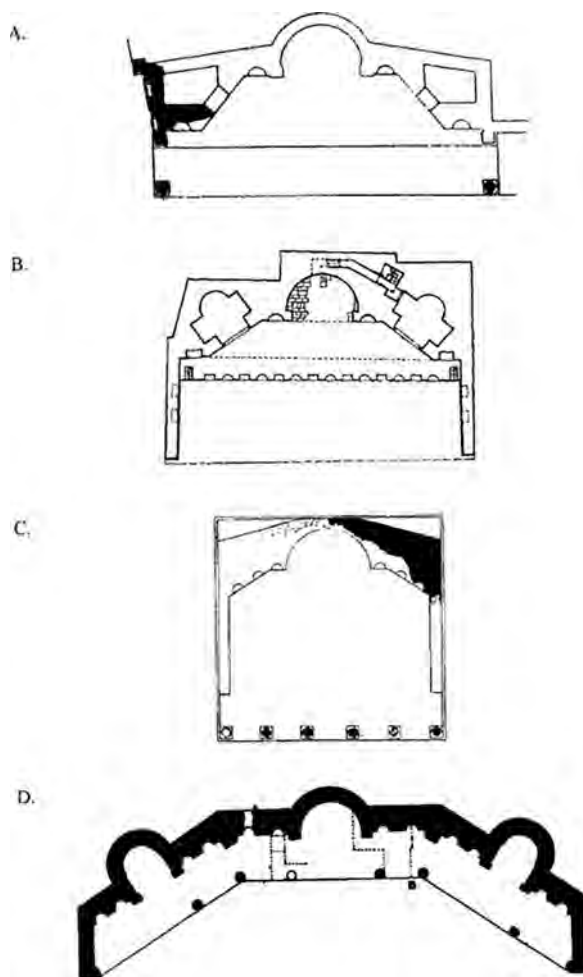


Figure 6.22 *Kalybe* style monuments. A: Bosra. B: Shahba. C: Shahba ‘hexastyle temple’. D: Amman (After Butler, Segal). Not to scale

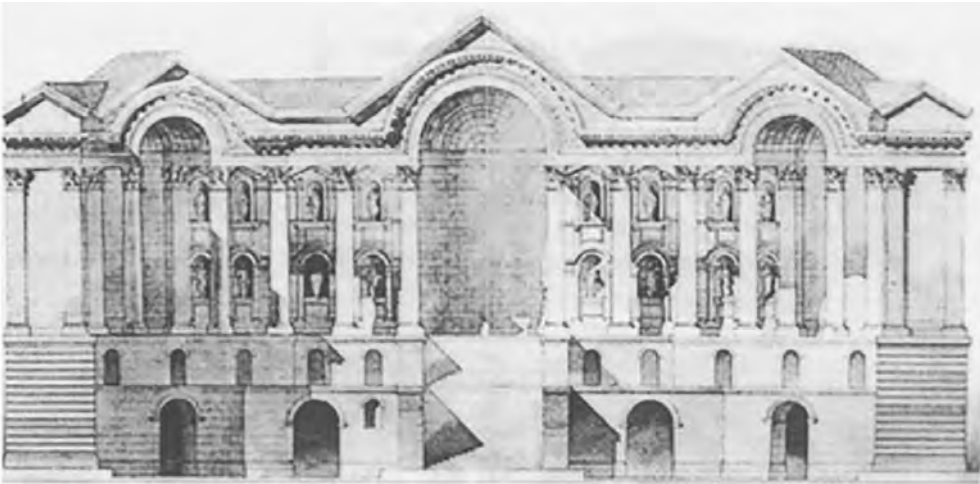


Figure 6.23 Reconstruction of the *kalybe* at Amman (After Butler)

a decorative purpose, and perhaps rightly so. In order to understand this enigmatic type of monument, the following two observations are important. First, while a nymphaeum can be situated either alongside a street (e.g. Jerash, Petra, Palmyra, Umm Qays) or overlooking a square (e.g. Antioch, Bosra), a *kalybe* is invariably on a square. Bosra overlooks the 'nymphaeum square', Shahba overlooks the 'Philippeion square', the second one at Shahba (if it is a *kalybe*) is set back from the street overlooking a small enclosure. And second, apart from Hippo, all occur within the orbit of the former Nabataean state.

The Nabataean element is probably the important one in attempting to relate the *kalybe* to similar works of architecture. The first *kalybe* to be identified was that at Bosra (Figure 6.22A). Bosra was the Nabataean capital under Rabbel II in the late first century AD, when there was a conscious programme of turning Bosra into a monumental city to resemble Petra. The main element in this was the construction of a processional sacred way leading through the city culminating in a large temple temenos, following the arrangement at Petra.¹⁵⁷ The *kalybe*, although usually assumed to belong to the Roman embellishments of the second century, forms a part of this ensemble, so might be Nabataean in date. Whatever the date, these enigmatic monuments might be viewed as Nabataean, which forms their geographical and cultural context, rather than Roman architecture simply because of their superficial resemblance to a type of monument (the nymphaeum) from which they so demonstrably differ. In concept they resemble the best known works of Nabataean architecture, the Petra rock façades. These façades are discussed in more detail in the section on funerary architecture below, where it is argued that they were essentially stage-sets for ritual enacted *in front* of them; whatever functioned *behind* them was secondary. In this way, the *kalybes* might be viewed as free-standing versions of the Petra rock-cut façades. It is the space in front of them that is the key; the monument itself was a backdrop for that. Theatricality was always particularly prominent in eastern architecture, and it is further emphasised below as crucial for the evolution of the Near Eastern temple type. Conceptually, therefore, the temple temenos, the *kalybe* and the Petra façades all belong together.

Forums

Cities in the Roman world had two kinds of public spaces, the forum (or agora), and the public square proper. The marked rarity of forums in the East has been noted above, where it was argued that colonnaded streets took the place of forums. It remains here to examine in more detail the few forums that do occur.

The forum is often regarded simply as an open space, or square. But it is more than that, consisting of a combination of open space, buildings and specific functions. The 'typical' Roman forum comprised an open space in the centre of a town which functioned as the market-place and place for public assembly. It would be surrounded by the town's essential public buildings, forming an integral part of the forum. Most important of these was the basilica, or town meeting hall, which would usually be alongside the open place. This functioned as an indoor extension of the open space outside: an indoor market, a meeting hall, an exchange, and occasionally a law court.¹⁵⁸ Almost as important as the basilica would be other public buildings, in particular the temples to Rome's official cults: Rome and Augustus, the deified emperor, or the Capitoline triad (Jupiter, Juno and Minerva). The forum might include other temples as well, but a temple to one of these would be essential, usually incorporating a rostrum at the front for public address. In addition to other temples, a forum often included other civic buildings, such as a curia or council chamber, as well as ornamental buildings such as fountains, monumental arches and displays of statuary. Such forums became virtually the standard trappings of Roman cities and towns throughout the West, as the dozens of largely intact Roman towns in North Africa demonstrate.

It has been necessary to define the 'canonical' Roman forum if only to underline that this standard arrangement is almost entirely absent in the East. Probably the only city where it existed was Antioch, although even here it has not been established with certainty. A basilica, called the Kaisarion, was built by Julius Caesar, partly as an official cult building, but the construction of the first true forum at Antioch is not mentioned until the late fourth century in the time of Valens.¹⁵⁹ Other Near Eastern cities where Forums have been *definitely* established include Beirut, Sebaste, Caesarea, Jerusalem, Hippos and Philadelphia (Amman). Beirut seems to have had two forums, an east and a west one, the west forum having a basilica alongside, but there is no sign of any official cult temple. The remains in any case are too scanty and obscured to be certain.¹⁶⁰ Sebaste, too, had a forum with its basilica alongside, but no cult temples associated with it. Its Temple of Rome and Augustus was separate and built in a local style (Figure 4.10).¹⁶¹ Caesarea had a Temple of Rome and Augustus in its forum, but no trace of a basilica has been found (Figure 4.9).¹⁶² A possible Temple of Augustus has been identified at Horvat Omrit in Gallilee, but without conclusive proof.¹⁶³ Two forums were established at Jerusalem (Aelia Capitolina) by Hadrian as a conscious Romanisation, but their layout is unknown.¹⁶⁴ A paved open area at Hippos has been interpreted as a forum with a *kalybe* alongside dedicated to the imperial cult. At Amman the square in front of the theatre and odeon appears to be just a public square, similar to that in front of the North Theatre at Jerash (see below) (Figure 4.16, Plate 4.26). However, an inscription has confirmed its identification as a forum, despite the absence of a basilica or official cult temples.¹⁶⁵ A possible forum has been tentatively identified at Antipatris, but the identification is very unclear.¹⁶⁶ Another has also been tentatively postulated at Bosra, on the north side of the Decumanus between the tetrapylon and the nymphaeum, but this too is uncertain.¹⁶⁷

The famous Oval Plaza at Jerash (Plates 4.24 and 6.32), popularly viewed as a forum, has been interpreted variously, the only general agreement being that it was definitely *not* a forum. (This plaza is discussed further below.)¹⁶⁸ A forum has been postulated in the angle



Plate 6.32 The Oval Plaza at Jerash

formed by the North Cardo and the North Decumanus at Jerash (Figure 4.15), but without excavation this question must remain unanswered.¹⁶⁹ The square in Shahba has been interpreted as a forum. It certainly included a temple to the cult of the Emperor Philip's family as well as a *kalybe* (discussed above), but the complex was more likely an imperial sanctuary rather than a forum.¹⁷⁰

With the exception of Amman, those cities with definitely identified forums were deliberate 'western' enclaves or at least imitations. Sebaste and Caesarea were founded by Herod as a deliberate policy to curry favour with the Romans, Caesarea became the Roman capital of Palestine, Antioch's status as imperial residence and third city of the empire hardly needs reiterating, and Beirut was founded by Augustus as a military colony. They can hardly be taken, therefore, as typical of the eastern city, although in all of them the eastern architectural forms predominated to a greater or lesser degree, as we shall see.

The East, therefore, had forums. But there were very few, they rarely adhered to the canonical form, and were never on the scale, monumentality, lavishness or complexity of the great forums of the West. The reason for this, as argued above, was that their function was

taken by the colonnaded avenue. The absence of Temples of Rome and Augustus and other official cults that define a forum is analysed elsewhere (Chapter 7). There appears to be a correlation between comparative absence of forums in the Near East with the absence of temples to the imperial cult. In this connection there is a notable absence of imperial portraits in the Near East compared to the quantity of them found in, for example, North Africa or even in Anatolia.¹⁷¹ It is also worth observing that in the West, the origins of the forum – according to Vitruvius – were also related to the need of a display for gladiatorial shows before the development of the amphitheatre.¹⁷² While the East could not exactly be complacent – cruelty and bloodshed have rarely been absent from any culture – it is nonetheless true that blood sports were held to be abhorrent in the East.

To some extent the Roman forum was a development of the Greek agora. While not an exact equivalent, the agora often took the place of the forum in the Hellenised East. But again, the number of *definitely* attested agoras in the Near East is small. Antioch had two, both Seleucid,¹⁷³ and Damascus, Apamea and Dura Europos had quite substantial ones.¹⁷⁴ The Apamea agora, in the form of a long rectangle parallel to the *Cardo*, might in fact have functioned as the forecourt of the Temple of Zeus Belos, known from inscriptions but demolished in the fourth century. The present Friday Mosque in Aleppo is assumed to be on the site of the agora of ancient Beroea, but neither its size nor a positive identification has been definitely established. The ‘agora’ at Palmyra probably belongs more to the eastern tradition of caravanserais than to any Hellenistic tradition (Plate 6.33). An enclosure at Jerash, initially thought to be an agora, was, on excavation, identified as a market or *macellum*.¹⁷⁵ The identification of an octagonal church at Umm Qays as a *macellum* merely on its resemblance to that at Jerash seems highly dubious. The agora that has been speculated for Damascus between the Temple of Jupiter and the eastern wall remains unconvincing. A building at Bosra is often identified as a market; the ‘upper, middle and lower markets’ at Petra were only so labelled by the first investigators because of the lack of any other obvious explanation at the time.¹⁷⁶ These markets or ‘agoras’ (the one at Bosra in particular) have since been more correctly termed *khans*, which is the traditional Arabic term for an enclosed market or caravanserai.¹⁷⁷ This is more appropriate than either agora or *macellum*, which imply an imported, Roman type of building.¹⁷⁸ After all, the Greeks and Romans hardly invented buying and selling. It must be borne in mind that a Persian, visiting a Graeco-Roman ‘agora’, would call it a ‘caravanserai’ or a ‘bazaar’. Many ‘agoras’ in the Near East, therefore, are not so much evidence of Greek institutions as Greek – and hence our own – perceptions of native institutions and monuments.

Oval and circular plazas

Roman cities in the East had a number of squares which functioned as open urban spaces and architectural focal points. The term ‘square’ is a misnomer, for in the East public spaces were often round or oval.

Circular and oval spaces are a feature of eastern Roman architecture. There are two distinct types: the completely open, bare oval or circle – a plaza in the full sense – and the roundabout which encloses an arch or similar monument. Of the former, the most famous – and visually the most dramatic, anticipating Bernini’s famous piazza in front of St Peter’s – is that at Jerash (Plates 4.24 and 6.32).¹⁷⁹ It was laid out in the Ionic order during the first major expansion of the city in the mid-first century AD. Visually and architecturally it serves to draw together a number of widely different elements: the south entrance, the Zeus Temple temenos, the South Theatre and the *Cardo*. As such it is a masterpiece of ancient urban architecture.¹⁸⁰ Perhaps its most effective function was



Plate 6.33 The ‘agora’ or ‘caravanserai’ at Palmyra

simply to serve as a dramatic entrance into the city. Hence, the combination of oval plaza and city entrance recurs at the south-western entrance to Palmyra, the western entrance to Bosra and the Damascus Gate at Jerusalem (where it is depicted in the Madaba Map) (Figures 6.7 and 6.14). The Madaba Map also depicts an almost identical arrangement to that of Jerusalem at Ashkalon: a plaza just inside the East Gate with two colonnaded streets leading from it (followed by the present town plan), but it is uncertain whether the plaza is square or circular.¹⁸¹ Perhaps one can include in this category the semi-circular colonnade enclosing the theatre at Palmyra (Figure 2.14, Plate 6.34). This is, in a sense, also a plaza, albeit with a theatre inserted into the centre. Like the south-western plaza at Palmyra, it also opens from the short street leading from the South Gate. A semi-circular plaza also exists at Baalbek in front of the Jupiter Propylaeum (Figure 2.4, Plate 6.35). No trace of any colonnaded street approaching it survives, although there might well have been one in antiquity. Excavations at the North Gate of Apamea have also revealed a square inside the gate terminating in a semi-circle before it opens onto the colonnaded street. The Madaba Map depicts more possible oval or semicircular plazas at the end of colonnaded streets at Kerak and Lod.¹⁸² All of these plazas functioned, too, as integral parts of the colonnaded streets into which they were incorporated, and all (with the exception of Baalbek) were colonnaded.



Plate 6.34 Semi-circular colonnade around the theatre at Palmyra



Plate 6.35 Part of the semi-circular plaza in front of the propylaeum at Baalbek

The second type was a circular plaza that also provides a focal point within a city, typically surrounding a tetrapylon. This arrangement occurs at the Jerash South Tetrapylon, the Palmyra tetrapylon and the Bosra tetrapylon (Figure 6.19D–F). All mark the intersection of two colonnaded streets. The tetrapylons in the centre in these cases were more strictly speaking tetraklionions, as tetrapylons normally had the colonnades attached directly to them. Hence, these circular plazas are not colonnaded, rather they interrupt the unbroken line of the colonnades of the streets that lead to them. They appear, therefore, to be insertions within the city fabric, interrupting – even jarring – the architectural unity, and are not the masterpieces of urban planning that the entrance plazas are. They are merely traffic roundabouts. At Antioch the main intersection of the colonnaded streets was also marked by an oval plaza, probably colonnaded, but instead of a tetrapylon in the centre there was an honorific column of Tiberius (Figure 4.1).¹⁸³ This arrangement is also known from literary descriptions of Constantinople, where the Forum of Constantine consisted of a colonnaded oval with an honorific column of Constantine in its centre.

No examples of oval plazas occur in the Roman West, with the exception of the planned (but never completed) oval plaza forming the junction of the colonnaded street with the Baths of Hadrian at Lepcis Magna (Figure 8.3). Lepcis Magna, however, was a consciously ‘orientalised’ city in terms of its architecture (discussed in Chapter 8), so belongs to the eastern tradition. At Dougga in Tunisia a square adjacent to the forum, the ‘Place de la Rose des Vents’, is semi-circular on its eastern side, but this is hardly in the same category as the oval plazas.¹⁸⁴ The eastern oval open spaces have been viewed as belonging within the western Roman tradition of semicircular exedrae, often paired, such as the Forum of Trajan in Rome.¹⁸⁵ But this appears dubious; such exedrae are very poor cousins to the great sweeps of the eastern plazas. In any case, if there was a connection it would be the other way round, an eastern tradition influencing Rome: the Forum of Trajan was designed by a Syrian architect, Apollodorus of Damascus. The circular designs might, perhaps, relate to the semicircular temples and temple enclosures – often colonnaded – that were built to Phoenician deities in North Africa. Examples are the Temple of Juno Celaestis (Astarte) at Dougga, the Temple of Aesculapius (Eshmoun) at Lambaesis, the Temple of Baal at Thuburbo Majus and the Sanctuary of the Nymphs at Zaghuan.¹⁸⁶ These temple plans have no counterpart in Roman architecture so must be embedded within Phoenician – and hence eastern – traditions.

The few Phoenician temple plans that have survived in the East – such as Amrit – are square. However, oval and semicircular enclosures occur elsewhere in ancient Near Eastern architecture. In south Arabia, both the Temple of ‘Ilmuqah at Sirwah, the old capital of Sheba, and the Temple of ‘Ilmuqah at Marib, the new capital, have oval enclosures dating probably from the early sixth century BC (Plate 6.36).¹⁸⁷ These enclosures probably originated as *haw-tah*, sacred enclosures or places of refuge that were neutral enclaves amongst rival tribes. The existence of similar sacred enclaves in north Arabia in the first millennium cannot be ruled out, particularly in view of the close links between Nabataean and south Arabian cultures.¹⁸⁸ A similar oval sacred enclosure, of uncertain date but presumed pre-Roman, exists near the summit of Mt Hermon.¹⁸⁹

Perhaps the most elaborate circular enclosure is found in front of the Deir at Petra, dated by association with the Deir façade to the first century AD (Figure 6.24, Plate 6.37). Its function is uncertain, but is presumably religious. It consists of a large circular outline occupying the small plateau in front of the Deir, overlooked on one side by the Deir façade with its associated altar and courtyard.¹⁹⁰ On the other side it is overlooked by an intriguing monument: a high terrace with a double line of columns in front and an unexplained rock-cut room containing an elaborate niche behind (Room 468). Overlooking the circle and in the



Plate 6.36 The oval enclosure of the Temple of 'Ilmuqah at Marib

immediate vicinity are a number of sacred high places.¹⁹¹ The whole complex, with its component parts of façade, court, altar, circular enclosure, terrace and high places, suggests theatricality: an 'arena', a 'stage backdrop' and a monumental 'grandstand'.¹⁹²

The point to be emphasised here is that the Deir is approached by a processional way (discussed above). This brings it much closer, architecturally, to our first type of oval plaza, which are all associated with monumental ways. A religious function for this type of oval plaza, therefore, offers an attractive explanation. It has already been suggested above that some of the colonnaded streets were sacred processional ways: those of Palmyra, Bosra and Jerash, all incorporate the first type of open, bare oval plazas. The semicircular plaza at Baalbek forms a part of the temple propylaeum. The possible semicircular plazas depicted on the Madaba Map at Kerak and Lod are similarly associated with religious buildings: at Kerak it appears to be in front of the city's main church (standing, surely, on the site of a former Nabataean temple), and at Lod the colonnade curves around the Church of St George (again, possibly on the site of a former temple).¹⁹³ This type of plaza, therefore, might have formed an integral part of the religious processions associated with the sacred way leading to it, perhaps as a place of assembly before proceeding along the sacred way (at Bosra or Palmyra) or immediately prior to the temple (at Jerash and Baalbek). The same combination of semicircular plaza, colonnaded street and temple temenos exists at Jerusalem. The two elements of plaza (Hadrianic) and temple (Herodian) are widely divergent in date, but the arrangement is nonetheless consistent with those at Bosra and Palmyra. Since it is suggested that the Petra colonnaded street was a similar processional way, might an oval plaza be postulated at its eastern end, now eroded by the Wadi Musa? A similar arrangement for the Jerash Artemis temple has also been postulated (above). In such cases, the open type of oval plaza could be considered almost as an additional temenos of the temple it is associated with. The ovals of Marib, Sirwah, the Petra Deir and Mt Hermon certainly function in this way – and Bernini's adoption of the form for St Peter's appears entirely logical. It has been emphasised elsewhere

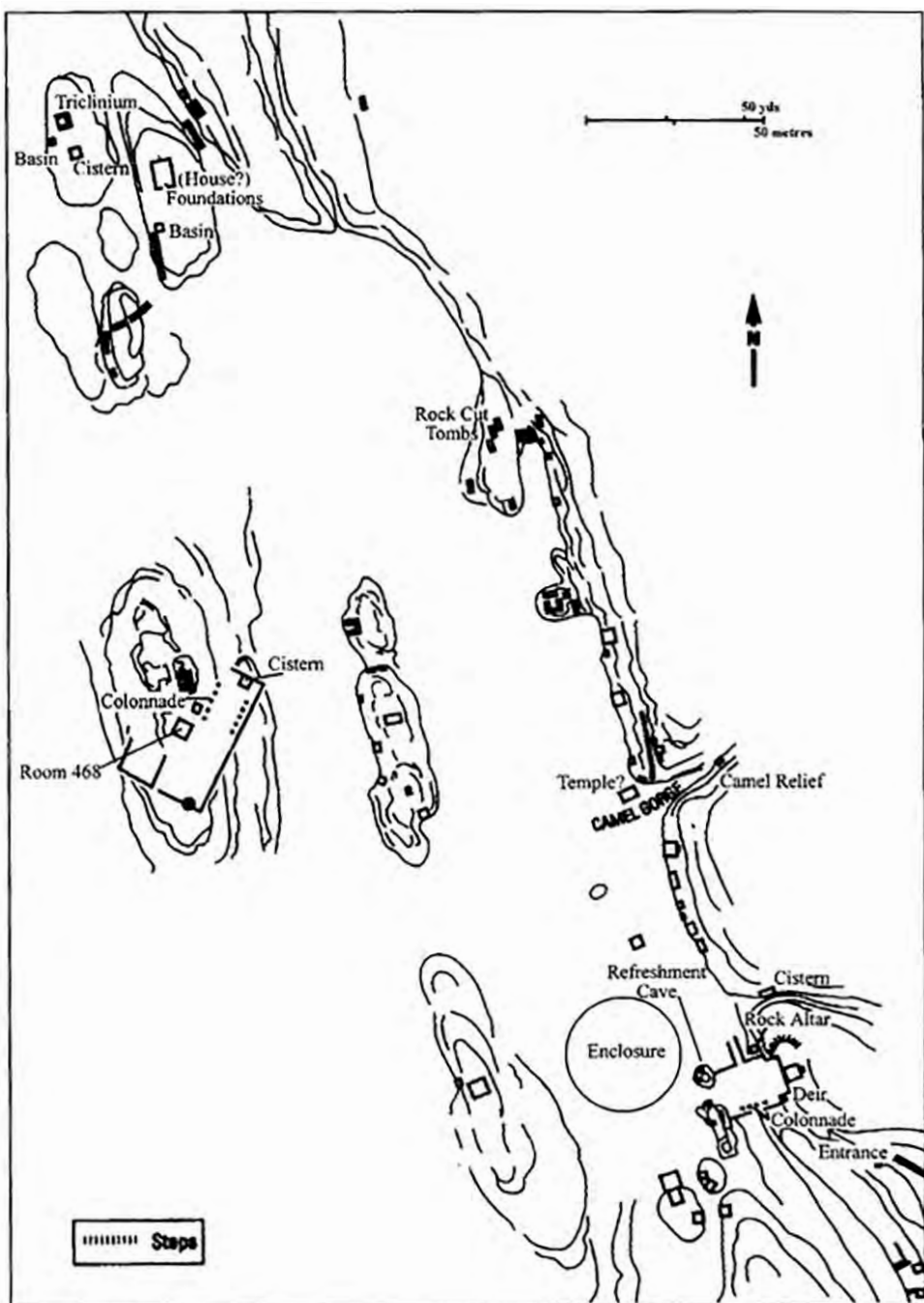


Figure 6.24 Sketch plan of the Deir complex at Petra



Plate 6.37 The circular enclosure in front of the Deir at Petra

that in the East religion was everything, and that an almost disproportionate part of a city's urban fabric – the gigantic temple compounds, for example – was subordinate to this end.

Against this argument is the suggestion that the oval plazas near the gateways at Palmyra, Jerash and Bosra perhaps functioned as caravanserais, places for animals to be unloaded on arrival in a city.¹⁹⁴ This would accord with the bazaar explanation of the associated colonnaded streets postulated above. However, if this were the case one would expect to find warehouses and similar places for storage around the plazas, rather than the small shops that we do find. As with so many other features of urban architecture, it is a combination of functions that perhaps offers the best explanation: religious, mercantile and aesthetic.

The only occurrences of round urban spaces in architecture further east is the Iranian tradition of circular planned cities, already discussed. An Iranian origin for the circular plazas, therefore, cannot be ruled out, either as a survival from the Achaemenid occupation in the distant past or arriving through the Hellenistic medium (which included many Iranian elements). But the connection might be too tenuous and the resemblance too illusory: plaza plans and city plans are, after all, quite different concepts. All that one can say for certain is that circular plazas are entirely within an eastern urban tradition, so is another un-Roman element in the architecture of eastern Roman towns.

The East had more conventionally shaped public spaces as well. These, however, are not basically different from public squares elsewhere, Roman or otherwise, so need only be observed in passing here. Examples are the North Theatre Square at Jerash and the nymphaeum squares at Bosra and Beth-Shean (Figures 4.15, 4.17 and 6.22A).¹⁹⁵

Buildings for leisure

Buildings for leisure belong mainly within the broader realm of Roman architecture, albeit with local variations. It is not necessary, therefore, to explore them in detail here, but merely

to note local features. Most of the secular buildings belong to the category of entertainment: baths, theatres, amphitheatres and hippodromes.

Baths

The massive bathing establishments are often the most conspicuous monuments surviving in many Roman sites, both East and West. In the East they range from modest, local bath-houses such as those at Serjilla, Babisqa or Barad in northern Syria (Figure 5.7, Plate 5.18), to elaborate multi-functional complexes that can compare to those in North Africa and Rome.¹⁹⁶ Jerash has two such baths, the East and West Baths (Plate 6.38), as does Bosra, the South and Central Baths. Other large bath complexes survive at Shahba, Hammat Gader, Beth-Shean, Palmyra and Apamea.¹⁹⁷ At least five are known to have existed at Antioch.

All are on the Italian model and mark unambiguous imports from the West.¹⁹⁸ As such, they have been assumed to be evidence for communities of expatriates – presumably Italians – from the Roman West, with the cultural prejudice that this assumption implies ('Romans wash, natives are dirty'). It is certainly true that large bath complexes are entirely absent where the Roman presence was the least, i.e. in the client states; in Palmyra, for example, the baths are associated solely with Diocletian's restoration and not the city of the Palmyrenes, while in Petra the baths next to the Dushara temple are very small in scale. However, it must be remembered that it was the East rather than the West that adopted and developed the Roman monumental baths: it evolved into the *hammam* or monumental bath complexes of Islamic architecture, surviving long after public baths had become extinct as an institution in the West.



Plate 6.38 The West Baths at Jerash

Perhaps the only difference between baths in the East and the West were that the former never included communal public lavatories. This probably just reflected a difference in attitude rather than cleanliness: in the East the act was a private one, but in the West it seems to have been far more social, where everyone sat around swapping stories and sponges. In the East much of everyday life may have demanded communal participation, most notably in religious ritual, but this was going too far!

Entertainment

Theatres are another architectural form that is wholly imported. All cities, virtually without exception, were supplied with them – indeed, they appear to be a mark of urban status. There is no need to catalogue them here.¹⁹⁹ Some cities, such as Qanawat, only had an odeon and no theatre. Others had two theatres, although usually the second one would be an odeon. These were Philadelphia, Jerash and Gadara (Figures 4.15, 4.16 and 4.18). Surprisingly, the number of theatres did not appear to be commensurate with the size or status of the city: Antioch, Caesarea and Bosra, for example, all of them provincial capitals, had only one. Most were built into a hillside, although some, such as Palmyra, Bosra, Caesarea and Jebbleh, were free-standing. Some of the Syrian theatres were the largest ever built in the entire Roman world: Cyrrhus, Apamea and Bosra, the latter having the added distinction of being one of the most intact (Plate 4.36).

A survey of Near Eastern theatres has revealed some regional variation on the standard Roman pattern.²⁰⁰ The most dramatically different of the eastern theatres is the one at Petra which, uniquely in the Roman world, is rock-cut in the local style. The theatre at Batrun in Lebanon is also partly rock-cut.²⁰¹ But perhaps the most surprising feature of the Roman theatres of the East is precisely that they are *Roman*, whereas it would be natural to assume that any ‘western’ cultural life, such as theatre-going, would surely have been Greek, not Roman. This makes it all the more surprising that there is not a single Greek theatre in the East.²⁰² All conform to the Roman style: semicircular, rather than horseshoe in plan, with a *scenae frons* or stage backdrop, rather than open. The only exception is Byblos, which appears to incorporate some Greek features: it is horseshoe in plan, not semicircular, but otherwise adheres to Roman layout.²⁰³

Amphitheatres are known at Antioch, Beirut, Caesarea, Scythopolis, Jerusalem, Jericho, Beth Givrin, Dura Europos, and possibly Qanawat, Palmyra and Bosra.²⁰⁴ The one at Antioch, ordered by Caesar, was one of the earliest in the Roman world.²⁰⁵ Amphitheatres would usually be associated with a resident Latin community, for the East held the Roman blood sports in abhorrence – Ammianus Marcellinus, a native of Antioch, for example, describes the Emperor Gallus’ delight in blood sports in outrage and distaste.²⁰⁶ Unlike the theatres, all are small in scale – many smaller than the theatres – in no way comparable to the colossal amphitheatres of Italy, Gaul or North Africa. The unusually large occurrence of amphitheatres in Judaea was perhaps the result of Romanisation of the area following the two Jewish Revolts.

Caesarea has the remains of a theatre and amphitheatre. The amphitheatre was described by Josephus as the place used by Herod for the inaugural celebrations to mark the foundation of Caesarea, so dates from the very beginning of the city. The existing amphitheatre dates from long after the city’s foundation, so cannot be the one described by Josephus. Excavations, however, have revealed a second amphitheatre alongside the sea (Figure 4.9). Despite its ‘hippodrome’ appearance – its layout and dimensions closely resemble other Near Eastern hippodromes, such as those at Gerasa and Neapolis – it probably corresponds to Herod’s ‘amphitheatre’. It was built on virgin soil at about the time of the foundation of Caesarea, and inscriptional evidence supports its use for Herod’s inauguration of the city.

The excavators have interpreted it as a ‘multi-purpose mass entertainment complex’, rather than either a hippodrome or amphitheatre in the conventional sense, that was used for horse and chariot racing in addition to athletics, blood sports and civic events.²⁰⁷ Remains of other hippodromes exist at Bosra, Umm Qays, Tyre, Jerash and possibly Shahba, and hippodromes were also known to have existed in Antioch, Laodicea and Beirut. The Tyre hippodrome was one of the largest in the Roman world (Plate 4.18), and the Jerash hippodrome, although one of the smallest, is one of the best preserved (Plate 6.39). Excavations at the Jerash hippodrome have uncovered traces of extensive industrial use of the hippodrome, and those at both Jerash and Bosra might also have functioned as caravanserais, or at least as ‘corrals’ outside the cities where animals would be quartered.²⁰⁸ The only cities which possessed a Greek-style stadium were Sebaste and possibly Marathus (Amrit).

It appears that, unlike in the Latin West, no clear distinction was made between the use of an amphitheatre, stadium and hippodrome. Hippodromes, furthermore, had a much greater variety of use than in the West: as places for civic functions, as caravanserais, and as factories, for example. It is noteworthy that the hippodrome at Constantinople came to form the ceremonial heart of the city, functioning as a stage-setting for imperial pageantry and as the city assembly area, in addition to its conventional function as staging chariot races.

Military architecture

It is easy to forget that Rome arrived in the East as a foreign invader and remained an occupying power throughout the seven hundred years of its presence. For it left such little evidence of this: the vast majority of the Roman architecture in the East that we are reviewing was not built to house an army or to control the populace. Of course, the East abounds in Roman military architecture – the Syrian and Jordanian deserts preserves some of the best military remains in the Roman world – but these were built to *defend* the East from other foreign invaders, not to maintain Rome’s hold over the local population, and date largely from the later periods of Rome’s rule.



Plate 6.39 The Jerash Hippodrome looking towards the partly restored *carceres* and the Arch of Hadrian

Accordingly, Roman military architecture can be divided into two types: architecture of occupation, of which there are very few extant remains, and architecture of defence, of which there is a large amount. In fact, the two appear much the same, the main difference being merely one of location. In practice, the latter kind was ultimately an architecture of occupation as well, for it defended borders against foreign invaders who were as often as not welcomed as liberators (the Muslim Arabs, for example, or the Iranians on several occasions). Roman military architecture in the East has in recent years come in for a considerable amount of attention: a series of regular international conferences, voluminous subsequent conference proceedings, and numerous books.²⁰⁹ Thankfully, therefore, it would be superfluous to examine either kind in detail here.

Occupation

Of the first kind, there is remarkably little to be found in the cities – Roman occupation, such as it was, seems to have kept a remarkably low profile. But a cautionary note has been sounded on the exaggerated view of Roman military remains in the desert merely because they survive better there, as opposed to towns where they are obliterated.²¹⁰ Hence, the Fortress of Antonia in Jerusalem exists now largely only in Christian tradition. The very tentatively identified headquarters of the Third Cyrenaican Legion at Bosra exists only in an outline barely discernible from the air. The headquarters of the Third Parthian Legion at Apamea only survives as inscriptions reused in the city walls. Remains of the great garrison of Zeugma are so scant that the very whereabouts of it were uncertain until recently.²¹¹ Only in three towns have substantial remains of Roman urban military installations survived: the ‘praetorium’ at Umm al-Jimal, the Camp of Diocletian at Palmyra (Figure 6.25) and the praetorium and *Dux Ripae* at Dura Europos (Figure 6.26).²¹² Studies of the medieval citadel at Damascus have suggested that there was a Roman military installation of an indeterminate nature underneath it.²¹³ There was also a rather enigmatic building described as a ‘praetorium’ at Mismiya in the Hauran, although this was demolished last century before it could be properly recorded (Figure 5.14).²¹⁴

Although not exactly ‘military’, to this list we can possibly add the Roman governor’s palace at Bosra (Figure 4.20, Plate 4.31).²¹⁵ The ‘lower market’ at Petra has been reinterpreted as a garden complex, probably a part of a palace but again Nabataean rather than Roman.²¹⁶ Otherwise, the only palaces to have survived are the series of country palaces erected by Herod at Caesarea, Bethlehem (the Herodion), Jericho, Masada and Mukawir (Figure 6.27).²¹⁷ Such royal ‘palaces’ (more correctly, country residences), located away from centres of population, appear peculiar to Judaea. They may have been a Jewish tradition: the most impressive Hellenistic monument in the Near East is the country palace at ‘Araq al-Amir in Jordan built by the otherwise little known Jewish Tobiad dynasty.²¹⁸ At the end of the Roman period there was a revival of the tradition with the construction of the so-called ‘desert palaces’ by the Umayyad Caliphs, one of them (Khirbet al-Mafjar) built not far from Herod’s earlier such palace at Jericho.

Virtually all the cities were enclosed in ramparts, the earliest probably being the walls of Tiberius at Antioch, of which traces survive, but it is uncertain whether these were to exert control over the inhabitants or guard them from invaders.²¹⁹ Far more vivid evidence for Roman occupation exists in the siege camps and circumvallations that were built during their campaigns against various eastern strongholds. The most famous date from sieges after the Jewish Revolt, such as Masada (Plate 6.40) and Mukawir, but others are discernible from the air.²²⁰

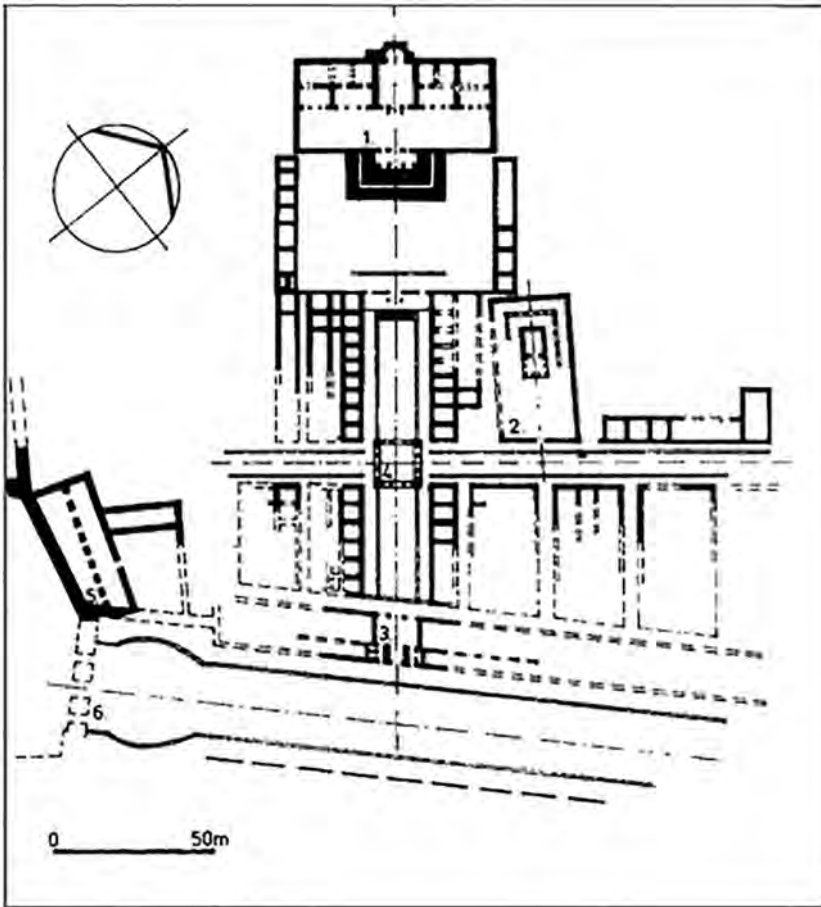


Figure 6.25 The Camp of Diocletian at Palmyra. 1: Principia. 2: Temple of Allath. 3: Gateway. 4: Tetracylon. 5: Horraeum. 6: Oval plaza. (After Mikhailowski)

Defence

Of the second category, buildings of defence, the East is almost embarrassingly rich in remains. These are to be found mainly in the eastern and southern desert fringes. They range from isolated, almost intact little forts such as Qasr al-Hallabat to textbook legionary camps such as Layjjun and Udhruh (Figure 6.28) to great fortified frontier cities such as Rasafa and Halabiya (Figure 4.6, Plate 6.41).²²¹ This massive 'system' of frontier defences is conventionally described as a *limes* system, although this has been viewed as a modern misconception (Figure 6.29).²²² Whatever these fortifications were, they stand as mute testimony to the power of Iran as much as of Rome, for this frontier was the most vulnerable of the entire empire. In the end, this massive, costly and constantly ongoing programme of building work never kept out the very enemy for which it was designed, who rendered such defences useless on several occasions. Halabiya, for example, was simply bypassed by the Iranian army in their invasion under Khusrau Anushirvan.

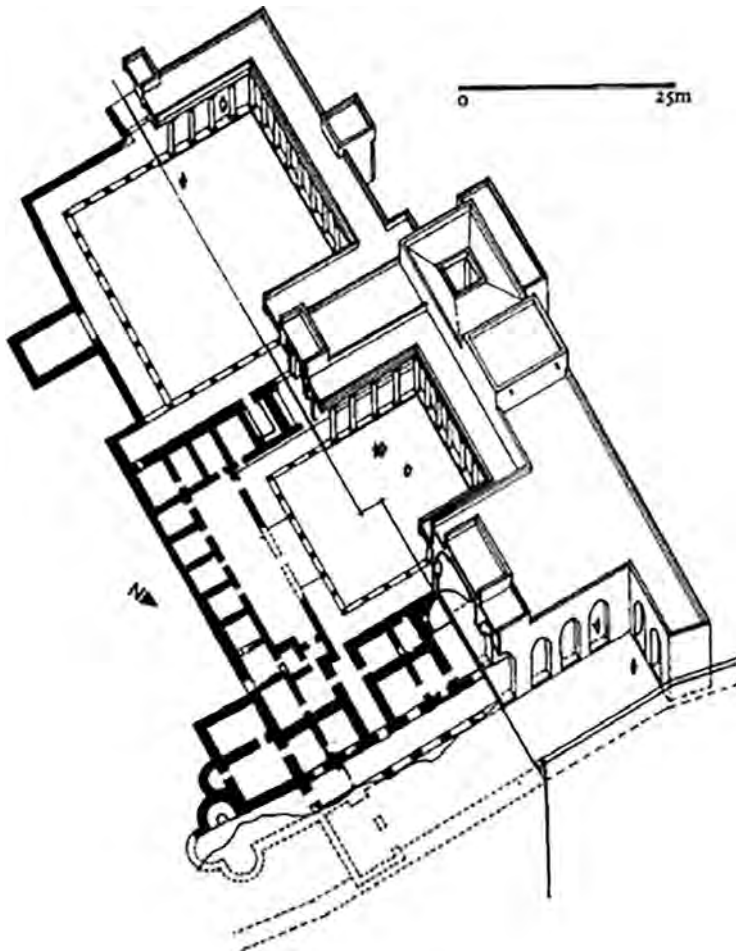


Figure 6.26 The Dux Ripae at Dura Europos (After Ward-Perkins)

At first these defences appear to form a part of the broader spectrum of Roman military architecture, with no regional variation to be found in the East. However, it has been suggested that the design of the later, square form of Roman fort with outward-projecting towers, as opposed to the earlier round-cornered camp with inward projecting towers, originated in the East.²²³ In this context, it is worth recalling the almost 'textbook' Roman military camp outlined in India in the *Arthashastra* centuries earlier, noted above (Figure 6.5).

The largest of these building works date from the late Roman period when the threats from the east became more pressing. The immense fortresses of the desert frontier, such as Rasafa and Halabiya, are among the most impressive works of military architecture in the ancient world. Roman and 'Byzantine' military architecture is often viewed as a European tradition, emanating from Constantinople. It is certainly true that the city walls of Theodosius at Constantinople are the greatest in the ancient world, and that Qasr Ibn Wardan and Halabiya might have been built by architects from the capital. But there is equally no doubt that such

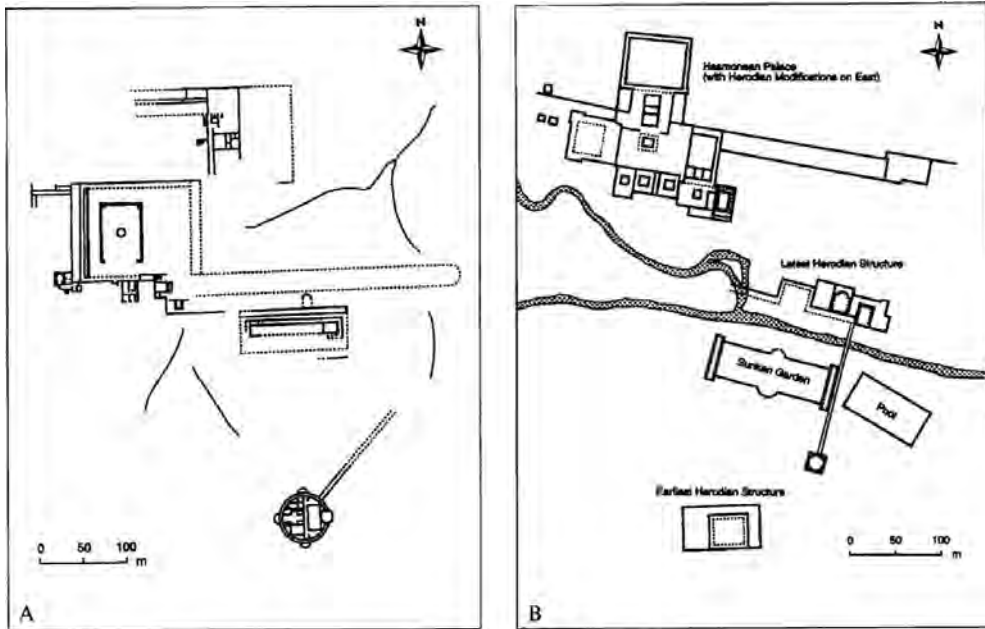


Figure 6.27 Herod's palaces. A: Herodion. B: Jericho. (After Roller)



Plate 6.40 Roman siege camps and circumvallation at Masada

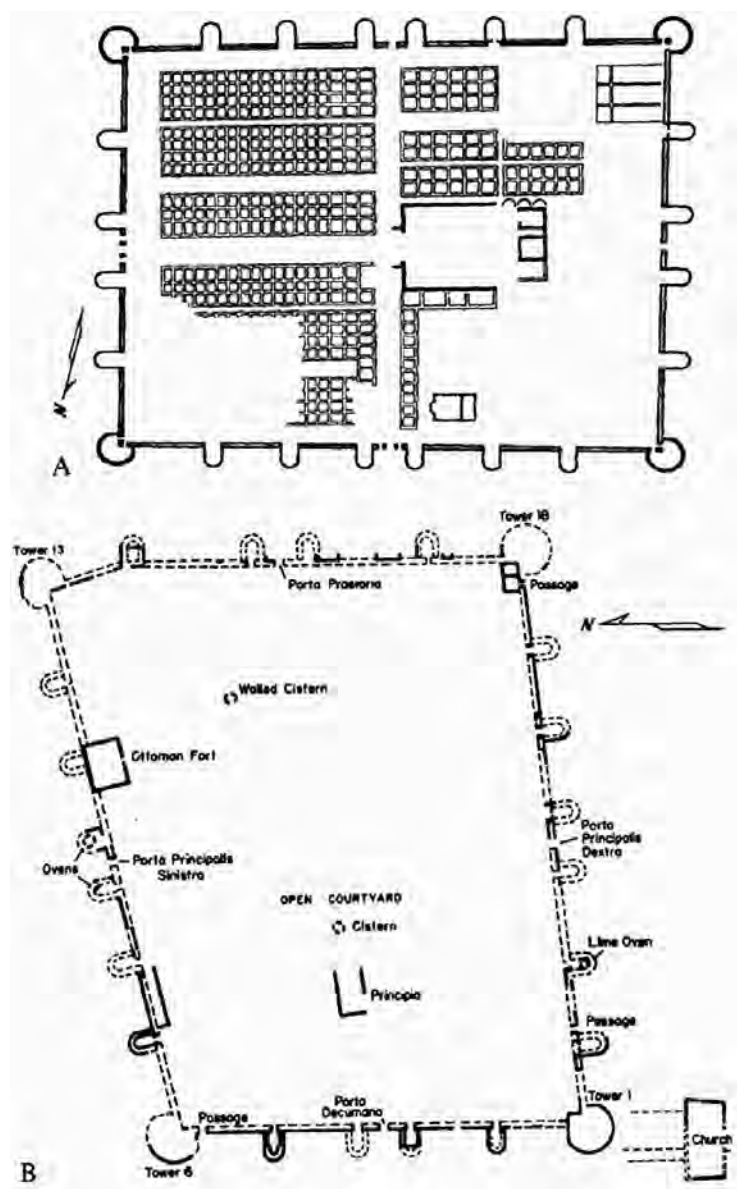


Figure 6.28 Legenary camps at Layjjun and Udrh (After Bowersock)

architects would have found in Syria a highly developed and ancient tradition of building fortifications in stone that have their origin in the ancient urban fortifications of Qatna and Ebla of the second and third millennia BC. The great urban fortifications of Antioch, Rasafa and Halabiya – and perhaps even Constantinople as well? – must be seen as the architectural link between these earlier works and the later fortresses of the Crusaders, which continued this rich native tradition of military architecture.

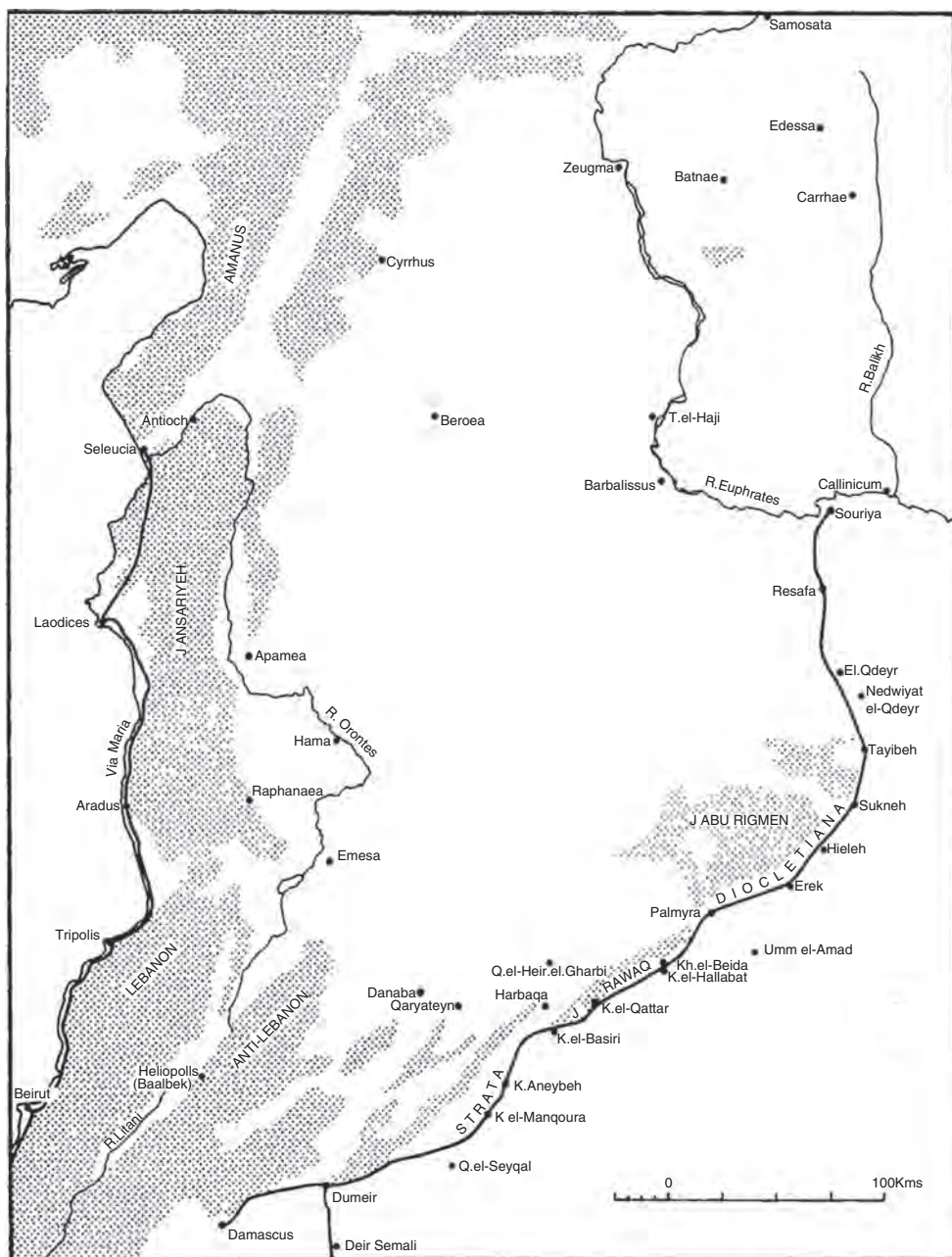


Figure 6.29 Fortifications system associated with the Strata Diocletiana (After Kennedy and Riley)



Plate 6.41 The fortifications of Halabiya on the Euphrates

There is one type of Roman fortification system which appears to derive from eastern tradition. This is the long walls. Running barriers as defensive systems in the West have been contrasted with the lack of them in the East, but closer investigation shows the opposite to be nearer the truth.²²⁴ There are few from the Roman period in the East, admittedly, and this is the type of fortification that was used for Rome's most famous remains in Britain, Hadrian's Wall, as well as the Antonine Wall further north.²²⁵ Much later, Justinian had long walls built at Thermopylae and Corinth, while the most impressive were the long walls built by Anastasius across Thrace.²²⁶ But the system of long walls has a strong tradition in eastern military architecture, where it seems to be Mesopotamian in origin.

The Mesopotamian origins are very ancient. During the reign of King Shu-Suen of Ur (1772–1664 BC), for example, a long defensive wall was constructed running north of Baghdad from the Euphrates to the Tigris and on to the Diyala River to prevent nomadic incursions into the Kingdom of Ur in southern Iraq.²²⁷ In the sixth century BC, Nebuchadnezzar built a long defensive wall north of Babylon, the so-called 'Median Wall' described by Xenophon, that stretched for some fifty kilometres between the Tigris and Euphrates. The existence and date of this wall have been confirmed by excavation.²²⁸ In the Levant, the long wall built in the third century BC by Alexander Jannaeus in Palestine from Antipatris to Jaffa was in the same tradition, and parts have been identified.²²⁹

The tradition seems more prevalent in Central Asia, although the oldest dated example is Kushan (second century AD). This is the wall of Kam Pirak, a rammed mud defensive wall

that has been traced for about 75 kilometres across northern Afghanistan.²³⁰ Later examples abound, either frontier walls that defended entire territories or giant enclosure walls that encircled oases. Of the former, the most famous are the frontier walls between Bactria and Soghdia, dating from the third century BC and later used to mark the northern border of the Kushan Empire.²³¹ Other examples are known in Daghestan, such as at Darband, one of several built by the Sasanians across the Caucasian passes, as well as in the Kopet Dagh mountains of Turkmenia, to halt Hun incursions.²³² There were also long walls enclosing the oases of Merv, Bukhara, Samarkand and Balkh. These were to keep the nomads at bay and protect the outlying settlements. The Merv oasis is enclosed by two sets of long walls. The inner one, the Giliakin-Chilburi Wall, encloses the inner oasis some 55 square kilometres in area and has been identified as the Hellenistic 'Antiochus Wall' mentioned by Strabo. The outer wall encloses the perimeter of the oasis for some sixty kilometres, and is assumed to be Sasanian.²³³ Those around the Bukhara oasis consisted of gates and towers built at half-mile intervals that were completed in 830, but were restorations of earlier walls built in pre-Islamic times. It enclosed an area enclosing approximately 1,300 square kilometres, and remains of it still existed in the early twentieth century.²³⁴

The largest and most elaborate of such Central Asian defensive systems is the 'Great Wall of Gurgan' across north-eastern Iran, built by the Sasanians in the late fifth or early sixth century. The sheer scale of the wall and its associated works is staggering. It stretches eastward from the Caspian Sea across the Turkmen steppe for at least 195 kilometres, ending in the Kopet Dagh Mountains. Associated with it is another wall, the Wall of Tammisha, stretching south from the south-eastern corner of the Caspian for 11 kilometres and ending in the Alborz Mountains, almost certainly part of the same integrated system of defences that included Darband and Dariali in Georgia. The Tammisha wall even extended into the sea for a short distance, as probably did the Gurgan Wall. The Gurgan Wall also had over thirty frontier forts behind it as well as several much larger campaign bases in the hinterland, each on average approximately ten times larger than the wall forts. Each campaign fort probably housed approximately 10,000 soldiers. It has been estimated that the total garrison for the entire wall was at least 30,000. Alongside were several thousand kilns for baking the estimated hundred million or more bricks used in the construction, as well as an associated dam and canal system for the brick-making and presumably a road system for building and servicing the wall. The wall also required an ambitious irrigation system for the agriculture to support the garrison. In the hinterland the city of Dasht-i Qal'a presumably supported both the building of the wall and the maintenance of both the wall and its garrison. Altogether, the Gurgan Wall must have required the direct and indirect participation of a population of many tens of thousands and a military budget that even by modern standards must have been colossal, '... the core part of the largest and most elaborate frontier system ever built in the ancient and medieval Near East ... amongst the most ambitious and sophisticated frontier walls ever built world-wide.'²³⁵

Notes

- 1 Macaulay 1977.
- 2 E.g. Millar's 1993, 2013 and other publications where native character in the Roman Near East is explicitly denied.
- 3 E.g. MacDonald 1986.
- 4 Segal 1997: 2–5.
- 5 Millar 1993: 255 (writing of Mambij/Hierapohs and other cults – the italics are Millar's) and 354, and 2013: 13–14, where he also writes of 'the Greek culture ... expressed in architecture [at Jerash]'.

- 6 A more balanced view is taken by Richardson (2002), who recognises the indigenous elements in both the architecture and character as a whole.
- 7 Dentzer 2000; Richardson 2002. See also Burns 2016.
- 8 E.g. Millar (1993: 531) in referring to Philippopolis – Shahba – describes it as ‘the most complete expression of the export to the edge of the steppe of the Graeco-Roman ideal of a city’ while the hint of a grid system even in towns as far away as Xinjiang in China, such as Karakhoja, are described as ‘Roman’. See Von Le Coq 1928: 56.
- 9 E.g. Wheeler (1964: 46) writes with reference to Dougga and Thuburbo Majus, ‘casual and un-Roman streets wind amongst conventional Roman buildings’.
- 10 See, for example, Owens 1991: 28–9.
- 11 Butcher (2003: 240) rightly points out ‘that the grid was not his [Hippadumus’] idea and that it had existed for centuries before him’.
- 12 Owens 1991: 30–4.
- 13 Haverfield 1913: Chapter 3; Owens 1991: 38–50.
- 14 Solovyov 1999; Kuznetsov 2001; Marchenko and Carter 2003; Solovyov 2007.
- 15 Aubet 1993.
- 16 Fantar 1987: 113.
- 17 E.g. see Wheeler 1964: 46.
- 18 Jidejian 1968: 16.
- 19 Markoe (2000: 76–9) emphasises the Phoenician tradition of town planning, noting that it was mainly orthogonal in the homeland but contour or radial in the colonies.
- 20 Owens 1991: 51–6.
- 21 Owens 1991: 70.
- 22 Haverfield 1913: Chapter 2; Lampl 1968: 19–22, Figs 35, 58. Burns (2011: 168) in fact postulates an ‘Eastern School’ of monumental priorities that influenced Rome.
- 23 Although it is important to remember that most Alexandrine ‘foundations’ were on cities that already existed, and that most ‘Alexandrias’ were not in fact founded by Alexander, but long after. See Fraser 1996.
- 24 As emphasised by Owens 1991: Chapter 2.
- 25 Schwartz in Weiss (ed.) 1985: Fig. 7.
- 26 Strommenger 1985.
- 27 Kühne 1989–90: 308–23.
- 28 Hiorns 1956: 10–12; Lampl 1968: 29–32, Figs 65, 85–6.
- 29 Allchin and Allchin 1982: 171–83; Coningham in Allchin 1995: 51. See also Wheeler 1968b.
- 30 Erdosy in Allchin 1995: 111; Allchin 1995: 142–6.
- 31 Although there is controversy over its date. See Allchin 1995: 187–8 for a discussion.
- 32 See Rangarajan’s plans of the Kautilyan city and fortified camp layouts, reproduced in Allchin 1995: Figs 11.5–8. Kautilya is also discussed by Allchin 1995: 222–31. It is described in the Kautilya *Arthashastra* 2. 3–4 and 10–1.
- 33 See, for example, the sites of Altin Dilyar, Deh Nahr-i Jadid and Kohna Qala adjacent to Ai Khanum in Bactria for Achaemenid town plans. For older circular town plans, see Dashli 3 or Kutlug Tepe. Ball 1982: Sites 38, 257, 288, 666. See also Francfort 1979b; Gardin 1998: 239, Fig. 3.5.
- 34 See, for example, Emshi Tepe and Jiga Tepe in Bactria. Ball 1982: Sites 314, 475. It is often, too, forgotten, that the ‘archetypal’ Hellenistic implant in Central Asia, Ai Khanum on the Oxus, does *not* follow the Hippodamian town plan, nor was it a new foundation but the continuation of an Iron Age city adjacent. See Ball 1982: Site 19; Gardin 1998: 239, Fig. 3.5.
- 35 This culminated, for example, in the foundation by al-Mansur of the round city of Baghdad. See Creswell 1958: 163–83 for a summary of its origins. See Michell (1992) for Indian examples.
- 36 Parrot 1956: Pl. i.
- 37 Burney 1957: 49–50, Fig. 11; 1977: Fig. 141; Summers 1993. See also Sagona and Zimansky 2009: 335.
- 38 Barnet and Watson 1952: Fig. 1; Lampl 1968: 47–8; Burney 1977: 195.
- 39 Sarkhosh Curtis and Simpson 1997: 139–40.
- 40 Helms 1982: 11–13; Ball in McNicoll and Ball (eds) 1996: 392–4, Fig. 2.
- 41 Grainger 1990: 61–2, 86–7.
- 42 Burns (2011: 125) emphasises that the origin of the Damascus Decumanus – Straight Street – goes back well before the Roman period. See also Burns 2005: 13–16.

- 43 Barghouti 1982.
- 44 See the cautionary remarks by Barghouti 1982: 209–11. Grainger (1990: 85–6) in fact comments on the uncertainty of Seleucid street layouts in Syria.
- 45 For a study of the Graeco-Roman tradition of sacred processions – specifically Dionysian – see Boardman 2014. See also Burns 2011 and 2016.
- 46 Peters 1983.
- 47 Jidejian 1968: 114; Browning 1979: 81–6; Peters 1983: 274; Dentzer 1985; Donner 1986: 40; Khouri 1986b: 123–9; McKenzie 1990: 35–6, 172; Dalley and Goguel 1997; Segal 1997: 5–8; Kanellopoulos 2002; Bellwald, al-Huneidi *et al.* 2003: 40–5; Fiema 2009; Alpass 2013: 46–8, 66–8 (albeit with a note of caution), 181–5.
- 48 All probably came under Nabataean administration for part of their history – and were at least within the broader Nabataean cultural sphere. See Chapter 2, ‘Arabia and the Nabataeans’. For Damascus, see Bowersock 1983: 68.
- 49 McCown in Kraeling 1938: 159–67. Much of this and other observations at Jerash are based upon personal experience. See also Seigne 1986; Kennedy 1998: 59; Segal 2013: 229–30.
- 50 Herodian 5. 6; *Augustan History*; Heliogabalus.
- 51 Quoted in Millar 1993: 317–18. See also Segal 2013: 151–4.
- 52 Boardman 2014.
- 53 For Alahan see Mango 1978: 40; for Gemili personal observation. I am greatly indebted to David Price-Williams for showing this latter fascinating monument to me.
- 54 Spanner and Guyer 1926; Mango 1978: 24, 26, 52–5; Krautheimer and Curcic 1986: 151–6, 261–2; Burns 1992: 203–6; Fowden 1999. The Church of the Holy Cross was formerly known as the ‘Church of St Sergius’. The ‘Martyrium’ is now identified as the Cathedral.
- 55 See Oates 1986: 152–6.
- 56 Matheson 1976: 227–10 and refs. See also Ghanimati 2000 for a discussion of sacred processional architecture in Zoroastrian Iran, specifically at the early Sasanian religious complex of Kuh-i Khwaja in Sistan.
- 57 See Richardson 2002; Burns 2016.
- 58 Or modern restoration, such as at Apamea and Jerash.
- 59 Balty 1981 and 2003.
- 60 Jidejian 1996a: 177–80. Burns 2011: 229–31. This is now interpreted to be the palaestra of the baths adjacent.
- 61 For Beirut see Lauffray 1977; Burns 2011: 106–10. At Pella, the first–fourth-century city was all but obliterated by fifth–seventh-century rebuilding; no trace, for example, has been found in excavation of its nymphaeum, despite its detailed depiction on coins. See Smith and McNicoll 1992: 119–44; Segal 1997: 152 n.3.
- 62 Donner 1992: 40, 47–8, 54–5, 63–5, 70, 75–6, 87–94.
- 63 See, for example, Downey 1961: 173, n. 45; Lassus 1972; MacDonald 1986: 43–4; Segal 1997: 5–53, especially table pp. 48–9; Bejor 1999. For Apamea see Balty 1981: 46–51 and Balty 1988. For others, see: Sauvaget 1934 and Saadé 1976 (Latakia); Frézouls 1977 (Cyrrhus); Taylor 1986: Fig. 90; Netzer and Weiss 1995 (Sepphoris); Holum *et al.* 1988: 175–6 (Caesarea); Denton in Peterman 1994: 554–5 (Madaba); Saliou 1996 (Palmyra). See also Burns 2016.
- 64 For examples of colonnaded streets in Anatolia see Akurgal 1990: 105, 144, 326, 339 and references.
- 65 Wheeler 1964: 48–9; Ward-Perkins 1981: 363, 384–91 and 393–5; El-Saghir *et al.* 1986; Ward-Perkins 1993; Sear 1982: 197–8 and 205–6.
- 66 Donner 1992: 82–3.
- 67 Sear 1982: 210–11. Here, however, they are piers rather than columns (personal observation).
- 68 Wheeler 1964: 144–6; Ward-Perkins 1981: 449–50; Sear 1982: 263–5.
- 69 With the possible exception of the Decumanus at Damascus. See Segal 1997: 12.
- 70 Downey 1961: 318. For the Diocletianic camps at Palmyra and Luxor, see Starcky and Gawlikowski 1985.
- 71 Ward-Perkins 1981: 449–50, Sear 1982: 269.
- 72 Balty 1994; 2003.
- 73 John Malalas 10. 8, 10. 23, 11. 24; Downey 1961: 173–9, 183–4, 196, 213–15, 477–9 and 500–1; Burns 2011: 94–106.
- 74 John Malalas 10. 8. See also Libanius *Antiochikos* 196–204 for a description of Antioch’s colonnaded streets.

- 75 Lassus 1972; Balty 1994.
- 76 Kraeling (ed.) 1938; Segal 1997: 5; Ball 2001.
- 77 For Madaba see Denton in Peterman 1994: 554–5. For Anjar see Hoag 1975: 18–20.
- 78 Schlumberger 1978: 70–3, Pls 24 and 97.
- 79 MacDonald 1986: 43–4.
- 80 Ward-Perkins 1981: 150–5, 238–9; MacDonald 1986: 43–4; Roller 1998: 216.
- 81 Owens 2009: 218. See also MacDonald 1986: 43–4; Barghouti 1982: 217; Segal 1997: 5–9. For stoas see, for example, Ward-Perkins 1981: 256, Sear 1982: 239–42, Akurgal 1990: 72, 192, 212–19 and references.
- 82 ‘The stoa was not the direct progenitor of the colonnaded axis but by using a columned façade for the first time on a large scale and (tentatively in some cases) as a means of defining open public space, it led to the further development of the idea in the coming two centuries.’ Burns 2011: 52.
- 83 Amirshahi 2001.
- 84 Postgate 1994: 76–9, 192–3. It is notable that the Latin terms *mercatus* and *macellum* in fact seem to be of Semitic origin. See Graf 2001: 477.
- 85 Libanius *Antiochikos* 211–18.
- 86 Barghouti 1992: 217; Ball 2006: 220–1.
- 87 As‘ad and Stepniowski 1989; Schlumberger 1978: 70–3, Pls 24 and 97.
- 88 Matthiae 1977: Fig. 12; Frankfort 1976: 282. Near Eastern origins for the trabeate style of colonnaded streets is discussed further below.
- 89 MacDonald 1986: 43.
- 90 See, for example, the superb reconstructions in Golvin 2005, especially that of Palmyra on pp.16–17 which show it very much as a traditional Middle Eastern city rather than a ‘Classical’ city that the bare ruins today convey.
- 91 John Malalas 10. 8 and 10. 23; see also Josephus *Antiquities* 17. 148, *Jewish War* 1. 425. Libanius in *Antiochikos* 196 and 201 on the other hand specifically describes the Antioch colonnaded streets as ‘uncovered’.
- 92 Ammianus Marcellinus 23. 2. 5.
- 93 E.g. Butler 1907–20: 233 (Bosra); Crowfoot *et al.* 1942 (Sebaste); Segal 1997: Fig. 45 (Jerusalem); Browning 1979: 87–8 (Palmyra); Browning 1982: Figs 11, 25, 73 (Jerash); Holum *et al.* 1988: Fig. 128 (Caesarea); Browning 1989: Fig. 17 (Petra); Golvin 2005: 16–17 (Petra). An exception is my own reconstruction of the *Cardo* at Jerash in Khouri 1986a: 26, where the question (and the sky!) is left open.
- 94 Wilkinson 1975: 118–20.
- 95 Segal 1997: 40.
- 96 The eastern side where it is flanked by the West Baths.
- 97 Cf. Kennedy 1998: 60.
- 98 MacDonald 1986: 83–4.
- 99 MacDonald 1986: 80.
- 100 The four-way arch is discussed as a type in MacDonald 1986: 87–92.
- 101 Ward-Perkins 1981: 236–7; MacDonald 1986: 155–6.
- 102 Brogan and Smith 1984: Figs 65 and 66.
- 103 Tchalenko 1953–8: Pls XLIII, LXXXV. See also Chapter 7, ‘Funerary architecture’.
- 104 MacDonald 1986: 88–9; Segal 1997: 140–9.
- 105 Haynes 1965: 73–4 and 92, Bartoccini 1931 (Lepcis Magna); Vermeule 1968: 336–49; Kinch 1890 (Thessalonike); Aurigemma 1969 (Tripoli); Ward-Perkins 1981: 454–9 (Thessalonike); Erism 1992: 22–3; Ward-Perkins 1993 (Severan Lepcis).
- 106 Donner 1992: 47–8, 63–5, 75–6, 87–94.
- 107 Mouterde and Poidebard 1945: 80–1, Pl. LII.
- 108 Segal 1997: 140–9; Creswell 1969: 455–7; Butler 1903: 393 (Shahba); Sauvaget 1934: 88–91 (Latakia); Kraeling 1938: 103–16 (Jerash South Tetrapylon); Downey 1961: 173–9 (Antioch); Michalowski 1962: 10–54, 78–100 (Palmyra); Peters 1983; Sartre 1985: 120 (Bosra); Ball 1986b, 2001 (Jerash North Tetrapylon); Holum *et al.* 1988: 176; Burns 2011: 108–9 (Beirut).
- 109 Hoag 1975: 18–20 (Anjar). In Damascus an Umayyad tetrapylon was recorded just south of the Friday Mosque as part of a ceremonial way leading from the Palace of Walid to the Mosque. In Beth-Shean, an Umayyad tetrapylon has recently been identified among the Roman ruins (see Khamis 1997). I am grateful for Finbarr Flood for this information on both Beth-Shean and Damascus.

- 110 Schippmann 1968; 1971.
- 111 Akurgal 1990: 167–8.
- 112 Reuther 1938–9: 428; Herzfeld 1941: 301–2, Pl. xcvi; Schippmann 1971: Abb. 9, 38. Kuh-i Khwaja may be late Parthian; see Ghanimati 2000.
- 113 Schippmann 1971: 369–76; Kleiss 1973.
- 114 Reuther 1938–9: 550–9; Pope 1965: 65–73; Mostafavi 1967: 43–7; Schippmann 1971: 212–15, Abb. 28; 13–21, Abb. 2; 282–91, Abb. 39; 430–7, Abb. 70. The ‘Sasanian palace’ at Sarvistan has recently been argued to be a fire-temple complex of the eighth–tenth century. See Bier 1986. The identification of many of the exposed *chahartaqs* as fire temples is largely myth. See Boucharlat 1985.
- 115 Pope 1965: 81–5, 100–1, 172–7, 185–9.
- 116 Pope 1965: Figs 105, 136, 144.
- 117 Pope (ed.) 1938–9: 1199, 1218–19, Fig. 512; Amirshahi 2001.
- 118 Ward-Perkins 1981: 56–7, 101–4, 169–70; Sear 1982: 96–102.
- 119 See Mallowan and Rose 1935: 25–34 for the Mesopotamian Neolithic origin of the dome. For the continuation of this tradition today see Ball 2006: Pl. 80.
- 120 See, for example, Beazley and Harveson 1982: 23–6 and 49–56. Although relatively recent, the mud dome of the ice-house at Bam, measuring 20 m. in diameter (personal observation), which is half the size of the dome of St Peter’s in Rome, two-thirds the size of St Sophia’s in Istanbul, or the same size as the Dome of the Rock in Jerusalem, is probably the greatest mute testimony to the strength of the dome tradition in Iranian architecture. See also Motlagh 2010.
- 121 In addition to Segal 1997: Ch. 3, part 1, see: Sauvaget 1941 (Aleppo); Spanner and Guyer 1926 (Rasafa); Jidejian 1975a (Baalbek); Watzinger and Watzinger 1921; Sauvaget 1949 (Damascus); McKenzie 1990: 131–3 (Petra).
- 122 The only one of this category at Jerash. The supposed arch assumed from foundations and even reconstructed at the junction of the Cardo and the Oval Plaza (Browning 1982: 80, 133, Fig. 25; Segal 1997: 133) could not have existed. They were merely piers that formed the junction of the Cardo colonnades with those of the Oval Plaza, and are too slight to have supported the type of arch that is reconstructed.
- 123 Segal 1997: 129–40. For others, see: Starcky and Gawlikowski 1985 (Palmyra); Hopkins 1979 (Dura Europos).
- 124 Oates 1986: 152–6 (Ishtar Gate); Herzfeld 1941: Pl. li; Pope 1965: 33 (Persepolis); Roaf 1990: 192–3, 204–5, 211; Amiet 1988; Ladiray 2013: 168–75; Kaboli 2000 (Susa).
- 125 Michell 1989: 179–89.
- 126 Balty 1981: 56–8.
- 127 Downey 1961: 173–84.
- 128 As implied by the Arab name for the Damascus Gate, *Bab al-Amud*, or ‘Gate of the Column’, and confirmed by its depiction in the Madaba mosaic. See Wilkinson 1975.
- 129 Piccirillo 1993: 37, Pls 337, 347; Bowersock 2006: Pls 1.6, 1.7, 3.1, 3.2.
- 130 Boulanger 1966: 174–5; Segal 1970: 26–7; Browning 1979: 169; Taylor 1986: Fig. 14.
- 131 Davies 1997.
- 132 Burns 2011: 168.
- 133 Bouchier 1916: 176; Hitti 1957: 354. For the Commagene monuments see: Akurgal 1990: 346–52 and references; Sanders (ed.) 1996.
- 134 Hunsberger 2000: 100.
- 135 Segal 1970: 26–7, 51.
- 136 Peña 1996: 205.
- 137 Peña 1996: 105–6.
- 138 Piccirillo 1990: 44.
- 139 Peña 1996: 221.
- 140 See also Palmer 1990 (especially 100–7) for stylitism in the Tur Abdin.
- 141 Herrmann 1977: 103–5.
- 142 Wheeler 1968: 127–45; Allchin 1995: 251–8.
- 143 See Michell 1989: 270, 284.
- 144 Hoag 1975: 93–4; Leiston 1996; Pinder-Wilson 2001.
- 145 Richard 2013.
- 146 Now re-interpreted as a *kalybe*: see below.
- 147 Segal 1997: 152–68.
- 148 Donner 1992: 39–40, 47–8, 63, 75–6.

- 149 Downey 1963: 203; Jidejian 1968: 116; Browning 1979: 159–60; Balty 1981: 76; Taylor 1986: Fig. 16.
- 150 The only monument in the West that, from its description, seems to be similar to or the same as a *Kalybe* is the Septizodium, constructed in Rome in 204 by Septimius Severus. See Birley 1988b: 163–4; Lusnia 2004.
- 151 Butler 1903. Segal (2013: 37–41) categorises these as ‘open exedra temples’, viewing them all as imperial cult temples. Dentzer *et al.* 2002–3 no longer interpret this monument as a *Kalybe*. See also Segal 2001, 2008: 120–30; Dirven 2011.
- 152 Butler 1903; Segal 2013: 219–21.
- 153 Amer and Gawlikowski 1985.
- 154 Butler 1903.
- 155 Waheeb and Zuhair 1995; Segal 2013: 265–6. More recent excavations in Amman have since re-confirmed this structure to have been a nymphaeum.
- 156 See Segal 2001, who identifies them as temples dedicated to the imperial cult.
- 157 See Chapter 4, ‘Bosra’, and Peters 1983. The processional way at Petra is pre-Roman, but colon-naded probably soon after the Roman annexation. See Burns 2011: 79–85.
- 158 The use of the term ‘basilica’ in its later, Christian, sense is discussed in Chapter 7.
- 159 Downey 1963: 75–6, 179–81.
- 160 Lauffray 1977. Current excavations have recently doubted Lauffray’s interpretation of the remains – see Butcher and Thorpe 1997.
- 161 Crowfoot *et al.* 1942: 32–7; Segal 1997: 58.
- 162 Holum *et al.* 1988: 130.
- 163 As the excavators themselves admit. See Overman and Schowalter 2011: 102–3.
- 164 Magness 2012: 276–80.
- 165 Northedge 1992: 56; Hadidi 1992; Segal 1997: 60–1.
- 166 Segal 1997: 59–60.
- 167 Freyberger 1989a: Abb. 1.
- 168 Kraeling 1938: 153–8; Browning 1982: 131–3. Lichtenberger (2008: 133) refers to it as the ‘Oval Forum’.
- 169 The suggestion is based on the existence of higher columns in the colonnades of both streets that might suggest entrances to some single, large complex in this area. Segal 1988: 34; Khouri 1986a: 86.
- 170 Amer and Gawlikowski 1985; Segal 1997: 55–7.
- 171 See Dirven’s (2011) remarks on the imperial cult in the Roman Near East.
- 172 Owens 1991: 154.
- 173 Downey 1963: 56–7.
- 174 Sauvaget 1949; Balty 1981: 69–74; Hopkins 1979.
- 175 Martin-Bueno 1989; 1994.
- 176 See Segal 1997: 55–67 and references for forums and market places in Palestine, Arabia and southern Syria. The ‘Lower Market’ has since been identified as a garden. See Bedal 1999; 2002.
- 177 Peters 1983: 275.
- 178 In fact the Latin terms *mercatus* and *macellum* are both apparently of Semitic derivation, ‘suggesting that the phenomenon was imported to the West from the East’. See Graf 2001: 477.
- 179 In no way implying that Bernini visited Jerash.
- 180 Fisher in Kraeling 1938: 153–8. It is particularly unfortunate that the single column erected in the centre of the plaza detracts from the open unified sweep of this masterpiece. It was erected only a few years ago merely as a gimmick for the Jerash Festival.
- 181 Donner 1992: 64–5, 87–94.
- 182 Donner 1992: 40, 47–8.
- 183 Downey 1961: 173–84.
- 184 Wheeler 1964: Fig. 26.
- 185 MacDonald 1986: 53–4.
- 186 Ward-Perkins 1981: 410–13.
- 187 Doe 1983: 124–5, 160–5.
- 188 See Gawlikowski 1982. The *hawtah* and other possible links with south Arabian architecture are explored further in Chapter 7, in the sections on temenos temples and the trabeate style. See also Hoyland 2001: 157–62 and Wightman 2007: 261–80 on pre-Islamic sacred places in Arabia.
- 189 Boulanger 1966: 255.
- 190 See, for example, the aerial photograph in Taylor 2001: 78.
- 191 Lindner *et al.* 1984; McKenzie 1990: 151–3, 159–61.

- 192 This is analysed in greater detail in Chapter 7, in the section on funerary architecture.
- 193 Donner 1992: 40, 54–5.
- 194 Peters 1983: 274.
- 195 Segal 1997: 67–81.
- 196 Tchalenko 1953–8: Pls xcx–xx.
- 197 Butler 1903 (Bosra and Shahba); Kraeling 1938 (Jerash); Downey 1963: Fig. 24 (Antioch); Browning 1979: 139–42 (Palmyra); Balty 1981: 53–5 (Apamea); Hirschfeld and Solar 1981 (Hammat Gader); Foerster and Tsafrir 1993 (Beth Shean).
- 198 Ward-Perkins 1981: 325, 338, 345; Dodge 1990.
- 199 See, e.g., Segal 1994.
- 200 Sear 1997.
- 201 Boulanger 1966: 178.
- 202 Although Caesar's theatre at Antioch might have been a rebuilding of an earlier Greek theatre – neither the sources nor the archaeological evidence give any clue. See Downey 1963: 76. Grainger (1990: 85) remarks on the lack of Greek theatres in Syria.
- 203 Jidejian 1968: 115. Note that its present location on the site is not the place it was originally found.
- 204 Kloner 1988; Gatiér 1990: 204; Porath 1995; Hammad 2006–7.
- 205 Downey 1961: 156–7 – although it may have been a hippodrome, like Caesarea.
- 206 Ammianus Marcellinus 14. 7. 1–2.
- 207 Porath 1995.
- 208 Peters 1983: 274–5. See Segal 1988: 83, for the possible identification of a hippodrome at Shahba. See also: Kehrberg and Ostracz 1997 (Jerash); Jidejian 1996a: 188–96 (Tyre).
- 209 E.g. Gregory and Kennedy 1985, Freeman and Kennedy 1986; French and Lightfoot 1989; Kennedy and Riley 1990; Isaac 1992; Gregory in Kennedy (ed.) 1996b; Kennedy 1996b; Kennedy and Bewley 2004: 171–93.
- 210 Isaac 1992: 133.
- 211 Kennedy and Riley 1990: 125 (Bosra); Balty and Rengen 1993 (Apamea); Gawlikowski 1996b; Kennedy and Bunbury 1998 (Zeugma). The identification of the square outline north of Bosra as the legionary headquarters has been refuted by Peters 1983: 275, but confirmed by MacAdam 1986b: 176.
- 212 Hopkins 1979; Gawlikowski 1984; De Vries 1986; 1990: 9 and 1998: 161–94; Baird 2014. The identification of this has been questioned: Millar 1993: 132–3.
- 213 Sack 1985.
- 214 Ward-Perkins 1981: 342–3, Sartre 1985: 123. This is now interpreted as a temple: see Segal 2013: 163–9.
- 215 Butler 1903–5: 255–60; Peters 1983: 274; Dentzer *et al.* 2002–3.
- 216 See Bedal 1999 and 2002, and Kropp 2009, who also notes a Herodian connection.
- 217 See Roller 1998; Netzer 2008; Magness 2012: 182–9, 204–29.
- 218 Will and Larché 1991.
- 219 Downey 1963: 235.
- 220 Kennedy and Riley 1990: Chapter 7.
- 221 Kennedy and Riley 1990; Breeze 2011: 118–26.
- 222 Isaac 1992: 408–9.
- 223 Gregory in Kennedy (ed.) 1996.
- 224 Hodgson 1989; Napoh 1997; Crow 1995.
- 225 Breeze 2011: Chapter 7.
- 226 Cameron 1993b: 118.
- 227 Wilhelm 1989: 10–11.
- 228 Killick 1984; Gasche, Killick *et al.* 1987.
- 229 Josephus *Jewish War* 1, 103; Kokhavi 1989.
- 230 Ball 1982: 145. Originally dated to the Achaemenid period, but now Kushan: Philippe Marquis, personal communication.
- 231 Rakhmanov 1994.
- 232 Bader, Gaibov and Koshalenko 1995: 49–50; Chegini and Nikitin in Litvinsky (1996): 58.
- 233 Bader, Gaibov and Koshalenko 1995.
- 234 Strabo 11. 516; Tarn 1951: 117–18; Barthold 1977: 112–13.
- 235 Sauer *et al.* 2013: xiii and following for full descriptions. See also Bivar and Fehevari 1966; Frye 1977 and 1984: 3; Kiani 1982, Charlesworth 1987.

7 Religious architecture

The resurgence of the East

A distinction between secular and religious architecture in the East is largely artificial. Even today, less of a distinction is made between the religious and the secular in the Middle East than in the West, and this was more so in the past: the New Testament made the distinction between what was God's and what was Caesar's because it had to.¹ We have already noted in the previous chapter how an apparently secular building might have a religious function or at least be subordinate to religion: a colonnaded street could function as a sacred way, for example, or a theatre might be an accessory to a religious complex. In many cases, the layout and function of an entire city might be subordinate to its religion, and holy cities are still a feature of the Middle East today. The same is true to some extent the other way round: a temple might have an administrative or economic function.² It must be emphasised therefore that the distinction is simply one of convenience, and in this chapter we discuss buildings whose religious function is more overt.

Temples³

Nowhere is a region's individuality expressed more than in its religion. In the religious architecture of the Roman East we probably see more regional differences from mainstream Roman architecture than in any other area. Indeed, so different are eastern temples from those in the West that the two are really different types of building, architecturally, functionally and liturgically. Yet their superficial resemblance to Roman temples in the West has probably contributed more than anything to assumptions of the cultural domination of Rome over the East. A major study of the Roman East by a Classicist, for example, views temples as built in the 'Roman' style or 'perfectly Greek'; elsewhere, 'One of the finest expressions of Greco-Roman culture in the Near East is the great temple of Artemis at Gerasa (Jerash) . . . eloquent testimony to the civic pride of its Greek-speaking inhabitants', cited as evidence of the western character of the Near East.⁴ In contrast, a study of Roman temples in Syria by a Near Eastern archaeologist states: 'In relation to the religious architecture of Roman Syria, the further one moves to the south and east from the coast around Iskenderun, the less obvious in general is the Graeco-Roman component and the more obvious the local.'⁵ In the perceived 'Hellenic' character of the Graeco-Roman temples of the East, it must be remembered that there are hardly any temples to Greek deities – Apollo or Poseidon or Athena – that characterise Greek settlements elsewhere (southern Italy, Cyrenaica, Ionia, etc.). There are temples to a 'Zeus' of course, but in all cases the 'Zeus' is the equivalent of a local deity, bearing little resemblance to Greek Zeus.⁶ Temples of Rome and Augustus are generally conspicuous by their absence in the East, especially when compared to, for example, North Africa.⁷ While much is made of the Jewish refusal to have any truck with

Rome's official state cult, one is left wondering whether anybody in the East bothered much with it. The different elements of the eastern temple, along with their eastern architectural affiliations, are, therefore, analysed in detail.

The temenos temple⁸

Cities in the East were usually dominated by a single, large temple complex to its patron god. Religion and holy cities were a major part of the entire urban fabric. Jerusalem, of course, was – and is – the archetypal such holy city, with a temple appropriate to such a status (e.g. Plates 2.15 and 2.16). But it is important to remember that before circumstances made Jerusalem pre-eminent, the East had many such holy cities, sacred to specific cults, all jostling for pre-eminence. Mambij was sacred to Atargatis, Byblos 'the holy' to Baalat-Gabal, Emesa to the Sun god Elagabal, Damascus to Hadad, Qanawat/Si' to Dushara, Sebaste to a rival Jehovah, to name but some of the 'Jeruselems' of the ancient Near East. Even when Christianity made Jerusalem pre-eminent, Christianity simply 'hijacked' many pre-Christian holy places in the near east, such as Damascus' Hadad which became Christian John the Baptist, as well as created several new holy centres, such as Rasafa and St Simeon Stylites in northern Syria. Many of these places were in turn 'hijacked' by Islam: Jerusalem, Damascus, Rasafa, for example, thus creating a continuous tradition of holy cities and places of pilgrimages.⁹ This is essentially a part of a broader ancient Arabian tradition of the holy city and place of pilgrimage or *haram*: Mecca, Najran, Yathil, Marib and San'a were the main ones in southern Arabia before Islam made Mecca pre-eminent.¹⁰ When a city had more than one temple, that of its patron god would always be the one to outshine all others. It would dwarf the buildings around it, providing the main focal point around which the city's life revolved. Indeed, in many cases the entire urban fabric would revolve around the main temple, with all other public architecture – the processional ways in particular – subservient. It would be built on a massive – almost monolithic – scale, usually much larger than those in the West. Incipient monotheism was never far away in the East, and is amply expressed in architecture. This idea of the single main city temple, with all other temples subservient to it, anticipated the concept of the cathedral in European architecture.

The single reason for the massive scale of eastern temples was the temenos or temple compound. Even a remote rural temple far from any main settlement, such as Husn Sulaiman in the mountains behind Tartus (Figure 7.1A, Plate 7.1) or those high up the slopes of Mt Hermon, would be larger than the average Roman temple because of its temenos.¹¹ The temenos, or temple enclosure, that surrounds the sanctuary (or occasionally in front as a forecourt) perhaps differentiates an eastern temple from its western counterpart more than any other feature.

The temple compound to its patron god usually takes up a major quarter in an eastern city. The Temple of Bel at Palmyra, the Temple of Artemis (probably Atargatis) at Jerash (Plate 7.2), the Temple of Jupiter-Hadad at Damascus (incorporated in the present Umayyad Mosque), and the Temple at Jerusalem all covered very large areas of a city's total area (Figures 2.8, 2.14, 4.12 and 4.15). Indeed, Herod's Temple at Jerusalem (Figure 2.7), covering approximately 151,200 square metres, was the largest temple in the Roman world, (although not the largest in the Middle East; the Temple of the Sun at Hatra discussed below, at 156,800 square metres, was larger), with those at Damascus (Figure 4.13) and Palmyra (Figure 7.1B) close behind. Smaller in scale were the Temples of Nebo and Baal-Shamin at Palmyra, the Temple of Dushara and the 'Great Temple' at Petra, the Temple of Zeus at Jerash, the Temple of Baal-Shamin at Kedesh in Galilee, the Temple to Rome and

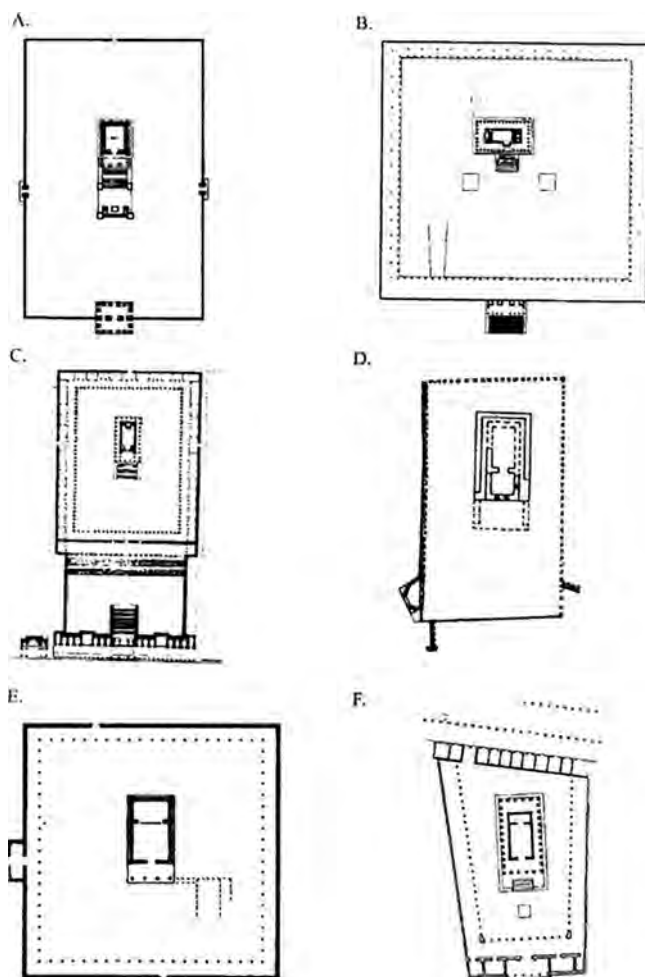


Figure 7.1 Temenos temples with sanctuaries in the centre. A: Husn Sulaiman. B: Bel Temple at Palmyra. C: Artemis Temple at Jerash. D: Heracles Temple at Amman. E: Shaikh Barakat. F: Nabu Temple at Palmyra. Not to scale

Augustus at Sebaste and the temple attributed to Heracles (on little evidence) on the acropolis at Amman, all enclosed in a temenos (Figures 7.1 and 7.2).¹² The temples of Yammuneh, Labwa, Khirbet al-Knisa, Yanta, Shahim, Masnaqa, Na'us, Antura, Sfira, Qal'at Faqra and Nabha al-Qaddan in Lebanon were also all temenos temples.¹³ At Pella, a major Roman sanctuary is known from coin depictions to have been situated on Tell Husn. Excavation here has brought to light the fragmentary remains of a large colonnaded Roman structure, probably a part of the temenos wall of this temple. Coin depictions show similar arrangements at Abila and Capitolias. Another such temple might have existed at Beth Shean, ancient Scythopolis, where recent excavations uncovered a monumental propylaeum at the foot of the hill (Tell Beth Shean) suggesting a similar arrangement to Amman and Pella.¹⁴ At Byblos, fragments of the temenos of the Temple of Baalat-Gabal have been found. A large enclosure



Plate 7.1 The temple of Husn Sulaiman

wall, presumably belonging to a temple, is just discernible on the surface at Cyrrhus. A massive temple complex has been deduced at Bosra, of which the ‘Nabataean Arch’ and some fragmentary foundations are the only parts surviving, the remainder probably demolished in the Christian era. Apamea had a major temple to Zeus Belos, known from inscriptions and literary references, but it has not been excavated. Emesa was known to have one of the greatest temples in the East, the Temple of Elagabal, but no trace of it survives.¹⁵ Although we do not know what form any of these temples that are no longer extant took, it is a safe assumption that they were within temenos enclosures.¹⁶

The Temple at Jerusalem was begun by Herod in 8 BC and finished about AD 60 (Figure 2.7, Plates 2.5 and 2.16). Most of the others were begun during the first century AD, but so vast was the undertaking that work on them continued throughout the second century and occasionally into the third. The peak of construction coincided with the great increase in wealth and building activity of the second century. Most were situated on the sites of earlier temples: Hellenistic antecedents to the Temple of Zeus at Jerash and the Temple of Bel at Palmyra are recorded, for example, and fragments of the Temple of Jupiter-Hadad in Damascus date back to the ninth century BC. They often mark, therefore, more ancient sacred places with histories of continuous religious worship stretching over a long period of time – in the case of Damascus and Jerusalem, continuing to this day.

All the above temples were urban manifestations, forming integral parts of the social and architectural fabric of cities. Of equal importance were the massive temple compounds situated *outside* major cities, sometimes in remote countryside. The most famous of these is the Temple of Jupiter at Baalbek (Figures 2.3 and 2.4, Plates 2.3–2.5), one of the greatest

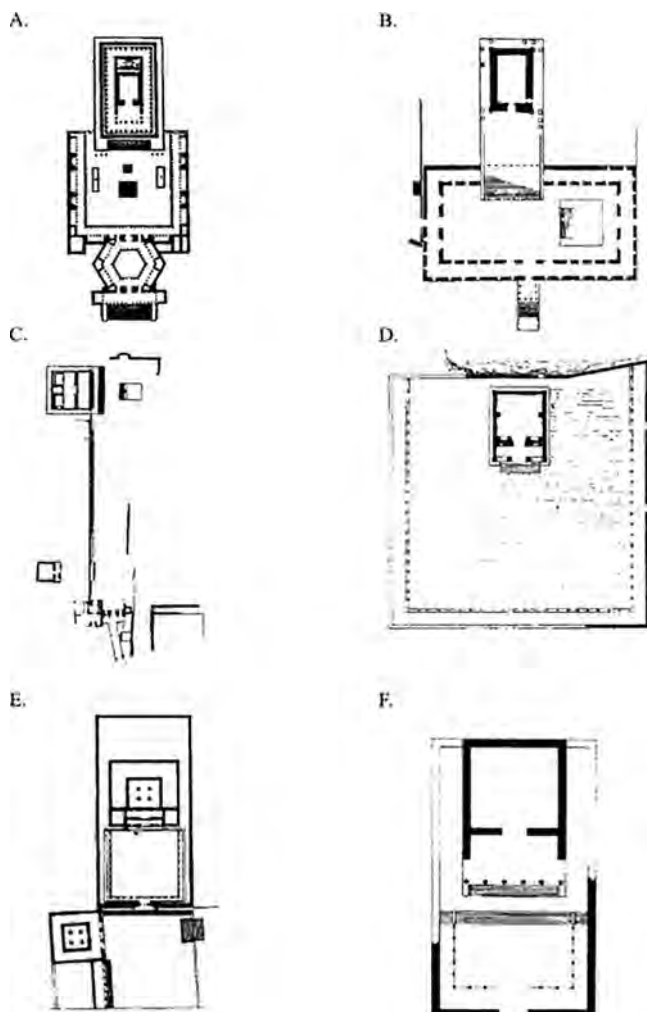


Figure 7.2 Temenos temple with sanctuaries at the end or one side. A: Baalbek. B: Zeus Temple at Jerash. C: Dushara Temple at Petra. D: Mushannaf. E: Seia. F: Qal'at Faqra

temples of the ancient world and one of the most ambitious and lavish works of architecture ever undertaken.¹⁷ It is a gigantic complex that incorporates two sanctuaries, the sanctuary of Jupiter within the temenos and another sanctuary (conventionally, albeit mistakenly, known as the Temple of Bacchus) alongside. As is to be expected with such a gigantic undertaking, it was built over a very long period, beginning in the time of Augustus and continuing sporadically throughout the first, second and third centuries. With the accession of Constantine in the fourth century a halt was called, with many details left unfinished. As a sacred site, its origins go back to the late second millennium BC or earlier.

It is important to remember that Baalbek was in the countryside: it was *not* a great city temple as Jerusalem or Damascus or Palmyra were, but only a minor country town of otherwise little significance. Baalbek *was* the temple and the temple Baalbek. Possible



Plate 7.2 The Temple of Artemis at Jerash

reasons why such a great temple existed a long way from any urban centre are discussed in Chapter 2, where it is suggested that Baalbek might be the great Temple of Emesene Baal. But the question is to some extent unimportant here, for Baalbek is not an isolated example. The Near East had a tradition of major cult shrines outside cities, usually situated on high eminences or otherwise in mountains (the sacred high place is discussed in more detail below), and nowhere more so than in the coastal ranges of Lebanon and Syria. Hence, temple enclosures are often found on mountains. There is a Temple of Zeus on the top of Jebel Shaikh Barakat in northern Syria (Figure 7.1E), the Temple of Zeus Bombos at Burj Baqirha and several others are in similarly elevated mountain positions nearby (Plate 5.19), there are several on or near the summit of Mt Hermon, the Temple of Zeus Kasios on a mountain outside Antioch is known from literary references, and there is a temple on top of Jebel Hardin in Lebanon.¹⁸ Otherwise, rural temples are usually elevated or in mountainous places. The Baal Shamin-Dushara Temple complex at Si' on a hillside near Qanawat in the Hauran is a famous example (Figure 4.14), while in Lebanon alone nearly sixty such temples have been recorded.¹⁹ The best known are those at Bziza, Sfira, Niha and Qal'at Faqra. There is a particularly high concentration around Mt Hermon (although very few, interestingly, associated with the equally high Mt Sa'uda further north). Another concentration occurs on and around Mt Shaikh Barakat in northern Syria.²⁰ Exceptionally, temples are located in lowland areas, such as the temple at Isriya (Figure 7.10G) between Palmyra and Aleppo and the temple at Mushannaf east of Jebel Druze (Figure 7.2D).²¹

Perhaps the most extraordinary in this series is the Temple of Baal Baetococaea at Husn Sulaiman high up in the mountains inland from Tartus (Plate 7.1). The dimensions of its temenos are as large as many a great city temple, with the size of the stone monoliths used in its construction comparable to those at Baalbek (Plate 7.3). In its present form, it was begun in the first century AD, probably being completed at the end of the second century, but the history of the sanctuary as a sacred place goes back to the Achaemenid period in the sixth century BC or earlier. The shrine was dedicated, according to an inscription, to Baetococaeian Baal 'the great Thunder god' which, although inconsistent with Baal Shamin, might be more associated with the cult of Hadad. In both architecture and cult this extraordinary temple complex is entirely consistent with Phoenician and Near Eastern, rather than Roman and western, traditions.²²

The enclosures usually surrounded the sanctuary, which would be located in or near the centre. At both Palmyra and Jerash Artemis, the sanctuary is in the centre (Plates 7.2 and 7.4); at Damascus and Jerusalem, there is no evidence for the location of the sanctuary. Reconstructions of the Jerusalem temple usually have it off centre (Figure 2.7), but the third-century synagogue fresco at Dura Europos depicts a peripteral temple in the centre of a compound remarkably like Palmyra.²³ Occasionally, the enclosure would be to the front or even the side of the sanctuary, such as at Baalbek, the Dushara Temple at Petra, the Zeus Temple at Jerash (Plate 7.5), Mushannaf, Si' and Qal'at Faqra (Figure 7.2).²⁴



Plate 7.3 The temenos wall at Husn Sulaiman. Note size of masonry blocks



Plate 7.4 The sanctuary of the Temple of Bel at Palmyra (destroyed in 2015)



Plate 7.5 The Temple of Zeus at Jerash, with the temenos in the foreground

In many ways, it was the enclosure itself, rather than the sanctuary building inside it, that was the main element of these great temples. The sanctuary was merely the residence of the deity, while outside in the enclosure was where the sacrifices to its honour took place and where mass worship was performed. Hence, the enclosure was more than just a perimeter wall between the city (or country) and the temple: it *was* the temple. It was also an arena, a theatre which provided a setting for elaborate and gorgeous religious spectacle involving mass participation by thousands – ‘performances’ far more sumptuous than the most ambitious of theatrical productions. Indeed, one authority emphasises the literal nature of theatricality in eastern temple architecture by noting that the south theatre at Jerash abuts the Temple of Zeus: the theatre effectively becomes an extension of the temenos.²⁵ The association of the Temple of Artemis at Jerash with the North Theatre nearby might also be viewed in this way. This theatrical aspect is emphasised architecturally in the temenos of the Temple of Dushara at Petra, which is even provided with seating for participants to the rituals. In a sense, the only functional difference between a Roman arena and a great eastern temple was that the latter demanded audience participation – and the bloodshed was not gratuitous. The importance of ‘stage-settings’ in eastern Roman architecture is explored in more detail below. The architecture of these great temple enclosures, therefore, invites some comparison with theatres. Like a stage backdrop, the walls would be lavishly embellished commensurate to the status of the deity itself (Plates 2.4 and 2.37). Continuous colonnades, of course, were usual, having the benefit of providing shade in addition to an appropriate setting. The temples of Bel, Nebo and Baal-Shamin at Palmyra, the Artemis Temple at Jerash, the Temple of Baal-Shamin at Si‘, the Temple of Jerusalem, and the temples at Baalbek, Mushannaf, Shaikh Barakat and Pella all had such colonnades (Figures 7.1 and 7.2). The walls would also come in for particularly elaborate decoration, often as elaborate as the sanctuaries themselves. Those of the Temple of Bel at Palmyra, for example, were decorated with repeated ‘Syrian niches’ (a popular motif for embellishing otherwise blank wall surfaces, discussed in more detail in the section on decoration, below), while the walls of the Baalbek temenos had repeated rows of Syrian niches in addition to exedrae with columns of pink Aswan granite (Plate 7.6). At Husn Sulaiman the stones used for the construction of its temenos wall were monoliths up to 15 metres long and 2.5 metres high, quite unnecessarily massive for structural or defensive purposes but a testimony to the great importance attached to the enclosure walls (Plate 7.3).

Temple propylaea

It was not just the interiors of the temenos walls that came in for lavish attention, but the exteriors as well. For a temple compound was never exclusive, it never turned its back on the city or community in which it was located. It formed an integral part of it, and the architecture of their exteriors was meant to attract, to draw people in. The walls of the Temple of Bel at Palmyra, for example, are decorated with rows of Corinthian pilasters, but in many other cases the exteriors were blank. This was probably because the temples were surrounded by the houses and bazaars of the city itself, rather like a Friday Mosque is today. But more important than the exterior decoration of the walls were the entrances that led into them. Most temple enclosures had elaborate propylaea. These monumental gateways would often have more architectural attention than the sanctuaries themselves – and usually far outshone commemorative arches in sheer elaborate ostentation. The propylaeum to the Dushara Temple temenos at Petra is actually formed by a commemorative arch, and the propylaea to the great temples at Palmyra, Damascus, Jerash and Baalbek are some of the most elaborate in the



Plate 7.6 The temenos wall at Baalbek

ancient world. The propylaeum to the so-called Temple of Hercules in Amman was almost as splendid as the Artemis Propylaeum in Jerash, consisting of a gateway to a monumental flight of stairs up to the temple precinct on top of the acropolis. This, however, has now disappeared (Figure 7.3). The Baalbek Propylaeum consists of a semicircular plaza in front of a flowing bank of stairs (destroyed in the twelfth century) leading to a pedimented and colonnaded portico flanked by the two towers of the temple ‘high places’ (this curious feature is discussed more below), the whole decorated in pilasters and rows of niches that contained statuary (Figure 7.4). This in turn led into a colonnaded hexagonal courtyard, a kind of ‘ante-chamber’ to the great courtyard beyond, that architecturally formed a part of the propylaeum complex rather than the temple courtyard itself. One might postulate here the existence of a monumental processional way leading to the semicircular plaza, repeating the arrangement found at Jerash and elsewhere.

The most elaborate of all – and surely one of the most elaborate in Classical architecture? – is the Artemis Propylaeum at Jerash (Figure 6.8, Plate 6.4). It began some distance from the temple itself with a processional way starting at least 300 metres to the east across the Chrysoroas (Jerash) River. This was crossed by a bridge (now gone) and then continued up a flight of stairs to the first gateway in the form of a monumental Roman triple arch, embellished with four engaged columns on either side flanking the doorways. It led into a short colonnaded avenue some 40 metres long (converted into a church in the sixth century) which opened out into a curious courtyard in the form of a trapezium flanked by two semicircular exedrae. The processional way then crossed the *Cardo*, the east *Cardo* colonnade stopping short

either side of the trapezium courtyard – the columns parting, as it were, for the procession. Dominating the west side of the *Cardo* was the second gateway, the main propylaeum itself (Plate 7.7). Four gigantic columns supported a massive pediment and behind it was another triple entrance, its façade adorned with four engaged columns supporting an entablature with two ‘Syrian niches’ above each lateral doorway. Beyond, a great flowing bank of stairs led up to a broad terrace that extended the full width of the *temenos*. In the middle of the terrace was an altar, and along its western side was another flight of stairs, almost as broad as the massive *temenos* above, that led in three flights up to the third and final gateway into the *temenos* itself. This final gateway, like the Baalbek Propylaeum, consisted of a colonnaded portico flanked by two towers and capped by a pediment. The worshipper would then pass through another triple entrance into the great courtyard beyond – the culmination of an almost ‘symphonic’ architectural crescendo. ‘The interplay between the *cardo*, the propylaeum soaring above its horizon along the western side, the rising staircase with no visual clues until the top is reached come together to provide one of one of the most significant pieces of urban visual

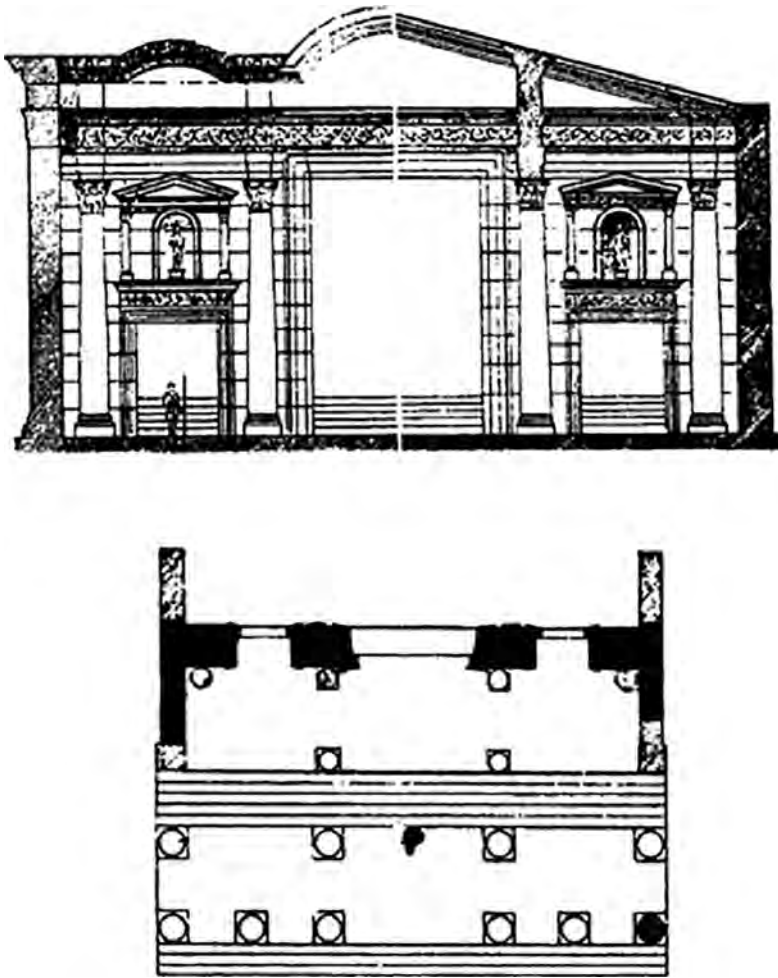


Figure 7.3 The Amman Propylaeum (After Butler)

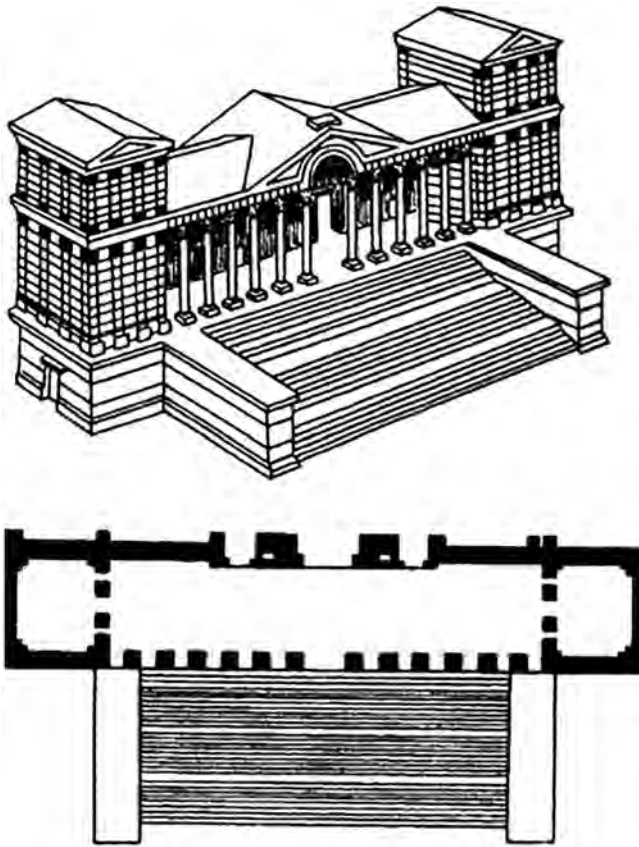


Figure 7.4 The Baalbek Propylaeum (After Wiegand)

manipulation anywhere in the Roman world.²⁶ Surely, few more awe-inspiring monumental approaches exist anywhere.

It has been suggested above that the main colonnaded streets of Byblos, Palmyra, Petra, Bosra and possibly Jerash were similar processional ways, so perhaps the colonnaded street-oval plaza combinations at some of these cities should also be considered as parts of their temple propylaea – or as extensions to them.

Eastern temple origins

Numerous comparisons have been drawn between eastern Roman temples and those in the West. There are obvious comparisons of detail, of style, even occasionally of evolution and layout, and they are generally discussed within the broader framework of Roman imperial architecture. Indeed, some buildings such as Baalbek or the Artemis Propylaeum of Jerash have featured on the front covers or in otherwise prominent positions in general studies of Roman architecture, as if these buildings epitomised or typified Roman architecture as a whole. There are resemblances, admittedly, and it would be folly to overlook them. But the resemblances are superficial. In terms of function, liturgy, antecedents and overall concept,

these massive temple enclosures with their elaborate entrances have no counterpart in the Roman architecture of the West. Temples might be surrounded by an enclosure wall in the West, but not comparable to the scale or elaboration of the eastern enclosures. More usually a temple would open directly off a street or a forum, without intervening propylaea or enclosures. It is significant that one of the few temenos temples in Rome itself was Aurelian's Temple of the Sun, which was a transference of the cult of Emesene Elagabal.²⁷ The temenos temples, apart from decorative details, belong within an eastern tradition. Any explanation of them must lie, therefore, in an eastern architectural context.²⁸

Two of the greatest works of Parthian architecture immediately spring to mind in considering the eastern Roman temple form. These are the Temple of the Sun at Hatra in northern Iraq and the Temple of Anahita at Kangavar in western Iran. A possible third is the temple at Khurha, south of Tehran.²⁹ In both major temples the architectural emphasis is on vast enclosed spaces. The Hatra temple, lying in the centre of a circular walled city, occupies – like those at Palmyra or Jerusalem – a significant percentage of the total area of the city (Figure 7.5). At approximately 156,800 square metres it is larger than the Temple at Jerusalem, making it probably the largest single temple complex west of India. About two-thirds of this area is the outer courtyard, with most of the remaining third occupied



Plate 7.7 The Artemis Propylaeum at Jerash

by the inner courtyard. There is a Hellenistic style peripteral temple at the end of the outer courtyard opposite the main entrance. This is flanked by two inner gateways leading to the inner courtyard, in the centre of which is the main sanctuary. Unlike the peripteral temple, the layout of this inner sanctuary consists of a series of iwans (vaulted halls) and an inner circumambulatory (discussed further below). This has no counterpart in Graeco-Roman architecture, belonging within the Iranian tradition.

The Temple of Anahita at Kangavar is only marginally smaller, and again the emphasis is on a great courtyard (Figure 7.6). Two lateral stairways ascend a massive stone platform recalling Achaemenid traditions. The perimeter of this platform is surrounded on all four sides by a triple colonnade in a debased Doric order. This colonnade is open both to the exterior and interior.³⁰ The colonnades, furthermore, appear neither to have been roofed nor even joined along the tops: no architraves or similar beams were found in the rubble (unless it was roofed by timber and mud, in the local tradition). One at least of the fallen columns, however, incorporates the springing of an arch, so part of the colonnade at least might have been arcaded, perhaps over entrances.³¹ Inside, a vast open space surrounds a sanctuary building. Earlier studies of this monument reconstructed a Hellenistic style peripteral temple for the sanctuary, based on little more than supposition. More recent archaeological investigations, however, favour two massive superimposed platforms for the sanctuary reconstruction, belonging more to a Mesopotamian tradition.³²

Another Iranian temple in this tradition is at Khurha, south of Tehran. Very little survives apart from two standing columns in a debased Ionic order. The short length of its stylobate visible today suggests, however, that it too might have formed a part of a temple temenos.

The Hatra temple is generally thought to be second century AD. Dates for Kangavar have been far more divergent. Earlier studies favoured a Seleucid date, with some suggesting an Achaemenid date for the platform. A date in the Parthian period has since been more generally favoured on stylistic grounds, but recent excavations found evidence for major Sasanian

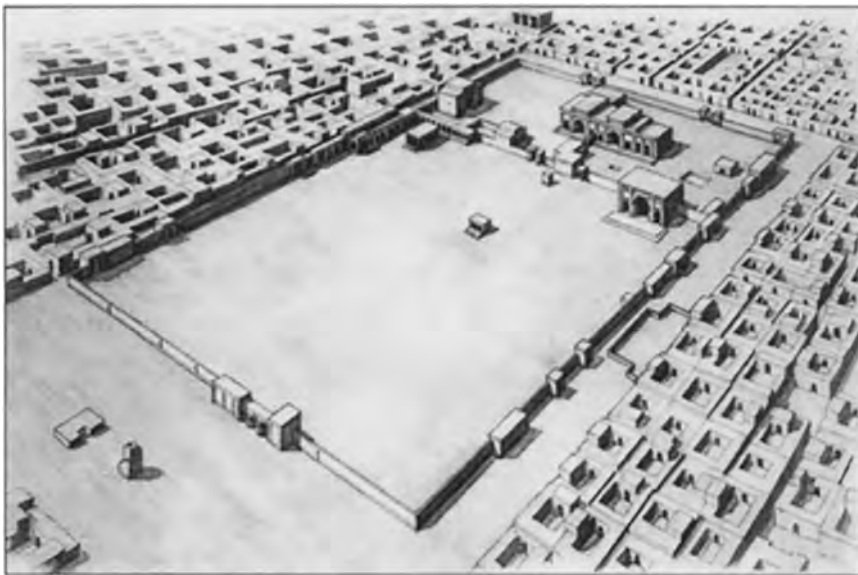


Figure 7.5 The Sun Temple complex at Hatra (After Andrae/Herrmann)

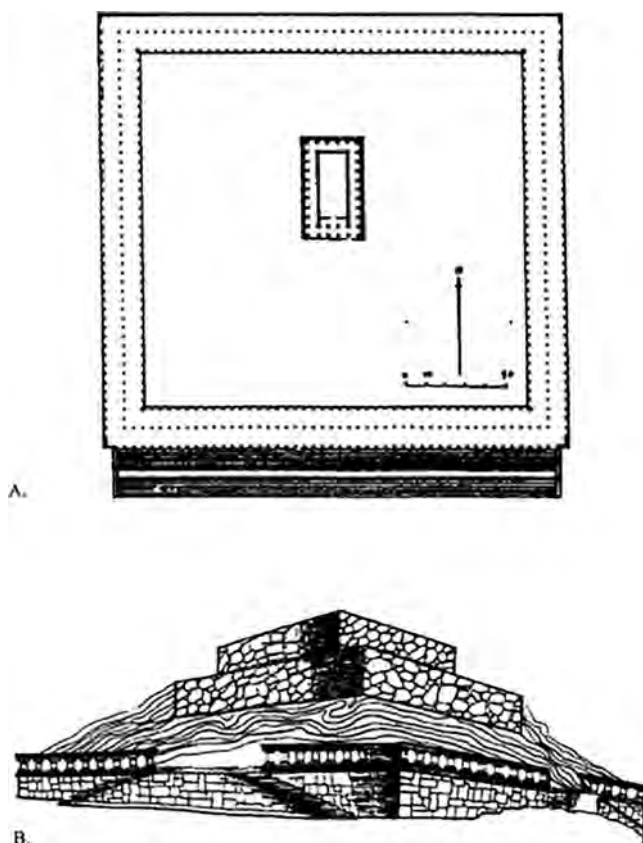


Figure 7.6 The Temple of Anahita at Kangavar. A: former interpretation (according to Herzfeld).
B: new interpretation (according to Kambakhsh Fard)

construction.³³ However, the colonnaded temenos is close to the style of the great colonnaded temples of Syria and different in almost every respect to Sasanian architecture. Probably, the temple underwent numerous reconstruction periods, with perhaps a second-century AD date for the temenos colonnade and major Sasanian reconstruction of the sanctuary building inside. Khurha is broadly dated to the Seleucid–Parthian period.

There are obvious Graeco-Roman derivatives in the architecture of these three temples: for example, the peripteral temple and decorative details such as Corinthian pilasters at Hatra, the use of colonnades and Greek orders (albeit debased) at all three. These resemblances, however, are superficial. With the overriding emphasis on the temenos and the vast enclosed spaces, these temples clearly belong within the same architectural tradition as those in the Roman East.³⁴

This tradition however is not Iranian, Hatra and Kangavar notwithstanding. There are important Iranian elements at both Hatra and Kangavar, the Parthian-style iwans and circumambulatory at Hatra, for example, or the Achaemenid-style platform and staircases at Kangavar. But these, like the Doric and Ionic columns, are not the main element. The vast temenos areas appear as alien to Iranian styles as they are to Graeco-Roman – Iranian

religious architecture is more typified by small, enclosed spaces, such as (for the Parthian period) the fire temple at Kuh-i Khwaja.³⁵ No known temples exist from the Achaemenid period. Elsewhere in the Iranian world the only fire temples that are within large enclosures are the sixth-century Soghdian temples, such as the North and South Temples at Panjikent. Both of these are enclosed in colonnaded courtyards, the latter having an additional outer courtyard as a part of a monumental approach that includes inner and outer propylaea and a staircase up to the temple sanctuary.³⁶ The Soghdian fire temples, however, while a part of an Iranian religious tradition, are probably too far distant (in both time and place) for architectural connections to be made to Hatra and Kangavar; Iranian fire temples further west are architecturally quite different.³⁷ It seems more likely that both the Iranian and the eastern Roman *temenos* temples belong within a Mesopotamian and Semitic tradition (and both Hatra and Kangavar were on the western – Semitic – fringes of the Parthian Empire).

The large temple enclosure has an immensely long history in the ancient Near East, particularly in Mesopotamia where the tradition originates in Sumerian architecture.³⁸ Here, temples are characterised by large courtyards, either surrounding the sanctuary or in front of them. The fourth-millennium 'painted temple' at Tell 'Uqair and the Eanna Temple complex at Uruk, the early third-millennium Oval Temple at Khafaje, the late third-millennium Ur-Nammu Temple precinct at Ur, the early second millennium Temple of Ishtar-Kititum at Ishchali, the mid-first-millennium Marduk shrine at Babylon, are just some examples (Figure 7.7). Those at Ur and Babylon are ziggurat complexes.³⁹ Temple enclosures for sanctuaries have also already been noted in south Arabian architecture.⁴⁰

In Syria and the Levant most of the earlier large temple enclosures disappeared – or were obscured – under Roman monumentalisation, as they were on sites that were sacred long before the Romans and remained sacred afterwards. The antiquity of the Damascus and Jerusalem temple enclosures has already been noted. The one Levantine temple that has preserved its pre-Roman form is the Temple of Melqart at Amrit, dating from the sixth to fourth centuries BC (Plate 7.8). Although on a smaller scale than most of the large temples of the Roman period discussed above, the central element once again is the pillared courtyard surrounding a central sanctuary.⁴¹ The temple enclosures of eastern Roman architecture thus mark the culmination of an ancient and continuous Near Eastern tradition.

The reason for their development was liturgical. In the Graeco-Roman West, a temple needed only to be large enough to house the image of the god (albeit in appropriate splendour) as well as some associated priestly functions. It did not need to accommodate or even to relate much to the public as a whole. In the East, however, participation by the public – a congregation – was as important as housing the image. Virtually all Near Eastern religions demanded that the entire community gather together on an appointed day for communal worship at the main city temple, a ritual that again goes back to ancient Mesopotamia.⁴² Hence, the need for a huge space to house such numbers. The concept of a congregation is both fundamental and universal to ancient Near Eastern religion. This demand by Semitic religious ritual for a congregation influenced European architecture with the spread of Christianity, dictating the development of church architecture.⁴³ When the latest Semitic religion emerged in the Near East at the end of the Roman period with the arrival of Islam, the congregation was one of the new religion's main outward manifestations. It continued to dictate the form that mosque architecture took, just as it had dictated the form of the pagan temples. For the central element of the mosque is the courtyard. No building better illustrates both the concept and the development than the Umayyad Mosque at Damascus (Figure 7.7E). Beginning life as a temple of Hadad in the first millennium BC, it became successively a temple of Jupiter in the early Roman period and a cathedral to John the Baptist in the Christian, before becoming

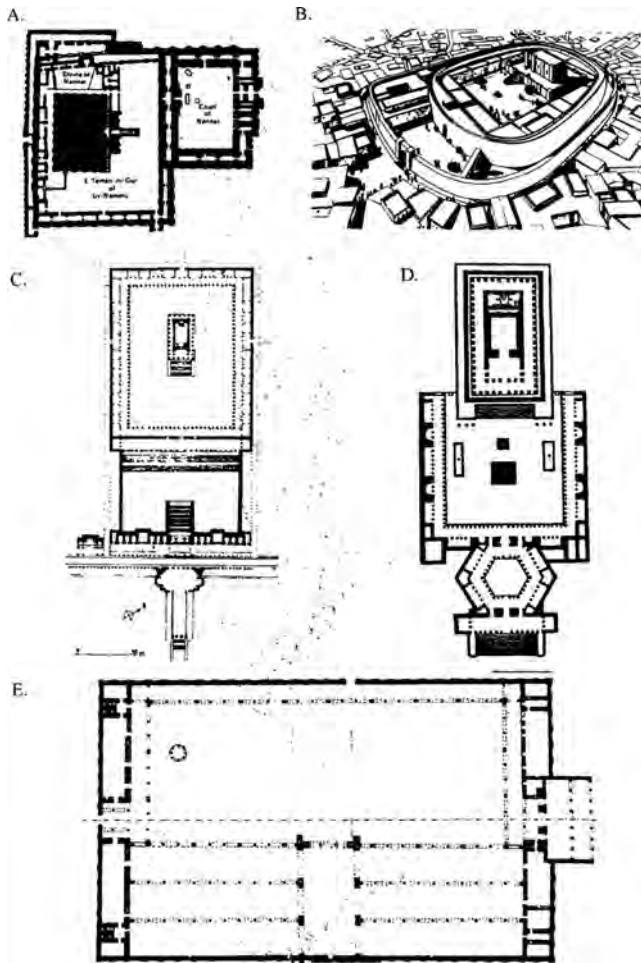


Figure 7.7 The evolution of the sacred courtyard. A: Khafaje. B: Ur. C: Baalbek. D: Jerash. E: Umayyad Mosque at Damascus. Not to scale (After Darby, Hawkes, Ragette, Segal, Creswell)

Islam's first major mosque. Throughout, it was the ability of its vast courtyard to accommodate large numbers of worshippers that made it entirely appropriate for all four of its incarnations. The enclosure walls of many of the great pilgrimage churches of northern Syria, such as St Simeon Stylites or Qalb Lauzah, also mark a smooth transition into Christian practice of the same tradition.⁴⁴

There was another religious element which might have demanded the evolution of the temple courtyard. This was the Near Eastern tradition of inviolability. We read, for example, that the sanctuary of Baetococaea (Husn Sulaiman) was made inviolable by both the Seleucids and Ptolemies, and its associated village granted many privileges and exemptions (including tax).⁴⁵ This element of inviolability was an important and ancient one in Arabian religious tradition. It recalls the Arabian tradition of the *haram* or *hawtah* such as existed in pre-Islamic Arabia: Mecca, Najran, San'a, Marib and others. These were sacred enclaves,



Plate 7.8 The Temple of Melqart at Amrit

expressed architecturally as walled enclosures, which acted as neutral, inviolable havens among rival tribes or nations (Plate 6.36). As neutral territory between often warring tribes, these *hawtah* would also act as market-places, where all factions could trade and carry out commerce.⁴⁶ Accordingly, it comes as no surprise to learn that inscriptions at the ‘inviolable’ sacred place of the Temple of Husn Sulaiman also record that it was made a neutral, tax-free market-place.⁴⁷ Southern Arabia might seem culturally very distant from the Roman Near East but, before the Macedonian and Roman conquests imparted an east–west political division on the Near East, the area was culturally far more unified than the appearance of Graeco-Roman art forms might imply. South Arabia is in any case closer than Greece or Rome. Ancient religious and architectural traditions were – and still are – extraordinarily tenacious in this region. The tradition of an inviolate sacred space continued into Christianity: many of the sacred enclosures surrounding some of the pilgrimage churches of north Syria, such as the Church of Bizzos at Ruwayha, were known to be inviolate and places of protection.⁴⁸

Exterior altars

Much of the emphasis on open spaces was linked to the place of altars in eastern temples. They were usually situated in the middle of the temenos, opposite the sanctuary entrance. Indeed, altars often formed as much a focal point to the complex as the sanctuary itself did.

Once again this was for mass participation in worship. The altars often came in for elaborate embellishment and reached monumental proportions: those at the Temple of Bel at Palmyra (Plate 2.37), the Temple of Zeus at Jerash, and the Temple of Dushara at Petra, for example. The great altar in the temenos at Baalbek was particularly elaborate, built in the form of a tower several storeys high, the exterior decorated with pilasters and the interior having finely coffered ceilings (Figure 7.8). This altar had the additional function as a sacred high place (discussed below).⁴⁹ Qal'at Faqra has an isolated altar outside the temenos (Plate 7.9).

Temple sanctuaries

The sanctuaries would usually be situated in or near the centre of the temenos, such as at the Temples of Bel and Nabu at Palmyra, the Temple of Artemis at Jerash, and the temples at Husn Sulaiman, Sebaste and Amman (Figure 7.1). Almost as often they would be situated at or near the end of the temenos, such as the 'Great Temple' and Temple of the Winged Lions at Petra, and the temples at Si', Qal'at Faqra, Mushannaf and Baalbek (Figure 7.2). This arrangement seems more favoured in Nabataean temples (the first three are Nabataean). At the Temple of Dushara at Petra the sanctuary is to one side of the temenos, at the Temple of Zeus at Jerash the sanctuary is at the centre of the lateral side, and at the Temple of Baal-Shamin at Palmyra the sanctuary has two temenoses on either side. These arrangements are unusual.

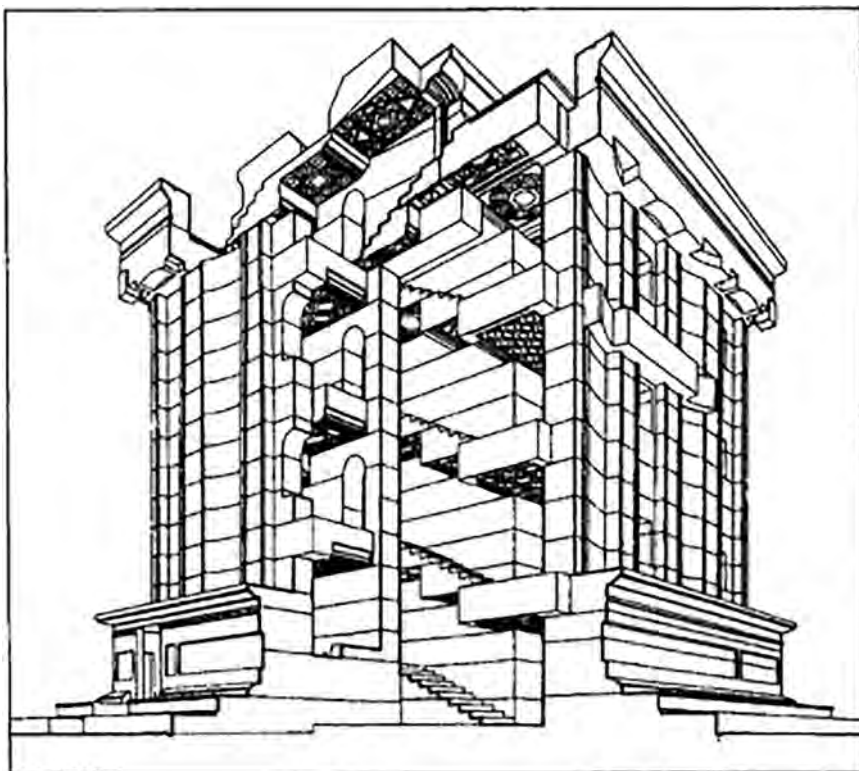


Figure 7.8 The great altar at Baalbek (After Collart and Coupel)



Plate 7.9 Qal'at Faqra, with the altar in the foreground and tower in the background

The sanctuary buildings themselves would often bear a superficial external resemblance to Roman temple types. Hence, many were peripteral temples: Bel and Nabu at Palmyra, the sanctuaries of Jupiter and 'Bacchus' at Baalbek, the 'Great Temple' at Petra, the sanctuary of Zeus at Jerash, the Helios Temple at Qanawat, and the now vanished temples at Jerusalem, Suwayda and Nablus (Figure 7.9).⁵⁰ Prostyle temples were more popular, in accordance with the general popularity of this form throughout imperial Roman architecture. The Temple of Baal-Shamin at Palmyra, the Temple of Artemis at Jerash, the Temple of Zeus at Qanawat, and the temples at Husn Sulaiman, Qal'at Faqra, Si', Isriya, Shaikh Barakat, Burj Baqirha and Amman are all prostyle (Figure 7.10), with the Temple of Dushara at Petra and those at Qasr Rabbah, Diban, Sur, Slim, 'Atil and Mushannaf all prostyle *in antis* (Figure 7.11). Occasionally, temples would have no colonnades, but have only pilasters on the outside. Such are the 'Philippeion' at Shahba and the temples at Dmayr and Sanamayn (Figure 7.12).⁵¹

But there the resemblance to western Roman temples stopped. In many cases, additional elements were inserted into the sanctuary façades that had no counterpart in western architecture – indeed, elements that affronted the purer Classical forms. For example, the Temple of Bel at Palmyra had a monumental portal inserted off centre in one of its lateral sides, a feature that may derive from Egypt, while the façades of many of the southern Syrian sanctuaries would be framed with towers or have towers on top (Figure 7.21).⁵² This very un-Classical feature is explored in more detail below ('High places').

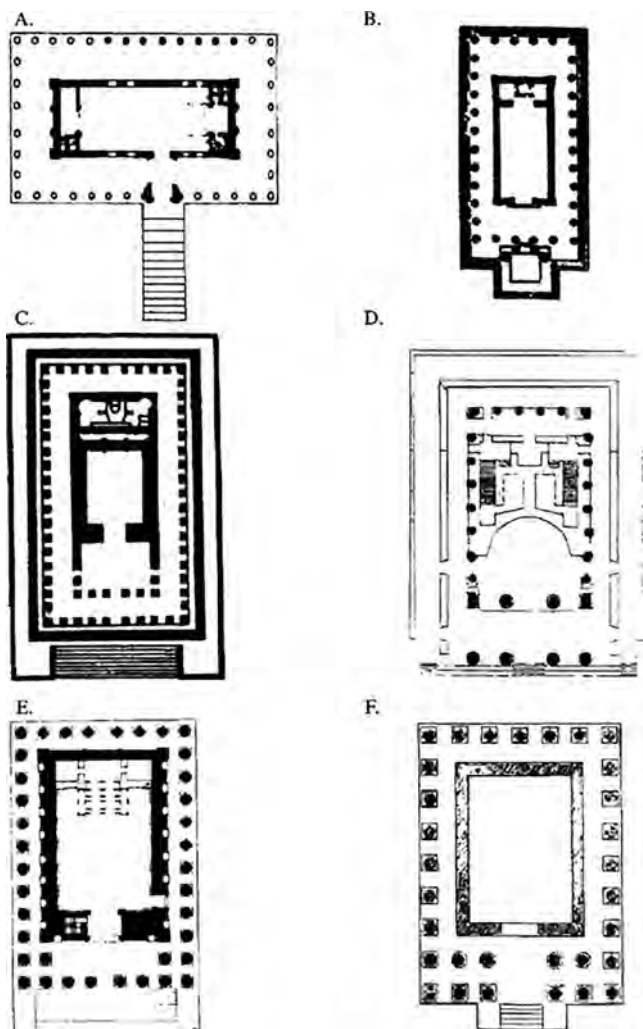


Figure 7.9 Peripteral temple sanctuaries. A: Palmyra-Bel. B: Palmyra-Nabu. C: Baalbek. D: Petra-Great Temple. E: Jerash-Zeus. F: Qanawat-Helios

More than the exteriors, however, the interiors differed fundamentally from Roman temples in the West. Many were in the form of circumambulatories, a feature explored more below. Otherwise, sanctuaries were dominated by a raised platform at one end (or occasionally both), usually approached by a flight of stairs covered by a canopy, often elaborately decorated. Those in the Temples of Bel at Palmyra (Plate 7.10), 'Bacchus' at Baalbek (Figure 7.13), and the temple at Niha in Lebanon (Plate 7.11) are particularly outstanding. Others with similar elaborate adytons are at Qasr Neba and Sfirra in Lebanon. The reason for such elaboration is that interiors were the throne room of the deity, and platforms his throne where he received his worshippers in audience, unlike the simpler interiors of Greek or Roman temples which were merely rooms to house the image.⁵³ For the origins of this we

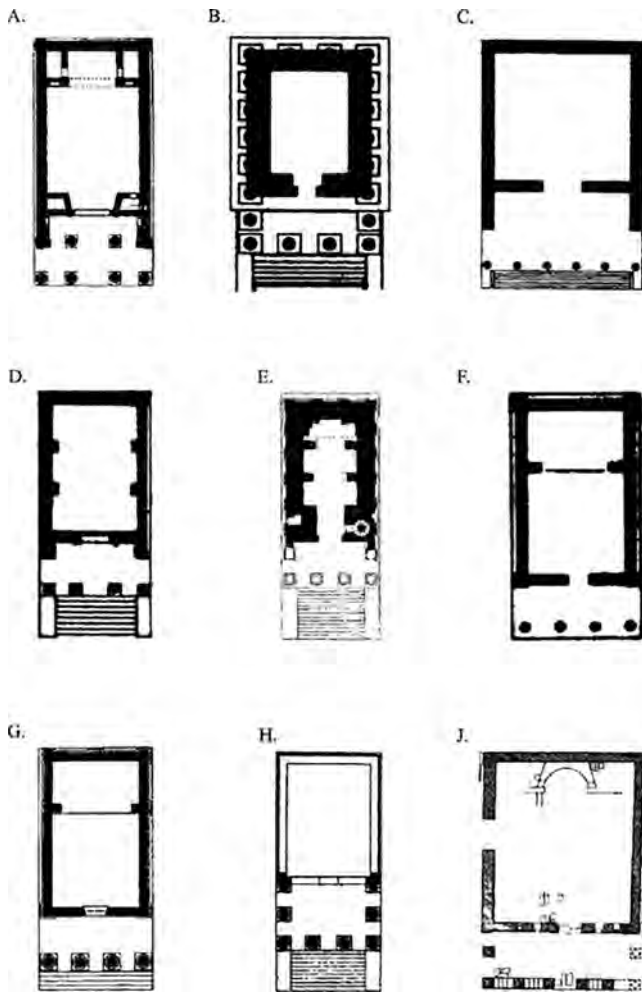


Figure 7.10 Prostyle temple sanctuaries. A: Qanawat-Zeus. B: Husn Sulaiman. C: Qal'at Faqra. D: Seia. E: Isriya. F: Shaikh Barakat. G: Burj Baqirha. H: Amman. J: Kedesh. Not to scale

once more turn to the temple architecture of ancient Mesopotamia. Here, the centre wall or the end of the cella is typically dominated by a dais or platform, exactly like a throne room. Examples exist at Tell Asmar, Khafaja, Tell Agab, Ishchali, Ur, Nimrud and Babylon, ranging from the late fourth to the mid-first millennium BC (Plate 7.12).⁵⁴

Many of the cellas were arranged in a tripartite division at their end. Examples can be seen at the Temples of Dushara at Petra, Zeus at Jerash, Baal-Shamin at Palmyra, Zeus at Qanawat, and others at Kedesh, Niha, Tawwan, Qasr Rabbah, Diban, Dmayr, Slim and Sanamayn (Figure 7.14).⁵⁵ Again, the origins of this arrangement lie in ancient Syrian and Mesopotamian prototypes, such as the temples at Tell Achana, Eshnunna, Tell Asmar and Ur (Figure 7.15).⁵⁶ The 'apsidal' arrangement of many of these plans continued in into the early Christian architecture of Syria. The cellas of the Temples of Tyche

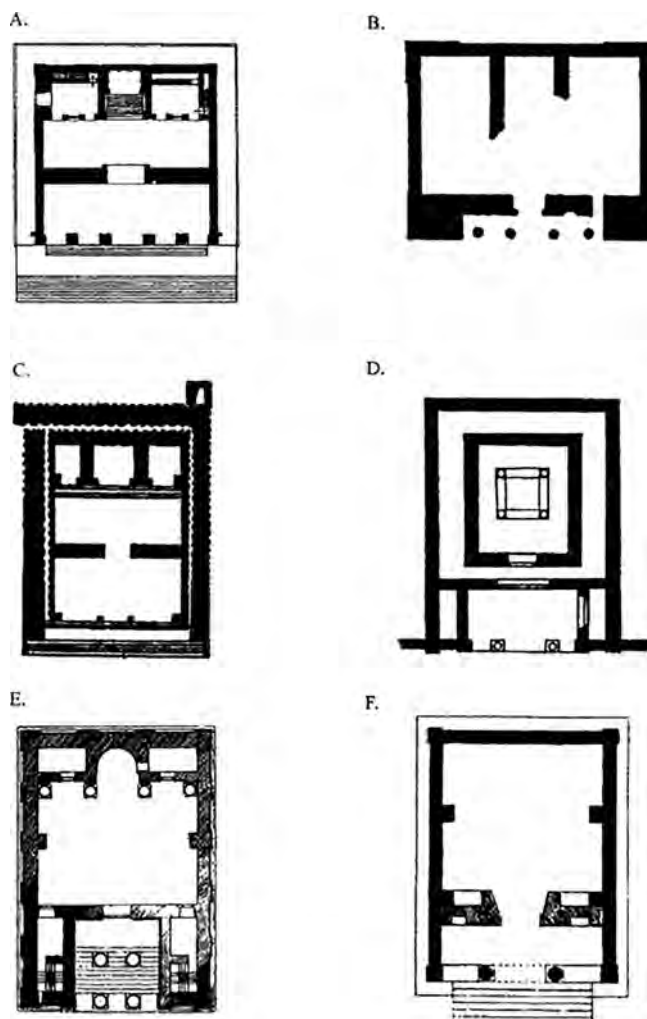


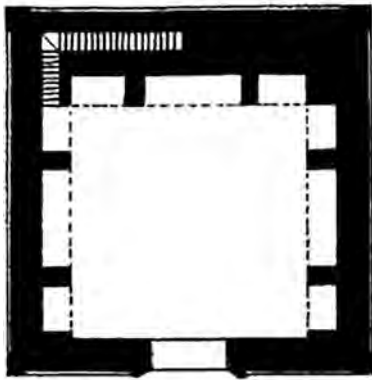
Figure 7.11 Prostyle in antis temple sanctuaries. A: Petra-Dushara. B: Rabbah. C: Diban. D: Sur. E: Slim. F: Mushannaf. Not to scale

at Sanamayn and Baal-Shamin at Kadesh are remarkably ‘church like’ in this respect. Examples are particularly common amongst the churches of north Syria, while church plans in Mesopotamia adhered more closely to the Mesopotamian temple prototypes.⁵⁷

Circumambulatories

There is another, more unusual, sanctuary arrangement in eastern Roman architecture that characterises many Nabataean temples.⁵⁸ This consists of an inner chamber surrounded by an outer circumambulatory corridor. This arrangement is neither universal nor exclusive to Nabataean temples – the Temple of Dushara at Petra cited above, for example, has a tripartite arrangement, and circumambulatories are found in the Roman East outside the Nabataean

A.



B.

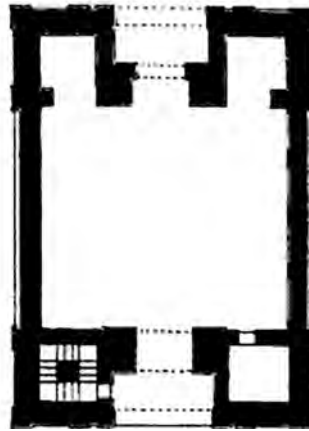


Figure 7.12 Uncolonnaded temple sanctuaries. A: Shahba. B: Dmayr



Plate 7.10 The interior adyton of the Temple of Bel at Palmyra (destroyed in 2015)



Plate 7.11 Interior of the temple at Niha



Plate 7.12 Interior dais of the Nabu Temple at Nimrud (destroyed in 2015)

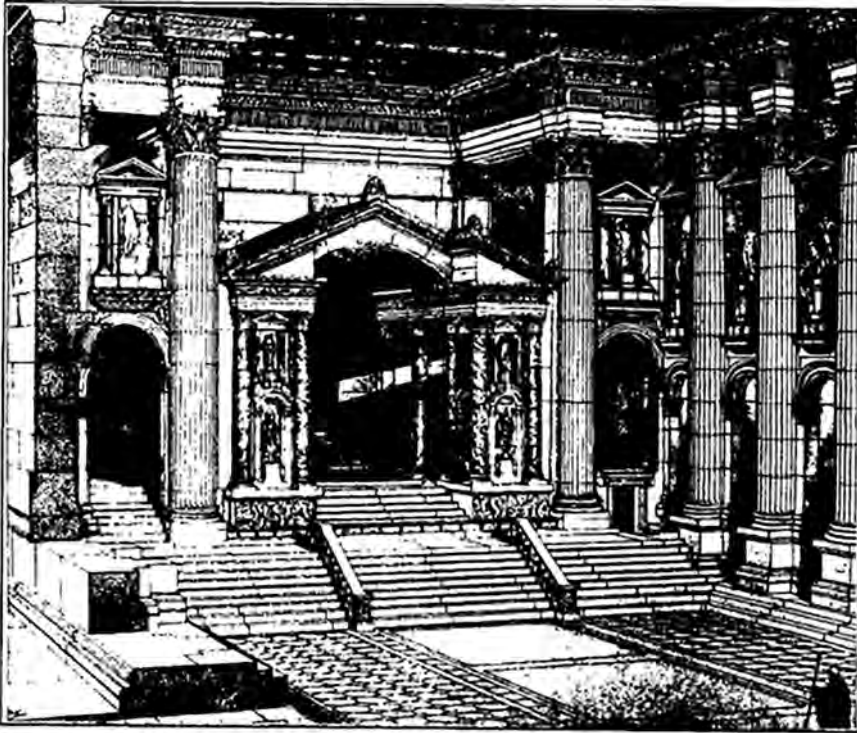


Figure 7.13 Interior of the 'Bacchus' Temple at Baalbek (After Wiegand)

area. Nevertheless, the arrangement does appear to be mainly Nabataean. Examples are the Temples of Baal-Shamin and Dushara at Si', the 'Temple of the Winged Lions' and the 'Great Temple' at Petra, and temples at Khirbet Tannur, Sur, Sahr, Sahir, Khirbet adh-Dharih and Wadi Ramm (Figure 7.16).⁵⁹ Outside the Nabataean area, the sanctuary of 'Temple A' at Hasan Madhur in the desert northwest of Palmyra is in the form of a circumambulatory.⁶⁰

This arrangement has been recognised as Iranian in origin.⁶¹ Comparisons can be drawn with many Parthian period temples, such as the Temple of the Sun at Hatra, the 'Parthian' Temple at Takht-i Sulaiman in Azerbaijan, Bard-i Nishandeh and Masjid-i Sulaiman in Khuzistan and Kuh-Khwaja in Sistan (Figure 7.17). It remained a popular form in Sasanian architecture as well. Examples are the Imamzadeh Sayyid Husain fire temple near Bishapur, the Temple of Anahita also at Bishapur, Damghan (Tepe Hisar), Kunar Siah in Fars, Chahar Deh in Khuzistan, Tall-i Ghangi (Farashband 2) in Fars and elsewhere in Parthian and Sasanian architecture (Figure 7.18). The origin might lie in Achaemenid or Uartian architecture, with examples of the form at Susa in Khuzistan and Altintepe in eastern Anatolia, the latter reused in the Achaemenid period (Figure 7.19).⁶² Virtually all of the Sasanian and many of the Parthian circumambulatories have been interpreted as fire temples, although too little is known about Parthian religion for any attribution to be certain. The reason why such a layout is best suited for fire temples lies in the ritual of the Zoroastrian religion. The sacred fire is subject not only to ritual circumambulation, but in some cases must be hidden from public view, either by a series of baffles or by concentric walls. This is not to imply any

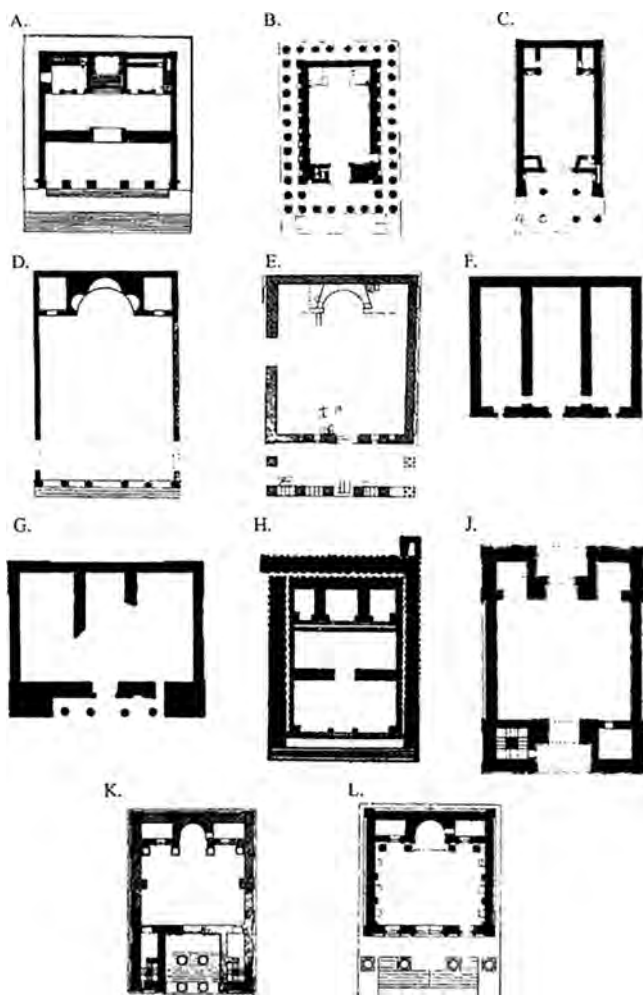


Figure 7.14 Tripartite temple sanctuaries. A: Petra-Dushara. B: Jerash-Zeus.
C: Qanawat-Zeus. D: Qanawat-Seraglio. E: Kedesch. F: Tawwan. G: Rabbah.
H: Diban. J: Dmayr. K: Slim. L: Sanamayn. Not to scale

form of Iranian Zoroastrianism in Nabataean religion, as a circumambulatory is not solely for fire worship.⁶³ Some of the more important Iranian circumambulatory temples did not involve fire worship, for example the Temple of the Sun at Hatra and the Temple of Anahita at Bishapur. But the architectural influences of this Iranian type of temple on Nabataean architecture are nonetheless persuasive.⁶⁴ The influence of circumambulatory plans on early Christian architecture is discussed separately below.

To a certain extent, many of the great temples in the Roman east also adhere to a circumambulatory – or at least concentric – plan, where the sanctuary is placed in the centre of a temenos such as Jerusalem, Jerash Artemis, both Bel and Nabu at Palmyra, and others. Also included are those where there are an inner and outer temenos, such as Jerusalem and

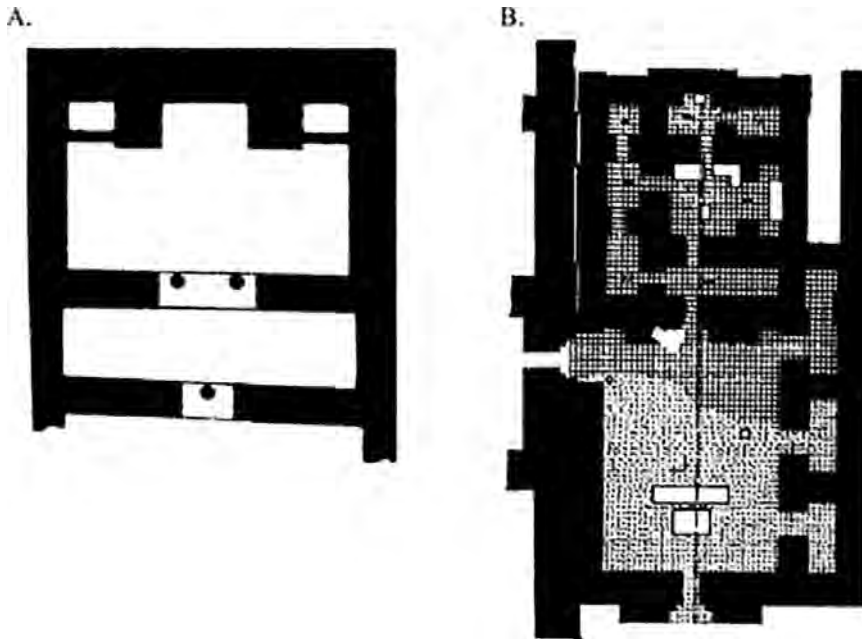


Figure 7.15 Bronze Age tripartite temple sanctuaries. A: Tell Achana. B: Ur. Not to scale

Damascus. Perhaps therefore one need look no further for the origin of our circumambulatory temples, being simply smaller versions of the larger ones.

High places

A distinctive, but universal, feature of eastern Roman temples with no counterpart in western Roman architecture is the sacred high place. It can take four forms: (1) a staircase to the roof within the sanctuary, (2) one or more towers incorporated into the temple layout, (3) the location of a temple in a naturally elevated position, and (4) an open-air sacred area on top of a naturally elevated position. A temple might incorporate more than one form of high place.

The first form, a roof staircase, is found in virtually all extant temples in the Roman East. The only temples where it appears to be absent are those where too little has remained to be certain. Because they are so ubiquitous there is no need to give a complete catalogue here: one study analysed thirty-nine temple plans in Syria, Lebanon and Jordan which have staircases to ritual high places on the roofs. None are earlier than the first century BC, and most are second–third centuries AD (Figure 7.20). To these can be added Nabataean temples at Dat Ra's, Khirbet Tannur, Rabbah, Mhai and Ramm.⁶⁵ This need for a high place gave temples a fundamentally different appearance to those in the West: roofs had to be flat (at least in part), unlike the pitched of western temples.⁶⁶

An architecturally far more pleasing effect was provided by the second form of high place, the tower. In temples where roofs remained pitched in accordance with usual Roman architectural practice, the high place would be in the form of a tower rather than a flat area on the roof. The tower might be incorporated either into the sanctuary or the temenos wall. In either

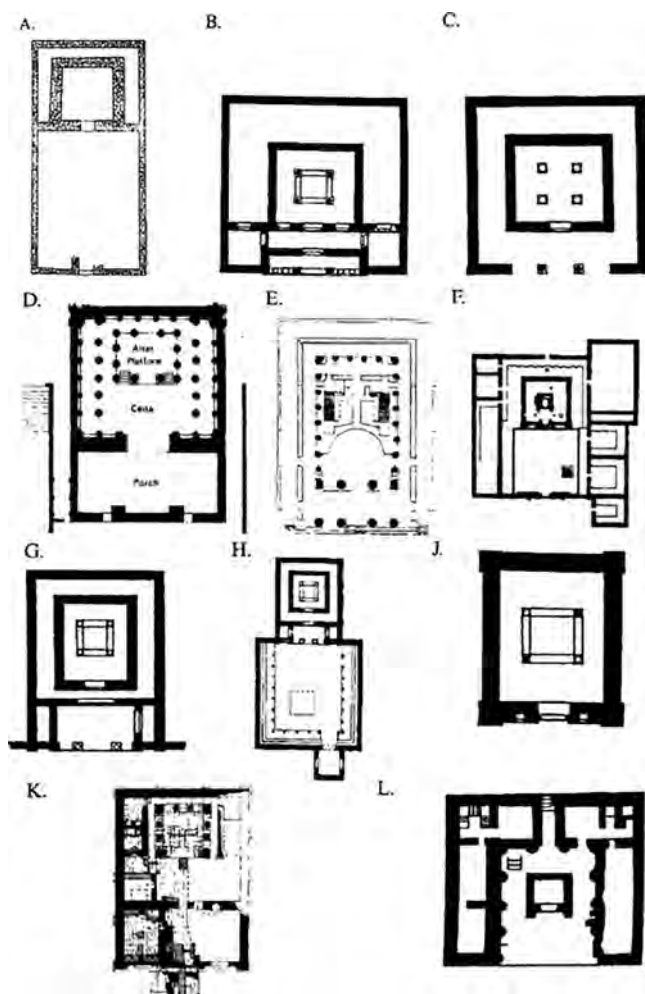


Figure 7.16 Circumambulatory temples. A: Hasan Madbur. B: Seia Baalshamin. C: Seia Dushara. D: Petra Winged Lions. E: Petra Great Temple. F: Khirbet Tannur. G: Sur. H: Sahr. J: Sahir. K: Khirbet edh-Dharih. L: Rumm. Not to scale

case, for symmetry two towers would be provided, flanking the façade to achieve a highly effective balance – and introduce an entirely new style of building into architecture. Temples with towers incorporated into their sanctuary façades are the Temple of Baal-Shamin at Si' and the temples at Dmayr, Sur and Qasr Rabbah, while those with towers in their temenos façades are Damascus, Baalbek and the Artemis Temple at Jerash (Figure 7.21).⁶⁷

A temple high place might also simply be implicit in its location on top of a natural eminence. This has already been noted in the discussion on the siting of temples, above. Thus, the temples of Burj Baqirha, Shaikh Barakat, Mt Hermon and Zeus Kasios outside Antioch are located on mountains.⁶⁸ Both the Temples of Zeus and Artemis at Jerash are situated on the highest eminences of the site, as were the temples at Amman and Pella. Other temples,

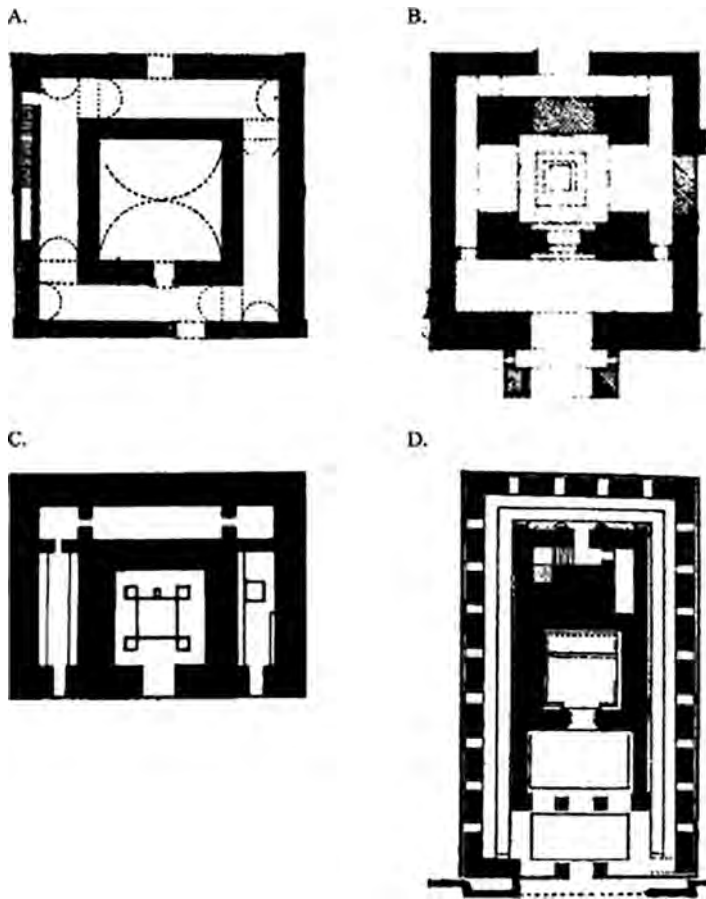


Figure 7.17 Parthian period circumambulatories. A: Hatra. B: Kuh-i Khwaja. C: Surkh Kotal. D: Taxila-Jaulian. Not to scale

such as Baalbek, Qal'at Faqra, Husn Sulaiman and Si', are situated in elevated (or at least mountainous) areas, with Baalbek at the highest point of the Bekaa Valley. The monumental staircase approaches of many eastern Roman temples, such as the Temple of Artemis at Jerash, have been viewed as a part of an overall Roman architectural pattern, but here at least such stairways fit more into the local tradition of high places.⁶⁹

The final category consists of the open-air high places. They are a particular feature at Petra and other Nabataean sites, but they are also found in the limestone terrain around Antioch and the Dead Cities of the north.⁷⁰ There are hundreds of them in and around Petra situated on anything from a minor eminence or rock to the peaks of the mountains themselves, such as the one on top of Jebel Harun, the highest peak in the Petra region, which remained sacred well into the Christian period.⁷¹ These high places are invariably rock-cut and generally consist of an altar table, together with channels and a tank. More elaborate ones might have an additional table and other paraphernalia (Figure 2.11, Plate 7.13). Such high places, although open-air, can occasionally have the trappings of a temple complex. The main Petra high place

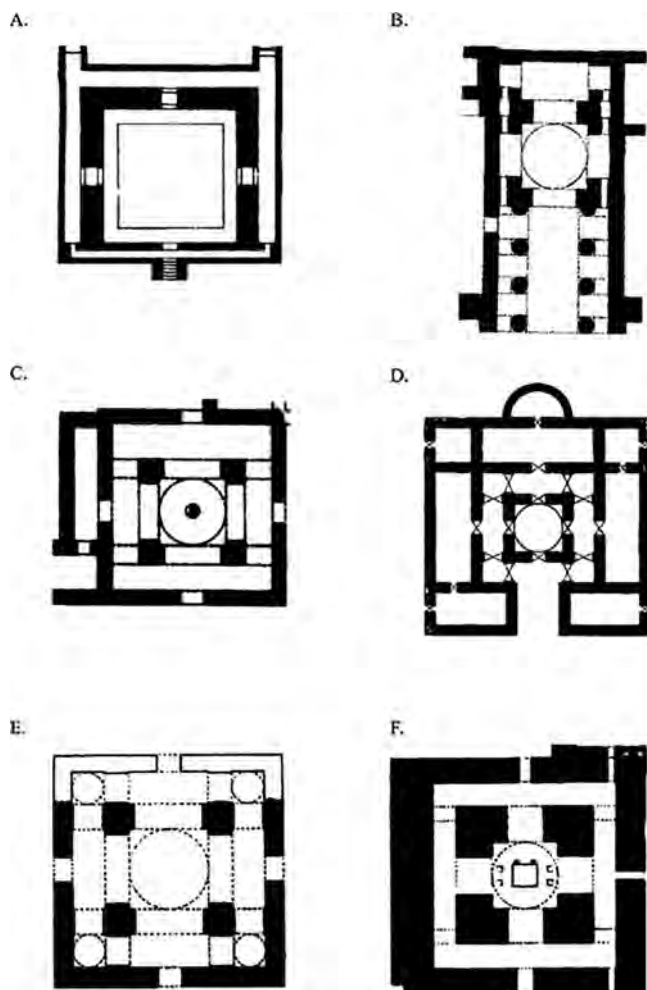


Figure 7.18 Sasanian circumambulatories. A: Bishapur. B: Tepe Hisar. C: Kunar Siah. D: Chahardeh. E: Farashband 2. F: Takht-i Sulaiman. Not to scale

complexes of Umm al-Biyara, al-Khubtha and Jebel Madhbah had quite magnificent rock-cut ways leading up to them. The way up to the latter from the Wadi-Farasa has been likened to a processional way, and the whole summit of the peak on which it was situated was isolated from the rest of the ridge by an artificial rock-cut chasm, approached through a monumental propylaeum.⁷² In the city below, a rather enigmatic monument known as the ‘Conway Tower’ has been variously interpreted as a fortification and a high place. If it is the latter, it is the only artificial, independent high place so far discovered that is not attached to a temple.⁷³

Perhaps the building that most embodies the Nabataean concept of a sacred high place is the temple at Khirbet Tannur.⁷⁴ Large quantities of sculpture were recovered, most of it religious, depicting virtually the entire Nabataean pantheon in styles heavily influenced by Hellenistic art, unlike most Nabataean depictions of deities which are usually aniconic.⁷⁵ The main deity is Atargatis. It is on a commanding position, probably approached by a

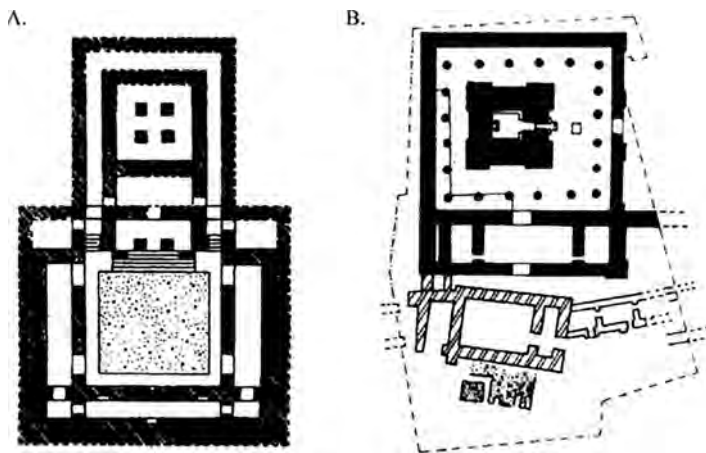


Figure 7.19 Achaemenid and Uartian circumambulatories. A: Susa. B: Altintepe

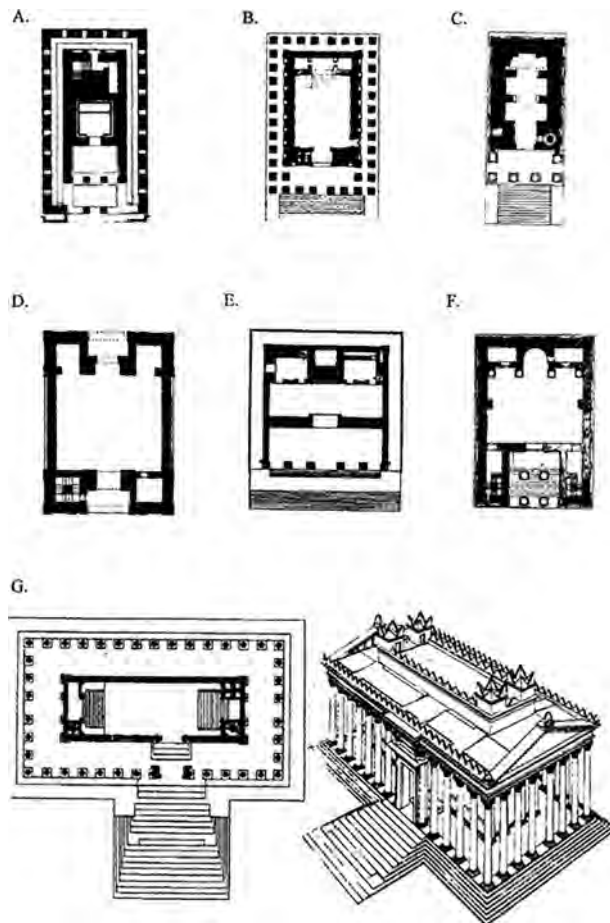


Figure 7.20 Temple sanctuaries with spiral staircases. A: Taxila Jaulian. B: Jerash Zeus. C: Isriya. D: Dmayr. E: Petra Dushara. F: Slim. G: Palmyra Bel with conjectural reconstruction. Not to scale

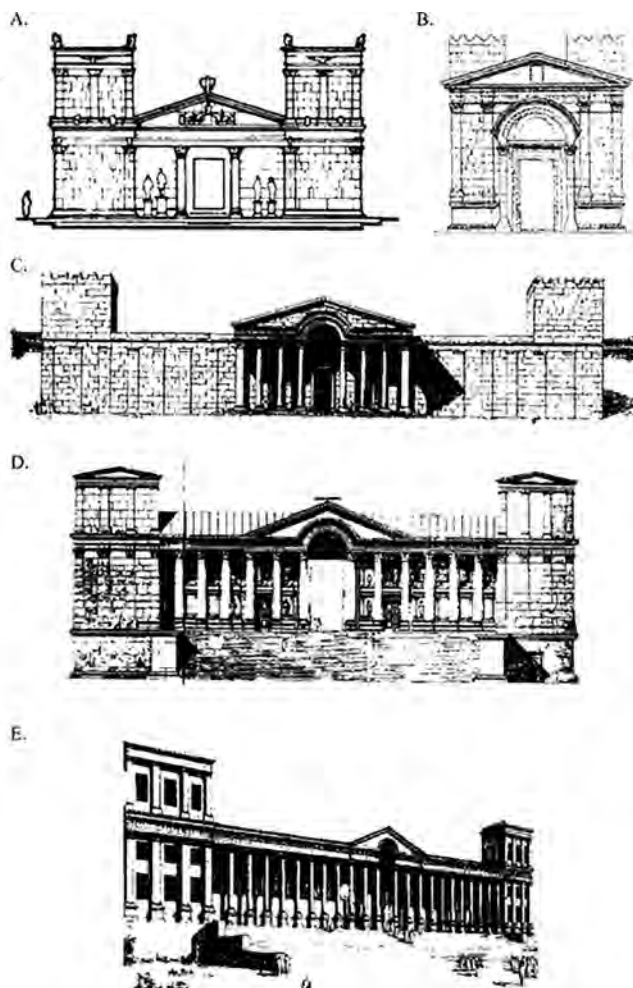


Figure 7.21 Temple facades with high places flanking a pediment. A: Si'. B: Dmayr. C: Damascus. D: Baalbek. E: Jerash (After Butler, Klimkott, Dussaud, Wiegand, Browning). Not to scale

staircase, overlooking the confluence of two gorges, completely isolated on top of a high hill in the Wadi Hasa (biblical Zered) without any associated town or settlement. It is even off the Via Trajana. Khirbet Tannur may have been a Nabataean national shrine, of more than purely local significance. It was in use over several hundred years, undergoing modifications and adaptations in the process, beginning late second or early first century BC. The very elaborate Period II (end of the first century BC) altar in the inner shrine, although subject to Graeco-Roman architectural decoration, was in the form of a cube, hearkening back to the simpler, more basic forms of Nabataean religious architecture. In Period III (first quarter of second century AD) the inner shrine was rebuilt, still in the form of a cube, but with the altar placed on the roof approached by a staircase on the side of the shrine. This altar again was cuboid. The whole complex was probably open to the sky; no evidence was found that it



Plate 7.13 The Madhbah high place at Petra

was roofed over. It was almost certainly linked to the temple and settlement of Khirbet edh-Dharih below, from where ritual procession would have led to the upper temple.

The sacred high place is, therefore, a feature of ancient Syrian and Arabian religious ritual. While it enjoys a particularly high profile in Nabataean religious architecture, the prevalence of high places elsewhere as far north as Antioch indicates that it was both essential and universal to the non Judaeo-Christian religious architecture of the Near East. The origin of the high place in religious architecture has been traced to Taxila in Pakistan,⁷⁶ but one authority sees it as originating in the Hellenistic architecture of Judaea.⁷⁷ Iran has a rich tradition of ancient sacred high-place architecture related to Zoroastrianism, but this might be separate from the Near Eastern tradition.⁷⁸ As with so many of the other architectural peculiarities we have reviewed, the origin is probably in ancient Mesopotamia. Here, the importance of sacred high places was almost as prevalent as in Syria, given architectural expression in the ziggurat.⁷⁹ Ziggurats developed as early as about 5000 BC from temples situated on artificial platforms, which over the millennia grew in both height and elaboration until by about 2100 BC they had achieved the familiar stepped pyramid form of Ur and elsewhere (Plate 7.14). The largest known ziggurat was the thirteenth-century BC Elamite one at Chogha Zanbil in south-western Iran.⁸⁰ Syria and the Levant received so many basic cultural stimuli from Mesopotamia (the use of the cuneiform script, for example) that other links between the two regions appear self-evident. It must be pointed out, of course, that no ziggurats have been identified further west than Tell Leilan and Mari, both in the far east of Syria.⁸¹ But artificial high places, such as ziggurats, would be unnecessary in the Levantine area, amply endowed as it is with mountains and hills.

The ritual associations of high places, particularly in Nabataean architecture where they are most prevalent, have been examined elsewhere here (Chapter 2). Whatever their associations, the symbolic importance of high places in ancient Semitic religious



Plate 7.14 The ziggurat at Ur

architecture proved tenacious, as elements survived in both Christian and Muslim architecture. Many of the temples incorporated two high-place towers on either side of their façades as we have seen, with a Classical pediment between them above the entrance (Figure 7.21). The Temple of Baal-Shamin at Si‘ and the Baalbek propylaeum are good examples of this aesthetically very pleasing arrangement. In the early Christian architecture of Syria, the arrangement was incorporated into church façades. For example, the churches at Ruwayha, Ma‘rata, Turmanin, Karratin, Qalb Lauzah, al-Bara, Behyo and the St Simeon Stylites Baptistry had two towers flanking their entrances.⁸² The arrangement seems to have been equally popular in the pre-sixth-century churches of Palestine, as many such churches are depicted on the Madaba Map, for example at St Elisha, St Jonah and Mampsis. The same arrangement is depicted in mosaics at Umm Qays and Khirbet Mukhayyat in Jordan.⁸³ The façades of the Church of Bizzos at Ruwayha and the church at Qalb Lauzah are particularly fine examples of this arrangement, incorporating also the triangular pediment between them as at Baalbek and Si‘. It has been tentatively suggested that the adoption of these towers in the church architecture of the East was for the rite of *incubatio*, where a pilgrim might sleep in the presence of a saint’s relics to acquire healing or holiness.⁸⁴ Whatever the function, the form was in turn incorporated into medieval European church architecture, where the arrangement defined the façades of many of Europe’s greatest cathedrals for over a thousand years (Figure 7.22). The thousands of pilgrims who flocked each year to the holy sites of the Near East easily explains the transference back to Europe.

Another major Syrian temple which incorporated high place towers at the corners of its temenos was the Temple of Jupiter-Hadad at Damascus (Figure 4.13, Plate 4.20). We have already noted how this became one of the more pivotal buildings of Middle Eastern architecture, with the temenos becoming the mosque courtyard so essential to Islamic architecture. When this ancient temenos was incorporated into Islam’s first great mosque by the Caliph

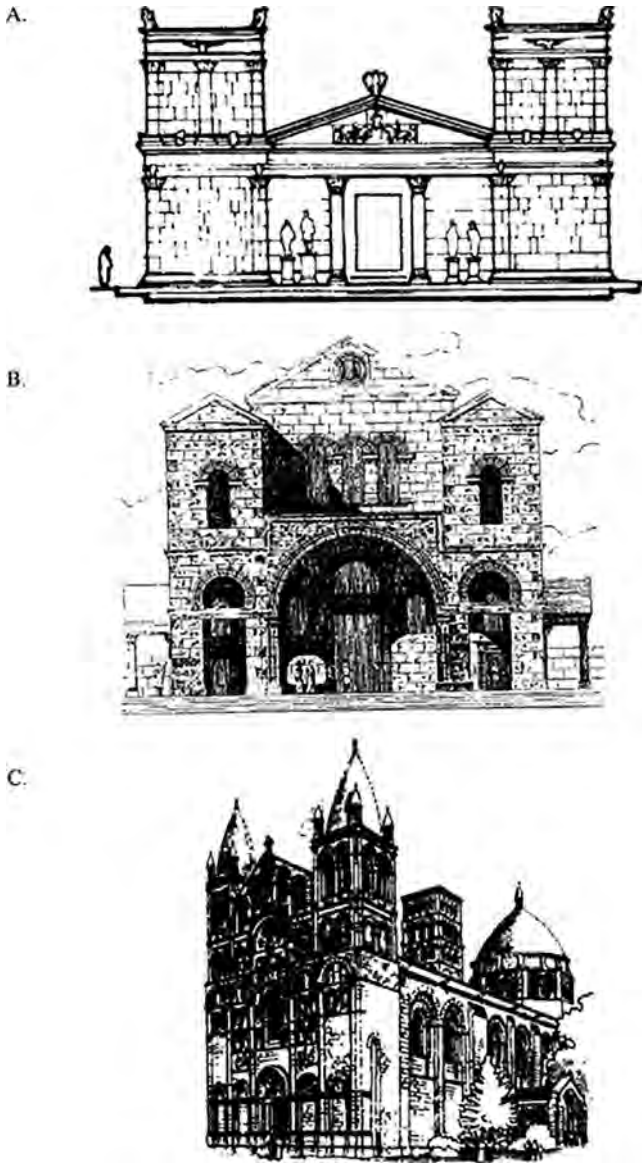


Figure 7.22 The evolution of the pedimented façade flanked by towers. A: Si'. B: Ruwayha. C: Angoulême (After Butler, Bannister Fletcher). Not to scale

al-Walid in 711, the call to prayer had been given from roofs or other convenient high places. The four towers of the temenos, former sacred high places of the pagan temple, accordingly became the first minarets of Islamic architecture.⁸⁵ Thus, the latest Semitic religion's most conspicuous architectural feature marks an unbroken continuity of the use of the ancient Semitic high place down to the present.

Early Christian architecture

*The basilica*⁸⁶

Of all standing remains in the area of the ancient Roman East today, churches probably outnumber all other types of public building – some 1,200 have been counted in the Dead Cities region alone.⁸⁷ There are a number of reasons for this. The late Roman period was an ecclesiastical age above all, with all the arrogance of a new-found religion adopted by the world's greatest power. This resulted in an explosion of Christian building throughout the empire. But in the East there was an added dimension: it was the birthplace of this new religion. Little wonder that it left so many buildings behind to remind us of this.

Apart from religious architecture, the advent of Christianity is not marked by the need for any new types of public buildings, styles of architecture or even administrative centres: the old cities and towns merely continued. Yet there are a number of subtle but significant changes in tone between pagan and Christian architecture. Pagan architecture has a grandiosity – a scale and a massiveness – that Christian architecture, for all its new-found religious certainties, rarely approaches (except in Constantinople itself). The architecture of the pagan period – the great temple complexes, the ordered streets, the massive baths, the forests of columns – states an arrogant self-confidence, an unquestioning belief in the superiority of its own culture in a way that Christian architecture does not match. The greatest of cathedrals in the Near East never approached in scale or concept the great pagan temple enclosures. Even much of the building materials they used were second-hand, taken from the earlier pagan buildings; construction was often second-rate.

There is, therefore, a paradox in the Christian architecture of the East. On the one hand we have a new religion, a new Christian civilisation with all of the vigour, certainties and confidence of its infancy. There was as much wealth as there had been in the pagan period – the evidence from the countryside reviewed in Chapter 5 demonstrates that – so mere money was no object. There was also ample patronage – indeed, the monolithic, universal Church of the Roman Empire was in a far stronger position as patron than the individual cities which patronised the building of the great temples. On the other hand, we have the lesser buildings. Why?

In fact Christian architecture quite simply *did* match pagan architecture, but in quantity rather than quality. Previously, only the major cities and the occasional smaller towns could afford a large religious complex: the number of temple remains in the Roman East today, while impressive, is still less than a hundred, and probably never numbered much more, Christian and Muslim destruction notwithstanding.⁸⁸ But the remains of churches number in the thousands. With the new Christian civilisation, every town had not merely one religious building but many. And not only the major towns but virtually every village could boast a church, sometimes several. The pagans never matched that. This reflects the more even dissemination of wealth throughout the region, rather than simply being concentrated in the cities, that we have noted in our review of the countryside in Chapter 5. It also reflects the greater emphasis that Christianity makes on mass participation. Both factors are manifestations of a 'democratisation' that occurred in the civilisation of the Roman East after the fourth century.

There is a further difference between pagan and Christian architecture, subtle but again important – and again revealing a paradox. Underneath the Roman architectural veneer of the great pagan buildings, native Near Eastern forms emerged, as we have noted. But in Christian architecture, these elements seem to recede once more. The bold, probably native, trabeate forms that feature so prominently in the temple architecture of the Roman East give way to the very non-Eastern, arceate forms of Byzantine church architecture; the great open spaces

of the temple complexes give way to the equally non-eastern enclosed, internal spaces of the churches that appear almost poky in comparison. Despite the eastern origins of the religion, the architecture of Christianity seems to lie uneasily beneath eastern skies.⁸⁹

Why, therefore, if the religion was so eastern, was its architecture so very western? The reasons for this apparent paradox probably lie in the nature of Christianity and Christendom itself. Paganism by its nature could allow for many alien beliefs: Roman gods were simply equated with native ones, and Roman architectural vocabulary could coexist with non-Roman architectural concepts quite harmoniously. But the monotheistic nature of Christianity was by definition more exclusive: other religions – and religious forms – could not be embraced. With the adoption of Christianity by the Roman emperors, the temporal capital of Christendom would no longer be Jerusalem or even Damascus or Antioch, but Rome and Constantinople. The architectural forms of Christendom henceforth emanated from the West, not the East.

To trace this process it is necessary to understand the nature of religion in the Roman world. This is explored more fully in the next chapter. For the moment, it is sufficient to understand that Roman religion had two distinct parts: the public religion of the state and the private religion of individuals.⁹⁰ It was for the former that the great temples were built: in the West, the temples to Rome and Augustus, the Capitoline Triad, or the deified emperor; in the East, to the city patron deities. For private religion, small chapels or just household shrines sufficed, as they did not demand any state function. These might only be a special room in a house put aside for the purpose, or even just an altar in a part of the room. At most, such ‘chapels’ would simply be modest buildings within a community that hardly differed from the ordinary domestic buildings around them. They stood in direct contrast to the great temples of public religion. To this ‘private religious sector’ belonged all of the non-public religions of the Roman world: private and professional deities, mystery cults, oriental cults such as those of Isis or Mithras, and so forth. Christianity entered this latter category merely as one of many such cults, in direct contrast – and eventual conflict – with the public religion.

Initially, therefore, before its adoption as the official religion of the empire, Christianity hardly needed a formal ‘architecture’, but belonged within the domestic vernacular of the other cults. But Christianity differed from the others in one essential respect: it demanded that all members of its community participate in communal, and not merely individual, worship. The introduction of the concept of a congregation posed new demands upon religious architecture: henceforward a religious building had to be a meeting place in addition to a cultic building. At first, when Christianity was just a small, minority religion, this did not demand large buildings. Rather like a modern small group – be it religious, political or social – the first Christians met in the private house of a member of their community. The first ‘church architecture’, therefore, would simply have been a private house or even just a room in a private house. A little later, when Christian architecture became more formalised, the first churches resembled private houses. Indeed, the world’s first definitely identified ‘church’ is almost indistinguishable from the ordinary houses of the neighbourhood: the Dura Europos church, dated AD 231.⁹¹ In the Dead Cities region a number of other similar house-churches have been identified, the earliest probably early fourth century.⁹²

With the growth in Christianity and consequent growth in the demand for a meeting place, the congregation did exactly what a similar modern group would do when its membership increased: it moved out of the home and into the local hall. The almost universal form of local hall or meeting place in the Roman world was the basilica.

It was this wholly eastern demand for a meeting place – a place of congregation – that first shaped the more monumental forms of Christian architecture. Such a concept was a completely alien one to western religious architecture up until that time, but was fundamental

and almost universal to Near Eastern religions – the religious concept of the congregation dictated the great temple enclosures of the East, as we have seen. Hence, pagan temples in the West (at least at first) could not be converted to Christian use. Apart from the natural distaste the first Christians would have felt for the temples of their oppressors, there would have been strong resistance from the still influential pagan establishment, even after Christianity was tolerated. But more importantly, the demands of a pagan temple were quite different from those of a Christian church. A temple was merely the earthly house of a god, requiring only a cella to house the image and associated ritual functions: quite simply, it was *too small*. The only public building in Roman cities that met this requirement was the basilica. The basilical form then became the standard, virtually universal form of Christian religious architecture.

The form of these Christian basilicas adhered to a fairly strict layout with little variation. Thus, the ‘standard’ basilica consisted of a nave flanked by two aisles with an apse facing east at one end and an entrance room or narthex at the other. Outside there would usually be a small courtyard or atrium and the interior would often be lit by clerestory windows, formed by the greater height of the nave above its flanking aisles. This basic layout formed the standard pattern of churches for many centuries, in some cases – particularly in the East – to this day. But these ‘rules’ only became established in about the fourth century. Before then the earliest basilicas did not adhere so rigidly to these forms, and displayed a refreshing experimentation.⁹³ But the Church, as soon as it became established, seemed to impose as rigid an interpretation on its architectural forms as it did on its dogma, so that by the end of the fourth century most churches followed the same general pattern, with even cathedrals differing from small country churches only in scale. The basilica assumed its conventional form, subject only to minor local variations largely dictated by liturgical custom.⁹⁴

The basilical form, therefore, originated in the West and developed into the architectural expression of a universal religion. But even in this most ‘western’ of buildings, it was solely the demands made by the practice of an eastern religion that affected both the decision to adopt such western architectural forms and its subsequent development. If architecture can be defined as an expression of concept, demand and function, the basilica is a product of eastern as much as western architecture.

The martyrion

If basilicas appear to conform to as rigid a layout as the dogma which dominated them, there was another type of religious building in the Christian East that was less staid. This is the martyrion. With the founder of the religion being martyred – not to mention the many subsequently during the persecutions – buildings that commemorated martyrdom naturally assumed considerable importance as a separate type. In architectural and functional terms this represented an entirely new departure that, unlike the demand for meeting halls and the evolution of the basilica, had few precedents in either the secular or religious architecture of the pagan past: entirely new forms could be developed. Hence, martyria are often architecturally more innovative than the basilicas, representing new ideas and experimentation. Since they marked a holy place, rather than merely a convenient area to assemble, the place itself became the focal point, i.e. martyria usually followed a central plan. This allowed far greater innovation. Eventually, this central plan was adopted for many churches, providing a welcome variation on the more conventional basilical theme. They were often – indeed usually – domed, which in itself called for the development of new concepts and techniques culminating in the immense domed structures of Constantinople. Plans could be a simple circle or more elaborate variations: octagonal, quatrefoil, square, or even combinations of all three. The quatrefoil

plan became especially popular in the sixth century, and survives at Bosra, Ezra'a, Apamea, Aleppo (the Madrasa Halawiya), and Rasafa (Figure 7.23). Combinations were particularly popular: inner and outer octagons such as St George's at Ezra'a have exedrae on four sides of the outer octagon to make a quatrefoil; the cathedral at Bosra has an inner octagonal colonnade in an outer circular plan with four exedrae to provide a quatrefoil, the whole encased in a square; the Church of St Simeon Stylites is based on an octagon in a square with small exedrae at each corner to form a quatrefoil, in turn surrounded by four basilicas to form an immense cross (Figure 5.10).⁹⁵

The tradition of inner and outer central plans for martyria seems to have developed in late antique Syria. Its derivation from the Nabataean circumambulatory temple plan – in turn

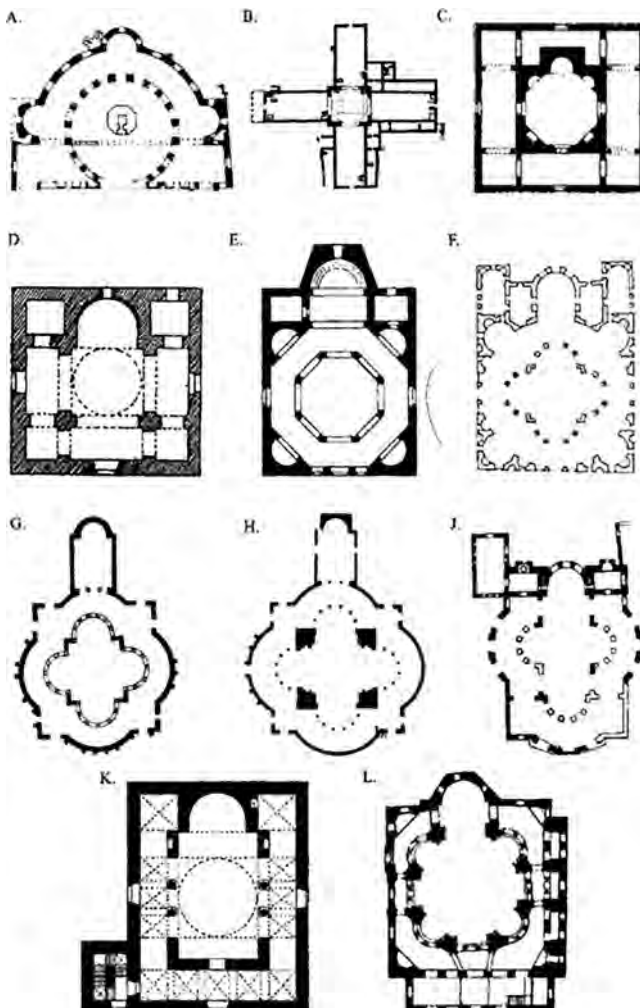


Figure 7.23 Centrally planned churches. A: The Anastasis Rotunda in Jerusalem. B: Antioch St Babylas. C: St Simeon Baptistry. D: al-Andarin. E: Ezra'a. F: Bosra. G: Seleucia. H: Apamea. J: Rasafa martyrium. K: Qasr Ibn Wardan. L: Constantinople SS Sergius and Bacchus. Not to scale

derived from an Iranian type of plan – that has been reviewed above seems plausible. Once again, the comparisons with Indian circumambulatories are striking. Many circular chaitya halls of early Buddhist architecture appear virtual ‘classic’ Christian martyria. The excavated fourth–third-century BC Mauryan Buddhist shrine at Bairat, for example, consisting of a circular hall enclosing an inner colonnaded circumambulatory, bears a startling (if superficial) resemblance to many early Christian martyria in the east, such as the Constantinian rotunda in the Church of the Holy Sepulchre in Jerusalem (Figure 7.24).⁹⁶ The resemblance is not as illusory as the comparison between two buildings so far apart in space, time and culture might suggest.⁹⁷ The reverence of relics and ritual circumambulation – of both relics and images – are features of both religions, and the Iranian-Nabataean medium for the transmission a real one. Such an emphasis on circumambulation naturally creates a demand for centrally planned buildings. The plan of the eighth-century Dome of the Rock in Jerusalem is directly inspired by the martyria plans of Christian architecture in Syria, although this building is an exception in Islamic architecture.⁹⁸

*Funerary architecture*⁹⁹

An immense diversity of tombs survive in the East reflecting a variety of influences. In the north, columns were popular, either singly, in pairs or as a tetrapylon, as were pyramids recalling Egyptian styles. Domes were also fairly common, while gabled mausolea sitting on the tops of high plinths are reminiscent of Anatolian forms. Palmyra and the desert areas produced the tomb-tower, one of the most distinctive of funerary buildings. In all areas, rock-cut tombs were popular, either as underground hypogea or as façades, the latter form reaching its height in Petra. Greek, Roman, Anatolian, Assyrian, Persian, Egyptian and ancient Arabian styles were intermingled freely, almost indiscriminately. More than any other type of building, funerary architecture reflected both individuality and regionalism as well as syncretism and internationalism.

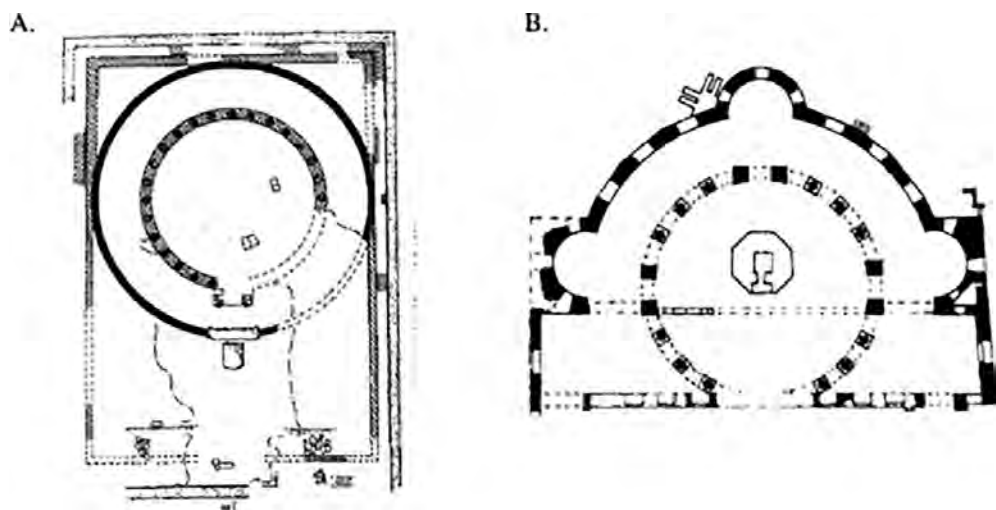


Figure 7.24 The third-century BC Buddhist chaitya hall at Bairat in India (After Piggot) compared to the fourth-century AD rotunda at the Church of the Holy Sepulchre (After Corbo/Krautheimer). Not to scale

Pyramids, temples and columns

The pyramid form has obvious Egyptian affiliations but, unlike in Egypt, it was used as a canopy to a tomb rather than the tomb itself. Surprisingly, they occur mostly in the north of Syria, and are virtually absent in the south and the Nabataean areas where Egyptian influence might be more expected. Pyramid-roofs characterise the monumental tombs of al-Bara in north Syria, dating mainly from the fifth and sixth centuries (Figure 7.25, Plates 5.3, 5.33, 7.15 and 7.16). These can be of considerable proportions and magnificently decorated, and often well preserved. Two are seen in the nearby ruined towns of Bauda and Serjilla and there are two more at Kokanaya. At Khirbet Hass there is a particularly fine one that was surrounded by a two-storeyed peripteral colonnade, and a similar one dated to the end of the first century BC/beginning of the first century AD has been discovered at Jerash. The one at Jerash

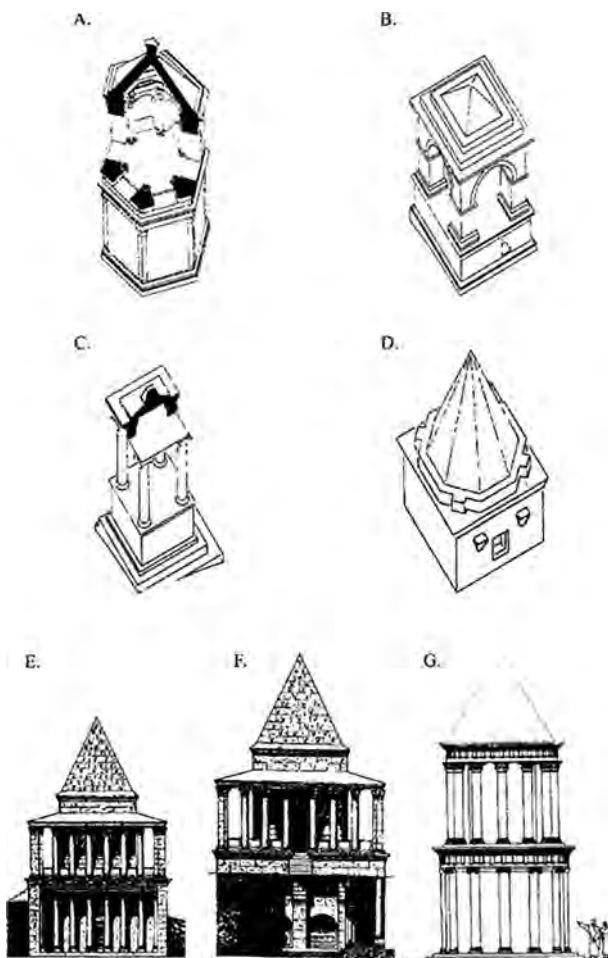


Figure 7.25 Pyramid-roof tombs. A: Cyrrhus. B: Barad. C: Dana (north). D: Qal'at Kalota. E: Khirbet Khass. F: Dana (south). G: Jerash. (After Tchalenko, Butler, Seigne). Not to scale



Plate 7.15 Hexagonal tomb with a pyramid roof at Cyrrhus



Plate 7.16 Pyramid roof tomb at al-Bara

and another (now destroyed) at Suwayda are the only pyramid-roofed tombs known outside northern Syria. Another pyramid-roofed tomb dated 324 at Dana (south) has a columned portico on one side. Related to the pyramid canopies are the polygonal roofs. These are used on a second–third-century hexagonal tomb at Cyrrhus and a sixth-century square Tomb of Mariam at Qal'at Kalota. Pyramid-roofs also occur on top of tetrapylon tombs. That at Dana (north) is second century, Barad is seventh century, Dana (south – the Tomb of Olympian) is third or fourth century.¹⁰⁰

The Barad tetrapylon tomb, apart from its pyramid, more closely follows the urban tetrapylon reviewed in Chapter 6, consisting, of four arches springing from piers. At the other two the canopies are supported on four columns rather than piers. Columns, usually in pairs, were popular to mark tombs elsewhere in north Syria. Examples are the Tomb of Isodotos dated AD 152 at Sitt ar-Rum, the Tomb of Aemilius Reginus dated AD 195 at Qatura, a second-century AD tomb at Benabil and the mid-second-century AD tomb of Alexandros at Sarmada in the same area (Figure 7.26, Plate 6.29). The Tomb of Sosandros dated AD 134 at Beshindlaya is marked by a single column. Significantly, all of these column tombs are close to the later column-cult centre of St Simeon Stylites. All of the column tombs form markers for underground hypogea.¹⁰¹

Tombs in the form of houses or temples feature throughout Syria. The grandest is the funerary temple in the form of a prostyle temple, with a crypt underneath, that marks the western terminus of the main colonnaded street at Palmyra (Plate 7.17). Elsewhere at Palmyra there is a particularly fine house tomb, the Qasr al-Abyath ('White Palace'), beyond the end of the valley, and an almost perfectly intact one, the Tomb of Marona, just to the north of the city walls. This latter was built by Julius Aelius Marona in AD 236 and is more consistent with Roman funerary practice.¹⁰² At Ruwayha there is a perfectly preserved tomb in the form of a distyle temple (Plate 7.18).¹⁰³

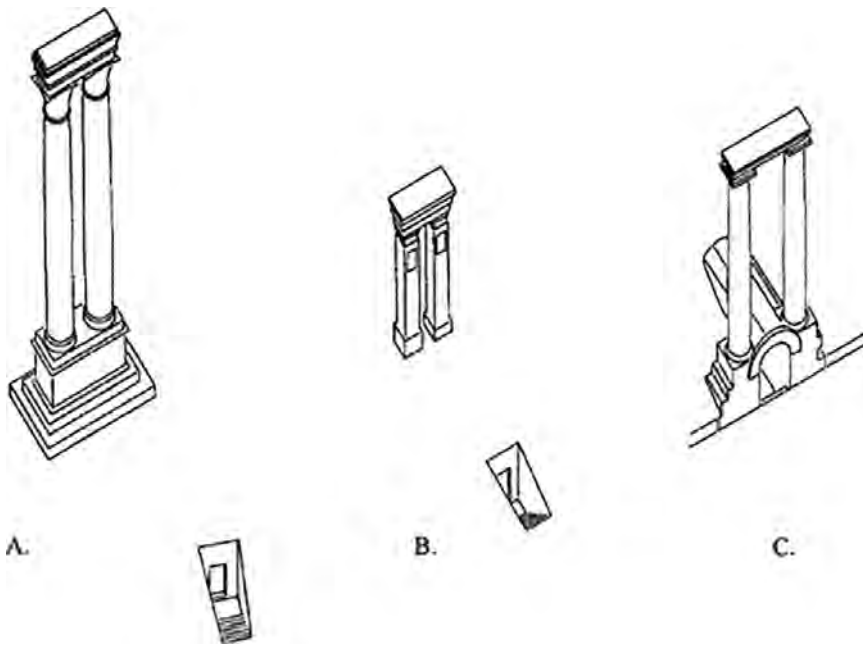


Figure 7.26 Pillar tombs. A: Sarmada. B: Sitt ar-Rum. C: Qatura. (After Tchalenko)



Plate 7.17 The temple tomb at Palmyra



Plate 7.18 The temple tomb at Ruwayha

Tower tombs

Tower tombs are a feature of Palmyra having no parallel with the Roman architecture of the West.¹⁰⁴ They formed an earlier type of funerary monument at Palmyra, so that by the mid-second century AD they had generally been replaced by underground tombs, or hypogaea. The earlier popularity of such an unusual custom as burying one's dead in towers is perhaps related to the practice of sacred high places. A tower tomb would be built just for a specific family (albeit an extended family, the largest holding up to 400 burials). Typically, it would be several storeys in height, each storey consisting of tiers of loculi ranged around the room, each loculus sealed by a stone slab usually depicting the occupant in relief. The interiors would usually be lavishly decorated in carved relief, and often painted as well, demonstrating the wealth of a particular family.

The best tower tombs are along the foot of Umm al-Bilqis hill in Palmyra (Plate 7.19). They are all tombs of notable Palmyrene families, identified by inscriptions. The tallest, a four-storey tower with an exceptionally fine interior, is known as the Tomb of Iamlichu (Iamblichus) from an inscription dated AD 83. At the end of the valley is one of the best preserved, the Tomb of Elahbel dated AD 103, housing the prominent Maani family (Plate 7.20).

Tower tombs can also be found on the fringes of Palmyrene territory: at Hatra, Halabiya, Dura Europos, Edessa, Sirrin and Emesa. At the religious complex at Qal'at Faqra in Mt Lebanon, one of the buildings has been interpreted as a tomb tower (Plate 7.9).¹⁰⁵ The *Chronicle of Edessa* refers to a funerary tower being built in AD 88/9 by King Abgar VI, and the existence of several tomb towers around Urfa today attest to the tradition in Edessan territory.¹⁰⁶ One of the rock-cut 'god-blocks' at the entrance to Petra has been interpreted as a tomb tower because of a grave shaft cut into the top of it (Plate 7.28).¹⁰⁷ However, there



Plate 7.19 Tower tombs at Palmyra



Plate 7.20 Interior of the Tomb of Elahbel at Palmyra (destroyed in 2015)

are no other examples of tomb towers in Nabataean territory; they seem to form a distinctly Palmyrene type.

Although no tomb towers are found along or near the coast (apart from the one cited above at Qal'at Faqra), it is possible that the tower form derives from Phoenician funerary architecture. Amrit, one of the few Phoenician sites which escaped the Romanisation that all but eclipsed Phoenician remains elsewhere, preserves some of the most important Phoenician monuments on the Levantine coast. Amrit, therefore, is remarkable for preserving pre-Classical forms of religious architecture. Chief of these are two fourth-century BC funerary monuments, known as *al-Maghazil* or 'the spindles'. They consist of square pedestals surmounted by monolithic cylinders, four and seven metres high respectively, each further surmounted by a pyramid on the smaller one and a hemisphere on the larger (Plate 7.21). The area is surrounded by ancient quarries and more funerary monuments. They include a free-standing monolithic mausoleum carved entirely out of the bedrock and containing several chambers, and another cube-shaped mausoleum surmounted by a pyramid. Another tower, the *Burj al-Bazzaq* or 'Tower of the Snails', consists of a black mausoleum in the shape of a cube. It has two superimposed burial chambers and was originally surmounted by an obelisk, the remains of which have fallen below (Plate 7.22). Another cubic block is nearby, and another fallen obelisk lies further to the south.¹⁰⁸

The immensely rich and varied funerary architecture of North Africa derives from the Amrit style, for this type of monument was taken by the Phoenicians to their North African colonies. Here, a vast range of tower-like Libyan, Punic and Roman funerary monuments were built over a period of many centuries. Particularly fine examples survive at Dougga, Maktar and Haidra, and another has been restored at Sabratha. The most extraordinary are to be found in the necropolises surrounding the desert settlement of Ghirza in Libya, where a variety of tombs survive (Plate 7.23).¹⁰⁹



Plate 7.21 The al-maghazil tombs at Amrit



Plate 7.22 The burj al-bazzaq tomb at Amrit



Plate 7.23 The necropolis at Ghirza in Libya

Underground tombs

The main category of tomb in the Roman East is the underground hypogeum. These have been found associated with virtually every Roman town in the Near East, often in considerable numbers. Many, such as those at Pella, have been found intact and it has been possible to record the tombs and their contents fully. Some particularly fine underground tombs decorated with superb wall paintings depicting mythological and other scenes have been found at Tyre.¹¹⁰ Many of the other tomb types enumerated above – column tombs, for example – are associated with underground hypogea. There are far too many to describe here, and they do not differ substantially from Roman hypogea in the West, so it is not necessary to enter into detail. They do, however, have a very ancient native pedigree, so might not necessarily represent the Roman tradition that they appear to.¹¹¹

The Palmyrene hypogea form a special category.¹¹² They are generally later than the tower tombs, and are usually cross-shaped or T-shaped, entered from one end by a staircase. One of the finest is the Hypogeum of the Yarhai, reconstructed in the Damascus Museum. The most impressive is the Hypogeum of the Three Brothers, dating from the middle of the second century (Figure 7.27, Plate 7.24), in the south-western necropolis. On descending a staircase, the tomb is entered through a beautifully carved stone door with inscriptions of the three brothers Male, Saadai and Naamain. Inside are sixty-five recesses, each containing six loculi – a burial capacity totalling an astonishing 360. It is constructed from brick barrel vaults, which are plastered over and painted with frescos. These depict Greek mythological scenes that combine Hellenistic and Parthian styles. In the right arm of the tomb are three particularly fine sarcophagi with reclining figures on top of them.¹¹³

Indeed, an immense wealth of the distinctive art of Palmyra has been preserved in the funerary architecture: frescos, fabrics, portrait reliefs, and sculptures of entire families. We not only know the names of the people who built and lived in Palmyra, but their appearance

as well. The sculptures depict a lifestyle that was as happy and as urbane as anywhere in the world – it is certainly not a desert art depicting a provincial backwater away from the main-streams of culture. The remarkable state of preservation of Palmyrene art is due to the stable conditions of the underground tombs.¹¹⁴

Tomb façades

Rock-cut tomb façades had a long history in the East before the Romans. They are particularly prevalent in Anatolia, especially on the Lycian coast where they form the most characteristic type of tomb (along with the house tomb). The most famous are at Fethiye, ancient Telmessos, and others exist at Pinara, Xanthos, Kas and elsewhere.¹¹⁵ Rock-cut façades also characterise the funerary architecture of Phrygia, most notably at Midas Sehri.¹¹⁶ Elsewhere in Anatolia, the royal tombs of the Pontic kings at Amasya are carved from a cliff face. The Pontic kings were Iranian, so their tombs may be related to the rock-cut tombs of the Achaemenid kings at Naqsh-i Rostam near Persepolis, from whom the Pontic kings traced their descent. But both the Pontic and the Persian royal tombs are probably related ultimately to the Lycian style, one of the strongest and most pervasive forms of funerary architecture in the East.

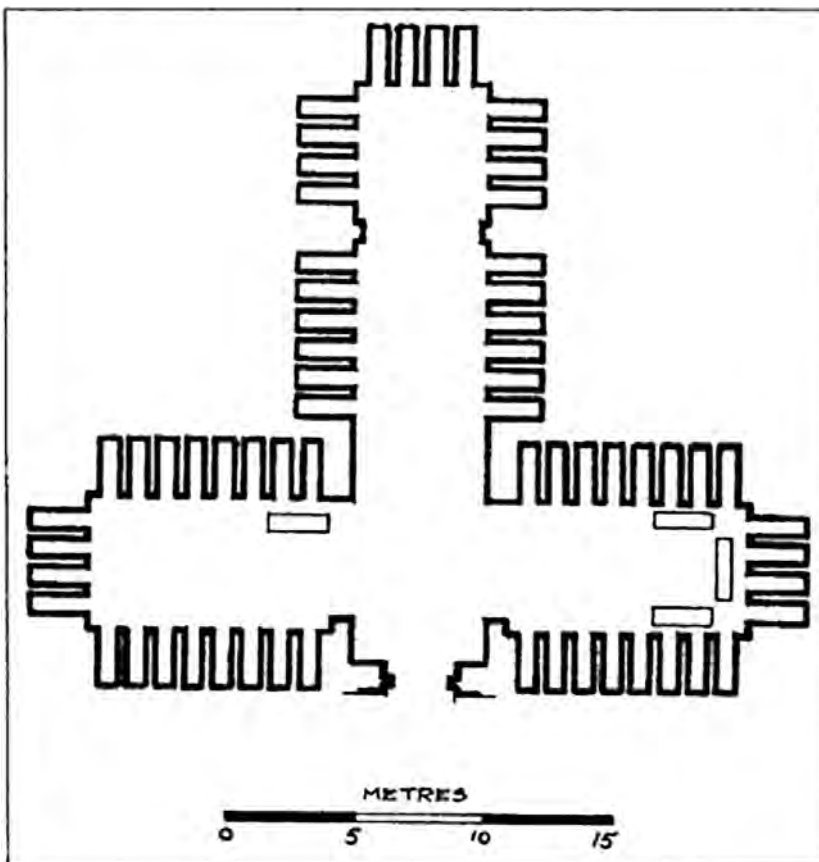


Figure 7.27 Hypogeum of the Three Brothers at Palmyra (After Browning)



Plate 7.24 The Hypogeum of Borpha and Bolha at Palmyra

Anatolia, however, lies generally outside the scope of this book. In the area under discussion, rock-cut tomb façades are (with one outstanding exception) comparatively rare. There are a few third-century tombs cut into the rock face at Yabrud north of Damascus and some quite impressive ones at Seleucia near Antioch. The necropolis of the town of Rafada, west of Aleppo, consists of many tombs cut into a rock face at the nearby village of Qatura.¹¹⁷ Elsewhere in the Dead Cities and the Lebanon mountains, rock-cut tombs tend to be in the form of underground hypogea rather than cliff façades, despite a vigorous tradition of carving from bedrock.

The outstanding exception is, of course, Petra, which probably boasts the most famous rock façades in the world. More Nabataean rock-cut tomb façades are at Medain Saleh, ancient Hegra, in Saudi Arabia. They are well enough known not to require describing in detail here.¹¹⁸ Very broadly, they can be divided into two categories for present purposes (although they have been subdivided into many more for the purposes of chronological and architectural analysis). The first comprise by far the bulk of the tombs at Petra and all at Medain Saleh. These are the simpler façades, usually exhibiting predominantly native Arabian architectural features. ‘Assyrian’-style crow-step merlons, either repeated in a single or double line across the top of a façade or simply as a pair delineating the top, are universal. Flaring ‘Egyptian’-style cornices are also common, as are Nabataean pilasters framing the façade and supporting a simplified entablature or flaring cornice or both. Occasionally, the façades were topped by an arch, supported by pilasters. Doorways are often capped by a Classical-style pediment (Figure 7.28, Plate 7.25).

In the second, and smaller, category, Classical elements predominate although many native Nabataean and other Arabian elements are retained. The façades are usually dominated by Classical entablatures and pediments, but subject to variations that are usually described as ‘baroque’. Entablatures can flow, be curved, and alternatively break forward and recess;

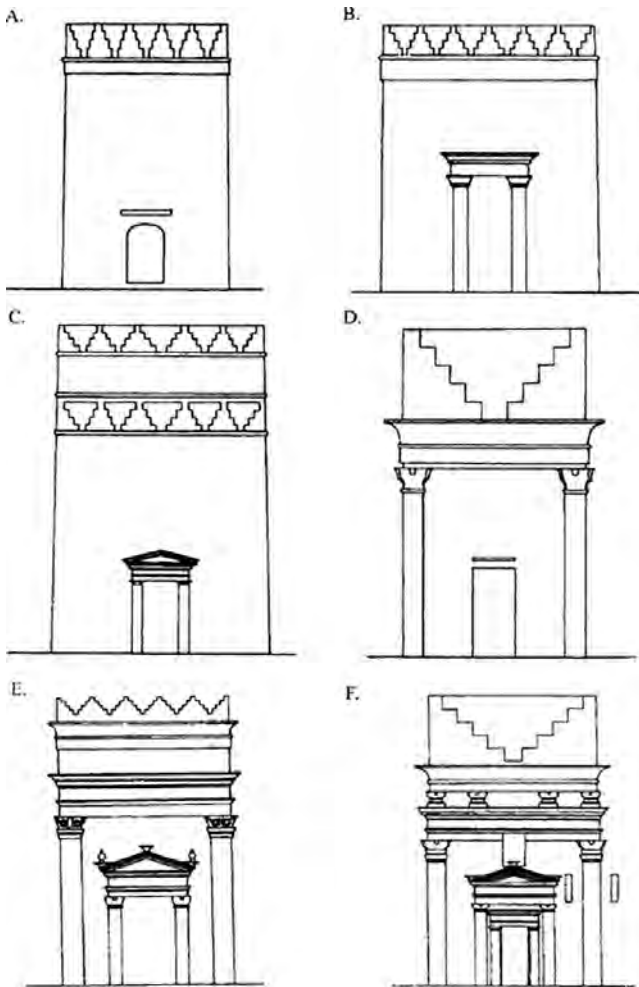


Figure 7.28 First category of Nabataean tomb façades (After Browning)

pediments can be triangular or semicircular, can be broken, break forward, or interrupted to frame a tholos. To this category belong the most elaborate of the Petra façades: the Tomb of the Roman Soldier, the Broken Pediment Tomb, the Renaissance Tomb (Plate 7.26), the Bab as-Siq Triclinium (Plate 7.27) and the Tomb of Sextus Florentinus. The five largest and most famous of the façades, the so-called ‘royal tombs’, also belong to this category: the Palace Tomb, the Corinthian Tomb, the Urn Tomb, the Khazneh, and the Deir (Plates 2.19, 2.21, 2.22 and 2.25). The latter two may not be tombs¹¹⁹ and a variety of explanations have been offered for the function, including tomb, temple, temple-tomb and triclinium.¹²⁰

To a large extent, the Petra façades are the victim of their own fame. They have been the subject of detailed scrutiny, classification and architectural analysis since the nineteenth century in a number of admirable works.¹²¹ But all such works have been distracted by the façades themselves, by the admittedly extraordinary nature of their embellishment, in efforts



Plate 7.25 First category of tomb façades at Petra

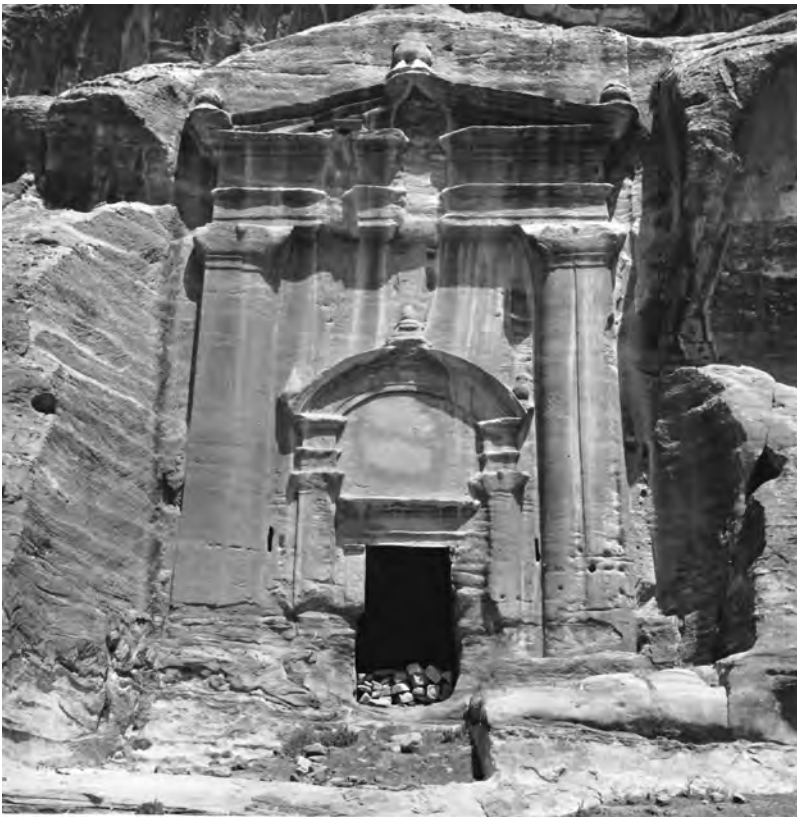


Plate 7.26 The 'Renaissance' Tomb at Petra

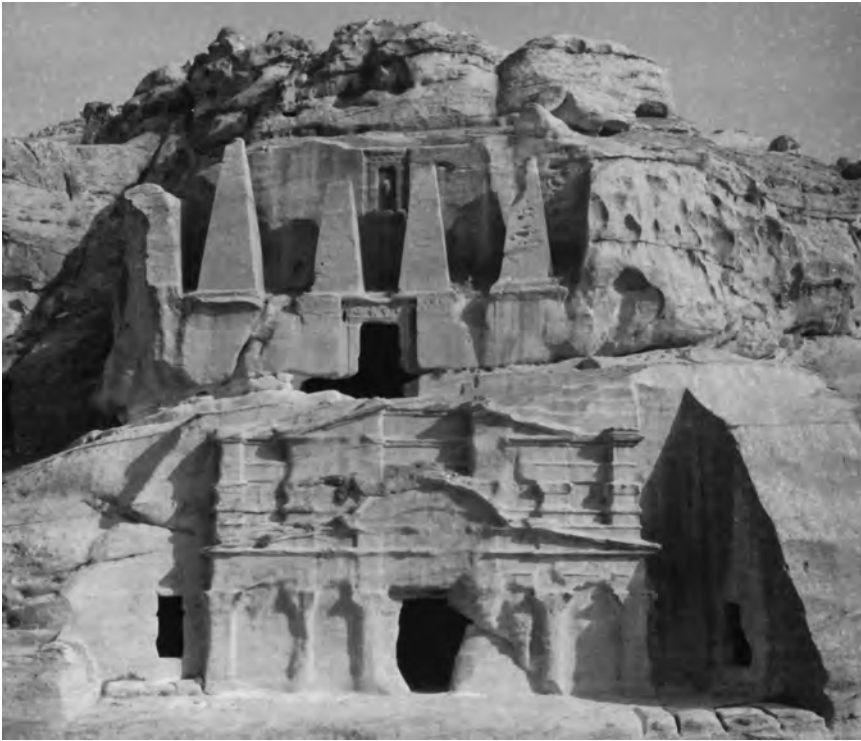


Plate 7.27 The Bab as-Siq Triclinium and Obelisk Tomb at Petra

to understand what happened behind and within them. In other words, they are invariably treated as standing buildings rather than the sculptures which – quite literally – they are: they have a front, a façade, therefore they must have an explicable interior just like any other building (such as a temple) with similarly elaborate façades. Admittedly, such a view of the Petra façades has sufficed to explain nearly all of them as tombs and tomb related triclinia. But this only explains a part of the story. It does not suffice to explain the most famous of the tomb façades, the Khazneh and the Deir (Plates 2.19 and 2.25).

If, on the other hand, one regards the Petra façades not so much as the front of something but as the *back*, then their function immediately becomes more explicable. What happened behind them (which was nothing much, at least in the cases of the Khazneh and the Deir) was less important than what happened in *front* of them. The Petra façades have been likened elsewhere here to theatre backdrops (the *scenae frons*). The comparison becomes a literal one when the façades are regarded as backdrops. This has already been suggested above, in discussing the *kalybe*, which was seen as a freestanding version of the Petra façade. Indeed, theatricality has already been emphasised as an important element – perhaps the most important element – in Near Eastern religious architecture, when discussing the temple temenos above. The Petra façades, therefore, must also be regarded in this light, as a backdrop for religious – presumably funerary – ritual in front of them.¹²²

This receives support from one of the only tombs at Petra where an inscription has survived, the Turkmaniyah Tomb. Here, the inscription describes in some detail not only the

ritual of burial but also the paraphernalia of a tomb. The inscription is worth quoting, for the description includes, in addition to the tomb façade and burial behind it, references to

the courtyard in front of them and in addition the openings and constructions which are in it, namely the benches and triclinium, water wells, rock walls and retaining walls; as well as the rest of the structures that are in the area: these are a sacred [place] and [a place] consecrated to Dushara, god [and] our lord, his throne Harisa and all the gods by acts of consecration as commanded therein. Dushara and his throne and all the gods watch over the acts of consecration so they will be observed and there will be no change or division of whatever is enclosed in them.¹²³

It is clearly stated that the tomb façade which survives today was only a small part – and the minor part – of a much larger complex where elaborate ritual was performed. This involved some form of performance ('acts of consecration') that demanded an audience of gods and perhaps others (who 'watch over' and 'observe' the acts). The ritual furthermore demanded considerably more ancillary buildings: ablution areas ('water wells'), seating for audience and participants ('benches', 'triclinia', 'thrones'), sacred (presumably high) places, and most of all a suitable stage setting for the ritual (a 'courtyard' and 'retaining walls'). The tomb façade itself was merely the stage backdrop, a setting for the pomp, the ritual and the architecture. In a sense, therefore, the great façades at Petra are incidental to their function as tombs.

Thus, the Petra façades pass out of the realm of the architectural historian and into that of the archaeologist. The only tombs which still retain fragments of their associated complexes in front are the Tomb of the Roman Soldier, the Unaishu Tomb and the Urn Tomb.¹²⁴ Elsewhere, the primary focus of the façades lies buried under the soil, particularly for the greatest of the façades, the Khazneh, the Corinthian Tomb and the Palace Tomb. Opposite the Khazneh, on the other side of the ravine, the excavation of a minor tomb façade has revealed some 2–3 metres of overburden that covers all of the original surface in front of the Khazneh, thus obscuring any associated buildings that might contribute to a more complete understanding of this enigmatic monument. Similar amounts of overburden exist in front of the main Royal Tombs cut into the eastern cliff face at Petra, as well as the smaller tombs at the foot of Umm al-Biyara, all similarly unexcavated.

Yet we know that the larger façades at least must have been associated with extensive complexes from surface traces in front of the Deir, a monument that is as unsatisfactorily explained as the Khazneh (Figure 6.24, Plate 6.37). While this is generally not believed to have been a tomb, most of the component parts of a tomb complex as described in the Turkmaniyah inscription are extant. It is approached by the processional way implied by the inscription – the ritual, calling for 'acts of consecration' that would involve priests and participants surely calls for a procession. This culminates in a monumental colonnaded propylaeum, traces of which still survive in front of the façade. The remains of these columns might alternatively be a part of a colonnaded square forecourt. Either this or the outline of a circular plaza, visible on the surface a little further away, is the 'courtyard' that formed an arena for the ritual, overlooked on one side by the Deir façade. Between the façade and the circle is the altar required for the 'acts of consecration'. Beyond is perhaps the most interesting monument of all in the Deir complex that has no other counterpart at Petra. This appears to be a monumental colonnaded 'grand stand' overlooking the circular arena, surely the 'thrones' from which to 'watch over' and 'observe' the rituals. Behind is a room (Room 468) containing an elaborately decorated niche. Surrounding and overlooking the complex are several sacred high places, the 'sacred places' demanded in the ritual.¹²⁵ Together, these

tantalising hints make the entire Deir complex the most intriguing – and potentially the most explicable – in Petra. It seems almost certain, therefore, that none of the larger, more monumental façades at Petra existed in the isolation they appear today, either on the ground or in their publications. They were incidental to larger religious and funerary complexes, the main focal points of which still remain hidden beneath the ground.

Finally, it must be emphasised that while these great tomb façades are regarded as characteristic of Nabataean architecture – indeed, many now regard the Nabataeans solely in terms of their deservedly famous rock-cut architecture – they are not typical of the Nabataeans. For they only exist at two Nabataean sites, Petra and Meda'in Saleh: not a *single* other Nabataean site has such remains, either in Jordan, the Hauran or the Negev (unless we regard the *kalybes* of Bosra, Shahba and Amman as freestanding masonry versions of the rock-cut façades, as postulated above). Even the supposed tomb of King Ubaydath at the Nabataean site of Avdat (Oboda) in the Negev follows a conventional Roman pattern. Like so much of Nabataean civilisation, these extraordinary façades are ultimately an enigma.

Fabric and styles

*Building material*¹²⁶

The main superficial difference between eastern and western Roman architecture lies in the building material. In the West, brick and mortar was the main material. Poured concrete was increasingly used after the first century, either forming a core to brick-faced walls or as vaults. Stone was used of course – Augustus' boast about transforming Rome into marble was no idle one – but mainly just for cladding on a brick base, as well as for elements of adornment: columns, entablatures, pediments, etc. Buildings that were wholly of stone were relatively rare.

In contrast, the people of the East were masters of a stone building tradition than went back thousands of years.¹²⁷ Brick and concrete were almost never used; even stone walls were dry-bonded. The few extant examples of brick building, such as the late palace and church of Qasr Ibn Wardan (Figure 5.12, Plate 2.48), were probably made from western designs and supervised by architects from the West. Stone was easily and plentifully available in the Near East. Along the Lebanese coastal ranges and in northern Syria, the bedrock was limestone. Hence, not only civic and religious monuments but ordinary houses and other domestic buildings were constructed of dressed limestone blocks. This has resulted in the extraordinary durability of the architecture here: the phenomenon of the 'Dead Cities' reviewed in Chapter 5.

In the Hauran and the Arabian borderlands in the south, the local building material is the black volcanic basalt which liberally bestrewns the countryside. This basalt gave rise to the distinct, cantilevered 'slab and lintel' architectural style that is peculiar to the areas of the Hauran and north-eastern Jordan, described in Chapter 5 (Figure 7.29). Because of the immense strength of the basalt, domestic architecture evolved along distinctive lines, it being possible to build several storeys, with three and even four storeys still standing in parts (Plate 5.36).

Further south in Arabia, the softer sandstone made it possible to carve entire monuments out of the living bedrock. These are the rock façades of Petra. The theatre was also rock-cut, and in and around Petra ordinary houses were occasionally carved from bedrock. Elsewhere, some temple sanctuaries were cut from bedrock, such as one of the sanctuaries on Mt Hermon and the partially rock-cut temple at Amrit in Syria (Plate 7.8). Otherwise, carving from the bedrock was used mainly in funerary architecture.

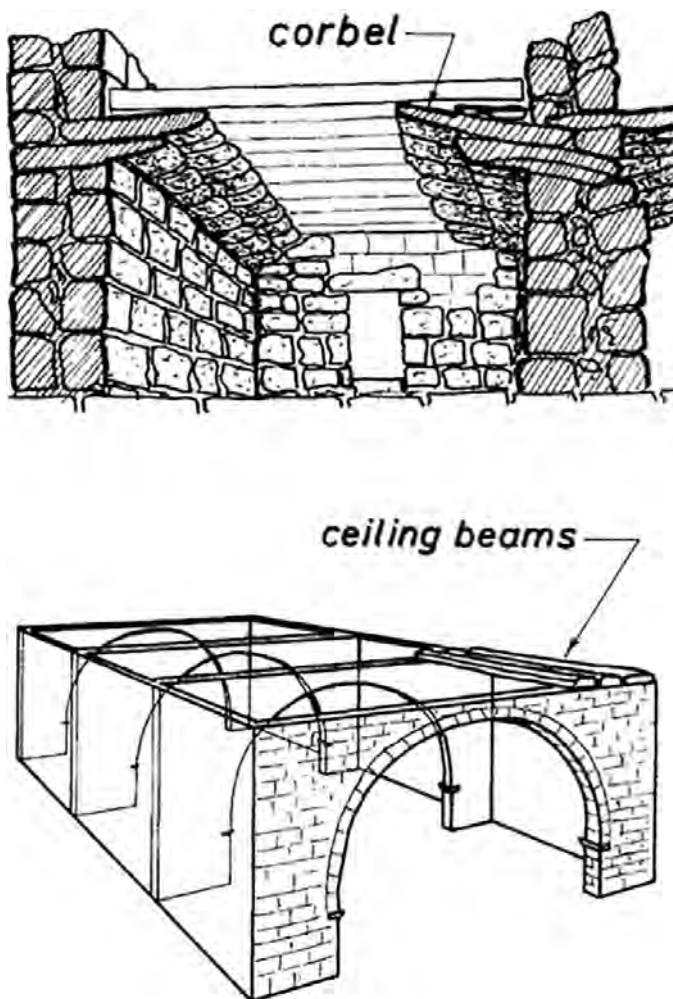


Figure 7.29 Cantilevering techniques at Umm al-Jimal (After De Vries)

Further east, the scarcity of suitable building stone demanded different techniques, mainly dominated by the mud-brick traditions of Mesopotamia. At Dura Europos and other sites down the Euphrates, the friable nature of the local stone meant that it was not possible to obtain the large masonry blocks that characterised buildings further west in Syria (Plate 4.12). Mud and mud-brick was increasingly used, and when stone was used, the blocks were smaller and often bonded in mud mortar.

In Syria one forms the impression that there is almost an arrogance in the utter confidence that was felt in the mastery of stone construction. The massive, almost unseemly monoliths – estimated to weigh over a thousand tons – used in the construction of the Temple of Jupiter at Baalbek were completely unnecessary from a structural point of view, and represent both a boast and a challenge. Similar gigantism for its own sake exists in the stones used in the temenos walls at Husn Sulaiman and elsewhere (Plates 2.8, 2.9 and 7.3).

The trabeate style

The Near Eastern mastery of stone masonry led to the development of different styles from those of the West. In the West, the use of bricks and concrete naturally lent itself to the evolution of a more arceate architecture. This contrasted with the East, where the architecture is generally trabeate, with bold slab and lintel techniques predominating – a natural manifestation of stone. The eastern emphasis on long colonnades – particularly the street colonnades – was to some extent a result of this trabeate tradition. Arceate styles did not appear until the importation of the basilica as the standard form of Christian architecture later, and this appears alien in the East as we have observed (Plate 5.24).¹²⁸ One authority remarks that

while it is certainly true that it was the architects in Rome who first realised the exciting potentialities of building in concrete . . . it appears that throughout the first two centuries of Imperial rule it was the architects of the eastern provinces of the Empire who remained pre-eminent in the evolution and exploitation of the traditional column-and-lintel style. To such an extent is this true that, for many of the more grandiose trabeated buildings erected in Rome under the emperors, workmen trained in the eastern provinces appear to have been brought to Rome.¹²⁹

The mastery of stonemasonry was only one element that contributed to the trabeate style. The other was an ancient Arabian predilection for bold, abstract, cuboid forms. It is a constantly recurring theme, for example, at Petra. A distant view of groups of the smaller façades resembles almost abstract, cubist sculpture. Similarly, the rows of regularly repeated square façades that cluster around the Outer Siq, divided by bold horizontal and vertical lines, capped by equally square crow-step gables and merlons, has an overwhelmingly cubist appearance (Plate 7.25). Such tomb façades belong to the earlier type, before the façades were submerged in Classical baroque embellishment. Hence, they belong more to an older Arabian tradition rather than Classical – all of the Nabataean façades at Medain Saleh belong to this category.

Elsewhere at Petra the cuboid motif constantly recurs, either in completely abstract form or as highly stylised deities.¹³⁰ The enigmatic ‘god-blocks’ at the entrance to the Siq are the most perfect examples (Plate 7.28). Carved squares and cubes in relief abound throughout Petra: the *baetyl* in the Siq consists of a cube with two squares carved into the face of one side and two further squares carved onto the larger one (Figure 7.30). Such rectangular carvings of squares or blocks at Petra are interpreted as abstract representations of Dushara, the main Nabataean deity.¹³¹

Abstract representation of deity in the form of a square or cube was common throughout the Near East, before Hellenism personified the gods, and goes back to Bronze Age traditions.¹³² This was the *baetyl*, or stone cult object, the focal point of so many temples not subject to Classicising influences (e.g. Figure 7.30, Plate 7.28).¹³³ The Phoenicians took the concept westwards with them in their expansion in the first half of the first millennium BC. The Carthaginian *tophet* or sacrificial memorial, for example, is marked by a *baetyl* or cubic stele.¹³⁴ Occasionally, the motif would be translated into architecture. We have observed this transformation at Petra, but it occurred elsewhere as well. The best example is at the Phoenician site of Amrit on the coast, which preserves some of the pre-Classical forms of religious architecture without the Classical veneer to which nearly all monuments were subsequently subjected. The central temple consists of a large court measuring some 65 metres square with a small cella in the centre raised on a high, rock-cut cube surmounted by a crow-step frieze (Plate 7.8). This courtyard is surrounded by a colonnade consisting of

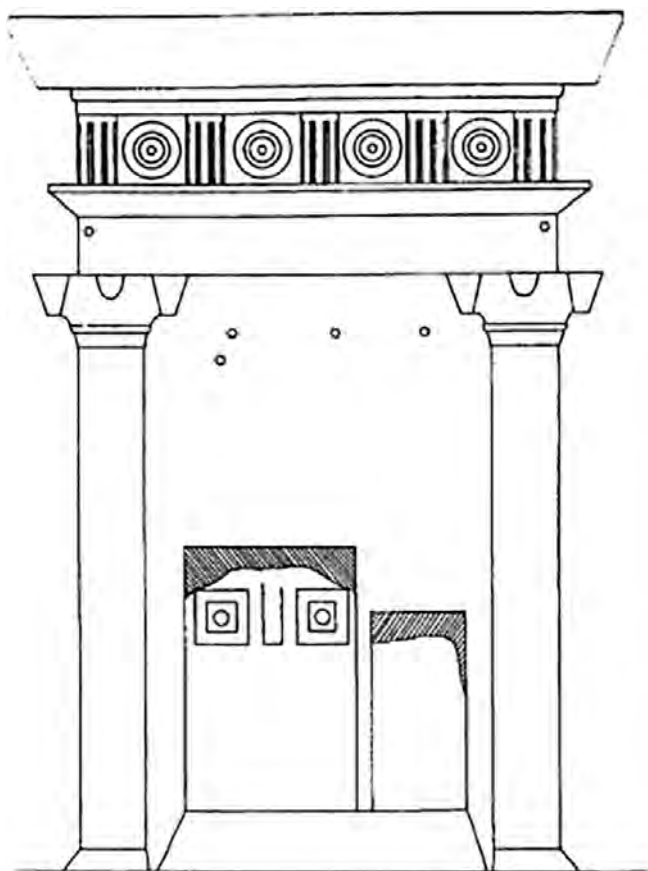


Figure 7.30 Baetyls carved into a cube in the Siq at Petra (After McKenzie)

simple, square upright slabs of stone resembling stelae. The cuboid motif also occurs at the funerary monuments at Amrit, which usually consist of cubes, sometimes superimposed on each other and occasionally rock-cut, often surmounted by cylinders, pyramids or obelisks (Plates 7.21 and 7.22). Such monuments are a part of the ancient Arabian tradition of worshipping abstract forms that is so pronounced at Petra. Indeed, the ancient Semitic idea of the sacred cube reaches its culmination in the centre of Arabian worship today: the Ka'ba (our word 'cube' derives from Arabic) at Mecca.

These elements are seen at their purest in the ancient south Arabian architecture of the early first millennium BC. Here, the entrances to temples are typically marked by rows of square monoliths, such as at the Moon Temples at Sirwah and Marib. The latter, which was capital of the ancient kingdom of Sheba, has the best extant examples. At the Temple of 'Ilmuqah known as *Arsh Bilqis*, the propylaeum consists of a line of six uncompromisingly square monoliths. Even their capitals, which are incorporated into the shafts, are entirely cuboid, consisting of a series of smaller squares. A similar row of monoliths mark the entrance to the nearby temple known as *Awwam Bilqis* (Plate 7.29). The square and cubic form constantly recurs elsewhere at Marib. Altars usually take the form of a cube and are decorated



Plate 7.28 'God-blocks' at the entrance to Petra

in repeated rows of squares; columns are either square or polygonal, surmounted by capitals in the form of faceted squares. At the earlier Sheban capital at Sirwah nearby, even a frieze consisting of a row of ibex is reduced to abstract, cubist form (Plate 7.30).¹³⁵ The predominantly 'cuboid' appearance of ancient South Arabian architecture gives it an elemental, very abstract and almost modern – or, indeed, futuristic – appearance.¹³⁶ It remained a constant theme of Yemeni architecture, with the landscape dominated by the cuboid clusters of tower-houses. Indeed, the cubic form of mosques in southern Arabia down to the fourteenth century is noted as derived from pre-Islamic models.¹³⁷

Such abstract concepts with their emphasis on the bold trabeate forms that characterised ancient south Arabian, north Arabian and Phoenician architecture appear throughout the Romanisation of Near Eastern architecture. The emphasis on trabeate forms was a survival of this, as was its predilection for long colonnades. The columns themselves became cylindrical rather than square and were surmounted by Corinthian capitals rather than south Arabian cuboid ones, but conceptually they form a part of the same tradition. The emphasis on the cube appears elsewhere in eastern Roman architecture, such as the essentially cuboid form of the tetrapylon, or the popularity of mounting columns on top of cuboid pedestals (e.g. Plates 6.4 and 7.17). Altars, too, occasionally took cubic form, such as at Khirbet Tannur or the main altar at Baalbek. The square trabeate style of the temple colonnades of both Amrit and Marib re-emerged in the late Roman architecture of north Syria. Here, the architecture is characterised by the long porticoes, appearing in both domestic and religious buildings, that are almost invariably square monoliths rather than the more familiar Classical colonnades (Plates 5.9 and 5.26). This marks a resurgence of older, native architectural values over the Classical – it is notable that much of the architecture of the Dead Cities is a vernacular, folk architecture rather than a formal public one.

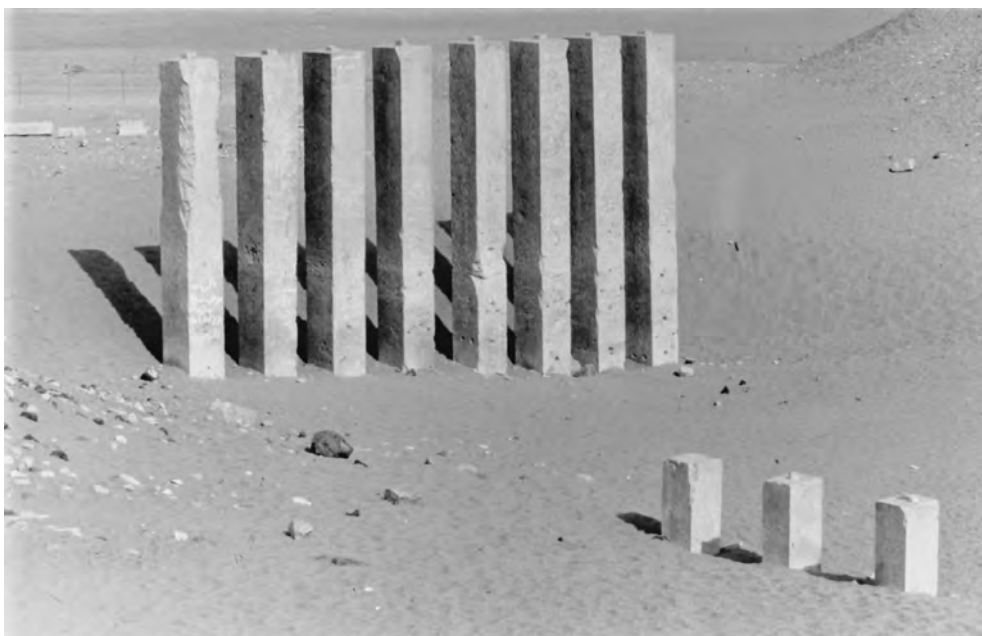


Plate 7.29 Propylaeum to the Temple of 'Ilmuqah (Awwam Bilqis) at Marib in Yemen



Plate 7.30 Ibex frieze at Sirwah in Yemen

The 'baroque' style

Roman architectural decoration, highly formalised in the West, in the East becomes more relaxed. The forms of Classical architecture were dominated by strict rules of order and proportion that were almost invariably adhered to. In the East, however, the rules were relaxed, set formulas disregarded and the Near Eastern love of flamboyance and elaboration had free rein. This oriental variation on Classical architecture is often dismissed as debased, and a Vitruvius or a Sir Banister Fletcher might well have had a fit. But it lent the monuments a spontaneity and humour: in the East, architecture becomes warmer, more living, more experimental. This more exuberant form is often described as 'Roman baroque'.

The term 'baroque' was first applied to a particular style of Roman architecture in a seminal study by Margaret Lyttelton.¹³⁸ Using the parallel of the conventional definition of Baroque, as applied to the architecture of seventeenth- and eighteenth-century Europe, the 'baroque' style was applied to the more flamboyant forms of Graeco-Roman architecture, particularly in the areas of decoration, in contrast with the more strict, 'Classic' forms. While Roman baroque occurred throughout the empire – most famously at Hadrian's Villa at Tivoli – it was more a feature of the North African and eastern provinces, particularly Syria.¹³⁹ Nearly all of the examples were drawn from here in Lyttelton's study.

Features of this style are the highly elaborate, flowing and curved façades, often conveying a sense of movement. Entablatures are alternatively recessed and breaking forward, as are the pediments (Figures 6.18 and 6.23, Plates 2.19, 2.25, 4.36, 4.39, 6.31 and 7.27). Pediments can be triangular or semicircular, can be broken, break forward, or interrupted to frame a tholos or other feature (Plates 2.19, 2.25 and 4.36). Niches and miniature pediments are used as additional embellishments, usually having no function other than decorative (Plates 2.22, 2.37, 4.32, 6.31, 7.6 and 7.7). Often, such niches are framed by pilasters and a pediment, the so-called 'Syrian niche' (Plate 7.31). This feature is discussed more below. Decoration is liberal to the point of profligacy – indeed, such façades can appear almost organic. This is almost literally true for the most famous baroque façades, those at Petra, which are cut from the living bedrock. The interior façade of Tomb 36 at Palmyra also copies the 'Pompeian style' baroque façades (Figure 7.31).¹⁴⁰

Similar baroque façades are the *kalybe*, that probably Nabataean monument already related above to the Petra façades (Figures 6.22 and 6.23, Plate 4.39). Many nymphaea, closely related to the *kalybe*, and many gateways also belong to this style (Plate 6.31). The nymphaeum at Miletus in Asia Minor is perhaps the most elaborate and most famous example of such a baroque nymphaeum, but the Near East could boast ones almost as opulent, such as those at Jerash and Beth Shean. Such baroque façades were also a feature of theatre *scenae frons*, which they closely resembled, both in terms of embellishment and the sense of theatricality which characterised so much of eastern architecture (Plate 4.36). Baroque entrances usually formed the propylaea to elaborate temple complexes, such as those of the Hadad Temple at Damascus or the Artemis Temple at Jerash (Plate 7.7). In such cases the architecture expressed the sense of gorgeous, elaborate procession and ritual which were a feature of these. Occasionally, baroque entrances could also be civic arches, such as those at Palmyra or the North Gate at Jerash (Plate 2.35). The façades of other civic monuments could also be highly baroque, the most famous example being the Library of Celsus at Ephesus. Many interiors were similarly elaborate. Baths – throughout the empire – were positively encrusted with decoration, while the elaborate baroque temple interiors, such as Niha, Baalbek or Palmyra, were a feature of the East (Figure 7.13, Plates 7.10 and 7.11). A unique monument that is often cited as typifying the baroque style is the so-called Temple of



Plate 7.31 'Syrian niche' on the Artemis Propylaeum at Jerash

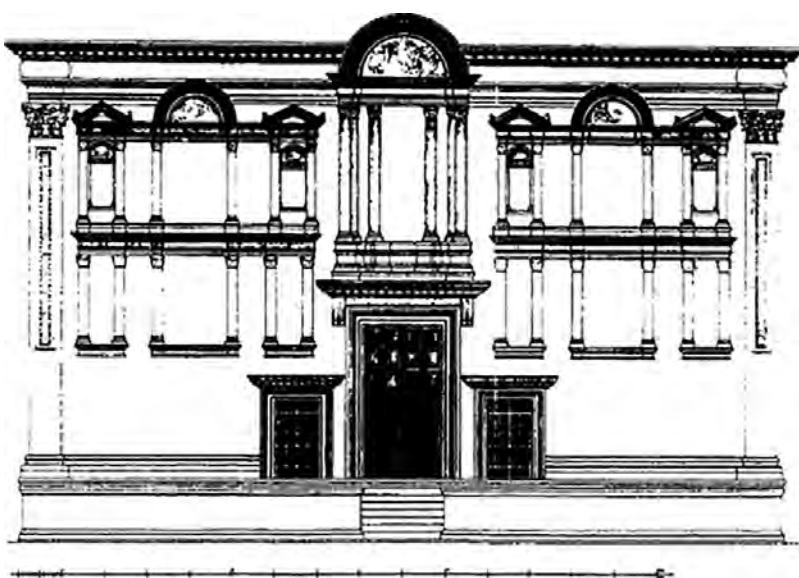


Figure 7.31 Interior façade of Tomb 36 at Palmyra (After Schmidt-Colinet)

Venus at Baalbek, which consisted of a circular tholos adorned with niches surrounded by a colonnade supporting a scalloped entablature and standing on a scalloped plinth. A second-century AD tomb at Shaqqa in the Hauran has a similar baroque plan, with its exterior walls ornamented with deep exedrae (Figure 7.32).¹⁴¹

Mixed orders and the insertion of additional elements into an entablature or column were other features of the baroque style in the East (to the horror of the architectural historian and nightmare of the architectural restorer). The colonnade of the second-century Cardo at Apamea, for example, was of the Corinthian order, but the entablature combined Doric, Ionic and Corinthian elements (Plate 7.32), and many of the columns had spiral fluted shafts (Plate 7.33). Spiral columns have a long pedigree in Syria, going back at least to the early second millennium BC, where they adorned the Old Assyrian temple at Tell Leilan.¹⁴² Their popularity continued into the Islamic period, occurring, for example, on the entrance to the Umayyad palace of Qasr al-Hayr Sharqi.¹⁴³ The pilaster capitals of the temple at Suwaylim in the Hauran were of a hybrid Ionic and Corinthian style (Plate 7.34), and at the Temple of Bel at Palmyra the peripteral colonnade was Corinthian while the exterior pilasters of the cella were Ionic (Plate 7.4). Eastern variations of capitals, particularly Corinthian, were common.¹⁴⁴ Additional elements were often also inserted. For example, colonnades, particularly street colonnades, usually had cubic pedestals under their bases (Plates 6.4 and 7.17). This tends to be a feature of the East, and might be related to older 'cuboid' styles discussed above. Furthermore, columns occasionally had projecting brackets two thirds up their shafts to hold statues (Plates 6.2, 6.34 and 7.33). This occurs most famously on the Palmyra colonnades, both in the Bel Temple and along the streets. But they also occur at Apamea and Qanawat in Syria as well as at Olba, Pompeiopolis and Castabala in Cilicia (Plate 6.8). At the Apamea agora, many of the columns have curious, floriated bulbous bases inserted between the foot of the shaft and the column base proper (Plate 7.35), a feature that is also found at the Hellenistic 'Palazzo del Colonne' at Ptolemais in Libya. An additional element consisting of a projecting bracket decorated with a frieze was also inserted between the capitals and the architrave of the Jerash North Tetrapylon (Figure 6.18). Alternatively, elements of an

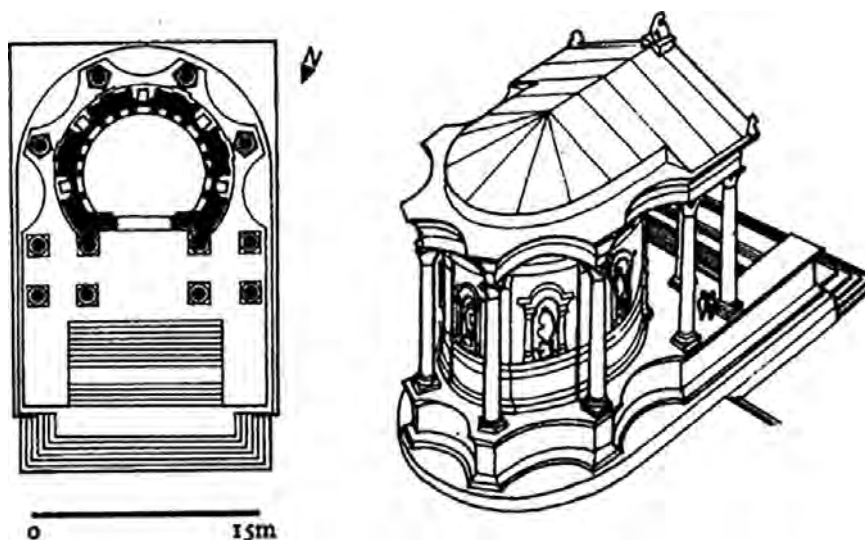


Figure 7.32 The Temple of 'Diana' at Baalbek (After Ward-Perkins)

entablature might be left out altogether, such as the temenos wall of the Jerash Zeus Temple, which consists only of a cornice and frieze without an architrave (Plate 7.36).

Many of the features of Roman baroque have been depicted in a famous series of first-century BC architectural wall paintings at Pompeii and Boscoreale. These styles, particularly the broken and segmented pediments, curved and segmented entablatures, tholoi framed by broken pediments, alternating projecting and recessed façades, have been traced to Ptolemaic Alexandria of the second century BC. The more curvilinear and fragmented nature of such architecture is regarded as a result of native Egyptian building techniques, such as the bending of reeds and the shorter structural lengths of the date-palm trunk. This particular baroque style is viewed as deriving almost wholly from Ptolemaic Egyptian architecture, rather than from Roman.¹⁴⁵ Another important but surprisingly neglected – albeit late – source that depicts similar fantasy houses to those depicted on the Pompeii wall paintings are the early eighth-century mosaics on the Umayyad Mosque in Damascus (Figure 7.33).¹⁴⁶ These mosaics depict a series of baroque Classical façades, where curved and broken elements – particularly the broken pediment – also figure prominently.

The liberal encrustation of entire wall surfaces with elaborate decoration derives from architecture further east, probably India. It has been said that much oriental architecture consists of four convenient walls upon which to lavish decoration. While form occupies just



Plate 7.32 Colonnade at Apamea combining a Doric frieze with Corinthian columns



Plate 7.33 Spirally fluted colonnade at Apamea



Plate 7.34 Hybrid Ionic-Corinthian order on the Temple at Suwaylim



Plate 7.35 Column shafts and bases at the Apamea agora



Plate 7.36 Entablature without an architrave from the Zeus Temenos at Jerash

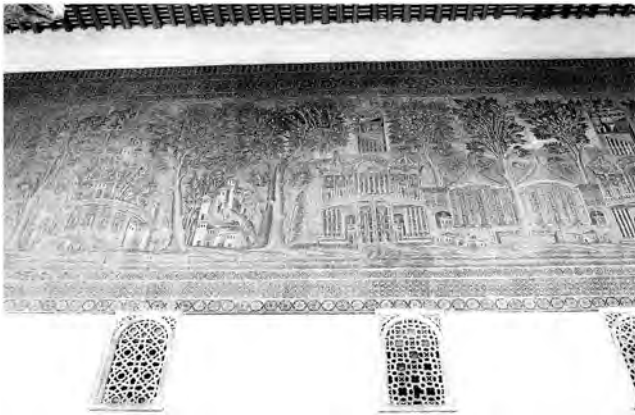


Plate 7.37 Baroque house façades adorning the Umayyad Mosque at Damascus

as important a place, it is nonetheless true that the emphasis on decoration is perhaps its main characteristic, displaying a horror of blank wall surfaces. The elaborate surface decoration of Islamic architecture belongs firmly within this tradition, as does much of eastern Roman baroque. The tradition is demonstrated very graphically – and appropriately – in the Hellenistic and Hellenistic-derived Gandharan architecture of north-western India. The Gandharan style has already been discussed above in the section on Roman artistic influence in Chapter 3. One of its central features was the combination of basic Classical architectural features – pilasters and entablatures, mainly in the Corinthian order – with elaborate architectural decoration – particularly niches and sculptures – to cover entire wall surfaces. This is mainly seen in the vast numbers of Buddhist monastic complexes which are the predominant feature of Gandharan architecture (Figure 3.6, Plates 7.37 and 7.38).

The emphasis on decoration in Gandharan architecture is an Indian element grafted onto the Classical. This same emphasis characterises Mauryan architecture of the fourth–second centuries BC on, for example, shrines at Bharhut, Sanchi and Sarnath and the cave façade at Bhaja (Figure 7.34). It later became a universal feature of Indian architecture, for example at the cave façades of Ajanta and Karli in the first few centuries AD. Indeed, the sheer weight of architectural decoration on Indian monuments often obscures any form that they might have had.¹⁴⁷ The Gandharan and Indian origins of aspects of Roman baroque are explored more below on the ‘Syrian niche’. Suffice for the moment to observe that the Hellenistic medium is the one element common to both Gandharan and eastern Roman architecture.

The other element common to both is Iranian architecture. Achaemenid influences upon Mauryan art have been long recognised, and just as the impact of Hellenism on India resulted in an Indo-Greek style, its impact further west created a ‘Graeco-Iranian’ style. This is seen throughout the Iranian world and beyond, from Kuh-i Khwaja in Seistan to Hatra, Dura Europos and Palmyra in Mesopotamia and Syria. The baroque façade of Tomb 36 at Palmyra, for example, can be related to the Parthian palace façade from Ashhur (Plate 7.39).¹⁴⁸ While not always relating directly to Roman baroque, the importance of the Achaemenid Empire from the sixth to fourth centuries for internationalism and the transmission of artistic ideas cannot be emphasised too strongly. Indeed, behind the dissemination of Classical art throughout Asia, the ‘remarkably durable arts of Persia’ not only survived but underpinned



Plate 7.38 Votive stupas at Taxila in Pakistan. Note rows of niches, Classical entablatures and Corinthian derived pilasters



Plate 7.39 Detail of the Stupa of the Two-Headed Eagle at Taxila: a Mauryan arch framed in Corinthian pilasters and entablature

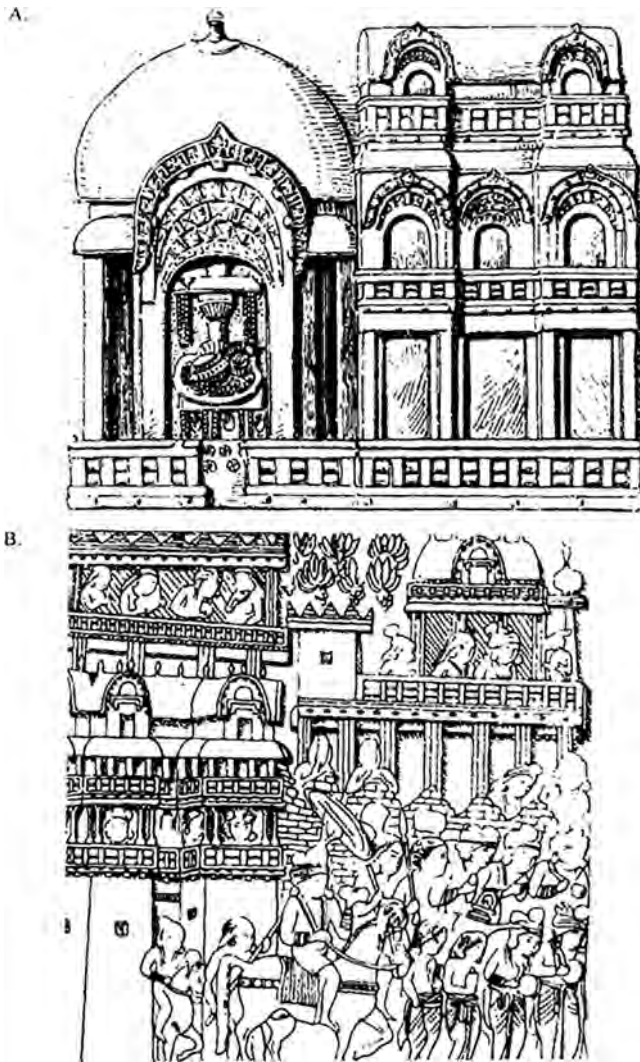


Figure 7.33 Mauryan decorative niches. A: Bharhut. B: Sanchi (After Reed/Michel)

the formation and movement of other forms.¹⁴⁹ Against this background, both the distinct nature of Roman baroque in the east, and its borrowings from as far away as India, is entirely plausible. If this might appear tenuous, one must only contrast the general acceptance of western influence on India ('Romano-Buddhist' art for example).

The 'Syrian niche'

One of the most distinctive Syrian features of Roman baroque is a decorative feature known as the 'Syrian niche'. This is a niche set into a wall – often to house a statue – framed by a



Plate 7.40 The Parthian palace façade from Ashhur in the Pergamon Museum in Berlin

pair of engaged colonnettes supporting a miniature pediment (Plate 7.31). They were used to great – and elaborate – effect to adorn façades, most notably in the temenos walls of Baalbek and Palmyra (Plates 2.37 and 7.6). One authority includes the Syrian niche as part of a general category of ‘elaborated walls’ in Roman architecture. While citing eastern examples, such as Baalbek or Palmyra, as the most imposing examples surviving, it is not known where they originated or why.¹⁵⁰

The tradition of framed niches, however, is one of the most ubiquitous and enduring features of much of the architecture of western Asia and India. They first occur in the Buddhist architecture of Mauryan India, where they are used – like the Syrian niche – simply as a repeated, recurring decorative motif to adorn wall surfaces. They occur, for example, at the second–first-century BC façades at Bharhut, Sanchi and Bhaja, becoming characteristic of the cave architecture of Karli and Ajanta between the first and fifth centuries (Figure 7.34).

The importance of the framed niche in Buddhist art is iconographical, deriving from the reverence for the Buddha image. This is one of the commonest features of Buddhist art, where the Buddha image typically occurs within a frame. The earliest occurrence is the gold Bimaran Reliquary from Afghanistan, dating probably from the first century AD.¹⁵¹ Thereafter, it becomes characteristic in Gandharan art, where Buddhist narrative reliefs or rows of seated Buddhas in niches are divided by Corinthian, or occasionally Persepolitan, columns. The

best examples of the niche form in Gandharan architecture are from the category defined as 'inhabited caitya arches'.¹⁵²

The form proved a remarkably popular and durable one in Indian architecture, continuing into Islam. The decoration of the tomb façades in the extraordinary fourteenth–eighteenth-century necropolis of Thatta in Sind, for example, is liberally endowed with such framed arches, many of them flanked by engaged columns appearing remarkably like the Syrian niche. In Panjab, the Sohail Gate of the massive sixteenth-century Suri fortress at Rohtas is flanked by two 'Syrian niches' in much the same way that they were used to adorn temple propylaea in Roman Syria, and there are many more such occurrences elsewhere in Indian Islamic architecture (Plate 7.40).¹⁵³

Further west, it proved equally enduring. The tradition of using repeated rows of niches purely for decorative effect to relieve wall surfaces became a feature of Parthian and Sasanian architecture. It occurs, for example, on the Parthian palaces at Nisa, Ashhur and Qal'a-i Yazdegird, as well as on Sasanian palaces at Firuzabad and Ctesiphon (Figure 7.35, Plate 7.41).¹⁵⁴ The

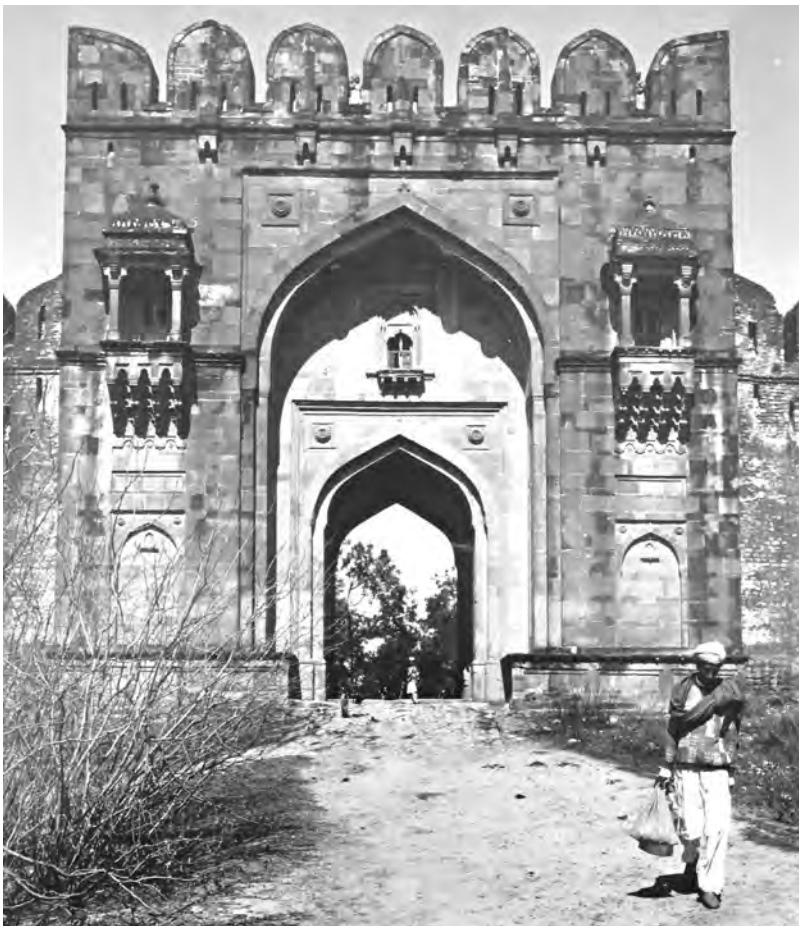


Plate 7.41 Decorative niches used to flank the Sohail Gate in the sixteenth-century fort of Rohtas in Pakistan

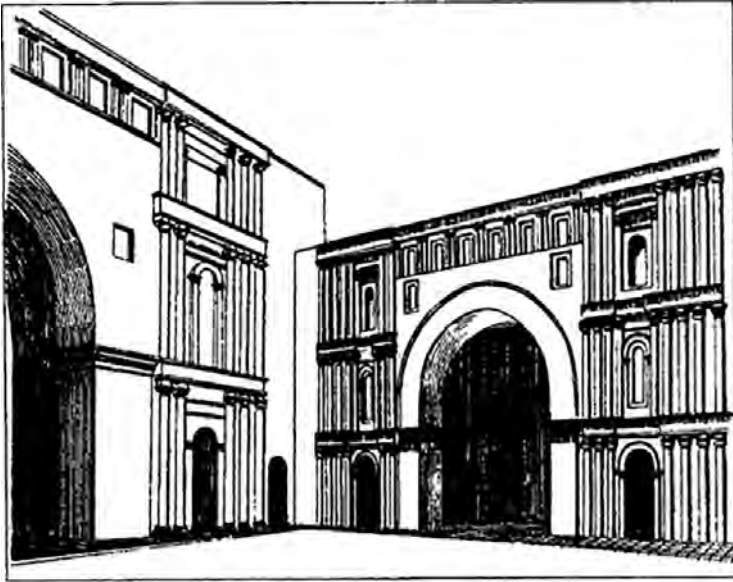


Figure 7.34 The Parthian palace façade at Ashhur (After Andrae/Reuther)



Plate 7.42 Sasanian palace façade at Ctesiphon



Plate 7.43 Abbasid palace façade at Samarra

remarkably baroque façade of the Parthian palace in Ashhur has been viewed as the inspiration for the Tomb 36 façade at Palmyra.¹⁵⁵ The idea was enthusiastically adopted into Islamic architecture. We see exactly the same arrangement in large numbers of Islamic monuments before tile-work decoration in the fifteenth century smothered other forms of surface embellishment (in Iran at least). Examples include the tenth-century Tomb of Isma'il in Bukhara and the palaces at Samarra (Plate 7.42).¹⁵⁶

Once again, one must look to the immensely international and enduring influence of the Achaemenid Empire to explain such links as wide apart in time and space as Mauryan India and the Roman East. Repeated rows of arches were used in Achaemenid architecture, most notably in the interiors of the palaces at Persepolis. In the Audience Hall of Xerxes, as well as the Private Apartments of both Darius and Xerxes, this is the main form of decoration.¹⁵⁷ The form in Iran has been traced back to the niches that adorned the early Iron Age columned halls of western Iran, for example at Hasanlu and Nush-i Jan.¹⁵⁸ Such niches are a far cry from the 'Syrian niches' of Roman baroque, and any resemblance might well be illusory. But like so much of the architecture related to Roman baroque, they nonetheless demonstrate the strength of the tradition throughout the East, in contrast to its paucity in the Classical architecture of the West.

Conclusion

The great flowering of architecture in the second and third centuries, when the cities of the Roman East were magnificently embellished, continues to impress to this day. This was in accordance with the rest of the empire, all parts of which came in for similar embellishment. But this process had an additional element in the East. For there it not only marks an upsurge in wealth and stability, it also marks a native resurgence. Entire cities were monumentalised,

great temples were enlarged, extended and rebuilt in dazzling proportions, but the embellishment would be in an eastern style.

Observers have been side-tracked by the Corinthian capitals which adorn these buildings, without looking beyond to the deeper architectural concepts which determine their basic form.¹⁵⁹ Ancient Near Eastern architectural forms had a tenacity which survived superficial Romanisation and still survive in the form of Islamic mosques to this day. Before the Macedonian and Roman conquests imparted an east–west political division on the Near East, the entire area was culturally more unified than the appearance of Graeco-Roman art forms might imply.

It is possible to get a Mesopotamian or Iranian-style temple cella and dress it up in Graeco-Roman ornamentation. Never mind that the deity was Melqart, Dushara or Atargatis, it could always be called Zeus or Artemis. One might even throw a Corinthian colonnade around the outside of the sanctuary, as well as another around the extremely un-Classical-style temple courtyard to dazzle the worshippers who thronged there on holy days to sacrifice at the great altar that dominated it. Such colonnades would hardly disguise the entirely native high places with which the temple was equipped, without which the gods would be affronted beyond belief. The magnificent arch through which one passed to enter the courtyard might be decorated with Classical statuary instead of the dragons and demons which embellished the Ishtar Gate, but it was still an earthly counterpart of the gateway to heaven. None of these frills made the temple Roman, it remained as it had always been, a Near Eastern temple familiar to the people who had been using it for thousands of years. Roman frills might be piled onto it, but the real architecture remained what it had always been: Near Eastern.

Notes

- 1 Mark 12.17.
- 2 This was particularly so in ancient Mesopotamia, when a city's main temple was also its administrative centre and treasury.
- 3 Wightman 2007: 609–59; Segal 2013.
- 4 Millar 1993: 235, 310–11, 505, and 2013: 13–14
- 5 Wightman 2007: 609.
- 6 See for example the discussion by Kaizer 2000. Lichtenberger (2008) however, while admitting the local architectural variations, views the Temple of Zeus at Jerash at least as a Greek import. See also Raja 2013.
- 7 Roller (1998: 92–3) exaggerates the prevalence of Italian-style temples to Rome and Augustus in the East. See also Dirven 2011.
- 8 Sartre 2005: 310–18.
- 9 See Fowden 1999, particularly Chapter 6.
- 10 Fowden (1999: 99) discusses Rasafa in northern Syria in terms of the Arabian tradition of the *haram*. See also Wightman 2007: 261–80.
- 11 Boulanger 1966: 255, 315; Aliquot 2008. For Husn Sulaiman, see below.
- 12 The Petra 'Great Temple' has a complicated architectural history, and may not have been a temple. Joukowsky 1998 and 2007.
- 13 Taylor 1986; Aliquot 2012.
- 14 Foerster and Tsafrir 1993: 8–12.
- 15 Unless it is Baalbek, as is postulated in 'The great Temple of Emesene Baal' in Chapter 3.
- 16 Busink 1970–80 (Jerusalem); Watzinger and Wulzinger 1921 (Damascus); Dussaud 1922 (Damascus); Jidejian 1968: 114–15 (Byblos); Kanellopoulos in Peterman 1994: 544–5 (Amman); Butler 1907–20: 228; Peters 1983: 273–4 (Bosra); Fischer *et al.* 1984 (Kedesh); Watson and Tidmarsh in Peterman 1994: 557–8 (Pella); Bowsher 1987: 63; Fischer in Kraeling 1938: 125–38 (Jerash); Raja 2013 (Jerash); Segal 2013: 109–13, 116–20, 177–80, 266–78.
- 17 Jidejian 1975a, Ragette 1980 and refs, van Ess and Rheidt 2014. See also 'The great Temple of Emesene Baal' in Chapter 3.

- 18 Zeus Kasios is known from the sacrifice made by the Emperor Julian referred to by John Malalas 13. 9. For the others, see: Tchalenko 1953–8: 106–11; Callot and Marcillet Jaubert 1984; Taylor 1986: Figs 135–9; Turcan 1996: 171–2; Aliquot 2012; Segal 2013: 72–3.
- 19 Aliquot (2012) puts the number of sanctuaries in Lebanon as high as 120.
- 20 Callot and Marcillet Jaubert 1984; Aliquot 2008; Segal 2013: 109–20, 141–50.
- 21 Butler 1903: 346–51; Boulanger 1966: 545; Goggräfe 1993; Segal 2013: 75–6, 213–16.
- 22 Seyrig 1951; Teixidor 1977: 50–2; Segal 2013: 77–89. Millar (1993: 266 and 71–3) ignores the physical evidence of the material remains to see no evidence for any Semitic element in the cult of Baetococaea, and describes the structure as a purely ‘Roman’ building.
- 23 Kraeling *et al.* (eds) 1956: Pl. lvii.
- 24 Segal 2013: 75–6, 116–20.
- 25 Segal 2013: 59.
- 26 Burns 2011: 124. See also Fisher in Kraeling 1938: 125–38; Browning 1982: 85–92; Parapetti 1989; Rababe’h 2011; Segal 2013: 230–41.
- 27 Ward Perkins 1981: 417–18.
- 28 Some of the late Hellenistic and Roman period temples in Anatolia also belong within this tradition, such as the Temple of Athena at Pergamon, the Temple of Augustus at Antioch-in-Pisidia, and the Temple of Zeus at Aezani. See Lyttelton 1987.
- 29 Hatra: Colledge 1967: 129–34; Safar and Mustafa 1974; Herrmann 1977: 59–61; Wightman 2007: 655–8; Kangavar: Reuther 1938–9: 413–14; Herrmann 1977: 107; Fard 1996. Khurha: Herrmann 1977: 38; Boyce and Grenet 1991: 90 and personal observation.
- 30 In this way it bears a surprising resemblance to some of the projected reconstructions of the Temple of Elagabal in Rome.
- 31 Personal observation.
- 32 Compare Fard 1996: 32 and 40.
- 33 Fard 1996: 9–15, 23–47.
- 34 A secondary element, for Hatra, is the deity itself the Sun god cult in its various manifestations was a particularly popular one throughout the Roman East (the Temple of Emesene Baal, for example), ultimately spreading throughout the Roman world.
- 35 Reuther 1938–9: 428; Herzfeld 1941: 301–2, Pl. xcvi.
- 36 Isakov 1982: Fig. 9; Marshak and Negmatov in Litvinsky (ed.) 1996: 237, Fig. 2, 1, ii and x.
- 37 Schippmann 1971.
- 38 Wright 1985b: 244–5.
- 39 Moortgat 1969: 6, 20, 25, 57, 77, 160; Frankfort 1976: 43, 108; Roaf 1990: 60–3, 101, 192; Postgate 1994: 111–13. See also Wightman 2007 Chapter 1, ‘The Sacred Mountain’, for the Mesopotamian temple enclosures.
- 40 Chapter 6, above. See also Doe 1983: 124–5, 160–5; Wightman 2007: 261–80.
- 41 The ‘columns’ are in the form of rectangular stele, and are discussed further in the section on the trabeate style. The courtyard is often described as an artificial lake, but this is more likely to be a result of recent hydrological changes rather than original design. See Dunand and Saliby 1985.
- 42 Postgate 1994: 123–4, 132.
- 43 This is discussed more in the section on ‘Christian architecture’ later, in this chapter. The concept of the congregation is elaborated again in Chapter 8, ‘From Paganism to Christianity’.
- 44 Peña 1996: 143–5.
- 45 Teixidor 1977: 50–2; Sherwin-White and Kuhrt 1993: 61, 65; Butcher 2003: 352.
- 46 E.g. see Gawlikowski 1982; Doe 1983: 160; Serjeant 1983; Lewcock 1986: 37–9; Wightman 2007: 261–80. Fowden 1999: 99 discusses Rasafa in northern Syria in terms of the Arabian tradition of the *haram*.
- 47 Quoted in Millar 1993: 272–3.
- 48 Peña 1996: 141.
- 49 Ragette 1980: 34–5.
- 50 Palmyra see Starcky and Gawlikowski 1985; Baalbek see Jidejian 1975a; Petra see Joukowsky 1997; Qanawat see Freyberger 1993; Segal 2013: 197–9; Jerusalem depicted in the Dura Europos Synagogue, see Kraeling *et al.* 1956: Pl. lvii; Suwayda recorded in the nineteenth century, see Butler 1903: 76; Nablus depicted in the Church of St Stephen mosaic at Kastron Mefaa, see Piccirillo 1993: Pl. 351.
- 51 Ward-Perkins 1981: 314–16, 324, 332–3, 340, 356–7; Browning 1979: 99–125, 134–7, 163–8 (the Palmyra temples); Browning 1982 (the Jerash temples); Parapetti 1982, 1986 and 1989 (Jerash Artemis); Seigne 1986 (Jerash Zeus); Krencker and Zschietzschmann 1938 (Husn Sulaiman);

- Crowfoot *et al.* 1942 (Sebaste); Kanellopoulos in Peterman 1994 (Amman); Browning 1989; McKenzie 1990 (Petra temples); Negev 1977; Segal 2013: 180–1 (Nabataean temples); Ragette 1980 (Baalbek); Gogräfe 1993; 1996 (Isriya); Wright 1985a (Petra Dushara); Dentzer 1985 (Si'); Freyberger 1989c; 1991; 1992 and 1993; Segal 2013: 171–7, 191–5 (Sanamayn, Slim, Shahba, Qanawat Helios; the 'Philippeion' at Shahba may not have been a temple); Tchalenko 1953–8: Pls VIII, XLII (Burj Baqirha, Shaikh Barakat); Butler 1903: 76, 345; Segal 2013: 195–7, 200–5 (Isriya, Suwayda, Atil, Qanawat, Mushannaf).
- 52 Lyttelton 1974.
- 53 Ward-Perkins 1981: 322–3; Taylor 1986: Figs 17–19, 167; Segal 2013: 109–13, 141–50.
- 54 Moortgat 1969: 20–5, 77, 95, 161; Frankfort 1976: 42, 106, 205; Wright 1985b: 239–40.
- 55 Butler 1929: 13–15; Segal 2013: 141–54, 171–80, 191–7.
- 56 Moortgat 1969: 59, 95; Frankfort 1970: 276. Wright (1985b: 136 and 241) refers to it as the 'Old Mediterranean Temple Type'.
- 57 Krautheimer and Curcio 1986: 137–56, 301–3; Segal 2013: 171–7, 177–80.
- 58 Segal 2013: 32–7 lists seven of these, characterising them as 'concentric' non-Vitruvian temples and noting that they are all Nabataean. An Iranian origin he views as too distant, both geographically and chronologically, proposing the Nabataean rock-cut high places as the origin of the plan. See also Bartlett in Politis (ed.) 2007: 59–62.
- 59 Negev 1977: 606–20; Butler 1903; Glueck 1965 (Khirbet Tannur); al-Muheisin and Villeneuve 1994; Al-Muheisin, Villeneuve and Peterman in Peterman 1994: 540–2 (Khirbet adh-Dharih); Savignac and Horsfeld 1935; Kirkbride 1960 (Ramm). See also Seigneuret 2012; Segal 2013: 180–1, 169–71, 206–13. Circumambulation is an important feature of Nabataean ritual. See McKenzie *et al.* 2013.
- 60 Schlumberger 1951: 93–106.
- 61 Glueck 1965: 160 and refs. See Boyce and Grenet 1991: 172–9 and Shkoda 1998 for a discussion of Achaemenid and Graeco-Bactrian circumambulatory temples, where the origin might be in earlier Bactrian domestic architecture. See also Schippmann 1968, Wright 1968. One can take the resemblance of this building type, however, too far. The plan is after all a fairly simple and basic one, so that resemblances with no possible cultural or historical link can be coincidental: e.g. the resemblance between 'the Bactrian Temple at Surkh Kotal in Afghanistan and the English Temple at Brean Down' (Wright 1968: 380) is stretching it too far. While art styles can travel enormous distances by their very portability (e.g. the 'animal style'), building styles by their very nature were less transportable.
- 62 Schippmann 1971: 8–11, 57–70, 97–9, 129–34, 142–53, 212–15, 233–51, 251–8, 266–74, 309–57, Abb. 1, 13, 18, 20, 28, 37, 38, 44; Reuther 1938–9: Fig. 103 (Hatra); Herzfeld 1941: Figs 398 (Imamzadeh Husain), 399 (Masjid-i Sulaiman) and Pl. 97 (Kuh-i Khwaja); Herrmann 1977: 102 and Sarfaraz 1973 (Bishapur); Summers 1993: 93 (Altintepe). The circumambulatory of the Temple of the Sun at Hatra has been interpreted as a fire temple; see Duchesne-Guillemin 1983: 870. See also Stronach 1967 and 1985.
- 63 Though see Chapter 3, 'Nabataean religion' for a discussion of other possible Iranian religious influences on Nabataean religion.
- 64 Tholbecq (in Politis (ed.) 2007: 103–43) on the other hand argues persuasively for an Egyptian origin for this arrangement, a more convincing argument in view of the far greater Nabataean links with Egypt than with Iran. Indeed, the Egyptian cubit has been identified as the standard unit in some of the Nabataean temples.
- 65 Amy 1950. Negev 1973.
- 66 See in particular the reconstructions of the temple at Slim or the Dushara Temple at Petra; Wright 1985: Fig. 2, Freyberger 1991: Abb. 3.4, 5.6.
- 67 For reconstructed elevations of these facades, see: Butler 1907–20: 366–402 (Si'); Klinkott 1989: Abb. 11 (Dmayr); Ragette 1980: 36–7 (Baalbek); Browning 1982: 160 (Jerash). For Rabbah and Sur, see Negev 1977: Figs 14, 16. See also Segal 2013: 171–7, 180–1, 206–13.
- 68 The latter where Emperor Julian offered sacrifice during his eastern campaign. See John Malalas 13.19. Both Mt Hermon and Mt Kasios are more sacred open spaces than conventional temples.
- 69 MacDonald 1986: 69–70.
- 70 Peña 1996: 201–3. Alpess (2013: 194–5) also notes the mountain of Salkhad in the Hauran as a Nabataean high place.
- 71 Fiema in Nehmé and Wadeson (eds) 2012: 27–38.
- 72 Khouri 1986b: 123–9, McKenzie 1990: 172 and refs. It may have been Edomite in origin. See Lindner *et al.* 1997.

- 73 Horsfield and Conway 1930; Parr 1960; Hammond 1973: 52. Alpass (2013: 68–73) further notes that most high places are orientated on Jebel Harun.
- 74 Glueck 1965; Villeneuve and al-Muheisen in Markoe (ed.) 2003; McKenzie *et al.* 2013.
- 75 See Gaifman 2008 and Figueras 2013 for the contrasting way of depicting Near Eastern deities.
- 76 Amy 1950: 82–3.
- 77 Negev 1973.
- 78 Boyce and Grenet 1991: various refs.
- 79 See Wightman 2007 Chapter 1, ‘The Sacred Mountain’, for the Mesopotamian origins of the sacred high place.
- 80 Roaf 1990: 104–6, 143.
- 81 See the distribution map in Roaf 1990: 105.
- 82 Butler 1907–20: Figs 76, 90; Butler 1929: Figs 85, 98, 155, 169; Tchalenko 1953–8: Pls xvi, xxii, LXXVI, cxln; Mango 1978: 80–1; Krautheimer and Curcic 1986: 152–5.
- 83 Donner 1992: 45, 56, 69; Piccirillo 1993: 34–5 and 324–5, Pls 209 and 674.
- 84 Peña 1996: 146–8.
- 85 Creswell 1958: 44–81; Hillenbrand 1994: 136–7. An alternative inspiration for the minaret has been seen in the stylite pillar cults of northern Syria and elsewhere; see Peña 1996: 221, and above.
- 86 Butler 1929; Crowfoot 1941; Krautheimer and Curcic 1986.
- 87 E.g. Butler 1929, Wilkinson 1984, Peña 1996.
- 88 Segal’s comprehensive (2013) survey covers just 87: see Fig. 5.
- 89 Although Peña (1996: 72, 235–9) sees both the arceate form as an eastern (Persian) feature and Syria as the origin of much Christian architecture in Visigothic and Asturian Spain.
- 90 Krautheimer and Curcic 1986: 23.
- 91 Crowfoot 1941: 1–4; Welles 1967; Hopkins 1979; Krautheimer and Curcic 1986: 27–8; McLendon, Peppard, Delaauw in Brody and Hoffman 2011.
- 92 Peña 1996: 63.
- 93 Butler 1929: Chapter 2.
- 94 Balderstone in Walmsley 2001 analyses the liturgical demands that dictated the architectural form of early Near Eastern churches.
- 95 E.g. Butler 1929: 191, Crowfoot 1941: 37–46, Mango 1978: 48–9, 52–5, 104, Krautheimer and Curcic 1986: 129, 138–9, 144–9, 229–30.
- 96 Allchin 1995: 244–6; Krautheimer and Curcic 1986: 74.
- 97 The chaitya halls of Indian temples and the apses of Christian churches have been seen elsewhere as having a common origin, albeit in Greece or Ionia, and then travelling via Persia to India. See Thompson 1969.
- 98 Creswell 1924. See also Wilkinson 1981.
- 99 See also Butcher 2003: 295–302.
- 100 Butler 1903: 97, 159–66; Tchalenko 1953–8: Pls xliii, lxxxv; Burns 1992: 55–8; Seigne and Morin 1995.
- 101 Burns 1992: 137, 61, 198–9, 206, 222 and refs; Tchalenko 1953–8: Pls xliv, lxr, lxii.
- 102 Schmidt-Colinet in Alcock (ed.) 1997: 166–70.
- 103 Butler 1903: 99–102; Tchalenko 1953–8: Pl. CXXI.
- 104 Schmidt-Colinet in Alcock (ed.) 1997: 161–3. See also Mango 1982: 117.
- 105 Collart 1973 interprets this as an imperial cult monument.
- 106 Pirenne 1963; Mango 1982: 117; Millar 1993: 465. Palmer (1990: 100–7) relates stylitism in the Tur Abdin to the pre-Islamic tomb tower ‘cult’, e.g. at Palmyra: a stylite deliberately choosing a place of the dead just as other recluses (including St Simeon himself) would wall themselves up in a ‘tomb’ as another form of self debasement. Contemplation of death was seen as a holy duty, hence too the charnel houses of early Christian monasteries. Both Qartmin and Habsenus in the Tur Abdin had hermits’ towers.
- 107 Collart 1973; Taylor 1986: Pl. 95 (Qal’at Faqra); McKenzie 1990: 114 (Petra); Gogräfe 1995 (Sirrin).
- 108 Dunand and Saliby 1985; Burns 1992: 44–5; Ball 2006: 135–6.
- 109 Brogan and Smith 1984.
- 110 Jidejian 1996a: 167–74.
- 111 Wright 1985b: 324–9.
- 112 Schmidt-Colinet in Alcock 1997: 163–5; Saito 2004–5.
- 113 Starcky and Gawlikowski 1985; Eristov *et al.* 2006–7.

- 114 Colledge 1976.
- 115 Akurgal 1990: 255–66.
- 116 Akurgal 1990: 270–6.
- 117 Tchalenko 1953–8: Pl. LXI.
- 118 The best source book is McKenzie 1990.
- 119 Unless they functioned as ossuaries, as suggested in ‘Nabataean religion’ in Chapter 2.
- 120 Partich (in Politis, (ed.) 2007: 83) cautions against the emphasis on the main so-called ‘royal façades’ at Petra in any attempt at interpretation, pointing out that only 34 of the 512 tomb facades at Petra reflect Classical influence: Nabataean architecture is a Near Eastern form above all.
- 121 Listed in the most recent, McKenzie 1990.
- 122 A point emphasised by Schmid 2000.
- 123 Translated by W J. Jobling in McKenzie 1990: 35.
- 124 McKenzie 1990: 113, 144–8. See especially the studies carried out on the Roman Soldier Tomb; Schmid 2000.
- 125 Lindner *et al.* 1984; McKenzie 1990: 150–1, 159–61.
- 126 See in general Adam 1994.
- 127 Dodge 1990.
- 128 Although Peña (1996: 72) sees the curvilinear style of the church architecture of Syria as belonging to a Persian tradition.
- 129 Lyttelton 1974: 15.
- 130 What Zayedine (1991: 55–6 and Figs 47–9) characterises as the ‘Arabian’ style.
- 131 Hammond 1973: 49–50, 95–6; McKenzie 1990: 159; Bellwald, al-Huneidi *et al.* 2003: 43–5; Alpass 2013: 83–4. See also Gaifman 2008; Le Bihan 2012.
- 132 It occurs, for example, depicted on a Middle Assyrian altar depicting Tukulti-Ninurta I (1343–1207 bc) paying homage to a square cult object. See Harper *et al.* 1995: 112–13. See also Mettinger 2004; Gaifman 2008.
- 133 Gawlikowski in Alcock 1997: 47–9.
- 134 Aubet 1992: 212–15.
- 135 E.g. Doe 1983, Daum (ed.) 1988, Breton 1992.
- 136 The severely square form of the ‘monolith’ in Stanley Kubrick’s classic 1969 film *2001: A Space Odyssey*, was deliberately chosen for this reason.
- 137 Finster in Daum (ed.) 1988: 258–60.
- 138 Lyttelton 1974.
- 139 Although MacDonald (1986: 220, 232) denies the emphasis on the East, viewing such buildings as the Temple of Venus at Baalbek or the Petra façades, which Littleton cites as typifying the baroque style, as not belonging to the baroque tradition.
- 140 Schmidt-Colinet in Alcock 1997: 165 and refs.
- 141 Butler 1903: 396–7.
- 142 Weiss (ed.) 1985: Figs 44 and 45. See also Clayden 2000 for examples at Dur Kurigalzu in Iraq.
- 143 Grabar *et al.* 1978.
- 144 Schlumberger 1933; Invernezzi 1995a.
- 145 McKenzie 1990: Chapters 5 and 8, and 2007: Chapter 5.
- 146 Förtsch 1993.
- 147 E.g. Rowland 1977: Figs 15, 35, 38, 42, 46, 60; Michell 1989: 319–21; Allchin 1995: Figs 12.14, 12.15, 12.18.
- 148 Schmidt-Colinet in Alcock 1997: 167–70. This connection is discussed further in the section on Syrian niches, below.
- 149 Boardman 1994: 108. See also the remarks on the essential unity of this region by Curtis 1998–99.
- 150 MacDonald 1986: 203–7.
- 151 Bibliographies in Ball 1982: 58–9; Zwalf 1996.
- 152 E.g. Zwalf 1996: Pl. VI, 141, 143, 270–6.
- 153 Personal observation.
- 154 E.g. Herrmann 1977: 34, 57, 67–72, 126–7.
- 155 Schmidt-Colinet in Alcock 1997: 167–70.
- 156 Pope 1965: 81–5.
- 157 Matheson 1976: 224–34 and refs.
- 158 See Roaf and Stronach 1973: 129–40.
- 159 The refreshing exception, as always, being Ward-Perkins (1981: Chapter 12) in his outstanding general survey of eastern Roman architecture. See also Freyberger 1998.

8 The transformation of an empire

It has been a commonplace to contrast the outwardness of Europeans with the traditional inwardness of Asians, to argue that Asia was somehow static when Europe was outgoing, often in the context of European expansion into the East, whether in antiquity or modern times. Such misconceptions still have surprising tenacity.¹ The outgoing curiosity and expansion of Asian peoples into Europe began long before and continued long after the Greek and Roman expansion into the East: Phoenicians, Persians, Jews, Syrians, Arabs, Huns, Avars, Mongols and others, for example. These are largely beyond the present discussion.² Suffice it to say that such fallacies do not stand up to scrutiny. At few other times in history was this more apparent than during the Roman Empire.

To begin with, the examination of Rome's direct and indirect expansion east of the frontiers reviewed in Chapter 3 must be contrasted with the converse: the expansion of Asia into Europe through the medium of the Roman world. The western movement of peoples and ideas forms an essential background to what was probably the greatest east–west intellectual movement in history: Christianity. The ensuing interchange culminated in an orientalisation of Europe.

The Arabs and the West

Near Eastern penetration and colonisation of Europe has a long history – certainly far longer than European colonisation of the East. It begins with the Phoenician outward expansion in the second millennium BC, and the conflict with Phoenicia's greatest colony – Carthage – over this expansion did more than anything to thrust Rome onto the world stage. The eventual defeat of Carthage and collapse of its colonial empire did not bring about an end to this expansion.³ On the contrary, the unification of the Mediterranean world under Rome brought Near Eastern communities in the wake of Rome's conquests in Europe. The Jewish Diaspora – which started before the destruction of Jerusalem by Titus in 66 – and the establishment of Jewish communities in every major city of the Mediterranean was a part of this broad pattern. In addition, Arab, Iranian and even Indian communities came west.

Substantial Arab minorities are recorded in most of the ports of southern Spain from the first few centuries AD: at Malaga, Cartagena, Seville and Cordoba. Pliny the Younger mentions the Syrian philosopher, Euphrates, at Rome in the early first century AD. Wealthy Near Eastern merchant colonies lived in Puteoli and Ostia in the second century AD. Indeed, with the cults of Tyrian Melqart, Egyptian Isis, Anatolian Cybele, Syrian Atargatis, Iranian Mithras, Nabataean Dushara, the various Syrian Baals of Jupiter Heliopolitanus, Jupiter Damascenus (Hadad) and Jupiter Dolichenus, and numerous other eastern cults known to flourish in Puteoli, it must have resembled an oriental city in the first few centuries AD.

Apamaean, Palmyrene and Nabataean communities in Italy are also known, and there is a Palmyrene dedicatory inscription to Malakbel and a Nabataean dedicatory inscription to the Sun god in Rome. Safaitic inscriptions have also been found in Pompeii. Other Syrian merchant communities have been recorded in Spain and Gaul at Pannonia, Malaga, Lyons, Grenoble, Arles, Trier and elsewhere. In Lyons, for example, a bilingual inscription by Thayn bin Sad from Qanawat was left by one of these Syrian merchant families. Such Syrian merchant communities became more influential after the adoption of Christianity, particularly in encouraging eastern forms of monasticism and the adoption of the crucifix as the Christian symbol. Salvian (who died in about 484) wrote of Syrian merchants in Marseilles, and there was still a Syrian merchant community in Narbonne as late as 589. After the fourth century Ravenna was noted for its Syrian influence, both in its mosaics and its religion, with a number of Syrian bishops recorded there. There was a Persian Patriarch, a former Zoroastrian, Mar Aba, who preached in Alexandria, Constantinople, and elsewhere in the Christian West. There was a Syrian bishop of Paris in the fifth century, and Aramaic was still spoken in Orleans and Narbonne in the fifth and sixth centuries. Marseilles and Bordeaux still had a substantial Syrian population in the fifth century, mainly mercantile. In the first hundred years after the Muslim invasion, no less than six Popes were Syrian. One of these, Pope Sergius I (687–701), was particularly instrumental in introducing elements of Syrian liturgy and Christian belief into the Church of Rome. Theodore, the Archbishop of Canterbury from 669 to 690, was from Tarsus.⁴

After Emperor Severus Alexander's Persian war in 230–3, many 'Moorish', Arab and Iranian troops, mainly archers, were stationed in Germany. Syrian elements were recorded in Roman army camps in Europe, particularly along the Danube frontier, where the Syrian cults of Aziz, Hadad and al-Uzza are known. On the British frontier, shrines to the Syrian Jupiter Dolichenus have been found at Caerleon, and shrines to Phoenician Astarte and Melqart have been found at Corbridge and Jupiter Heliopolitanus at Magnae, both near Hadrian's Wall. Near the end of the wall, at South Shields, is a Palmyrene funerary memorial associated with a Syrian community in Northumberland (Plate 8.1). The ancient name of the South Shields fort was Arbeia, a corruption of 'Arabs' (Plate 8.2), and the control of the river traffic at the mouth of the Tyne at that time was in the hands of an Arab community known as the 'Tigris boatmen'.⁵

The above survey, although brief, is enough to underline the interchange. The long and complex relationship between Rome and the Arabs, reviewed in Chapter 3, is also an integral part, as is the rise of Arab emperors of Rome, reviewed later in this chapter. Against this background, the Arab conquest of Spain in the early eighth century AD and the subsequent expansion into Sicily, Italy, southern France and even Switzerland is not so much the first act in Islam's expansion as the last in a tradition of Near Eastern expansion into Europe.⁶ A tradition already thousands of years old by the time Tariq Ibn Ziyad crossed the Straits of Gibraltar (which preserves his name) with his seven hundred Muslim followers in 711. The fact that the Arab presence in Spain then endured for so long – nearly eight hundred years, longer even than the Romans themselves in Spain – is due to the power of traditions that stretch back deep into the past to the earliest Phoenician merchants.

India and the West

The huge amount of literature now on the trade between the Roman Empire and India (Chapter 3), together with the dramatic impact that the discovery of Roman and Roman-related finds in India has on western academic interest, might give the impression that such



Plate 8.1 Palmyrene funerary stele and inscription at South Shields

movement was one-way. But Indians and Indian influence came west too and the evidence can be found in both literary references and archaeological finds – indeed, there are more records of Indian delegations going west than the other way round.

Roman authors refer to delegations and their exotic gifts from India, Bactria, Scythia and elsewhere beyond Iran visiting the Roman Empire during the time of Augustus.⁷ The most famous was the Indian king, Poros, who sent a mission to Augustus that included exotic gifts and was accompanied by a Brahman priest who later immolated himself at Athens.⁸ Such visits continued throughout the imperial period: Hadrian and Antoninus Pius received ‘Bactrian’⁹ and Indian delegations, an Indian embassy to Elagabalus passed through Edessa in about 218,¹⁰ Indian and Bactrian ambassadors attended Aurelian’s triumph over Palmyra, Constantine was supposedly venerated by Indians, and Julian received an embassy from



Plate 8.2 The Roman fort of 'Arabs' (Arbeia) at South Shields

Ceylon (Taprobane).¹¹ In the sixth century, sewn boats from India were recorded in the Red Sea.¹² Although usually described as 'ambassadors' in the Roman sources, they were more probably merchants rather than official diplomatic representations. One such trader was the Indian sailor who was shipwrecked on the Red Sea coast of Egypt in about 118 BC and later guided Ptolemaic expeditions to India.¹³ The only possible exception was the envoy from King Poros mentioned by Strabo. This mission – particularly with its religious overtones – recalls the third-century BC diplomatic missions to the West sent by the Emperor Ashoka.¹⁴ On the other hand there is no evidence that Rome sent official embassies to India or China, unlike, for example, the Parthians, who established relations with China.¹⁵

Likewise, the 'gifts' were probably trade items rather than state exchanges. They were often described as precious goods and exotic animals. While no 'gifts' are known to have survived, there have been discoveries of oriental exotica, such as a first-century BC Indian ivory found in a house in Pompeii.¹⁶ Indeed, the arrival of Indian sculpture even seems to have inspired a minor artistic fashion in 'Indiantesque' portrait sculpture in Rome. This is seen in the series of first and second-century AD Roman portrait busts that have Buddhist style top-knots, the *ushnisha*, which in Buddhist iconography symbolises the super-normal knowledge and consciousness of the Buddha.¹⁷ One of these portraits has been tentatively identified as Julius Bassianus of Emesa, the father of Julia Domna.¹⁸ Another occurs in a second-century portrait of a young boy on a grave relief from Mambij in Syria, again suggesting influence from further east.¹⁹ Such Indian influences on Roman art, however, in no way bear comparison with the Roman influences on Buddhist art discussed in Chapter 3.

Archaeological evidence adds substance to literary references. Indian pottery, including some with Tamil-Brahmin graffiti, has been found at the ancient sea trade entrepôt of Leukos Limen, modern Qusayr al-Qadim on the Egyptian coast of the Red Sea.²⁰ A possible Buddhist gravestone has been found at Alexandria, which assumes significance in the light of other Indian activity there discussed below.²¹

More archaeological evidence for Indian maritime penetration westwards occurs on the Persian Gulf. Quantities of Indian red-polished ware, for example, have been found – more, in fact, than the much-vaunted finds of Roman pottery in India. This distinctive ware spread around the Indian Ocean from the Gulf to Thailand. Architectural remains have been no less revealing: some artificial cave complexes on the Gulf have been tentatively identified as Buddhist, presumably religious communities that came in the wake of Indian trading colonies to the west.²² The converse, the Peutinger Table's 'Temple of Augustus' at Musiris, has been elusive, and even the 'Roman' remains at Arikamedu are doubted. Indian activity on the Gulf is supported by Indian-derived place names that can be found along the Gulf, again contrasting with the lack of any Roman or otherwise western-derived place names in India.²³ This evidence emphasises the importance of this route over the Red Sea and Roman Egypt.

One authority rightly emphasises the importance that the Indians played in this trade, as well as Iranians and Arabs.²⁴ Throughout the history of commerce between India and the Roman world, the Arabs were the middlemen who both started off the trade and saw its end with the dawning of the Middle Ages. It was probably the Arabs, therefore, with the Iranians, Ethiopians and Indians coming close behind, who dominated the trade more than the Romans. Indeed, Roman sources often show confusion between Ethiopia and India.

Despite the literary and archaeological evidence in India, it still cannot be said for certain that there were colonies of Romans in India. There is, however, better evidence for Indian colonies going west. The island of Socotra off the Indian Ocean coast of southern Arabia had a community of Indians in antiquity – the name of the island itself is derived from the Sanskrit *sukhatara-dvipa* meaning 'the most pleasant land'. Armenia had a colony of Indians from 130 BC to AD 300. Indian and Chinese goods – presumably with merchants from those lands – came regularly up the Euphrates from the Persian Gulf to the annual trade fair at Batnae near Edessa from the second century AD down to the sixth century. Dion Chrysostom writes of an Indian merchant colony in Alexandria at the time of Vespasian, as well as Persians, Bactrians and Scythians, and other documents describe colonies of Arabs and Indians controlling the trade in the Red Sea ports.²⁵

The Cholas of southern India were the earliest and most widespread of the Indian maritime powers, with a mercantile network stretching to Africa, Arabia and the Gulf made possible by superior sailing vessels of two and even three masts. A highly developed and sophisticated system of native maritime trade was already in place in India by the first century AD. This had evolved over the previous 500 years, and had penetrated all over south and south-east Asia, while a tradition of building large ocean-going vessels existed in Gujarat from the second century BC.²⁶

In the field of literary influence, the flow was one way, from India via Iran to the West, small though this may have been.²⁷ The first-century AD Fables of Phaedrus, for example, contain Jataka stories, while the well-known early Christian work, *Barlaam and Joasaph* by St John Damascene, derives from the traditions of the early life of Gautama Buddha.²⁸ Iran was a major vehicle for transmitting Indian literary ideas to the West.²⁹ In Sasanian Iran a major literary genre was the *andarz-nama* or 'mirror for princes', which flourished in the time of Khusrau I. This continued into Islamic Iran, the most notable of which was the *Siyasat Nama* of Nizam ul-Mulk. The Iranians themselves might have inherited the genre from similar Indian works, such as the third-century BC *Arthashastra* of Kautilya, written for Chandragupta Maurya. At the time that the genre was flourishing in Iran the first Byzantine 'mirror' appeared, written by Agapetus in the time of Justinian. The genre then continued into medieval and Renaissance Europe, the twelfth-century *King's Mirror* of King Haakon the Old of Norway or Machiavelli's *The Prince* being some well-known examples.³⁰

Speculation has existed on the possibility of Stoicism being ultimately descended from the dispassionate approach to suffering and death common in Indian philosophy, made from the initial contacts formed by the Greeks in India after Alexander.³¹ The journeys of Apollonius of Tyana and the Apostle St Thomas are mentioned elsewhere as important events in these cross-cultural contacts.

Apart from the fertile ground of the Indo-Greek kingdoms (beyond the scope of this book) the main meeting ground of Indian and Graeco-Roman religious ideas would have been the international city of Alexandria. This was already one of the main centres for the eastern trade as we have noted (Chapter 4) and ideas arrived with the spices. Clement of Alexandria (c. AD 150–214), for example, makes reference to the Brahmans and the Buddhists.³² Indeed, there is more hard evidence for Indian communities in Alexandria than for Roman communities in southern India. It has even been suggested that an Indian element was a catalyst in the philosophical school of Alexandria resulting in the formation of the mystical element in neo-Platonism; many of the ideas of Plotinus of Alexandria in particular are cited as drawn directly from the Hindu *Upanishads*. One of Plotinus' main disciples, the neo-Platonist Porphyry (AD 233–305), wrote at length on the Brahmans, absorbing much of their ideas into his own teachings. Porphyry was probably a native of Batnae, the scene of a trade fair with Indian associations on the middle Euphrates (referred to above), so a possible point of contact.³³ Speculation has existed too on Indian origins for early Christian monasticism. This is generally attributed to initial beginnings in Egypt, but again the Indian contact with Egypt through Alexandria is a possible point of contact.³⁴ It is possible to recognise – real or imagined – influence on early Christianity: St James, the brother of Christ, for example was a vegetarian and wore no wool or other animal products, only linen.³⁵ However one views the validity of such speculations about religious and philosophical contacts between India and the Roman world, there are no doubts about the Indian connections with ancient Greece through the Greek kingdoms of northern India.³⁶ With Rome owing so much to ancient Greece – particularly in the religious and philosophical sphere – many Indian ideas may have entered Rome through this indirect route.

The development of Buddhism in the Indian subcontinent after 500 BC, with its emphasis on proselytisation and outwardness generally, stimulated an expansion of the sea and land trade.³⁷ This, however, must be seen as a reaffirmation of an Indian tradition expansion that was far older: of all the world's earliest civilisations, it was the Indus Valley that was the most widespread in the third and second millennia BC, with trade colonies established as far apart as north-eastern Afghanistan and Oman, and Indus-derived objects found throughout Central Asia and the Near East, as well as the Indian subcontinent.³⁸ Buddhism, furthermore, was an outward religion, so that trade, Buddhism and the outward expansion of Indian civilisation generally would have been a part of the one movement – indeed, the rise of Buddhism in India directly stimulated the rise in trade throughout India and the neighbouring regions. The trade between India and the Roman world (discussed in Chapter 3) with the ensuing contact and interchange, therefore, was more likely the result of stimuli from India, rather than the West.³⁹

Rome's Arab half-century: Julia Domna and the Arabs who ruled Rome⁴⁰

We have already seen above how the Roman conquest of the Near East accelerated the movement of Arabs into the Mediterranean and Europe, a movement that began with the

Phoenician colonisation of the first millennium BC and culminated in the Arab invasion of Europe in the eighth century AD. But it is not often appreciated that Arabs dominated the very heart of Rome as well, most notably with the emergence of Arab families who became Roman emperors in the third century.

The first of these families was – appropriately in the context of Semitic domination of the Mediterranean – both Phoenician and Arab. The Phoenician side came from the aristocracy of Lepcis Magna in Africa. The Arab side came from the line of priest-kings who ruled the client kingdom of Emesa in Syria, reviewed in Chapter 2.

Septimius Severus and Julia Domna

There is a particularly revealing Roman portrait of 199 of a family group: a white haired elder statesman, supremely confident at the peak of his powers; a wavy-haired calculating woman; a youth whose features have been savagely erased; and a pampered, puffy-faced spoilt brat: Septimius Severus, Julia Domna, Geta and Caracalla (Plate 8.3. See also Plate 8.14).⁴¹

The family was probably Rome's most successful imperial dynasty since the Julio-Claudians – and were as deeply flawed.⁴² But unlike the Julio-Claudians, the Severan dynasty hailed from distant corners of the empire, not from Rome itself or even Italy. Its founder was Septimius Severus (Plate 8.4). His birthplace, in 145 was Lepcis Magna in North Africa. Lepcis in origins was, like Carthage, a Phoenician colony. Even by the time



Plate 8.3 Portrait tondo of Emperor Septimius Severus and his family: Julia Donna, Septimius Severus, Geta and Caracalla (© Staatliche Museen zu Berlin)

of Septimius' birth it still officially looked to Tyre as its mother-city, rather than to Rome, despite its becoming formally a Roman colony in 112. Its population remained close to its Phoenician roots – Septimius himself was nicknamed 'Punic' on a number of occasions ('Punic Sulla', 'Punic Marius', etc.) and spoke Latin with a Punic accent all his life, while his sister never spoke Latin properly at all (to the emperor's embarrassment).⁴³ Hence, his posting to Syria in about 180 as a young officer in the Roman army was probably viewed by Septimius as a journey back to Phoenician roots. Phoenicia obviously meant much to him: he revived (after he had become emperor) the ancient name of 'Phoenice' for the new province he created out of Syria, he travelled throughout the region, he consulted native Phoenician oracles.⁴⁴ It was at one of these oracles where he supposedly first heard of the 'Phoenician' princess from Emesa whose horoscope forecast she would marry a king. This was Julia Domna (Plate 8.5).



Plate 8.4 Bust of Emperor Septimius Severus in the Kunsthistorisches Museum, Vienna



Plate 8.5 Bust of Julia Domna in the Kunsthistorisches Museum, Vienna

For it was not the Libyan father who dominated the dynasty but the Syrian mother – and her female relatives.⁴⁵ Julia Domna was the daughter of Bassianus, a descendant of the hereditary priest-kings of Emesa. Despite the westernisation of this family – Roman names (although Bassianus derives from *basus*, an oriental title for priest),⁴⁶ Roman equestrian positions, etc. – there can be little doubt that it was Syrian first and foremost.⁴⁷ The family were to become the first ruling dynasty of Rome since the Flavians.

It is not known whether Septimius actually met the young princess then – he was still married to his first wife Marciana, a native of Lepcis – but it seems likely. After all, Emesa's famous temple and its position as the seat of an important local family would have merited his attention, both as a young 'Phoenicophile' seeking his roots and as an important Roman official. He obviously attracted enough personal attention during this period to be the butt of Antiochene jokes.⁴⁸ Whether or not he met and remembered the young Julia Domna, he certainly remembered the horoscope – 'the fruitful offspring either of his superstition or

his policy' as Gibbon dryly puts it – concerning her destiny after he left.⁴⁹ When Marciana died during his subsequent posting at Lyons, he lost no time in sending for Julia. In this way Septimius Severus consolidated his Phoenician sentiments with his marriage in about 183 into one of Syria's oldest and most aristocratic families. Shortly afterwards, Septimius Severus, the Punic North African, conquered Rome: Hannibal could rest in peace.⁵⁰

But more important than the Punic emperor was the Syrian empress. Julia Domna became a power behind both Septimius and their son Caracalla. In taking control of the Roman Empire she aspired to make herself another Semiramis.⁵¹ After Septimius Severus, more people from the East were incorporated into high positions in Rome through her influence – we read of Syrian tribunes, for example, in the Praetorian Guard.⁵² It was probably she rather than Caracalla who was ultimately behind Caracalla's famous decree in 212 extending Roman citizenship to all free citizens of the empire, thus abolishing all legal distinctions between Romans and provincials.⁵³

Julia Domna surrounded herself with a 'salon' of literary and philosophical figures. One of her main protégés was the philosopher Philostratus, a disciple of the mystic Apollonius of Tyana. The religion of Apollonius interested Julia Domna, and she commissioned Philostratus to write a life of him so that his teachings could be more widely disseminated. Apollonius was born in Tyana in Cappadocia sometime in the early first century AD. He espoused an extreme form of mysticism and asceticism, whose beliefs appear to combine elements of Pythagoreanism and perhaps Brahmanism. Renouncing the drinking of alcohol, the eating of meat, the wearing of wool, and hot baths, he maintained a severe abstinence from all luxuries throughout his life. He believed in reincarnation and claimed to speak all languages, including those of birds and animals. These he venerated, advocating their complete protection. Apollonius supposedly undertook a journey to the East, travelling through Iran to India, where he held conversations with the Magi and the Brahmins, as well as several secular rulers such as the Parthian King Vardanes in AD 43/4. On his return he attracted a wide following, and his cult was seen as a rival to that of Christ. Indeed, it is tempting to see Philostratus' *Life of Apollonius* as a deliberate Graeco-Roman answer to the Gospels. As late as the beginning of the fourth century, Apollonius was being held by pagans as a viable alternative to Christ and his philosophy contrasted with Christianity.⁵⁴ Whether or not this is so, Julia Domna's patronage of the cult certainly prepared the ground for Christianity.

Caracalla and Geta

Septimius died while on campaign in Britain in 211. His eldest son was Antoninus, better known under his nickname, Caracalla (Plate 8.6). Caracalla would probably have succeeded his father as sole emperor, but his dissolute ways and obsessive ambition – even amounting, on one famous occasion in Britain, to an attempt on the life of Septimius himself – led his father to have grave doubts about the desirability of leaving the empire to one so unsuitable. In the end, he left it to both sons, Caracalla and his younger brother Geta, hoping that joint rule would temper the more unsavoury side of Caracalla's character. Septimius' decision could not have been worse, for it simply turned Caracalla's hatred of his brother into an obsession.

Of the two sons, Caracalla at first seemed to be the better choice: his youth was exemplary while Geta was a foppish dandy.⁵⁵ Events were soon to reveal Caracalla in his true colours. To end the sibling rivalry between Caracalla and Geta, a meeting was called presided over by their mother. The outcome was merely further divisiveness: the empire was to be divided between the two, reinforcing a precedent begun by Marcus Aurelius and Lucius Verus. Caracalla was to receive the West, Geta the East. While the capital of the western



Plate 8.6 Bust of the Emperor Caracalla in the Pergamon Museum, Berlin

empire was to remain at Rome, Caracalla anticipated Constantine in choosing Byzantium as his headquarters. Geta's headquarters was to be Chalcedon (opposite Byzantium), with either Antioch or Alexandria as his capital.⁵⁶ While the events foreshadowed the decisions of Diocletian and Constantine, the overriding impression is of two spoilt boys squabbling over their toys.

Caracalla proved dissatisfied with merely a half an empire. He pursued his brother relentlessly, eventually having him killed in his mother's arms. Thus the half Punic, half Syrian boy ended up with it all.⁵⁷ But the ghost of Geta and an eastern empire haunted Caracalla. Having begun his reign with a fratricide Caracalla followed up Geta's murder with more blood, eradicating everything and everybody remotely connected to Geta. He then proceeded to exorcise the ghost of an eastern empire. He not only consciously identified with Alexander the Great but even claimed he was a reincarnation, then in 214, in emulation, set out for the East. He proceeded to Alexandria, Geta's choice as capital, and wiped out half the male population of the city in an act of treachery. Dio writes 'in spite of the immense affection which he professed to cherish for Alexander, [Caracalla] all but utterly destroyed the whole population of Alexander's city'. But for Caracalla, Alexandria was not so much Alexander's as Geta's city.⁵⁸

Having finally satiated his grudge against Geta with the blood of the Alexandrians, Caracalla set his eyes on Iran. He first grandly proposed uniting the two empires by a marriage to the daughter of King Artabanus of Parthia – a proposal consciously recalling Alexander's marriage to the Persian Princess Statira and the fusion of East and West. But Caracalla's proposal was further treachery. At first spurned, Caracalla's suit was finally accepted by Artabanus. The groom and his vast (and heavily armed) entourage entered Mesopotamia and proceeded to Ctesiphon for the marriage. Artabanus had prepared a lavish royal wedding reception in the fields outside the city. Leaving their arms and their horses behind, the Parthians were caught

unprepared for Caracalla's ruse. The Romans massacred the unarmed Parthian warriors in their thousands, with Artabanus himself (and the doubtless relieved bride) only just managing to escape. Caracalla left the blood-soaked wedding field and turned back westwards, claiming 'victory' over the entire East.⁵⁹ But the East and the Parthians had not yet finished with Caracalla. On his way back, Caracalla stopped off at Crassus' fateful battlefield at Carrhae where he was finally murdered.⁶⁰

Julia Domna had followed her son to Antioch, as much to be near him as to wield power through him – and, presumably, to return to her homeland. On receiving news of her son's death, she foresaw her own subsequent loss of power, so hastened a death already made inevitable from advanced breast cancer by fasting to death.⁶¹ But Julia Domna left an equally forceful woman to succeed her as the power behind the throne, her sister Julia Maesa.

Caracalla's portraits are particularly revealing. An idealised bust in the Hermitage shows him as the 'beautiful youth' that the Roman mob so loved. The features of the pampered brat in the Berlin tondo are still recognisable in the adult, although the over-indulged plumpness disappears. This is replaced by the quick, uncontrolled temper suggested by the scowl in the immensely powerful New York Metropolitan portrait, which otherwise displays youthful good looks. But the good looks are marred by the scowl, which also suggests underlying cruelty, and the 'designer stubble' simply suggests affectation. Subsequent portraits of Caracalla growing older mark inevitable regression. By the time that the Berlin Pergamon bust was made the designer stubble is replaced by a short beard, the youthful good looks have given way to coarser, heavier features, only the cruel – but by now deeply suspicious – scowl remains (Plate 8.6). Finally, the University of Pennsylvania granite portrait depicts a hideous image: a coarse, thoroughly brutalised tyrant where the ever-permanent scowl has become a baleful glare, unsoftened even by affectation or self-indulgence.⁶²

His mother's portraits are also revealing (Plate 8.5). Indeed, it is easy to see where Caracalla received his looks: of the two boys, only the short-lived Geta appears to take after his father. Or perhaps it is just that the few surviving portraits of the youthful Geta are unmarred by the cruelty that characterises his brother, giving a superficial resemblance to the kinder features of Septimius.⁶³ Julia Domna's portraits depict both attractiveness and power, but all portraits – medallions, coins, sculptures – seem to convey subtlety and scheming, suggested perhaps by a mouth which appears locked in an expression of perpetual calculation, with eyes that are never direct.⁶⁴ Only in a bust in Munich do her features appear warmer and more relaxed (or perhaps it is just that her hair is let down here).⁶⁵ But whatever the expression, her portraits convey a far more powerful personality than either her son or her husband. Small wonder she became the power behind the throne of two emperors. Such a pity that Caracalla, who in some ways had some admirable characteristics (he was good with the army, for example), never inherited her force of personality. But both he and Julia Domna were obsessive people, obsessed with the pursuit of power as much as with each other. One wonders whether a Roman relief of the Severan period depicting Mars and Venus contains more than a hint of Caracalla and Julia Domna.⁶⁶

Elagabalus and Baal

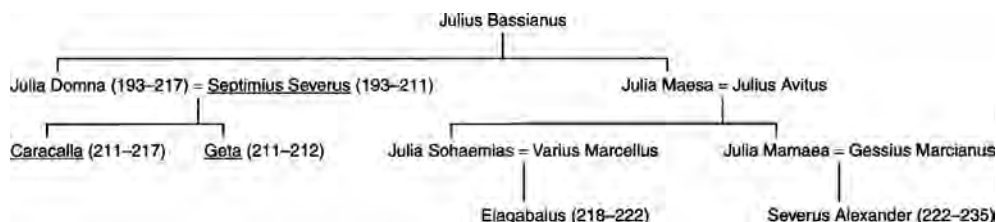
Apart from a brief interlude by the Emperor Macrinus, Caracalla was succeeded by his distant cousin Elagabalus (Plate 8.7; see Family Tree 8.1).⁶⁷ Elagabalus was the grandson of Julia Domna's equally redoubtable sister Julia Maesa, the son of her daughter Julia Sohaemias (Plate 8.8). In Elagabalus we have one of the more curious emperors ever to receive the Roman purple. His name was the same as his great-grandfather, Bassianus, and after he



Plate 8.7 Bust in the Cyrene Museum, Libya, attributed to Emperor Elagabalus



Plate 8.8 Statue of Julia Sohaemias in the Antalya Museum



Family Tree 8.1 The family of Julia Domna

became emperor he adopted the name of Marcus Aurelius Antoninus. Elagabalus was his title as hereditary high-priest at the Temple of Emesene Baal. But Elagabalus (or Heliogabalus, a semi-Hellenisation)⁶⁸ is the name under which he has entered history.

Elagabalus was also endowed with the fatal combination of youthful good looks, immense wealth and a scheming, ambitious grandmother. Following the death of Caracalla and the elevation of Macrinus, Syria was flooded with disaffected troops after the humiliation of the second Battle of Carrhae (Chapter 1). They were also smarting under the rule of Macrinus, having enjoyed greater privileges under Caracalla who had been as popular with the army as he was unpopular with historians and portraitists. Julia Maesa had two grandsons, Elagabalus and Alexander, sons of her daughters Sohaemias and Mamaea respectively, both born to the hereditary priesthood of the temple. Elagabalus was the elder of the two cousins by six years, hence high-priest. Rather ungallantly his grandmother spread the rumour that Elagabalus was the illegitimate offspring of an incestuous union between Caracalla and Sohaemias. The army proclaimed the sixteen-year-old boy-priest emperor in Emesa in 218.⁶⁹

The Emperor Elagabalus then proceeded to Rome, taking all the trappings of his cult with him. The sources describe him and his religious rites as ‘frenzied orgies’ and ‘a frenzy of arrogance and madness’, with details of his private life even more lurid.⁷⁰ Such descriptions have all the disapproving relish of a prude in a brothel, and are not necessarily to be believed – similar Roman descriptions of cannibalism and other such unspeakable orgies in Christian rites have, after all, long been relegated to the dustbin.⁷¹ With the more lurid details stripped away, there are some details that have a ring of truth and even bring out a more likeable side to Elagabalus’ character. He had an endearing propensity for practical jokes, for example, such as his practice of seating his more pompous dinner guests on ‘whoopie cushions’ that let out a farting noise, or placing his drunk dinner guests after they had fallen asleep into a room with wild, but (unknown to the guests) perfectly tamed and harmless, beasts.⁷² Frenzied depravity or mere youthfulness (he was, after all, only sixteen)? Contemporary censure at Elagabalus’ giving women – his mother, Julia Sohaemias, and his grandmother, Varia – a place in the Senate, or at promoting freedmen to high office should attract approval from feminists and socialists at least.⁷³ Indeed, even the author of the most scurrilous of the stories in the *Augustan History* has to concede: ‘However, both these matters and some others which pass belief were, I think, invented by people who wanted to depreciate Heliogabalus to win favour with Alexander.’⁷⁴ Contrasting with the almost universal condemnation of Elagabalus, John Malalas alone of the Romans wrote warmly of this emperor.⁷⁵

But it is Elagabalus’ religion rather than his ‘depravities’ that is of interest.⁷⁶ Behind the prejudice, the sources reveal some real details. The references, for example, to ‘wild dancing’ hardly indicate perversion, as forms of dance were an accepted religious rite in many eastern

cults, surviving in some cases to this day.⁷⁷ Carrying a parasol, whilst it may have raised eyebrows in Rome in antiquity, was the almost universal symbol of kingship in the Near East from earliest times until the Islamic Middle Ages.⁷⁸ Elagabalus' ritual procession involving himself, his senators and his cult object – the black stone or *Elagabal* – was one of the most fundamental rites of eastern religions that is reflected in the architecture as we have seen, and was to be adopted into Christianity.⁷⁹

Part of his 'religious depravity' involved placing the black stone cult object of Elahgabal in a chariot for religious procession. This may have formed a part of the ritual 'migration' of the god that the numismatic evidence from Emesa suggests.⁸⁰ His much derided 'marriage of the deities' of Emesene Baal, first with the statue of Trojan Pallas and then with Carthaginian Urania, was not madness but sound religious and political policy: fusion and syncretism between East and West, not the ridicule that has been heaped upon this action.⁸¹ After all, he also associated the Jews, Samaritans and Christians with the cult⁸² so that worship of his god might accommodate every religion – and it is significant that the Temple of Elagabal that he built on the Palatine (Figure 2.5) was near an earlier temple to Adonis.⁸³ Elagabalus' choices of 'consort' too are significant. He did not choose the more regular Graeco-Roman deities, but chose, first, an eastern deity, Pallas, brought to Rome by Aeneas from Troy, and then Carthaginian Urania which, like Emesene Baal, was Phoenician. Was Elagabalus merely trying to fit into what were already commonly accepted eastern religious practices in Rome?

Above all, one can hardly censure Elagabalus for continuing his hereditary religious rites after becoming emperor. After all, he never plotted to become emperor, his grandmother did. Elagabalus was a priest first, and being brought up as high-priest would have been sincere and punctilious in his beliefs and practices; the purple was thrust upon him, he never chose it. As the high-priest of the cult, Elagabalus would feel bound to continue his rituals in Rome. He may, it is true, have executed 'many famous and wealthy men who were charged with ridiculing and censuring his way of life', but what high-priest and absolute emperor would not retaliate for insults to his beliefs?⁸⁴ Many a better emperor killed for less reason.

Alas, Elagabalus was before his time, and it would be several more generations before the Romans accepted an eastern religion. Instead, Elagabalus' religion simply alienated the conservative establishment and the ever-powerful Praetorian Guard. Elagabalus slowly but inevitably lost popularity to his younger cousin, Alexander. In the end the fickle Praetorian Guard – never a good judge of emperors, as history proved on numerous occasions – murdered Elagabalus and his mother, dumping the bodies in a sewer. Severus Alexander was proclaimed emperor in his place.

We thus leave the rather sad figure of Emperor Elagabalus, a tragic enigma lost behind centuries of prejudice.⁸⁵ He was an unsuccessful emperor, it is true. But he was a sincere priest, which is what he was born to be. Born and brought up to the cloistered ceremonies of an eastern religion rather than the cynical power games of Rome's politics, he was a Syrian and a priest first, and only a Roman and an emperor second. Above all he was young. From sixteen when he first ascended the throne to nineteen when he was finally butchered after a reign of merely three years, nine months and four days' is surely too young for so much to be thrust upon anybody.⁸⁶ One of his few surviving portraits, in the Capitoline Museum in Rome, shows a rather pathetic figure: a cocky youth with a wispy, pubescent moustache, but with a sense of overwhelming youth, vulnerability and uncertainty, despite the cockiness.⁸⁷ One is left feeling sorrow for the boy rather than any condemnation for the Emperor.

But perhaps the ghost of Elagabalus was to triumph after all. For his Sun cult did, in the end, receive its place in the Roman pantheon. The cult may have been received with revulsion when Elagabalus tried to thrust it upon the unwilling Romans but, when the Emperor

Aurelian resurrected it as part of a great eastern victory, it had gained in respectability (not to mention attractiveness: its temple in Rome was loaded with immense riches by Aurelian). This was because the Emperor Aurelian attributed his victory against Zenobia outside Emesa in 272 to divine intervention from the Emesene Sun god. As a result, he paid his respects at the temple immediately after the battle and afterwards built a magnificent temple to the Sun god of Elagabal in Rome, decorating it with the trophies looted from Palmyra.⁸⁸ The Sun god cult then achieved wide popularity in the western Roman world, a prime adherent being Constantine before he favoured Christianity. Elagabalus' Sun cult, therefore, not only paved the way for Christianity, it was later actually grafted on to Christianity to make it more palatable, and to this day Christian churches still face the direction of the rising Sun.⁸⁹

Severus Alexander and the end of a dynasty

Like Elagabalus, Severus Alexander was born to the Emesene priesthood, but being six years his junior was not high-priest.⁹⁰ He was born at Arqa in present-day northern Lebanon where a few remains mark his birthplace (in modest contrast to Lepcis Magna or Shahba). Coming to Rome at the age of nine in the wake of Elagabalus, he was not subject to such Syrianising influences as Elagabalus was, being brought up more as a Roman (although on his return to Syria, it is notable that 'the inhabitants of the provinces looked up to him as a god').⁹¹ He was one of the more moderate emperors of the chaotic third century, and his portraits combine youth with mildness. But like all members of his family, he was dominated by his women-folk. His mother, Julia Mamaea, younger daughter of Julia Maesa, was the last of that extraordinary line of redoubtable Syrian women who dominated Rome behind their sons and husbands (Plate 8.9). Mamaea was no exception, and was on hand at all times to advise and guide her son in the ways of the imperial world, even accompanying him into the field. Indeed, Gibbon extols Mamaea's virtues above Domna's, awarding her much of the credit for Alexander's exemplary reign. Her portraits suggest that she inherited the strength of character of her aunt, but without the calculation – Julia Maesa's position after all was already established by a family of emperors before her. Her face is confident but more inward looking – and her portraits depict gentler features.⁹² She was also known to be favourably disposed towards Christianity, although not practising herself.

The other trait that Severus Alexander shared with other members of his family was a destiny with the East: it dominated his life – and destroyed him in the end. He returned to Syria in response to the new threat from Sasanian Iran.⁹³ Despite conducting his campaign 'with such discipline and amid such respect, that you would have said that senators, not soldiers, were passing that way', his armies suffered disaster.⁹⁴ He retired from the East discredited. His popularity with the army consequently plummeted, exacerbated by the army's resentment at being ruled by a 'mother's boy' and a woman (note that the resentment was entirely sexist, never racist: there was no hint of resentment at either Alexander or Mamaea being Syrian). Matters reached a head after his Iranian disasters when Alexander was commanding a campaign on the Rhine frontier. The soldiers dispatched both Mamaea and Severus Alexander while he clung to his mother's arms, proclaiming the brutish Thracian giant and former shepherd, Maximinus, as emperor. Rome's extraordinary line of Phoenicio-Syrian emperors finally came to an end.⁹⁵

In this powerful family of Syrian women who dominated their husbands and sons, and through them the empire, one senses almost a matriarchy. Apart from the emperors themselves, husbands and fathers are shadowy figures. It was the first (and last) time that women were given places in the Senate. Whenever the dynasty looked like failing it would be a



Plate 8.9 Bust of Julia Mamaea in the Kunsthistorisches Museum, Vienna

female relative from Emesa who would be called upon to take over the helm at Rome, not a male. Caracalla, Elagabalus and Alexander may well have been dominated by their mothers. But one cannot pass judgement for this reason: after all, Elagabalus was merely sixteen when proclaimed emperor and Alexander only thirteen, both still boys when a mother's influence is normal. Caracalla was undoubtedly a tyrant, but he was good with the army. This is what counted when it came to the real business of running Rome in the increasingly unstable third century, which was protecting the borders. Even Elagabalus had sound, albeit flawed, intentions. And apart from the disasters in the East, Severus Alexander's fourteen year rule was as mild as his portraits depict him.⁹⁶ Herodian's epitaph is thus a fitting one: '[Alexander] ruled for fourteen years without blame or bloodshed so far as it affected his subjects. A stranger to savagery, murder and illegality, he was noted for his benevolence and good deeds.'⁹⁷ Even the *Augustan History* writes of Severus Alexander as the perfect prince in 'an awkward imitation of the *Cyropaedia*'.⁹⁸ Gibbon sees him as the greatest and wisest of

emperors since the golden age of the Antonines, whose exemplary reign could serve as a model for modern princes. Aurelius Victor goes further when he states that Alexander saved Rome from collapse.⁹⁹ This most noble ‘Roman’ thus formed a fitting end to Rome’s extraordinary dynasty of Phoenicio-Syrian emperors.

Aftermath

There was a footnote to the Emesene dynasty of emperors. In 253 Gallus, Aemilian and Valerian were contending for power in Italy. With Rome’s back turned on the East, Shapur was able to invade Syria and capture Antioch (Chapter 1). In the power vacuum left by the temporary collapse of Roman rule, another priest of Emesa, Samsigeramus, supposedly inflicted a defeat on Shapur outside Emesa. As a result of its great victory, Emesa proclaimed a new emperor. This new ‘emperor’ was a young Emesene called Sulpicius Antoninus who assumed the purple under the name Uranius Antoninus. It is unclear from the sources whether Samsigeramus and Uranius were the same person, or two related people. Samsigeramus was priest of the temple of Aphrodite. In the often confusing way of eastern syncretism, the deity Urania was equated to both the Phoenician Astarte/Aphrodite and the Arabian Allat, so they may have been the same.¹⁰⁰ At first, Uranius simply regarded himself as a younger colleague to Valerian, but it inevitably became a direct challenge. With the immense wealth of the Emesene temple treasury behind him, Uranius for a while even issued his own coinage. The coinage came to an end in 255, either because the gold from the Emesene Sun temple was exhausted or because Valerian eventually put him down. The fate of Uranius is not known.¹⁰¹

The ‘Emperor Uranius’, last of the Emesene Sun-kings, was viewed a champion of the Roman cause against Iran. He had everything behind him: wealth, dynastic roots, and a victory against Rome’s greatest enemy. But Valerian, for all his failures, had the trump card: Rome itself. Uranius’ brief two years of glory was probably the last claim by a representative of Julia Domna’s family for the imperial purple.¹⁰² While there is no conclusive evidence that Uranius belonged to this family, it seems likely that he did. A near contemporary and local source, the so-called *Sibylline Oracles* that were probably written in Emesa, in fact describe him as the ‘last of all’ of the priests who ‘came from the Sun’.¹⁰³ Indeed, given the hereditary nature of the Emesene high-priesthood, as well as his adoption of the dynastic name of Antoninus and pretensions to the purple, the implications are that he was related, however distantly.¹⁰⁴

Philip the Arab

To tell the story of the ‘Emperor’ Uranius is to anticipate events. Before then, another Arab emperor was established in Rome who had no connection to the Emesene dynasty.¹⁰⁵ This was Marcus Julius Philippus, known as Philip the Arab (Plate 8.10),¹⁰⁶ a native of the small town of Shahba in southern Syria. Philip’s origins as the son of a ‘bandit’ from Trachonitis, which Shahba formed a part of, has been rejected as later prejudice.¹⁰⁷ One country’s bandit is in any case another’s baron (the two usually amounting to the same thing). In 243 Philip became the praetorian prefect of Gordian III, then on campaign in the East against Iran. After Gordian’s death, Philip was proclaimed emperor by the troops in 244. He then swiftly negotiated a peace treaty with Shapur – Sasanian rock reliefs depict him on bended knee before the mounted figure of Shapur (Plate 3.6) – and returned to Rome.¹⁰⁸ Philip’s reign was brief – just five years – but it was a stable one in the unstable third century. Rome enjoyed an important respite. It came to an end only too soon when he and his son (prematurely proclaimed as Philip II) were eliminated in 249 in a revolt by Trajan Decius.¹⁰⁹

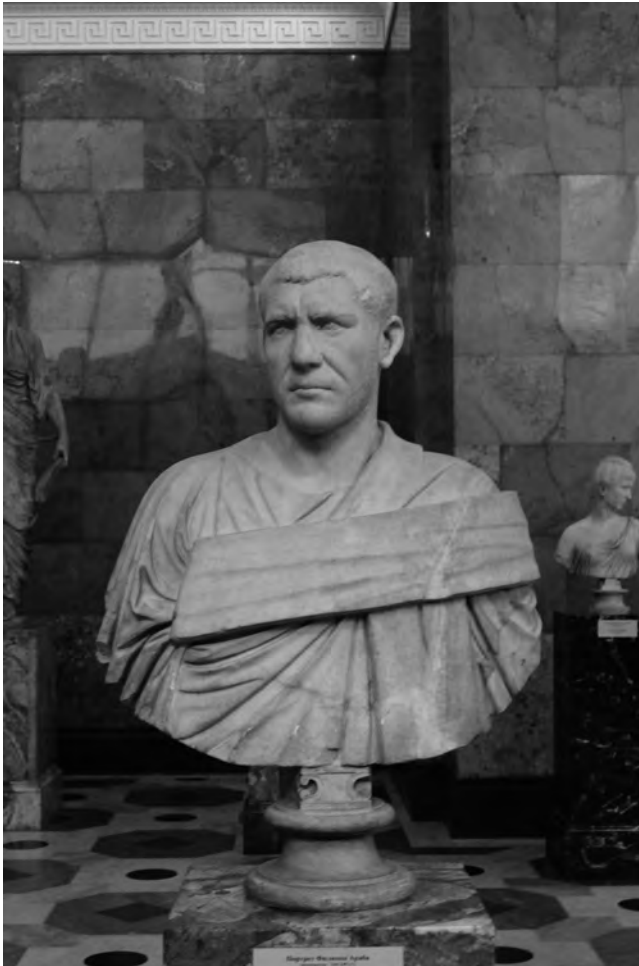


Plate 8.10 Bust of Emperor Philip the Arab in the Hermitage Museum, St Petersburg

Although brief, Philip's reign was particularly important in the annals of Rome. This was for a number of reasons. First, the year 248 was the year of the Millennium. Rome celebrated a thousand years of its existence, a thousand years from its origins as a minor town to becoming the greatest empire of the world. Certainly a thousand-year Reich is reason enough for the event to be remarkable, but what made it even more so was that the Roman emperor who presided over and presented the games, as the ultimate Roman and embodiment of Roman civilisation, was an Arab, the son of a shaikh from the Syrian desert. A magnificent mosaic from Philippopolis (now in the National Museum in Damascus), the allegorical 'mosaic of Aion (Eternity)', is believed to be a commemoration of this event, with the figure of Aion a portrait of Philip himself.¹¹⁰ A native of a country that the worthy rustics who founded the city would never have heard about – and still less would they have dreamt that they would one day be ruled by such a person, who ruled as one of their own, not as a foreign conqueror. In some ways this makes Philip's reign as remarkable as that of

Trajan's or Hadrian's, for nothing else demonstrated just how far in every way Rome had come by the middle of the third century.

The second reason is more important, although more controversial. For Philip was Rome's first Christian emperor. Philip's Christianity has always been a subject of considerable controversy, not least because of the paucity of support amongst the sources. The main source is Eusebius, whose account of him attending services and making confession leaves little doubt.¹¹¹ Another source claims that Philip converted so that the Millennium would be Christian and not pagan.¹¹² Pagan sources, on the other hand, are almost completely silent.¹¹³ Modern scholarship has ranged from complete rejection to complete affirmation, with most taking the middle road, conceding that Philip at least 'dabbled' in Christianity.¹¹⁴ Why, therefore, is Constantine regarded the first Christian emperor rather than Philip?

Later Christian propagandists have tried to minimise Philip's Christianity in order to emphasise Constantine's. More important, Constantine proclaimed Christianity for political rather than religious reasons (and did not, in fact, formally become a Christian until his deathbed). Philip, on the other hand, did no such thing: paganism remained Rome's official 'religion', while a Roman citizen's private beliefs – even the emperor's – were of little concern to the public so long as they remained private. It is argued that Philip's acts, such as the secular games and the deification of his father, were inconsistent with Christian behaviour (although even Constantine was deified).¹¹⁵ But such acts were consistent with Philip's behaviour: a local boy made good – one who, furthermore, had to go far to secure his position in an unstable environment – was hardly going to publicise some obscure eastern belief in the heart of Rome so soon after Elagabalus' debacle. He would have kept quiet about it and been even more scrupulous to follow Roman public ceremonial. But the precedent was nonetheless made, and the importance of Philip's religious beliefs as a precedent cannot be overestimated.¹¹⁶

If Philip's reign was important for anticipating Constantine and Christianity, it was also important for anticipating the rise of the Arabs. While one can doubt the Arab identity of the Emesene emperors (and even there it must be remembered that coins of both the Severan emperors and Philip the Arab often depict Syrian deities, such as Hadad and Atargatis), it is impossible to reject the Arab credentials of Philip.¹¹⁷ As his nickname implies, he was Arab. Portraits depict him with the features and tight curly hair that one sees in Syria even today (Plate 8.10).¹¹⁸ Whether Philip in fact felt in any way 'Arab' in the modern sense is unlikely, nor did either Philip or the Severan emperors represent any 'Arab lobby' at Rome. Philip – and the Severan emperors – were 'Romans' above all. But that is irrelevant. What is important is what Philip meant to the Arabs themselves: that one of their own number could aspire to the world's highest office and succeed. It forms as much a landmark in the Arabs' self-awareness as it did in the Roman awareness of them as more than merely tent-dwellers. Philip signified more than anything else that the Arabs were no mere barbaric nomads of little account on the fringes of the civilised world, but had become an increasing factor on the world stage. His reign is one of the more important in the chain of events that culminated in the eventual triumph of the Arabs in the seventh century when the Near East ceased to be Roman and became Arab.¹¹⁹

Lepcis Magna: Roman city in Africa and the orientalisation of Europe

Europe, Asia, Africa: the Roman Empire spanned all three continents and Roman civilisation incorporated native European and Classical Greek cultures as well as Phoenician, Near Eastern and African. At Septimius Severus' city of Lepcis Magna in Libya we see

all elements combined in one of the most monumental and eclectic cities of the Roman Empire, a city that is at once Roman, African and Asian.¹²⁰ But it is the oriental elements that, under Septimius' transformation of his native city, predominate: Lepcis Magna was a conscious oriental transplant in the West. More than anything else, the architecture of Lepcis Magna reflects the historical processes of the third century that ultimately transformed Europe. It is also where so much of what has been discussed in this book can be focused, both architectural and historical.

Most of Rome's cities in North Africa were originally of Phoenician foundation, albeit incorporating earlier Libyan elements. These were mainly established in the great age of Phoenician colonial expansion between the ninth and seventh centuries BC, the most famous of which was the foundation of Carthage in 814–813 BC.¹²¹ Lepcis Magna,¹²² along with its sister colonies in Tripolitania of Sabratha and Oea (Tripoli), may have been a sub-colony of Carthage rather than founded directly by Tyre, although as late as the mid-second century AD Lepcis Magna still regarded Tyre as its traditional mother city, despite having been Roman for some three centuries.¹²³ The point is an important one that ran far deeper than mere folk memory: later building activity in Lepcis was to demonstrate that its citizens never forgot their Near Eastern heritage.

The native Libyan element in Rome's North African cities is well attested from history and was as much a constant element then as it is now.¹²⁴ Important native kingdoms such as the Numidians, Mauretanians and Garamantaeans at different times both challenged and supported Roman rule. Even after the Numidians and Mauretanians had been incorporated directly into the empire, the position of the various Hamitic tribes remained a vital one: major tribal revolts, such as the Austurian, occurred in the fourth century with devastating effect, while the lack of local support in the sixth century was one of the more important factors in the ultimate failure of the Roman re-conquest of North Africa under Justinian. Much of the Donatist movement of late antiquity also contained nationalist elements: native Hamitic Donatism versus foreign Roman orthodoxy. Finally, the native Libyans would have made up the bulk of the population in the countryside as well as an indeterminate, but significant, portion of the cities, both in Phoenician and Roman times.

These indigenous elements do not appear much in the material remains of the Roman cities (indeed, they were often deliberately obscured by the Romans, such as the third–second-century BC Libyan royal tombs at Chemtou, which were deliberately paved over in the construction of the second-century AD forum; Plate 8.11). It must be remembered, however, that many of these 'Roman' cities – particularly further inland – have Libyan names, such as Dougga, Ammaedara (Haidra), Theveste (Tebessa) and others, reflecting Libyan foundation before either Phoenician or Roman, and presumably a substantial Libyan presence since.¹²⁵ The only significant Libyan remains are to be found in the former Garamantean kingdom in the desert areas of the interior of Libya, where both African (Egyptian) and Mediterranean elements exist in the monumental architecture.¹²⁶ Outside the desert areas, the Libyan element is most visibly present in the funerary architecture, such as the spectacular second-century BC Numidian mausoleum of Ateban at Dougga, which incorporates Ionian, Lycian, Hellenistic, Phoenician and possibly even Persian elements in an extraordinarily eclectic monument (Plate 8.12). A similar monument has been restored at Sabratha. The most extraordinary of all – indeed, perhaps some of the most extraordinary funerary monuments in the entire Mediterranean region – is the monumental necropolis of the fourth–sixth-century AD Libyan settlement at Ghirza in Tripolitania (Plate 7.23). Here, almost every architectural style known – as well as a few completely new innovations – is reflected in a range of some fourteen tombs in two cemeteries. Some reflect Palmyrene funerary architecture, and



Plate 8.11 Numidian royal tombs buried under the Roman forum at Chemtou, Tunisia



Plate 8.12 The Numidian mausoleum of Ateban at Dougga, Tunisia

their general baroque exuberance also reflects (mocks?) eastern Classical prototypes.¹²⁷ The Libyan element in Roman North Africa, therefore, was both powerful and enduring.

Like the Libyan, the Phoenician element is not immediately visible on a superficial level, but becomes so on closer inspection.¹²⁸ Most of the cities were Phoenician foundations (when not Libyan) and this is probably reflected in the town plans – the rather trite observation that grid plans reflect Roman influence and haphazard street plans Phoenician does not necessarily hold true, as we have observed (Chapter 6). More tangible are the many temples dedicated to deities such as Saturn, Hercules, Liber Pater or Juno Caelestis. While often superficially conforming to standard patterns of Roman temple architecture, the deities worshipped were thinly disguised Phoenician ones: Baal Hammon, Melqart, Shadrappa and Astarte respectively. Some in fact bore little resemblance to Roman prototypes, despite their Classical veneer: the crescent layout seen, for example, at the Temple of Juno Caelestis at Dougga or the Temple of Baalat at Thuburbo Majus, was a popular one with no counterpart in Roman architecture.

The Phoenician element existed most tenaciously, however, in the population themselves. Official inscriptions were bilingual, in Punic and Latin, for several centuries after the Roman conquest, the names of most of the leading citizens recorded in inscriptions were Phoenician, and the bulk of the population spoke Punic (often to the exclusion of any other language, as in the case of the embarrassing ‘pidgin-Latin’ spoken by Septimius Severus’ own sister) until the end of Roman rule, as St Augustine was to bemoan in the fifth century. In an analysis of names at Lepcis Magna, for example, little unambiguous evidence was found for Italian settlers, with even most Latin names explained as a process of assimilation of the native Phoenicians: names are either (1) adopted from the ruling Emperor, (2) derived by replacement by similar sounding Latin names, e.g. Amicus for Amilcar, or (3) literal translations of the native Libyan or Punic names.¹²⁹ The very ease and thoroughness of the conquest by the Arabs, ethnically and linguistically related to the Phoenicians, in the seventh century bears witness to the Phoenician element of the urban population. The Phoenician character of Roman North Africa was one of the most important and constant aspects of Roman rule there, being a vital factor in the transmission of Christianity to the West as we shall see.

At Lepcis Magna archaeology reveals traces of a sixth–fifth-century BC Phoenician settlement at the mouth of the harbour and underneath the theatre (Figure 8.1). It is not known how far the present town plan reflects a Phoenician layout, nor how far the Phoenician town spread, although the existence of a cemetery under the theatre suggests that this area was extramural. The Roman period Old Forum, therefore, laid out in the late first century BC, might well have formed the heart of the Phoenician town;¹³⁰ the earliest temple there, dating from the Augustan period, is to the Phoenician patron deity of Lepcis, Shadrappa, equated with Roman Liber Pater, so might well be on the site of an earlier Phoenician temple.

Expansion in the early Roman period was both rapid and dramatic. The monumental market was built in 8 BC, the Old Forum between 5 BC and AD 54, the theatre in AD 1, another market (the Chalcidium) in AD 11–12, the Arch of Augusta Salutaris between AD 27 and 30 and the Arch of Tiberius in AD 35–6. Where identified, all were endowed by wealthy Phoenician citizens: both the market and the theatre by Annobal Rufus, the Chalcidium by Iddibal Caphada Aemilius, the Temple of Rome and Augustus in the Old Forum by Caius, son of Hanno, and the Capitolium, also in the Old Forum, by Balyathon and Bodmelqart.

Both the wealth and the administration were still firmly in the hands of the older Phoenician aristocracy. The wealth that enabled such rapid expansion derived from two sources: trade with the interior and olive plantations. Lepcis lay at the end of a trade route

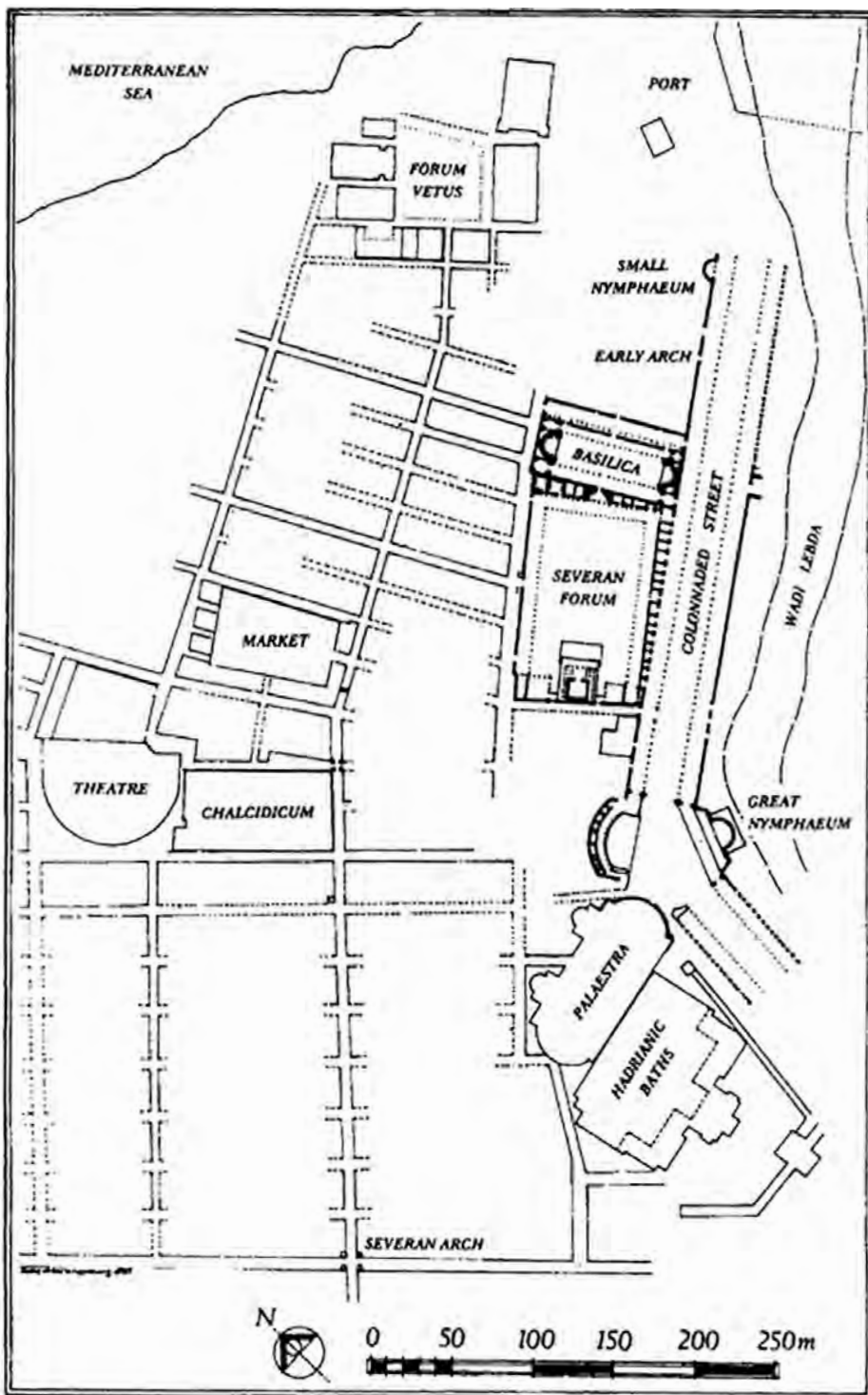


Figure 8.1 Central area of Lepcis Magna (After Ward-Perkins)

southwards to the Garamantaeen kingdom and further, a fact which determined its initial foundation by the commercially minded Phoenicians. Gold, ebony, ostrich eggs and feathers, slaves, exotic wild animals and other African products flowed through Lepcis Magna's increasingly sophisticated port facilities, a trade which expanded rapidly with the opening of the European markets after the Roman conquest. The city's hinterland furthermore had been extensively cultivated with olives by the Phoenicians, who had introduced both sophisticated agriculture and the olive to North Africa. In Julius Caesar's peace settlement of 46 BC following the defeat of Pompey, a massive fine of three million pounds of olive oil annually – the equivalent of the produce of over a million trees – was imposed on Lepcis for its support of Pompey. This provides some indication of the amount of land under olive cultivation and the wealth that it generated, for Lepcis was easily able to afford it, particularly after the Roman conquest 'created an almost insatiable demand for olive oil in Europe'.¹³¹ The Arch of Tiberius, as well as the paving of the city streets at the same time, for example, were paid from the income of just one set of lands. Annobal Rufus and his fellow philanthropists were presumably some of the many olive oil barons who profited from this boom. Thus, the city was doubly endowed with renewable sources of income which it was able to lavish on its buildings – it is significant that much of the emphasis was on market facilities in this first phase of monumentalisation.

The city's embellishment from this wealth culminated in the early second century AD with the elaborate Baths of Hadrian, dedicated in 126–7, modelled after the great imperial baths at Rome. To this and the existing monuments can be added several water installations, a second forum (unlocated, identified from inscriptions only), a massive amphitheatre and circus complex outside the city, and considerable engineering works enlarging and modifying the harbour to cope with the increasing shipping. By the middle of the second century Lepcis Magna was a monumental city with all the standard urban trappings of a provincial Roman city sharing in the prosperity of the rest of Roman Africa, albeit a little more prosperous than most outside Carthage. The architecture was Roman, despite the Phoenician citizens who paid for it. The theatre, markets, baths, forums, circus and hippodrome hardly differed from the standard provincial architecture of the Roman West – even the Old Forum, with its possible Phoenician origins and temple to a Phoenician deity dominating it and Punic inscriptions to Phoenician benefactors, might just as easily belong to some country town in Italy, Gaul or Spain. To this, with the accession of one of Lepcis Magna's Phoenician elite to the Roman purple at the end of the second century, came one of the most dramatic and sudden transformations ever to affect a Roman city, when a monumental city of the Roman East was transplanted virtually wholesale over the existing western Roman fabric.

For Septimius Severus transformed his native town in two ways, the one long recognised and easily apparent, the other more subtle but more radical. On becoming emperor, Septimius lavished vast sums to transform it into one of the most monumental cities of the Roman world. But Septimius' transformation, and the city that Lepcis became, was almost wholly eastern in character. The transformation is seen in two areas: the Severan Arch and a whole new quarter that comprised a plaza, a nymphaeum, a colonnaded street, and a forum-basilica complex (Figure 8.1).

The Severan Arch is often dismissed as hurriedly erected and over-ornamented (Plate 8.13).¹³² Both criticisms are valid, but its structural and aesthetic drawbacks do not detract from its significance. The arch is a 'triumphal' arch in the tradition of the great commemorative arches of Rome, such of those of Titus, Constantine or Septimius Severus himself. North Africa has many such arches (usually commemorating the Severan dynasty) but these are far simpler structures; in terms of elaboration and monumentality, that at Lepcis belongs with the imperial style of

Rome rather than the more provincial ones elsewhere in North Africa (particularly now that it can be viewed in all its glory following its restoration). But what sets the Lepcis arch apart is that it is a four-way arch or tetrapylon, unlike most of the others, which are generally of the more standard single or triple arch types. While tetrapylons exist in North Africa (the Arch of Marcus Aurelius in Tripoli, for example, or the Arch of Trajan at Lepcis itself), they were unusual; the form, as we have noted (Chapter 6), was mainly a Near Eastern one, where they occur frequently. The eastern princess, Julia Domna, is accordingly depicted with the remainder of the imperial family on two of the reliefs on the Lepcis arch (Plates 8.14 and 8.15). Julia Domna and the tetrapylon style are not the only oriental elements present. The siege of an oriental city (perhaps Hatra?) is also depicted, while the marble reliefs themselves – imported Proconnesian marble from the Sea of Marmara – were almost certainly carved by eastern artists, possibly from Aphrodisias in Asia Minor.¹³³ Indeed, the main relief on the attic storey, which depicts the triumphal procession of Septimius in a chariot with his two sons Caracalla and Geta, exhibits a tendency to frontality regardless of the direction they face (Plate 8.15). As well as anticipating Byzantine styles, this is characteristic of late antique Syrian and Mesopotamian art, more familiar in the art of Palmyra or Dura Europos, that probably originated in earlier Arabian art.¹³⁴ Overall, the ‘baroque’ flamboyance and elaboration of the monument also recalls eastern



Plate 8.13 The Severan Arch at Lepcis Magna



Plate 8.14 Relief of the Severan family on the Arch at Lepcis Magna



Plate 8.15 Processional relief on the Arch at Lepcis Magna: the Emperor with Caracalla and Geta (whose features are erased) behind

baroque prototypes: the over-elaboration of the Aphrodisias Tetrapylon, for example, or the broken pediments of the Petra façades (Plates 2.19 and 2.25). While the great triumphal arches of imperial Rome express the triumphs of Rome, its emperors and Roman values, that at Lepcis is expressed almost wholly in an oriental vocabulary.

The Severan Arch is only an isolated monument, but in Septimius Severus' new monumental city we see a more deliberate attempt to turn Lepcis Magna into an oriental city. The massive expansion of the harbour facilities reflects merely an economic need (although many of the new installations of the Severan period harbour were meant more for show than for practical use), but one of the harbour-side temples was to a Syrian deity. This was the Temple of Jupiter Dolichenus on the south. Unfortunately too little survives – little more than the steps and a much ruined podium – to determine whether it contained the standard Syrian trappings of high place, canopied adyton, exterior altar and temenos that we have reviewed in Chapter 7. But the rest of the new Severan quarter contains many more Syrian elements.

The quarter begins at the eastern end of the palaestra in front of the Hadrianic baths (Figure 8.2). Here, there is an awkward junction formed by the end of the palaestra with the street leading from the theatre to the north-west and the two new colonnaded streets that were built north-east to the harbour and south alongside the Hadrianic Baths. To mask – or mark – this, a circular plaza was planned (Figure 8.3). Such an irregular meeting of many disparate elements presents almost insuperable architectural problems, but the solution was both masterly and typical of the eastern Roman world. Although never finished (and now obscured by overbuilding) this circular plaza recalls that at Jerash, similarly built to mark an awkward junction, and others in the Roman Near East that we have reviewed elsewhere (Figures 4.15 and 6.7).¹³⁵

The plaza was dominated on the south-eastern side by a monumental new nymphaeum, consisting of a massive exedra flanked by two arches aligned onto the sidewalks of the colonnaded streets to the south and north-east (Plate 6.2). Once again, this is more characteristic of the monumental nymphaea of the Roman East than of the fountains of the West. It closely follows that peculiar type of monument, strictly speaking not nymphaea, found only in Roman Syria, the *kalybe* or monumental façade for the display of statuary. Such *kalybes* appear to have no counterpart in western Roman architecture. Another, much ruined, Severan exedra of uncertain function, alongside the colonnaded street to the north-east, might be a *kalybe*. Whether nymphaea in the conventional sense or *kalybes* along Syrian lines, the closest architectural parallels are once again in eastern Roman architecture rather than western (Figure 6.22, Plate 8.16).¹³⁶

The most pronounced oriental feature of Severan Lepcis is, of course, the great colonnaded street that led to the harbour, flanked by the new Severan forum (Figure 8.2). Some 400 metres in length and about 41 metres wide (with sidewalks), it forms a monumental approach from the newly enlarged Severan harbour. Colonnaded streets culminating in (or beginning with) a circular plaza are an entirely eastern urban feature as we have noted, with no occurrences in the Roman West (Figure 6.7). This is the sole occurrence of the arrangement outside the Near East and, more than any other element at Lepcis, represents a deliberate orientalism.¹³⁷ Like colonnades in the East, those here are mounted on square pedestals, but unlike the trabeate colonnades of eastern cities (with the exception of Damascus), that at Lepcis is surmounted by arcades. This was, however, just as unusual a feature in the West as it was in the East at the time and anticipates Diocletian's arceate palace at Spoleto by nearly a century and a half. Capitals, both here and in the forum alongside, were a combined lotus and acanthus style that is found mostly in Egypt, as well as Syria and Anatolia.

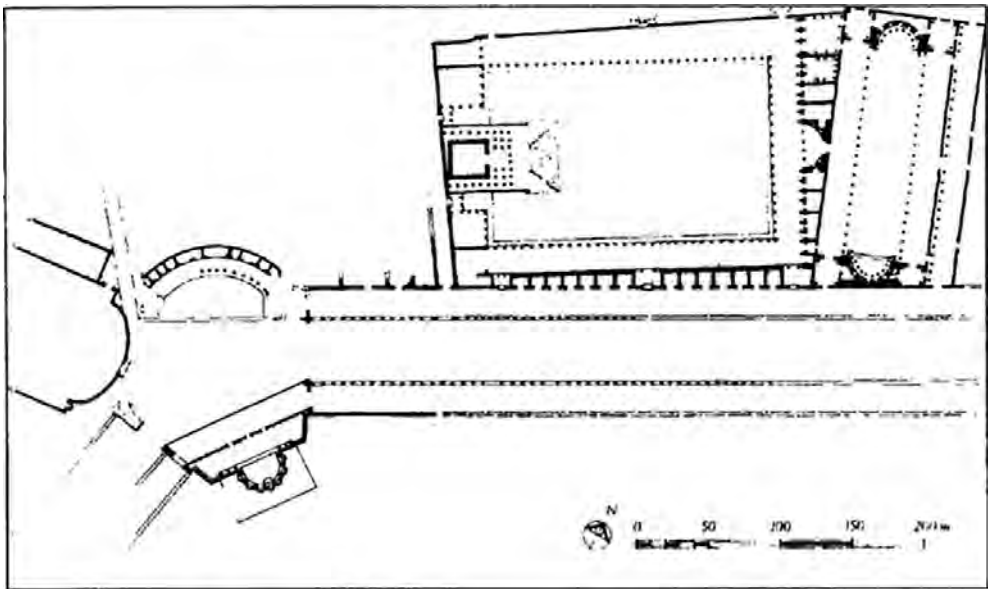


Figure 8.2 The Severan monumental quarter at Lepcis Magna (After Ward-Perkins)

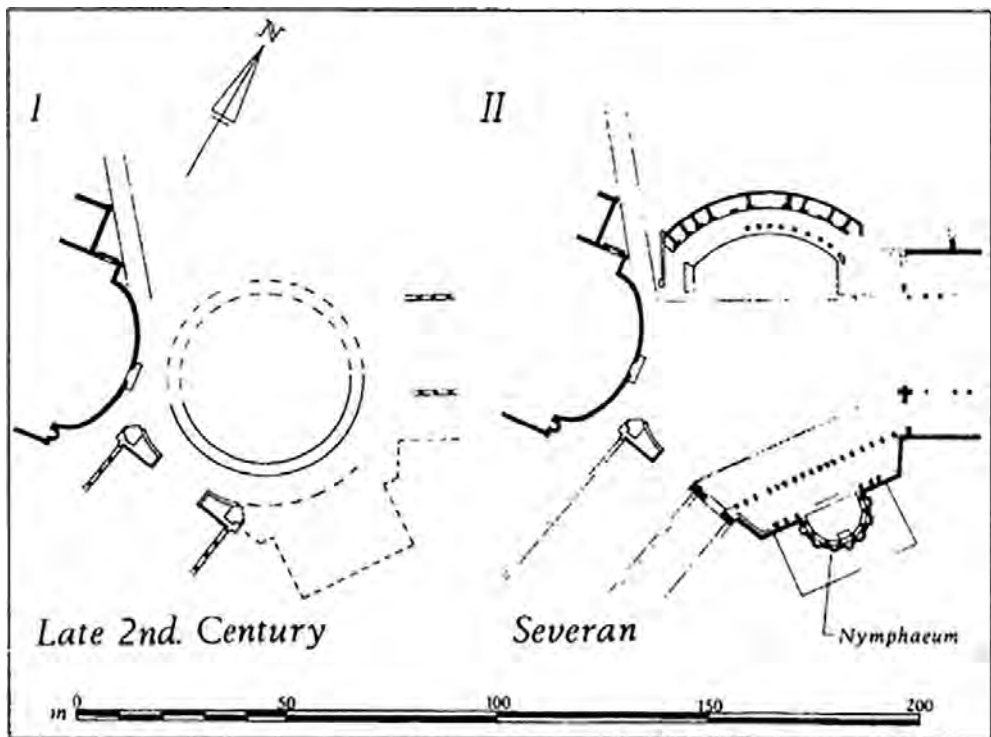


Figure 8.3 The circular plaza and nymphaeum at Lepcis Magna (After Ward-Perkins)



Plate 8.16 The Severan nymphaeum at Lepcis Magna

The great forum with its basilica alongside was the crowning glory of the new Severan city (Figures 8.2 and 8.4, Plate 8.17). This complex has been compared with the great imperial forums of Rome itself, such as that of Trajan. At first, the Severan Forum might seem to be the one ‘western’ exception to an otherwise conscious architectural orientalism. After all, the forum is an entirely western architectural concept, with few counterparts in the East, and the basilica, forming an integral part of it alongside, is wholly western in inspiration and architectural development as we have seen (Chapter 6). The forum forms a vast rectangle, enclosed by unusually high walls of superb quality masonry, with entrances on both longitudinal walls. The interior is surrounded on three sides by splendid arcaded colonnades, decorated with Medusa heads in the spandrels. The centre of the fourth, south-western, side is dominated by a huge octastyle temple raised on a magnificent podium. Decoration is lavish to the point of vulgarity throughout, carried out on a ‘money no object’ basis. Immense slabs of deeply carved Proconnesian marble adorn both forum and basilica, with the marble pilasters of the basilica almost certainly carved by the same eastern artists who worked on the Severan Arch. Colossal monolithic columns are of pink granite imported from upper Egypt. Everything about the complex was built to impress and overawe, in terms of scale, lavishness and sheer, opulent wealth.

But despite the western origins of the forum and comparisons with the great imperial forums of Rome, the architectural inspiration of the Severan Forum is again from the East. Architecturally, western forums were far less unified, less enclosed, more cluttered, consisting of unenclosed plazas with temples, shops and other public buildings jostling each other for space – the Old Forum at Lepcis is a classic example. The Severan Forum is far more unified, and its high surrounding walls impart an exclusiveness to its compound, cutting it off from the surrounding city rather than forming an integral part of it as other forum plazas do.



Plate 8.17 The Severan Forum at Leptis Magna

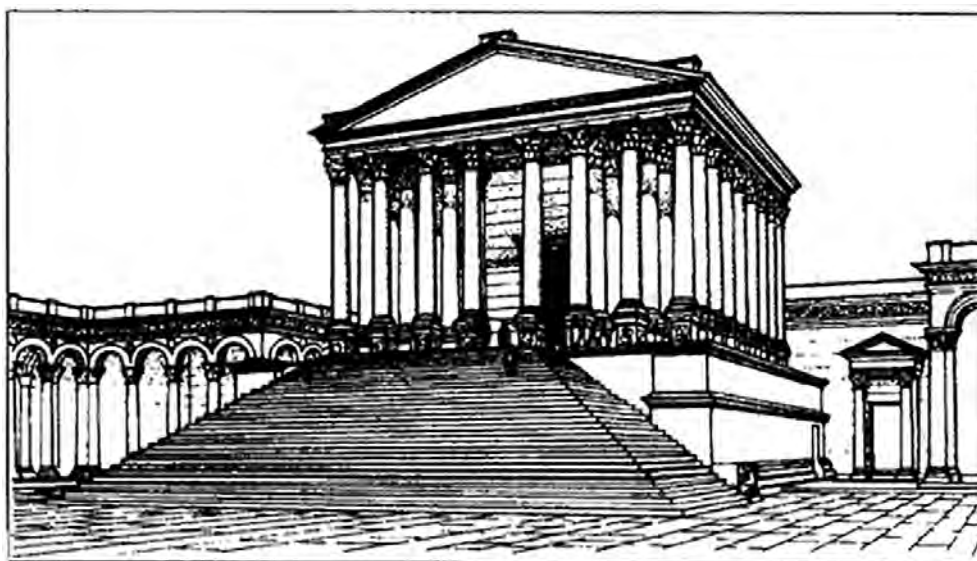


Figure 8.4 Restored view of the Severan Forum and Temple at Leptis Magna (After Ward-Perkins)

Most of all, instead of the standard ‘forum package’ of a Capitolium – a temple to the official cult of Jupiter, Juno and Minerva – with other temples to official city cults, that at Lepcis is dominated by just the one single temple, to which the vast courtyard in front of it appears entirely subordinate (Figure 8.4). Architecturally, it appears to have been inspired most directly by the imposing temple compounds of Syria, similarly enclosed in sumptuously decorated colonnaded enclosures. On entering the great courtyard of the Severan Forum one is reminded overwhelmingly not so much of the Forum of Trajan in Rome but of Baalbek or Palmyra’s Temple of Bel – or, for that matter, the Temple of Jupiter-Hadad at Damascus or of Artemis at Jerash. The arrangement of a temple on a podium at one end of an immense open space recalls the Temple of Jupiter Heliopolitanus at Baalbek in particular, a temple we have already noted (Chapter 2) for its associations with the Severan dynasty (Figure 2.3). Baalbek is also perhaps the only other building complex in the Roman world that matches the Severan Forum in sheer, overstated arrogant wealth. This is not to suggest that the Severan Forum at Lepcis is an eastern temple compound; its function as a forum is not in doubt. But the architectural and conceptual inspirations are striking, and it is significant that the temple that dominates Lepcis Magna’s great new compound was dedicated neither to the official Roman triad nor to Rome and Augustus, but to the Severan family itself. The dedication was probably made by Caracalla in 216, by which time the Severan family was dominated above all by Julia Domna and, through her, firmly connected to a line of oriental priest-kings, traditional guardians of one of the most opulent and exotic of oriental temples.¹³⁸

The hand of a single master architect, overseeing all of the Severan building activity from the Tetracylon to the Harbour, has been recognised in these works, an architect whose eastern origins are not in doubt.¹³⁹ And behind the anonymous eastern architect the shadow of Septimius Severus looms large. But no other emperor before had embellished his home town on anywhere near the same scale: Septimius lavished more money on his birthplace than he did on Rome itself, and more than any other emperors lavished on their birthplace. Falacrina in Italy or Italica in Spain, for example, the former the birthplace of Vespasian, the latter of Trajan and Hadrian, remained small, unembellished provincial towns throughout antiquity. Other wealthy Phoenician citizens at Lepcis had endowed their city with monuments, but the works of Annobal Rufus, Bodmelqart and their fellow members of the local aristocracy were in a very different category from those of Septimius. Apart from sheer scale, former endowments at Lepcis had belonged unambiguously within the sphere of western Roman architecture; Septimius’ buildings are eastern imports.

Septimius Severus was fascinated by the lands of his Phoenician forebears to the east, but behind either Septimius Severus or his anonymous architect one can detect at Lepcis Magna the hand of Julia Domna. The enormous influence that this redoubtable Syrian princess wielded throughout the Roman world through her husband and son has been described earlier in this chapter. The prodigious wealth of the Emesene temple which her family controlled is manifest in the ‘money no object’ rebuilding of Lepcis, endowments that no previous emperor had been able to afford for a provincial city. Through her and the Severan dynasty of oriental princesses and emperors which she (rather than her husband) founded, the East came to Rome.

The Severan buildings at Lepcis Magna are more than a collection of architectural curiosities. At Lepcis we see the embodiment in stone of what can only be called the ‘Severan Revolution’. The East had come to Rome to stay, and was to leave its permanent mark on all subsequent Roman history. Oriental cults were anticipating Christianity. The combination of Phoenician and Syrian religious ideas were to anticipate monotheism. The division of the empire into East and West by Caracalla and Geta was to anticipate Diocletian’s reforms.

The priest-emperor Elagabalus was to anticipate both the Popes of Rome and the Christian emperors of Constantinople in the centralising of secular and religious power. The emphasis on dynasticism, clung to perhaps tenuously but always tenaciously (and usually by the Syrian women of the dynasty) anticipated the transformation of the Roman imperium into an hereditary monarchy. The architecture anticipated the great Imperial foundations of late antiquity. In the end of the client states and the extension of Roman citizenship to all free members of the empire we see the beginnings of the centralised, monolithic Byzantine state. Soon after the Severans, Rome was to see, in Philip, the first Christian emperor.

But most of all, with the rise to supreme power of real provincials from North Africa and Syria, natives of those areas rather than descendants of Italian colonists, we see the beginning of the marginalisation of the city of Rome itself. Septimius paid more attention to transforming Lepcis than he did to Rome, a city he spent much of his life as emperor absent from. Caracalla anticipated Constantine in choosing Byzantium as his capital – indeed, Septimius Severus was the first emperor to significantly embellish this otherwise little-known city. In massively enlarging and embellishing Lepcis Magna, Septimius may even have been anticipating Constantine in moving the capital of the Empire itself. Under subsequent emperors the city of Rome was to become less and less a factor in Roman affairs. In the stones of Lepcis Magna the long march to Constantinople had begun.

From Paganism to Christianity

It is not often appreciated just how revolutionary a step the adoption of Christianity was for a European people. So closely is Christianity now associated with European civilisation that it is simply taken for granted. Indeed, Christianity is regarded as fundamental to the very *definition* of Europe and Western identity: throughout history Christianity has been used as a stick with which to beat non-Europeans, as a way of underlining the difference between East and West, ‘them’ and ‘us’.¹⁴⁰ But to begin with, Christianity for the West was a radical, revolutionary idea, far more revolutionary than Communism or other nineteenth-century radical movements in Europe in our own era. Unlike Communism, which was rooted in European political and philosophical ideas already old by the time it was implemented, Christianity in antiquity was a completely alien idea with no counterparts and few points of contact in European religion or philosophy. In the transition from European paganism to Christianity, the question of how such an alien, non-European idea became so implanted on such infertile soil that it has become one of the fundamental definitions of European identity, is one of the most important questions in history, and perhaps the most important historical process in the development of European civilisation.

The transition from paganism to Christianity has recently come in for greater scrutiny.¹⁴¹ In order to understand the process, three distinct transitions that Christianity had to make in establishing itself in the West must be recognised. There is the obvious spatial transition, from East to West, but less obvious is the conceptual transition, from the eastern to the western mind, as well as a class transition (with no intention of imparting a Marxist interpretation to history intended) from carpenter’s assistant to world emperor.

Religion in pagan Rome

To begin with, it is important to understand the position of religion in Roman society. There was a strong, clear-cut division between public and private religion. We have already seen how this division is reflected in the beginnings of Christian architecture.¹⁴²

Public religion consisted of the official cults of the Roman Empire: the cult of the Capitoline triad of Jupiter, Juno and Minerva, the cult of Rome and Augustus, the cult of the deified Emperor, and similar official cults designed to guarantee the civic welfare of the empire. These official cults, the nearest Rome had to an official 'religion' before Christianity, were a part of public life. Their temples occupied prominent positions in the public spaces of every town in the empire (outside the East). Observation of them was an essential duty of everybody holding public office, from the emperor to the common soldier, and participation in their rites was demanded as a demonstration of loyalty to the state. Women, holding neither public nor military office, were naturally exempt – a minor point, but one that was to have immense ramifications in the transition to Christianity, as we shall see.

Alongside the official cults were a huge range of private religions and cults. These reflected the religious beliefs of the vast majority of the empire, and could be – and often were – entirely personal. The personal religions were of no interest to the state, so long as they did not interfere with the observation of the official cults. There was an almost unlimited number of private cults, gods and religions. St Augustine enumerates an astonishing variety: gods of virtually every aspect of daily life, gods of the household, gods of various professions, patron gods of different localities, religions and cults of different peoples of the empire, even purely personal gods.¹⁴³ The pantheon was effectively unlimited. An individual was free to worship his or her own private religion in any way they pleased (so long as it did not transgress Roman laws against witchcraft, black magic or similar disapproved rites), and it was usually carried out in the private home or in relatively minor neighbourhood shrines and temples. It was into this sphere of Roman private religion that Christianity first entered and became a part of, along with cults of Mithras, Isis, Baal and the numerous other mystery and eastern cults that became increasingly popular.

From slave to master

It must be emphasised that Christianity was very much a religion of the lower classes, even slaves, in the beginning. For such a religion to work its way up to the aristocracy and the highest in the land – the emperor – requires some explanation. Mere persuasive power of the religion itself alone is not enough, the distance between slave and master was too great, with few points of contact.

That Christianity appealed to the underclasses of Roman society is not itself surprising; its egalitarianism, its message to the humble, its promises of hope and salvation are well enough known not to need reiterating. More important, its conscientious objection to the performance of official Roman rites would have made it spread to such classes more easily, who were under no such obligation. From Rome's underclasses to its rulers is still a great transition, but there is one area where both extremes of the social spectrum came into regular and intimate contact: the household. By far the majority of slaves in the Roman world were household servants (rather than inmates of the galleys or gladiatorial schools so beloved by cinema). The upper classes who naturally had the most contact with household slaves were the housebound women; the larger – and hence wealthier and more prominent – the household, the larger the number of slaves and the larger the contact between Christian servant and pagan mistress. It was through the servants – literally over the kitchen sink – that the women of Rome first learnt of Christianity. It comes as little surprise to learn that many of the early Christians – and early Christian martyrs – were women, women moreover from the noblest households with the greatest numbers of servants. Henryk Sienkiewicz's novel *Quo Vadis*, while fictional, provides a very true picture in this respect. We even read of Christian

women in the imperial household (such as Marcia, the mistress of Commodus) as early as Marcus Aurelius, while by the time of the Severans Christianity had touched the very top of the imperial female household (for example Julia Mamaea, mother of Emperor Severus Alexander). The relationship of highborn Roman lady to servant girl was a common theme in early Christianity, borne out, for example, in the story of the martyrdoms of St Perpetua and St Felicity at Carthage (where women generally represented a high proportion of the martyrs). Persecution of Christians was assured of high attendance in the arenas: not only was it women being butchered (and they were often displayed bare-breasted), but high-born women at that.¹⁴⁴ Any mob would love it.

From there the transition was but a short step. The husbands, of course, would not and could not have any truck with their wives' religion: being high-born they had public duties to perform which were incompatible with Christianity. But the children were another matter: the mothers were able to pass their religion on to their sons, the future holders of public office. The transition from slave to ruler was complete. Without adhering necessarily to any feminist view of history, the fact is nonetheless true that Christianity's first great triumph represents a triumph of feminism, one of women's greatest triumphs in history.¹⁴⁵

*From Iran to Rome*¹⁴⁶

The conceptual transition was an even greater one, for Christianity had virtually no common ground in western religious thought upon which to graft its ideas, despite efforts to see common ground in visions, ritual, philosophers or other aspects of western paganism. To understand this it is necessary to outline a number of different areas, those in which Christian religious ideas were rooted in the East, and those through which contacts were made with the West. They can be summarised in terms of the religious ideas of Iran, Anatolia, the Semitic world (other than Judaeo-Christianity), and the pagan Sun cult. From there, the third and final transition, the physical one from East to West, becomes more understandable.

Zoroastrianism was the world's first credal religion, reason enough for it to be fundamental to the development of any later monotheism. Its founder, Zoroaster, may have lived as early as 1700–1500 BC, although he is generally regarded as having lived in about 1000–900 BC in Bactria.¹⁴⁷ Suffice to say it was substantially earlier than Christianity. While this alone does not make it essential to an understanding of Christianity, Zoroastrianism contained elements that found their way into the Christian faith. It was the first religion with a concept of a hopeful hereafter offering a day of judgement, salvation, resurrection and paradise, rather than some vague, amorphous netherworld such as existed in Greek or Judaic traditions. The dualism which dominates Zoroastrian belief – the conflict between the forces of light and darkness – is echoed in Christianity by its emphasis on good versus evil, as well as heaven and hell, god and Satan. This dualistic belief has been suggested as an explanation for the eastern orientation of the early churches: east, being the source of light, symbolised good, as opposed to the west, the source of darkness symbolising evil.¹⁴⁸

More than that is the Zoroastrian notion of deity representing the abstract concept of purity and goodness, of the universal single god, of god the creator.¹⁴⁹ The idea that 'God is good' has become so much an accepted part of Christian faith that it is merely taken for granted, but the idea was revolutionary in the western world in antiquity: the pagan gods – the gods of the Greeks and Romans – were good, bad, indifferent, in fact reflecting the full range of human behaviour, the only difference from humankind being their immortality. They were rarely, if ever, *good*! Even the god of the Old Testament was to a large extent a severe, vengeful god; the Christian god owes more to the Zoroastrian concept than the Judaic.

The Zoroastrian conflict between good and evil was not indefinite, for it was believed that a redeemer – the *saoshyant* – would ultimately appear and overthrow the forces of darkness forever, ushering in a new era of rule by the forces of light that would last for eternity. This *saoshyant*, moreover, was to be born of a virgin from the prophet Zoroaster's lineage.¹⁵⁰ The implications for the development of Christianity are obvious, although the idea of a redeemer or saviour was common to a number of other ancient eastern religions, both pagan and monotheist.¹⁵¹ The Christian reverence for a sacred book springs mainly from Judaism with its reverence for the Torah, but it might equally stem from the Zoroastrian reverence for the sacred *Avesta*. Of greater implications for Christianity was the position of the hereditary priesthood, or Magi, in the Zoroastrian religion. The institution of the Magi is one of the most fundamental in Iranian tradition. Its exact origins are hazy: it probably antedates Zoroaster, going back to early Iranian origins in Central Asia. When Indo-Iranian tribes migrated to India in the second millennium BC the idea of an hereditary high-priesthood was taken with them and became the Brahmins, the Indo-Iranian overlay on ancient Indian religion. The institution of the Magi followed Iranian migrations to the Iranian plateau too, becoming a specific religious caste of the Medes. It was grafted onto Zoroastrianism and has remained an integral part of Iranian society to this day: many important Iranian dynasties, such as the Sasanians, the Barmakids, the Samanids and the Safavids, began as hereditary priestly families (Zoroastrian, Buddhist or Sufi), and today, Iran is the only country in the Islamic world which has a structured priestly hierarchy (the mullahs and ayatollahs). The institution of a priesthood had no counterpart in the West. The Judaic institution of the Pharisees only occurred, it must be emphasised, *after* Judaism came into contact with – and under the influence of – Zoroastrianism during the Babylonian exile.¹⁵² Pagan Rome had many priests, of course. But their function was strictly limited to the temples they were attached to; there was never any structured hierarchy, no concept of administering to a flock or of overseeing the religion, in both spiritual and administrative terms, such as became familiar under the Church. The Magi of Zoroastrian Iran was the only institution of a structured priesthood in the ancient world. The idea entered Christian mythology with the visit of the Magi to the infant Christ – symbolically, the old monotheistic religion giving its blessing to the new one being born in Bethlehem.¹⁵³

The Iranian institution of the Magi was to have repercussions for the West politically as well as religiously. Under the Sasanian Shah Shapur I in the third century AD, the religious reforms of the Zoroastrian high-priest Kartir established for the first time a monotheistic religion as a structured, state religion and the Zoroastrian 'church' as an extension and instrument of Iranian state policy.¹⁵⁴ This was the culmination of a movement originally begun by Tansar, high-priest under Shapur's father, Ardeshir, who writes in his *Testament*: 'Know that royal authority and religion are two brothers in perfect agreement with each other.'¹⁵⁵ The position that Kartir created, of arch-priest with state responsibilities to oversee both official religious formalities and religious orthodoxy, foreshadowed the Papacy of Christianity.¹⁵⁶ Such a revolutionary step might have found precedent in Buddhism, such as Kanishka's patronage of Buddhism in the first century AD or even Ashoka's in the third century BC. The precedent thus set was soon followed by the Romans: Constantine and his successors established their own state monotheistic and monolithic church, a structure that was to be an instrument of state policy and a means for centralising their own rule (as well as a means, ironically, for combating Iran).¹⁵⁷ The ensuing structure became the keystone of all subsequent European history. Constantine's subsequent letter to Shapur extolling the virtues of Christianity seems to have been an echo of Abgar's letters to fellow rulers following his conversion over a century before, or even Ashoka's letters to the Hellenistic rulers of the West following his conversion to Buddhism in the third century BC.¹⁵⁸

So far, only the influences of Iranian religious ideas have been outlined, with few physical points of contact with the West. Western contact with the Iranians was one of the most important and perennial factors of ancient history.¹⁵⁹ This had two strands, in both Judaism and Hellenism. The first had the most immediate effect on Judaism, hence Christianity, for it must be stressed that much of the formation of canonical Judaism took place during and immediately after the Babylonian Exile when it came into intimate contact with Zoroastrianism. The contact transformed Judaism.¹⁶⁰

Iranian contact with Hellenism is the other strand, beginning with the contacts formed with the Greek world in the days of the first Persian Empire of Cyrus the Great, and his successors with important Zoroastrian influences on Greek philosophy (which, it must be emphasised, originated in Asia Minor, not mainland Greece).¹⁶¹ The contact, amounting to an obsession, was renewed by Alexander and became a constant theme of Roman imperial history, as much of this book has shown. It seems hardly necessary to look further for points of contact, so fundamental and so perennial has this peculiar Iranian relationship been. But several vehicles by which Iranian religious ideas came west are worth emphasising. The Romans themselves related their ancient cult of Vesta to the Zoroastrian fire cult, in particular to the great Fire Temple of Adhur Gushnasp at Shiz in Azerbaijan,¹⁶² and Kartir himself claimed to have introduced Zoroastrianism to Antioch and other parts of the occupied Roman East during the Persian campaigns of the third century.¹⁶³

In this context it is important to remember the existence of Iranian kingdoms – survivals of the Achaemenid Empire – *within* the Graeco-Roman world. These were the kingdoms in Anatolia founded by Iranian aristocratic families – often former satraps of the Persian Empire – following the death of Alexander: the kingdoms of Pontus founded in 302 BC by Mithradates, of Cappadocia founded in 255 BC by Ariarathes, and of Commagene founded in about 163 BC by Ptolemaeus.¹⁶⁴ There was also an Iranian element in the kingdom of Edessa, as we have seen, and a strong Iranian element – both cultural and dynastic – in Armenia. Iranian elements have also been observed in the Nabataean kingdom.¹⁶⁵ In fact Iranian communities existed throughout Asia Minor, beyond the Iranian kingdoms, and Zoroastrianism survived throughout the Roman period in these communities.¹⁶⁶

All of these ‘neo-Persian’ kingdoms survived into the Roman period.¹⁶⁷ The wars with Pontus – the three ‘Mithradatec Wars’ fought between Rome and Mithradates VI – in the first century BC were one of the more significant events in Rome’s expansion into the East, with a token Pontic kingdom under King Darius surviving as a client of Rome until 37 BC. Cappadocia and Commagene also became clients of Rome following Pompey’s settlement of the East in 63 BC until both were annexed in the first century AD: Cappadocia in AD 17 after the death of its last king, Archelaus, and Commagene after the death of Antiochus IV in AD 72.

Commagene was the only one of these neo-Persian kingdoms whose royal family bore mainly Hellenistic names, despite their claims to Iranian aristocratic descent, whereas both the Pontic and Cappadocian royal families retained Iranian names throughout. Although the rulers became increasingly Hellenised after the first few generations – it is unlikely that any of them spoke Persian in the end – they retained considerable Iranian sentiment and character, particularly in the field of religion.¹⁶⁸ Iranian priests – Magi – who had entered Anatolia in the Achaemenid period remained an important religious caste in these kingdoms throughout the Hellenistic and into the Roman period. The Magi were particularly prominent in the kingdom of Cappadocia. Strabo, Diodorus, Pausanias and Appian all describe the rites of the Magi and fire worship in Asia Minor.¹⁶⁹ The important first-century AD Cappadocian religious figure, Apollonius of Tyana, whose cult was promoted as an alternative to Christ, may well have been descended from the Cappadocian Magi (spiritually if not genetically).¹⁷⁰ We also learn of

Magi practising in Syria, Mesopotamia, Arabia and Egypt, with instances even in the western Mediterranean, as late as the fourth century.¹⁷¹ Zoroastrianism survived in Anatolia as late as AD 562 – and was recognised by Rome as lying to some extent within Sasanian religious jurisdiction.¹⁷² The Zoroastrian concept of the *saoshyant*, the saviour, arrived in the Hellenistic world through the Anatolian Iranian kingdoms in the second century BC in the tradition of the Oracle of Hystaspes. By the Christian era the Oracle of Hystaspes had become equated with Christ.¹⁷³ The Temple of Comana in Pontus became an important centre for the cult of Anäitis, the Iranian Anahita or ‘Persian Artemis’, which became grafted on to the Anatolian Artemis cult. Anahita was one of the main cults of Iran throughout antiquity that incorporated elements of Zoroastrianism, so became in Pontus an important vehicle for the transmission of religious ideas.¹⁷⁴ The cult was administered by hereditary Magi, presumably descendants of those who came from Iran in the Achaemenid period (the term for ‘high-priest’ for this cult is derived from the Iranian title *mawbed* for ‘priest’), who also became priests for other cults, even, on occasion, of a Temple of Rome.¹⁷⁵ Pausanias’ description of the temples at Hypaipa and Hierocaesarea in Lydia in the second century AD are virtually ‘classic’ Zoroastrian.¹⁷⁶ The Christian regard for holy water might have derived from the cult of Anahita, where holy water is central to its ritual. Holy water in Christianity, however, might equally have evolved from Nabataean religious practice or even the cult of Atargatis, both of which had water central to their ritual.¹⁷⁷ The rulers of Commagene, despite their Greek names, practised an Iranian dynastic cult that incorporated Ahuramazda, Mithras and Verethragna, equated with Zeus, Apollo-Helios-Hermes, and Heracles respectively. This extraordinary syncretic cult is depicted sculpturally, epigraphically and spectacularly at Antiochus I’s (69–31 BC) great dynastic complex on the top of Mt Nemrud in the middle of his kingdom, which was attended by Magi ‘in the Persian fashion’. The spread of Mithraism elsewhere throughout Europe was known to have been helped by Commagenians.¹⁷⁸ The cult of Jupiter Dolichenus, which achieved popularity throughout the Roman world, spreading westwards as far as northern Britain, had its origins at Doliche in the Commagenian kingdom. Its iconography probably derives from the Hittite god Teshup, but of more significance in the present context is that alone of Roman cults, that of Jupiter Dolichenus had a structured, hereditary clergy, surely deriving from the Magi. Dolichenus altars also bore a superficial resemblance to Zoroastrian fire altars.¹⁷⁹

It comes as no surprise to learn that all of these former Iranian kingdoms became important centres of early Christianity, both for its development and its spread. The bishopric of Pontus, particularly under St Gregory in the third century, was one of the most important Christian centres in the East,¹⁸⁰ while the vast numbers of churches that still litter the landscapes of Cappadocia and Commagene today – the underground churches, for example, or the Binbir Kilise (the ‘Thousand and One Churches’) of the Black Mountain – attest to its strength and tenacity in those regions.¹⁸¹ In this context, it is worth recalling that Armenia, a similarly Iranised kingdom in Anatolia, was the first kingdom in the world to proclaim Christianity a state religion (with the possible exception of Edessa). The Magi of Anatolia simply became the priests of Christianity.¹⁸²

Of equal importance for the transmission of Iranian religious ideas to the West was Mithraism, the architectural remains of which have been found throughout the Roman world from the Euphrates to Hadrian’s Wall, particularly along the northern frontiers.¹⁸³ Several emperors, from Nero to Galerius, toyed with Mithraism, and so popular did Mithraism become – particularly in the army – that for a while it even looked as though it might pre-empt Christianity in becoming the main religion of the empire. It never did nor could – it excluded women – and Roman Mithraism was substantially different from its Iranian origins.¹⁸⁴ Nonetheless, there were Iranian elements in Roman Mithraism and Iranian ideas broadly came into the Roman world through it.¹⁸⁵

Another Iranian religion that entered the West in late antiquity was Manichaeism. Manichaeism was a syncretic religion, proclaimed by the prophet Mani in the third century AD, that incorporated many elements of Zoroastrianism and acknowledged Zoroaster, Buddha and Jesus as the predecessors of Mani. It also incorporated elements of Gnosticism and neo-Platonism.¹⁸⁶ Mani himself actively proselytised in the Roman Empire, accompanying Shapur's armies in the mid-third century into Syria.¹⁸⁷ He sent missions to Alexandria and elsewhere who converted, amongst others, Zenobia of Palmyra (according to Manichaean documents) and 'the religion of the Apostle [Mani] flourished in the Roman Empire'.¹⁸⁸ During the time of Diocletian a Manichaean schismatic called Boundos practised in Rome. He also taught in Persian, and his schism was called the Daristhenians, a Persian-derived word.¹⁸⁹ Manichaeism achieved wide popularity throughout the Roman world before it was condemned as a heresy by the Church. But it survived into the Middle Ages among the Cathars of France and the Bogomils of the Balkans, until they were finally exterminated. Although it was formed some centuries after Christianity – indeed, it incorporated Christianity – it is important to remember that much of Christian practice in its present form did not become doctrine until the fourth century and even later. Iranian religious ideas may well have entered Europe through Manichaeism, despite its later date, influencing some of medieval Christianity. One need only recall that St Augustine, more than anybody else the father of western Christianity, was a Manichee before becoming a Christian.

There was another Iranian religious element that had a profound, albeit indirect, effect on the growth of Christianity in the Greek mind. This came via Alexander of Macedon. One of the most controversial acts (to his contemporaries) of Alexander's career was his insistence upon *proskynesis*, the Persian ritual obeisance, during and after his Central Asian campaign. Failure to observe it meant death to Greeks and Macedonians on several occasions (most notoriously to Alexander's 'friend' Cleitus in Samarkand, whom Alexander personally impaled). The increasing numbers of Iranians in Alexander's court and army saw nothing wrong with *proskynesis*, being standard practice before a Persian monarch. But to the Greeks and Macedonians it was abhorrent to the point of blasphemy. For *proskynesis* was reserved solely for a god, and Alexander's insistence on it implied divine status. In the end, Alexander had his way and it became standard, if reluctant, practice at his court in recognition of Alexander's spurious claim to be the son of god (of Zeus Ammon). The cult of the living god in a king was then renewed under the Seleucids, especially Antiochus Epiphanes, when it was imposed as an official cult to unify disparate peoples.¹⁹⁰ Alexander thus paved the way for the idea of Christ in the Greek mind, that a man could be at once human and divine, god and the son of god. The Greek reluctance to admit, through *proskynesis*, divine status in Alexander continued to plague the Greek mind for another thousand years with their obsession over the human and divine natures of Christ.

But whether or not Christianity has incorporated elements of Iranian religious ideas into its beliefs and practice is perhaps not the issue here. The real issue is that the spread of Iranian religions westwards *paved the way* for Christianity; it made it that much easier for similar or parallel ideas in Christianity – regardless of whether they are Iranian in origin or not – to take root when they did arrive.¹⁹¹

From Anatolia to Rome

Anatolia was another channel for one of the most important aspects of the Christian religion (apart from the contact with Iranian religion reviewed above). The mother-goddess fertility cult was one of the main cults of ancient Anatolia, embedded deep in Anatolian prehistory,

with evidence found in excavations of Neolithic sites going back to the seventh millennium BC. In Archaic Ionia in the seventh century BC, the Greek cult of Artemis was grafted onto it, producing in architecture one of the Seven Wonders of the World at Ephesus. But the cult of Ephesian Artemis had little, if any, resemblance to the goddess more familiar from Classical Greek mythology, and the Temple of Artemis at Ephesus was the embodiment in stone of the ancient Anatolian mother-goddess, the Classical style of its architecture notwithstanding, as well as elements of the Iranian Anahita cult.¹⁹² In Ephesus, Christianity – in the person of St Paul – encountered some of its stiffest resistance with opposition from the ‘Diana of the Ephesians’. Hence, it simply merged with the cult. For according to Christian tradition, several years after the death of Jesus the Virgin Mary was brought to Ephesus where she lived out her days in retirement – her purported house outside Ephesus is still a place of veneration today. The cult of the Virgin was grafted onto the cult of Ephesian Artemis.

Indirect support is found in the west of the Mediterranean many centuries before Mary. In Spain and southern Gaul, Phocaean Greek colonists from the coast of Asia Minor had taken the cult of Ephesian Artemis with them when they colonised the area in about 600 BC, founding, among other cities, the port of Marseilles. This was followed up by missionary expansion of the cult throughout the Roman world.¹⁹³ The cult of the Virgin Mary, strongest still today in these parts, found ready ground when it arrived almost a thousand years later. The graft was complete.

From the Semitic East to Rome

More than Anatolia or Iran, it was the religions of the Semitic world which contributed most to Christianity. The Judaic roots of Christianity hardly need reiterating.¹⁹⁴ Until the time of Hadrian, the Jerusalem Christians – and their bishops – were Jews, and Christianity was still viewed a Judaic sect. It was only after Hadrian and his creation of Aelia Capitolina out of Jerusalem – and the consequent expulsion of Jews – that the church of Jerusalem began to consist of Gentiles.¹⁹⁵

Of more interest here are the non-Judaic Semitic elements. Perhaps the most important idea was that of congregation – probably the most revolutionary concept that Christianity brought to the West after the concepts of monotheism or a structured priestly hierarchy. Again, the suggestion that the idea was ‘revolutionary’ might appear odd today, so integral has it become to Christian practice. But the idea that members of a community meet on set days to participate in communal worship was quite alien to western pagan practice. The public cults demanded participation only from the few officials in going about their public duties, while the private religions of the majority were practised individually in the home or the neighbourhood shrines. There was no concept of religious congregation. In the Semitic East, however, the idea was almost universal. We have already observed (Chapter 7) how this concept was expressed architecturally in the vast temple compounds built to accommodate mass worship, temples which had no counterpart in the West despite their superficial Classical embellishment. We have also traced how this concept determined the development of Christian architecture, with the adoption of the basilica form. Suffice to say here that the great temple compounds at Palmyra, Baalbek, Damascus, Jerash, Petra and elsewhere in the East reflect this universal pagan Semitic practice, surviving in the mosque courtyards of Islam.

Also central to most Semitic religion was the abstract concepts of deity. Again, this has already been reviewed in the architectural discussions in Chapter 7. It is only necessary to reiterate how so many ancient Semitic deities were represented in abstract – and often

cubic – forms. Such were the ubiquitous ‘god-blocks’ of Nabataean religious architecture, or the black stone of the Temple of Elagabal of Emesa, or similar black stones of Phoenician temples to Melqart. Indeed, the *baetyls*, usually the stone blocks symbolising their gods, were a feature of ancient temples of the Near East, even after the influence of Greek and Roman art had personified the gods into shadows of western likenesses. When the prosaic Romans first entered these Semitic temples they were often baffled by the absence of images, the abstract concept of deity at that time being alien to the western mind.¹⁹⁶

A cult practised initially at Edessa, becoming commonly practised throughout northern Syria before Christianity, was that of the virgin mother and child. Women traditionally held a high position at Edessa. As well as being incorporated into Christianity, the belief in the virgin and child also entered Islam. The iconography of the virgin and child probably had its origin in Egyptian depictions of Isis and the infant Horus, although it is notable that virtually the same iconography appears in early AD Gandharan sculpture depicting the seated goddess Hairiti and child (Plate 3.16).¹⁹⁷

Another belief common to many ancient Semitic religions was the concept of rebirth and resurrection. This was particularly prevalent in Phoenician religion, where it was a part of the cult of Melqart. Melqart was often Hellenised as Adonis, and was related to the ancient Sumerian Dummuzi, biblical Tammuz, where rebirth and resurrection figured highly. In this context it is worth noting that Bethlehem was originally sacred to Tammuz.¹⁹⁸ When Phoenician colonists took the cult of Melqart to the West it was equated by the Romans with Hercules. Another popular Semitic cult throughout the East was that of the Sun god. This went under various guises – including Elagabal of Emesa and Heliopolitanus of Baalbek – but was adopted by the Greeks and Romans under the name Helios. More will be said of the Sun cult below, but for the moment it is worth observing that with the advent of Christianity the cult of the prophet Elias easily replaced that of the Sun god in the East simply because of the similarity in names.¹⁹⁹ Long before Christianity had supplanted paganism in the Semitic Near East, therefore, most of the elements of Christian belief were already in place.

In addition to Iranian, Anatolian and Semitic religions, other Near Eastern religious ideas contributed to the acceptance of Christianity among the Romans. The part played by Egyptian religion is beyond the scope of this book,²⁰⁰ but apart from the iconography of Isis and Horus mentioned above, attention must be drawn to the Egyptian monk St Anthony and the origins of monasticism in Egypt, Mesopotamia (the Tur Abdin) and Syria.²⁰¹ From further afield, elements of Buddhist and other Indian religious ideas probably entered the Near East to affect Christianity. The spread and influence of India in the West has been traced earlier in this chapter, with implications for the origins of Stoicism, neo-Platonism and Christian monasticism, so need not be repeated here. Suffice to say that the movement was a real one. Of more relevance for Christianity perhaps is the origin of relic worship, which figured so largely in medieval Christianity. The only other religion which places such a high – or higher – emphasis on relic worship is Buddhism. In this context it is significant that the first recorded case of the cult of relic worship in Christianity is the Apocryphal Acts of St Thomas, the apostle to India, concerning the miraculous cure of Misdaeus, an Indian king.²⁰²

From East to West

We must finally consider the physical journey that Christianity made to the West. Most evidence points to Christianity spreading in the East before it spread West – indeed, at first Christianity looked to become as much a definition of the East as Islam later became, and it may well have not taken root in the West.²⁰³ Shapur I’s deportations of Syrian Christians

following his campaigns in the 260s probably added to the spread of Christianity throughout the Iranian world, so that by 484 it was possible for a Christian Synod to be held in Ctesiphon, patronised by the shah himself.²⁰⁴ Here the Nestorian sect was proclaimed as the official, national Iranian brand of Christianity to underline Iran's differences with the Roman Empire and Roman orthodoxy.²⁰⁵ It hardly survived in Iran (except in pockets) although it did spread further east, where it still survives. But in the early days at least, Christianity showed all indications of remaining in the region of its origins and spreading further east, not west. How, therefore, did this change?

To begin with, the position of Antioch was crucial.²⁰⁶ We have already reviewed the position of Antioch as an imperial Roman capital (Chapter 4). Equally important was its religious position. Antioch's cosmopolitan nature as a meeting and mingling of Hellenism with the ancient Syrian and Jewish communities has been long emphasised, and the cosmopolitan nature of Antioch takes precedence over either its Roman or Syrian natures. An Iranian and Zoroastrian element has been discerned at Antioch even before its foundation, and the Iranian campaigns of the third century resulting in the capture of Antioch had proselytising elements, accompanied by both the Zoroastrian state high-priest and the Prophet Mani.²⁰⁷ It even saw delegations come through the city from Bactria and India. Zoroastrian Magi rubbed shoulders in the street with Semitic priests, Jewish rabbis, Greek philosophers, and a host of eastern holy men, prophets and mystics of all descriptions. It became a religious melting pot where all religions were practised. It all made receptive ground for the development of the first Christian church. Hence, it became the first teaching ground for both St Peter and St Paul.

Indeed, it was at Antioch that they were first named 'Christians' by the Roman authorities, to distinguish them from the Jews: it was in Antioch that Christianity first became an organised religion – Antioch even claimed primacy over Rome because the first church of Antioch was founded by Peter himself before he went to Rome. Notwithstanding the claim, Antioch remained central to the subsequent development and spread of Christianity. Theophilus, the Bishop of Antioch from 169 to 188, was the first to proclaim the Gospels as a divine revelation on the same footing as the Old Testament. It was in Antioch that Christianity first spread among the Gentiles. In Antioch Christianity first attracted converts of real wealth, which gave the new religion the first injection of money required to permit its growth. And Antioch's position at the centre of international communications not only facilitated Christianity's spread, it also encouraged other religions to meet it – an encouragement boosted by the traditional Syrian love of religious experimentation.

Beyond Antioch, there were, of course, the proselytising missions of St Peter and St Paul, well enough known not to require reiterating. But by themselves they were hardly enough for their religion to spread among the Romans, and their effect was exaggerated by later Christian apologists. To begin with, the number of Christians in the Roman Empire before the second century was tiny.²⁰⁸ It was among Jewish exile groups around the Mediterranean that Christianity found its first converts. The Jewish Diaspora certainly played its part, and Christianity was viewed by the Romans as a sect of Judaism, until St Paul underlined publicly – and judiciously – its difference, thereby opening Christianity to the Gentiles. This also encouraged persecution: as a Jewish sect, the Romans, who followed an official policy of tolerating Judaism, tolerated Christianity; separated from Judaism, they were under no such obligation.²⁰⁹ The persecutions followed. There is little evidence that the martyrdoms inspired conversions or even revulsion, nor were they as numerous as commonly believed. On the contrary, the sports of the arena were nothing more than spectacles, and for the spectators it mattered little whether the victim was a Christian or a common criminal. The evidence suggests that the persecutions were very effective indeed. By themselves, pagan

persecutions would probably have succeeded in exterminating Christianity from the West as thoroughly as did the later Christian persecutions of Manichaeism in France and the Balkans, or of Islam in Spain.

A more effective vehicle for carrying eastern religious ideas to the West was a people who came west a thousand years before. These were the Phoenicians. The Phoenicians had penetrated many of the coastal regions of the western Mediterranean: Sicily, North Africa, Spain, Malta and Sardinia, to name just the main areas of influence. Even after Rome's defeat of the Carthaginians, Phoenician influence remained strong, particularly in North Africa where it was strongest, as we have emphasised above in the discussion on Lepcis Magna. St Augustine notes the still prevalent Phoenician element in the fifth century, remaining until the Islamic conquest.

The Phoenicians brought their religions with them, and the Roman towns of North Africa are littered with the remains of temples to Shadrach, Melqart, Astarte, Baal, Hathor and other deities, thinly disguised under tenuous Roman equivalents. Central to much of Phoenician religious belief was the abstract concept of deity, as well as the concept of rebirth and resurrection, already reviewed above. Cadiz (Gadir), for example, the Phoenicians' main city on the Spanish mainland, had a famous temple dedicated to Phoenician Melqart. This temple preserved its Phoenician characteristics long after it had been absorbed into the Roman cult of Hercules: the absence of figural representation puzzled the Classical authors, and the central feature of the Melqart cult, both in Cadiz and elsewhere in Phoenician Spain, was the cycle of annual resurrection.²¹⁰ Christianity was certainly planted on fertile ground in Spain when it eventually arrived.

Another central fact of Phoenician religious practice was the *tophet*. This gruesome rite, associated with the cult of Baal-Cronos, consisted of the sacrifice of young children, infants and babies to the god by fire. It was by no means a universal Phoenician practice, but confined mainly to the Phoenicians of North Africa, most notably at Carthage. The sacrifices were usually of high-born children, preferably the first born. As many as 500 children were recorded immolated in a single ritual at Carthage. Because of the horrific nature of the *tophet*, it has come under considerable and sceptical scrutiny by archaeology, but the evidence confirms it as a fact of Phoenician society.²¹¹ Modern scholarship has attempted to explain the *tophet* as a form of Phoenician upper-class birth control. Such an explanation is, of course, nonsense, an attempt to rationalise an ancient and distasteful practice in entirely modern-day terms. In ancient terms it makes sense, as an expression of sheer, unquestioning faith that is inconceivable now; in modern terms it is a superstition that defies explanation.

The Phoenician *tophet* in North Africa was to have a profound effect on early Christianity, even though the practice had long been suppressed by the Romans. For in North Africa, the concept of self-sacrifice, the Christian cult of martyrdom, was already firmly implanted before Christianity arrived. Sacrifice, an abstract god, resurrection, all the elements existed. The Semitic Phoenicians of Carthage must have welcomed with relief this new Semitic religion as a revival of their ancient ways and beliefs.²¹² It comes as little surprise, therefore, to learn that the greatest spread of early Christianity outside the East was Carthage and the North African provinces. North Africa was probably the first area in the West to have a majority Christian population. Many of the first Christian martyrs were from North Africa: St Perpetua and St Felicity have already been mentioned, St Cyprian of Carthage was probably the most prominent Christian martyr after St Paul, and there were many others. Carthage rapidly became one of the most important bishoprics in the empire. It was in North Africa that the first major schism in Christendom occurred, with the Donatist movement in the early fourth century. In the following century there emerged from North Africa the father of

western Christendom, St Augustine of Hippo. Phoenician Africa was the most Christian of all provinces, and with good reason.

As well as being Phoenician and Christian, North Africa was *Roman*. Parallel to the Phoenician influence, the North African provinces were subject to more Romanisation than any other part of the empire outside Italy (with the possible exception of Gaul). The hundreds of Roman towns there, unlike the Roman cities of the East, were virtually identical to their counterparts in Italy.²¹³ North Africa in Roman times was culturally an extension of Europe: before Islam imposed a north–south division on the Mediterranean, the cultural step from Africa to Europe did not exist. From this contact between Roman and Phoenician, the movement of Christianity to Europe was both smooth and logical.

There were several more steps, one of the most important also connected with the Phoenicians. This was the rise to the imperial purple of a Phoenician from North Africa, Septimius Severus. Through Septimius, other Phoenicians from North Africa were awarded important posts throughout the empire. The Phoenician religious impact by these means, however, was only minimal compared to the Phoenician impact through North Africa reviewed above. Where the Severan dynasty had most religious impact was through the Syrian family that the dynasty married into, Julia Domna and her relatives. This has already been discussed earlier in this chapter, but it is worth emphasising the religious aspects of the Syrian dynasty. The ‘Syrian dynasty’ were, of course, Romans. Modern notions of nationalism did not exist then, least of all any ‘Syrian’ or Arab nationalism: Roman was the universal identity. But the dynasty were nonetheless orientalisers, accelerating a process that had begun in Rome long before and was to culminate in Christianity. Being descended from priests themselves, the dynasty made religion – eastern religion – a major factor at Rome: Julia Domna encouraged eastern cults such as that of Apollonius of Tyana; Caracalla brought the Isis cult to Rome, where it enjoyed great support;²¹⁴ Elagabalus actually imposed the cult of Emesene Baal officially at Rome; Julia Maesa showed favourable disposition towards Christianity.²¹⁵ Even the Severan favour towards Judaism is reflected in a dedicatory inscription at Qisyon in Galilee in honour of Septimius Severus, Julia Domna, Caracalla and Geta – the only dedication by Jews in honour of a Roman emperor ever to be found in Palestine.²¹⁶ Furthermore, the orientalising of the Roman monarchy, which was accelerated under Diocletian and came to a head under Constantine, actually began under the Severans, and is confirmed by the coins.²¹⁷ Although not Christians themselves, the Emesene dynasty did make the eventual acceptance of Christianity and Rome’s drive to the East that much more feasible at Rome. It comes as no surprise to learn that during the Severan era, we find the world’s first state proclaiming Christianity as its official religion: the kingdom of Edessa, with the conversion of Abgar the Great (Chapter 2). Shortly after the Severan period we even find in Rome the first Christian emperor: Emperor Philip the Arab.

Finally, it remains to discuss the position of the Sun cult in Rome in terms of this transition. The cult of the Sun in the Roman Empire bore many characteristics of incipient monotheism. It certainly implanted the idea of a single, all-powerful god into the minds of the Romans. It was a particularly popular one in the pre-Christian Near East among the Arabs. It existed in various forms: the cults of Palmyrene Malakbel, Jupiter Heliopolitanus at Baalbek and Elagabal at Emesa, for example, were different manifestations of the Sun cult.²¹⁸ This contributed to the rapid spread of Christianity among the Arabs during the first few centuries AD, for the cult of Christ was often identified with the Sun: Christ had risen at sunrise and the resurrection was equated with the rising, the second coming was expected from the east, early churches often faced the east.²¹⁹ Emperor Elagabalus brought the Emesene Sun cult to Rome in the early third century where he tried to make it the supreme cult of the Roman

pantheon. It ended in ignominious failure, but later in the third century the Emesene Sun cult was to prove triumphant when the Emperor Aurelian brought it to Rome following his victory over Palmyra. The great Sun Temple that Aurelian built in Rome, adorned with booty from the sack of Palmyra, had its dedication ceremony (*natalis*) on 25 December. The Sun cult was then promoted by Aurelian, not merely as another addition to the pantheon but as a Roman official cult. Following Aurelian, the Sun cult found its most enthusiastic supporter in Constantine. Constantine's cultivation of the cult of Sol Invictus was incipient monotheism. In the third century Sol, Apollo and Mithras were sometimes interchangeable, but under Constantine, Sol emerged supreme. From Sol Invictus to Christianity the step was almost negligible. After his conversion, much of Christian practice was made more palatable by grafting it on to the Sun cult: churches faced east to the rising Sun,²²⁰ the day of worship was changed from the Sabbath to the day of the Sun, the birthday of the Sun on 25 December became one of the most important dates in the Christian calendar.²²¹

The oriental revolution

Constantine has been described as 'the most influential man in all history'.²²² But our perception of the momentousness of Constantine's revolution is almost entirely retrospective and not appreciated by his contemporaries. While contemporary historians (such as Aurelius Victor) certainly appreciated Constantine's greatness, it was as a great general and emperor rather than as a philosopher or religious reformer; his Christianity passes almost unnoticed (except, of course, for Christian historians such as Eusebius, who had axes to grind) – Eutropius, for example, makes no mention of his Christianity and even states that on his death he was deified in the same way that all good pagan emperors were.²²³ Emperors did what emperors do, mere mortals are not to question why, and the matter of their religion was never regarded as particularly interesting or important, except when it might provide a juicy anecdote or two, such as Elagabalus' antics. If one were to go on contemporary accounts alone, the conversion to Christianity seems to pass virtually unnoticed.²²⁴

The Roman Empire was never to entirely relinquish paganism. It has been pointed out that Constantine's conversion was really a fluke, and not a result of historical inevitability, nor could it be assumed that Christianity would necessarily become the official religion.²²⁵ Quite the contrary: Christianity was a small minority and lower-class religion and showed all signs of remaining so. Iran, for example, where Christianity enjoyed a higher profile, did not become Christian. If Julian had not died during his eastern campaign but had continued with a long reign, he might well have succeeded in reinstating paganism. Even Constantine himself favoured pagan philosophers towards the end of his life.²²⁶ In the 390s there was another pagan revival in Rome under Arbogast. In the later years of the Emperor Zeno's reign there were the efforts by Leontius in Syria to restore paganism. Leontius was crowned a rival emperor in Tarsus in 484, holding court in Antioch until his defeat in 488. Even in that most Christian period of Constantinople under Justinian, one of the emperor's most senior officers, the great jurist Tribonian, was openly a pagan.²²⁷ In the early tenth century the Emperor Alexander flirted with paganism, admittedly pathetically so.²²⁸ Note also the trial and execution of Anatolius, governor of Edessa, in c. 579 for paganism, as well as Gregory, Patriarch of Antioch, tried at the same time simply by association with Anatolius. Gregory was acquitted, albeit still under suspicion by Constantinople.²²⁹ Indeed, paganism was never to entirely desert Rome: shortly before the fall of Constantinople to the Turks in 1453, the man who has been described as the last of the 'Classical' philosophers of a tradition stretching back to Plato and Anaximander, the great Byzantine scholar Pleithon,

promoted pagan ideas. He advocated the reorganisation of the empire along Platonic lines, God was referred to as Zeus, and his philosophy contained elements of Zoroastrianism in addition to paganism and neo-Platonism.²³⁰

The East might be the source of Christianity, but the move of Rome to the East had equal if not greater importance from deep within Rome's pagan past, Constantine's Christianity notwithstanding. The symbolism of the return to Troy and Rome's legendary roots was not lost on the Romans, whether pagan or Christian. Both the ecclesiastical historian Sozomen as well as the anonymous *Byzantine Life of Constantine*, for example, write of Constantine's foundation of Constantinople as a Third Troy almost as much as a Second Rome. They, and the pagan historian, Zosimus, emphasise Constantine's first choice of Troy for the new Rome.²³¹ In Constantine's dedicatory column in the heart of his new forum in Constantinople, the statue of Pallas and other relics of Rome's pagan past were implanted alongside a fragment of the true cross. Christianity was all very well, but the New Rome had to be a New Troy as well as a New Jerusalem.²³²

The history and development of early Christianity is heavily western-biased, for obvious reasons. But for the first few hundred years, all evidence points to eastern predominance: literary, archaeological, and political. Indeed, at first, Christianity probably spread faster and sooner through the Iranian world and even India than the Roman world.²³³ The Christianisation of western Europe was hundreds of years later. While conceding the actual origin of Christianity in the East, Christianity very soon becomes 'western' and western in character; Christianity is 'us', not 'them' – the arrival of Islam was a godsend (no pun intended) to Christianity, serving to emphasise an east/west, them/us division.²³⁴ But both the origins and the first few centuries of the development of Christianity were almost wholly oriental. This cannot be emphasised too strongly when considering the definition of European civilisation in Christian terms. Constantine's revolution, therefore, was a true orientalisation of Rome.

The term 'revolutionary' has been used in a number of contexts to describe the transition from paganism to Christianity. The term has been chosen carefully, for it is used with the full political ramifications that the term suggests today. For it must be remembered that the new elements that Christianity brought into the Roman world, such as the idea of an organised, structural hierarchy exercising spiritual and temporal authority over large numbers of people, or the idea of members of a community gathering together to exercise their beliefs, posed very real *political* challenges to Roman authority. No other movement in the ancient world had these very real political strengths as integral parts of its framework. Never mind the religion itself, never mind the church fathers and the great martyrs, never mind the philosophic and moral message of Christianity. It was these very revolutionary, entirely oriental political strengths that posed the real challenges to Roman authority.²³⁵ One need hardly look further for the answer as to why Christianity won.

East and West

Character and prejudice

It is now appropriate to review the cultural character of the Near East under Roman rule, a subject that has come under increasing scrutiny in recent years.²³⁶ A frequent assumption is made regarding the supposed domination of the Near East by western values, western institutions and western character. One authority, for example, states firmly that 'Every aspect of [Near Eastern] society and culture was influenced both by Greek civilisation and by the progressive extension of Roman rule' and 'One of the finest expressions of Greco-Roman

culture in the Near East is the great temple of Artemis at Gerasa (Jerash) . . . eloquent testimony to the civic pride of its Greek-speaking inhabitants.²³⁷ The assumed recognition of 'Greek' institutions – hence 'character' – in the Roman Near East is one of the most invidious manifestations of western misconceptions, and 'it has long been customary to search the middle east microscopically for any evidence of something Greek – almost to the exclusion of the existing cultures'.²³⁸ For example, inscriptional evidence for a town assembly is naturally translated into the Greek language as *boule*,²³⁹ and a mere reference to a *boule* at Philadelphia leads to the sweeping statement that 'we need not seriously doubt that Philadelphia possessed the normal constitutional structure of a Greek city'.²⁴⁰ Similar misunderstandings are made of Palmyra's 'senate' being evidence of Romanisation.²⁴¹ But an assembly is a very ancient Near Eastern tradition: Greece had no monopoly of the idea. At its most simple level it consists of a meeting of tribal elders, such as still exists today in traditional Arab societies, owing nothing to any perceived primacy of Greek civilisation. An Arab visitor to Rome, for example, would describe its senate as a *majlis*, no more implying the existence of Arab institutions in Rome than a Mongol describing it as a *qiriltai*. By itself, the mention of a *boule* does not suggest the existence of a Greek institution, and as often as not is simply nothing more than the new Roman colonial administrators' translation of older native institutions into a 'language' that they understood.²⁴²

So much of the perceived evidence for the Graeco-Roman 'character' is interpreted from inscriptions. Problems arising from inscriptions have been emphasised in the Preface. Suffice to reiterate here that inscriptions – particularly those of a foreign occupier – hardly imply such thing as 'character': they are by their very nature propagandistic.²⁴³ It is as if an assessment were to be made of the Palestinian West Bank based solely on modern Israeli road signs which mention only Jewish settlements, not Palestinian. The comparison is a valid one, and one modern authority emphasises that inscriptions on ancient 'road signs' – milestones – as well as many other military inscriptions, were erected not so much to mark distances, as modern milestones are, but to display slogans for official propaganda.²⁴⁴ The mere presence of a Greek inscription in the Wadi Sirhan prompts speculation regarding the Roman penetration, domination and western 'character' of inland Arabia in general.²⁴⁵ But if similar speculation on an 'Arab' character or cultural influence were attached to, for example, the presence of Safaitic inscriptions at Pompeii, at best it would attract scorn, at worst it would be dismissed. At Damascus, the presence of a few Greek inscriptions prompts the assumption that ' . . . our evidence reveals *only* the Greek-speaking population of a Greek city' with the evidence for Syrian character ignored.²⁴⁶ Believing inscriptions is a little bit like believing what you see on television. Their value as historical evidence needs to be treated with huge caution.

Much is loaded onto the evidence of Greek or Latin names in the Near East, which are minutely analysed for evidence of Greek speakers, Greek population and Greek character. For example, assumptions are made for the presence of Latin-speaking population – hence 'character' – from the Latin inscriptions and Latin names at Bosra.²⁴⁷ But Latin inscriptions merely prove that some Latin-speakers instructed some masons to make inscriptions, and do not necessarily have any bearing on the character of a settlement or the ethnicity of its population. The predominance of Arab names in, say, Iran or Nigeria or Indonesia hardly negates the Iranian, Nigerian or Indonesian character of those places, while a similar analysis of modern British names (betraying origins as diverse as Hebrew, Latin and Nordic, often occurring in the one name) could never be taken as evidence for non-British character.

Negative evidence – the paucity of Semitic inscriptions – has been cited to support the notion that Greek was the predominant language in the Near East.²⁴⁸ This is to commit one of the most cardinal of archaeological mistakes: the lack of an object merely implies the

lack of evidence, not that the object never existed. From such misconceptions it becomes a short – but slippery – step towards describing the Near East as ‘unambiguously Greek’ in its essential character.²⁴⁹ But a ‘Syrian’ in the Greek or Latin sources would by definition be one who spoke Aramaic, even if he spoke Greek as well: there was no notion of nationality in the modern sense, so that only language would be meant by terms in the sources such as ‘Syrian’ or ‘Arab’. Indeed, the rapid ‘re-emergence’ of Aramaic in late antiquity demonstrates that Semitic languages never disappeared throughout the Graeco-Roman interregnum.²⁵⁰ The question of how much Greek versus Semitic languages were spoken is apparent with the Islamic conquest, when Greek disappeared virtually overnight to be replaced by the native Semitic tongue (in this case Arabic). Unlike Europe, where Latin survives in the Romance languages, there is virtually no trace of Greek in the languages of the Middle East today. The Greek linguistic presence to which many historians give so much weight from the narrow evidence of the inscriptions was always superficial.²⁵¹

Astonishingly, even native Near Eastern elements have been adopted as part of the cultural package of perceived ‘western character’. Nowhere is this more pronounced than in the perceptions of Christianity, where Christianity is viewed as Greek, hence ‘western’ and ‘us’ as opposed to Near Eastern and ‘them’. For example, ‘both the pagan and the Christian culture of this area . . . [can be seen] as derivatives of Greek culture’²⁵² with Christianity cited in terms of evidence for Hellenism. The same authority concludes that ‘if we think of “culture” in the full sense . . . then we can find in the Roman Near East only two established cultures: Greek and Jewish’.²⁵³ Any ‘eastern’ character of Judaic culture is doubted merely on the grounds that Judaea is too near the Mediterranean, so therefore must be ‘western’ in character. The Near Eastern character of the entire Phoenician and Levantine civilisations is thus casually ignored.²⁵⁴

Anti-oriental prejudices have been noted in Chapter 1 and throughout this work. By ignoring the bulk of the evidence – which is the material remains – and concentrating too exclusively on the limited evidence of just the Greek inscriptions, a false picture has been painted that simply belittles the native culture of the Near East. Any use of the term ‘Arab’ or even ‘Syrian’ in a cultural context is often treated with extreme scholarly – even commendable – scepticism; with any similar use of the term ‘Greek’, however, scholarly caution is thrown to the winds and it is accepted too readily. A salutary contrast is provided by a recent site guide published in Syria, where the remains of Rasafa are described as ‘Arab’ throughout.²⁵⁵ Such terminology would be dismissed as nationalistic, but is just as valid a term for Rasafa as our own ‘Byzantine’ or ‘Roman’ would be, if not more so. The persistent denial of native Near Eastern culture or character in the Roman period would be perceived by an Arab or other native of the modern Middle East to be not just contentious, but arrogant and confrontational. In the end, admiration of the scholarship of such works is tempered by regret for the prejudice, and they become no different from the words of Rose Macaulay quoted at the beginning of Chapter 6.

Such conclusions may have been forgivable in a previous era, but ignore the vast wealth of material remains that are overwhelming evidence for a vigorous, native Near Eastern culture, even apart from Christianity (which – and it seems to require emphasising! – is native to the Near East, not to Greece). By focusing on the material remains, it is hoped that this work offers a balance.

The view from the East

The fond picture of the ‘barbaric’ nations of the East happily basking under the Pax Romana and receiving the blessings of western civilisation may, to some extent, be true – and the

monuments stand as mute reminders of this. But the 'natives', despite the advantages of Roman rule, resented the Roman presence. Earlier folk memories of the domination of the East by the Persian Empire hearkened back to a golden age. Iranian rule was marked by a toleration, mildness and respect that had its founder, Cyrus, hailed as a prophet by the Jews and the hero of an epic by the Greeks – and had the Iranians welcomed on a number of occasions.²⁵⁶ When reading our Roman sources for the East, therefore, one must constantly guard against bias: they give only the viewpoint of the rulers, not of the ruled. Talmudic sources, for example, describe Roman officials as 'bandits'.²⁵⁷ The Judean hatred of the Romans that culminated in suicidal revolts on a number of occasions need hardly be emphasised here. Even when 'basking' under Roman rule, the native populations of the East would flock to any banner raised in revolt, as they did to Mithradates in the first century BC or Mawiyya in the fifth century AD or various pretenders throughout. Perhaps nothing illustrates the hollowness of Roman rule in the Near East more than the rapidity and thoroughness of the Arab conquest in the seventh century. In the West, conquests of the Roman Empire ushered in a dark age; in the East it ushered in a renaissance.²⁵⁸

This marks a fundamental difference between Roman rule in the East and the West. In the West, the memory of Roman rule remained a golden age which was constantly invoked, right down until the modern era. Mussolini's conscious emulation of ancient Rome in the twentieth century had its counterpart in Rienzi's quixotic revival in the fourteenth. Since its collapse in the West, Europe's efforts to revive the Roman Empire have been tributes to this memory, from Charlemagne's coronation as Roman emperor in 800 to the end of the empire's latest incarnation, the Holy Roman Empire, in 1806. At times, it even seemed that Rome's influence in Europe was strongest beyond its ancient frontiers. The barbarians who invaded from the north sought to become Roman, not to overturn Rome. Two countries in modern Europe who follow the Roman church, Ireland and Poland, were never within the imperial boundaries, while the one nation who laid most claim to being the 'Third Rome', Russia, lay well beyond, and British, Prussian and Austrian monarchs styled themselves 'Caesar'.²⁵⁹ Even when Europeans crossed the Atlantic, they would give their new cities names such as Cincinnati or Philadelphia, evoking the memory of Rome, while the capital of the greatest contemporary imperial power, Washington, is probably the most neo-Classical city in the world. In a sense, Rome continued its conquests in the West long after it had collapsed, and the West has lain under its shadow ever since.²⁶⁰

This was never matched in the East. The Romans, if not actually regarded with hostility, were regarded as transitory outsiders by the older and stronger civilisations of the East. Even though the empire lasted longer in the East, it was more ephemeral, for after its collapse the legacy virtually vanishes. Greek and Latin have left no mark on modern Middle Eastern languages – there is no counterpart in the East to the European Romance languages. When there was a renaissance of Semitic civilisation with the advent of Islam, the pre-Classical civilisations of Mesopotamia were evoked as well as those of Iran: the Macedonians and Romans were looked upon as interlopers. With the possible exception of a branch of the Seljuk Turks, who named their state the 'Sultanate of Rome', the Roman Empire was never evoked, nor was it looked upon as any golden age.²⁶¹ In the West, the Romans were the civilisers; in the East, it was the Romans who were on the receiving end of civilisation.

Some years ago it might have been possible to take, as it were, a bird's-eye view of Rome in the East. One would have seen all of the Near East basking under the Roman Empire, packed with Graeco-Roman cities, replicas of those in the Roman West. They were adorned with great and marvellous buildings whose styles emanated from Rome, the streets were thronged with sophisticated people – Near Eastern natives it is true but by now enjoying

the benefits and civilisation of Rome, speaking Greek, copying Greek and Roman lifestyles and worshipping in temples to Zeus, Artemis and Heracles. One could even look beyond the imperial boundaries further east, to Iran and beyond. A view that might be marred by the occasional defeat at the hands of the Iranians, but compensated by rumours of lost Roman legions as far as China, of the art workshops of the Indian borderlands and Central Asia being trained by Roman master-craftsmen to turn out imitations of the imperial style. One would even see Romans strolling along the Silk Road, while in the south of India prosperous merchants from far-off Campania would be establishing strings of colonies, miniature Romes, on the shores of the Bay of Bengal and bringing the whole of India within the Roman financial system.

A view that must now be considerably modified. Scratch a Temple of Zeus and we find a Baal or a Hadad, scratch a 'Roman' city and we find something that is Near Eastern. The Corinthian colonnades vanish like a mirage to reveal forms and ideas rooted in the East, not West. The influences of Greek and Roman rule are there, of course. But the real picture now emerging is of cities, religious practices, lifestyles, architecture and a civilisation that is far more complex, but one that retained Near Eastern character throughout the nine centuries of domination by Macedonians and Romans.

From its inception in 27 BC to its final collapse in May 1453, Rome was the longest-lived empire in history, with 164 emperors (including sole empresses) from Augustus to Constantine Dragases invested with the imperial purple. Its influence on subsequent European civilisation is incalculable and the effects of the Roman Empire can still be felt today – indeed, some of its institutions still survive. In short, it was the world's most successful empire.

But such a statement can only be a personal opinion, a value judgement. In history there are few absolutes. Most of all, in order to understand Rome and appreciate it fully, it is necessary to see it in all of its breadth and richness, not just as a 'European' or 'Western' civilisation. Of the 1,480 years of the Roman Empire's existence, approximately half of that time was spent in the Near East, longer if one includes those parts of the East (mainly Anatolia) beyond the scope of this book. The influence of Rome on the East for those seven centuries was profound, and it would be a mistake of the first order to underestimate that influence. Enough books have been written about that for the point not to require reiterating. But more profound was the influence of the East upon Rome. The process was invariably a two-way one, with ultimately the eastern element predominating. A failure to understand this process and this influence is a failure to appreciate Rome itself. Ultimately, it is a failure to appreciate the legacy of Roman civilisation. This legacy is as much eastern as western, as much oriental as occidental, both to Europe and the world as a whole.

Triumph of the East

In the year AD 248, the city and people of Rome held one of the greatest triumphs in their history. They celebrated the millennium of the traditional foundation of Rome by Romulus and Remus. A thousand years from the birth of a small village to the centre of the greatest empire the world had seen. Rome and the Caesars could well be doubly triumphant and games, feasts and gifts were disbursed by a munificent emperor on a lavish scale. But the Roman emperor who presided over this greatest and most Roman of all triumphs was not from Rome himself, nor from anywhere in Europe. He was from the East: the Emperor Philip the Arab. Philip the Arab formed the latest episode in a long story: the story of Rome's fascination with the East. The story to a large extent is the story of Rome itself, one of antiquity's greatest civilisations and mightiest empires that cannot be fully understood without understanding that fascination.

It was a fascination of the new world for the old, of the exotic for the mundane, a love affair that took literal form with the story of Antony and Cleopatra. Ultimately, it would be the East that took over Rome: one of the many ironies of our story is that the city of Rome itself was to end up as Rome's own imperial outpost, a Roman backwater.

The origins of this fascination lie in the very foundations of Rome, which go back in myth even beyond Romulus and Remus to a place far distant. This was Troy. In the ashes of Troy a native of Asia wrote one of the greatest tragic epics of all times. It was a Roman epic poet who turned this tragedy into a triumph. For out of the ashes, Rome was born: Homer's Trojan heroes were led by Aeneas from the burning walls of Troy to found a New Troy on the banks of the Tiber at Rome. But the ultimate outcome of Virgil's great epic lay many hundreds of years after the death of Virgil himself, an outcome which neither Homer nor Virgil could ever have conceived – but which both poets would have appreciated. For it was a latter-day Aeneas, the Emperor Constantine, who led the descendants of Virgil's Trojan heroes away from a crumbling, ramshackle Rome back to the East to found the New Rome at Byzantium, on the opposite shores of the Sea of Marmara to Troy, thus enabling the story of Rome to continue for another thousand years and more. Troy had achieved its greatest triumph. The story of Rome is a story of the East as well as the West: a triumph of the East.

Notes

- 1 See, for example, Warmington 1974: 1. This was first written in 1928, but the idea remains an underlying theme more recently in Roberts 1985, Cunliffe 2008, Headley 2008, and Ferguson 2011.
- 2 See Ball 2009, 2010, 2012, 2015.
- 3 Ball 2009.
- 4 Pliny *Letters* 1. 10; Dio 69. 8. 3; Bouchier 1916: 175–7; Hitti 1957: 346–8, 353–5; Glueck 1965: 377–80; Brown 1971: 158; Jones 1971; Bowersock 1983: 115–17; Starcky 1984; Houston 1990; Gysens in Cimino (ed.) 1994: 79; Litvinsky and Destyatovskaya in Litvinsky (ed.) 1996: 424; Peña 1996: 229, 232–4; Turcan 1996.
- 5 Herodian *Alexander; Augustan History* Alexander; Bouchier 1916: 177; Collingwood and Wright 1965; Drijvers 1980: 183–5; Harris 1965; Dodgeon and Lieu 1991: 30; Peña 1996: 230; Turcan 1996: Chapter 3. See also Graf 1998: 21–3; Ball 2009: 84.
- 6 Reinaud, *Invasions des Sarrazins en France, et de France en Savoie, en Piemont et en Suisse*, transl. in Sherwani 1964. See also Hitti 1957: 346–8, 353–5; Ball 2009: Chapter 8.
- 7 E.g. Aurelius Victor 1; see also Cimino 1994: 12–16.
- 8 Strabo 15. 1. 4 and 15. 1. 73; Dio 54. 9. His tomb containing his ashes at Athens was inscribed with the following epitaph: 'Here lies Zarmanochegas, an Indian from Barga, who immortalised himself with the ancestral customs of the Indians.' See also Dio 54. 9. 8.
- 9 References to 'Bactria' by the Roman sources are an anachronism, the kingdom of Bactria having disappeared in the first century BC. They are probably references to the Kushan Empire of Central Asia and the Indian borderlands from the first century BC to the third AD. See Staviskij 1986.
- 10 Segal 1970: 31, quoting Bardaisan.
- 11 Quoted in Cimino 1994: 19–24. See also McCrindle 1901: 9–10, 77–8, 167, 213, 214; Wheeler 1954: 160–2; Warmington 1974: 36–8, 138–9.
- 12 Procopius 1.19. 23–6.
- 13 Strabo 2. 3. 4; Pliny 2. 168. See also McCrindle 1901: 97; Begley in Begley and De Puma 1991: 6–7.
- 14 Bloch 1950; Thapar 1961: 250–66.
- 15 The Roman 'embassy' of 166 mentioned in Chinese sources is generally thought to be mercantile rather than diplomatic – the single coin of Marcus Aurelius found near Saigon notwithstanding. See Chapter 3.
- 16 Cimino 1994: 119–21; Parker 2008: 163.
- 17 Cimino 1994: 126–7.

- 18 Though probably on little more grounds than that of finding a suitable worthy Oriental – there was no Indianising element in the Emesene royal house.
- 19 Parlasca in Weiss 1985: 408, Fig. 204.
- 20 Begley in Begley and De Puma (eds) 1991: 4; Sidebotham in Begley and De Puma 1991: 33–4; Ray 1994: 66.
- 21 Fraser 1972: 81 n. 391 discusses this gravestone and other evidence for Indians at Alexandria. Suggestions that some of the rock-cut monuments at Petra might be Hindu temples, however, are untenable: Goetz (1974) views one of the ‘god-blocks’ in the outer Siq at Petra as a Hindu temple, but it fits more into Nabataean architecture than Indian.
- 22 Williamson 1972; Whitehouse and Williamson 1973; Ball 1976, 1986, 1989b; Whitehouse in Begley and De Puma 1991; Ray 1994: 25–7, 172–5; Vaziri 2012.
- 23 Though the evidence of Indian-derived place names on the Gulf might be illusory: cf. The ‘East India’ docks in London!
- 24 Whitehouse in Begley and De Puma 1991: 217–18.
- 25 Ammianus Marcellinus 14. 3. 3; Procopius 2.25.3; *Periplus* 30; McCrindle 1901: 215; Warmington 1974: 27–8, 76, 138; Crone 1987: 35–6; Ball 1989b: 4; Sidebotham in Begley and De Puma 1991: 31–2; de Ligt 1993: 74; Hourani 1995: 22, 41.
- 26 Warmington 1974: 64–6; Ray 1994: 176, 11–47, 87–120.
- 27 Despite efforts to see an ancient Buddhist religious tract, *The Questions of Milinda*, as a Socratic dialogue – see Tarn 1951: 414–36; Tafazzoli and Khromov in Litvinsky (ed) (1996): 91.
- 28 See the Introduction by G. R. Woodward and H. Mattingly in the Loeb edition of St John Damascene. See also Gregorios 1985; Warmington 1974: 72; 1985: 33.
- 29 Wiesehöfer 1996: 217–19.
- 30 Frye 1976: 7–8. On the *Arthasastra* see Allchin 1995: 187–94. On the *Siyasat Nama* see Frye 1975.
- 31 Gregorios 1985: 31.
- 32 McCrindle 1901: 183–4; Gregorios 1985: 31; see also Ray 1994: 66.
- 33 McCrindle 1901: 169–71.
- 34 Gregorios 1985: 33–4.
- 35 Eusebius 2. 23.
- 36 In addition to references already cited, see, for example, various essays in Doshi 1985. Tarn 1951 and Narain 1957 are the classic accounts of the Greeks in India.
- 37 See Ball 1989b.
- 38 See, for example, Chakrabarti 1990.
- 39 Ball 1976, 1986, 1989b. See also Ray 1994.
- 40 Southern 2001: Chapter 2; Hemelrijk 2004; Levick 2007; Ball 2009: Chapter 4; Langford 2013.
- 41 A wood tondo of 199 in the Staatliches Museum, Berlin – shown, for example, on the front cover of the Penguin Classics edition of the *Augustan History*. See also Kleiner 1992: 321–2.
- 42 The term ‘dynasty’ is used in the strict sense of family kinship here, not in the adoptive sense as the Antonines were.
- 43 Indeed, even as late as 197 the links with Tyre were re-affirmed at Lepcis Magna by Septimius Severus. See Birley 1988b: 149. See also *Augustan History* Septimius Severus: 215 and 220 and Pescennius Niger: 230. It is a pity that Severus’ autobiography, which Herodian II, ix, 4 refers to, is no longer extant.
- 44 The Temple of Bel at Palmyra – or Apamea, according to Dio 79. 8 .6.
- 45 The names of the Emesene women were all Arabic in origin: Domna from *Dumayna*, Maesa from *Masa* or *Emesa*, Sohaemias from *Suhayma*, ‘black’, Mammaea from *Mama*. See Shahid 1984a: 41–2. However, the most recent biography of Julia Domna (Langford 2013) downplays the power that she exerted.
- 46 Turcan 1996: 166, where it often occurs in the priesthood of Dolichenus. It is probably a mistake in any case to read too much into the presence or lack of Roman names in Syrian families, as Millar 1993 (especially Chapter 13) does. The Arabic names almost universally used by modern Iranians, for example, do not detract from any Iranian character – far from it.
- 47 Herodian 5. 3. 2, for example, calls the family Phoenician, not Roman, and gives the derivation of Julia Maesa’s name as Emesa. See Turton 1974 for an account of this dynasty. See also Birley 1988b; Shahid 1984a: 33–6; Grant 1996. Millar (1993: 302–3) has cautioned against the assumption of a connection between the family of the ancient kings of Emesa and the family of Julia

Domna – and hence, the Severan dynasty of emperors. Equally, it must be remembered that there is no evidence that they were *not* connected. Indeed, the evidence of the historical sources implies overwhelmingly that there was a connection. The massive wealth that Julia Domna's family was able to command on a number of occasions (the coup that elevated Elagabalus, for example) could only have been the wealth of the Emesene royal house, famous for its fabulous wealth – see, for example, the discussion of this wealth in Baldus 1996. In addition, we have already seen how the Emesene royal family deliberately aligned itself with other important families through a policy of dynastic marriages: the royal houses of Judaea, Commagene and Armenia numbered amongst them. But whether or not the two families were related, the history of the Severans, one of Rome's most important imperial dynasties, cannot be fully understood without its background of the Emesene kings and their religion.

48 *Augustan History*, Septimius Severus: 210.

49 Gibbon 1.112.

50 There is little doubt that Septimius Severus, while a Roman and an emperor foremost, nonetheless identified very closely with his great compatriot: in Bithynia he made a point of seeking out the tomb of Hannibal and having it restored. See Birley 1988b: 142.

51 In the words of Dio 79. 23. 3.

52 Herodian 3.11. 8.

53 Shahid 1984a: 34. Millar (1993: 141–59) sees the grant of *Colonia* status on Near Eastern cities by the various Syrian emperors from Caracalla to Philip as evidence for Romanisation, but surely it was the reverse: Syrian emperors granting favourable status to their own kind.

54 Philostratus *Life of Apollonius*. See also Bivar and Fehevari 1966: 43–4; Lane Fox 1986: 606; Elsner in Alcock 1997: 178–80.

55 *Augustan History*, Caracalla: 250–1, Geta: 264–5.

56 Herodian 4. 3. 5–9.

57 Dio 78. 6. 1 writes 'Antoninus [Caracalla] belonged to three races; and he possessed none of their virtues at all, but combined in himself all their vices; the fickleness, cowardice, and recklessness of Gaul were his, the harshness and cruelty of Africa, and the craftiness of Syria'.

58 Herodian 3. 15. 4; 4. 6. 1–5; 4. 8–9; Dio 78. 1. 1–3; 78. 4–6, 12; 78. 7, 22–3; *Augustan History*, Caracalla: 251–5; see also Millar 1993: 142.

59 This incident is related by Herodian 4. 11, but does not appear in the account of the war given by Dio 79. 1–3.

60 Herodian 4. 13. 3–5; Dio 79. 5–6; *Augustan History*, Caracalla: 256; Zosimus 1. 6–10. To this day the ghost of the East still returns to haunt Caracalla, almost two millennia after his death. For the one thing more than anything else that is commonly associated with his name today is the immense baths he had built in Rome, 'in sheer bulk alone one of the most impressive buildings that have come down to us from antiquity' (Ward-Perkins 1981: 129). It has in modern times been converted into the world's largest outdoor opera house. As such, it is the regular venue for one of opera's greatest spectacles: Verdi's grand eastern epic, *Aida*, set in ancient Egypt. Ironical indeed that the country whose capital he did so much to destroy is now associated more than ever with his name.

61 Dio 79. 23–24.

62 See Kleiner 1992: 322–4, and Richter 1948: front cover and Fig. 107. See also the bust in the Farnese Collection in the National Museum of Naples. See also the description by John Malalas 11. 24.

63 E.g. the Munich bust – see Kleiner 1992: 326. See also the description by John Malalas 11. 23.

64 E.g. Kleiner 1992: 315–28.

65 See Goldscheider 1940: Pl. 88.

66 Now in the Villa Albani, Rome. See Kleiner 1992: 349. Both Herodian (4. 9. 3) and Eutropius (8. 20) in fact hint at an Oedipal relationship.

67 *Augustan History*, Heliogabalus: 275–6; Zosimus 1. 11.

68 Elagabalus, for example, in Herodian, Heliogabalus in the *Augustan History* and Aurelius Victor.

69 Herodian 5. 4. See also Eadie in Kennedy (ed.) 1996b.

70 Herodian 5. 8. 1; see also Aurelius Victor 23, *Augustan History* Heliogabalus, Zosimus 1. 11.

71 Indeed, it is surprising that otherwise respectable historians have been so selective in their acceptance or rejection of the more purple Roman descriptions of foreign religious rites.

72 *Augustan History*, Heliogabalus: 306–8.

73 *Augustan History*, Heliogabalus: 298–9 and 304.

- 74 *Augustan History*, Heliogabalus: 312.
- 75 Although Malalas is, of course, much later. John Malalas: 158.
- 76 Turcan 1996: 176–83.
- 77 Such as the dervishes in Islam or the ceremonies in Ethiopian Christianity or the dancing in Judaism.
- 78 E.g. depictions of the ancient Persian kings at Persepolis (see Roaf 1983), or Sultan Mahmud of Ghazna in the twelfth century (see Nizam al-Mulk). See also Frazer 1911–36: 7 (1): 20 n. 1.
- 79 See Chapter 6, ‘Sacred and processional ways’.
- 80 See Chapter 2, ‘Emesa and the Sun Kings’.
- 81 Herodian 5. 6. 3–5.
- 82 *Augustan History*, Heliogabalus: 292; Downey 1961: 305.
- 83 Turcan 1996: 145.
- 84 Herodian 5. 6. 1.
- 85 Both the sources and the modern histories almost universally revile him, e.g. Gibbon 1: 143–8, or Birley 1976: 20: ‘Elagabalus, the perverted Syrian youth’ or Icks 2011: the ‘sexually-depraved and eccentric hedonist’ boy emperor; the 2010 biography by de Arrizabalaga y Prado offers a more cautious and balanced view.
- 86 Gibbon 1. 143–8.
- 87 Goldscheider 1940: Pl.80; Kleiner 1992: 362–3.
- 88 Zosimus; *Augustan History*. Dodgeon and Lieu 1991: 92–3, 107. See also Will 1959, 1960.
- 89 Liebeschuetz in Athanassiadi and Frede 1999. The Sun cult is discussed further in ‘From Paganism to Christianity’, below.
- 90 It is curious that despite the long shadow Alexander the Great cast over the ancient world, Severus Alexander is the first Emperor – or ruler of any real note – to be named after him. One is left wondering whether today’s adulation of such an iconic hero is based upon little more than modern wishful thinking. See Ball 2010: Chapter 4; Boardman 2015: Chapter 3.
- 91 *Augustan History*, Alexander. Dodgeon and Lieu 1991: 21.
- 92 E.g. sculptures in the Capitoline Museum and the Louvre. See Goldscheider 1940: Pls 90, 91, 94; Kleiner 1992: Fig. 345.
- 93 See Chapter 3.
- 94 *Augustan History*, Alexander. Dodgeon and Lieu 1991: 21.
- 95 Herodian; *Augustan History*, Alexander; Zosimus 1. 11–12.
- 96 E.g. sculptures in the Louvre, Uffizi and the Capitoline. See Goldscheider 1940: Pls 80 and 81; Kleiner 1992: 363–4.
- 97 Herodian 6. 8. 8.
- 98 Gibbon 1. 157 n. 95.
- 99 Gibbon 1.149–66; Aurelius Victor 24.
- 100 Teixidor 1977: 68.
- 101 The main sources for Uranius are John Malalas 12. 26 and numismatic, summarised by Delbrueck 1948: 28–9, Dodgeon and Lieu 1991: 54–6 and Baldus 1996. According to Overlaet 2009, Uranius was captured by Shapur in his campaign of 254 and taken to Persia, where he is depicted on the relief of Bishapur III, along with the sacred stone of Elahgabal.
- 102 There was another Uranius who claimed the Bishopric of Emesa in c. 444, who might be a descendant. See Downey 1961: 467. Emesa was also the scene of another claim to the purple a short time later, by Quietus, which was put down by ‘Udaynath in about 263. See Dodgeon and Lieu 1991: 75–6.
- 103 Dodgson and Lieu 1991: 55; Overlaet 2009: 474.
- 104 Millar 1993. See also Bowersock 1983: 127–8; Isaac 1992: 227–8.
- 105 Though the name of Philip’s wife, Ocatilia Severa, also an Arab, implies that some favours at least had been granted her family by the Severan dynasty. See Bowersock 1983: 123.
- 106 Aurelius Victor; Eutropius 9. 3; Shahid 1984a; Bowersock 1983: Chapter 9. See also the biography by Zahran 2001.
- 107 Bowersock 1983: 123.
- 108 See ‘Survivors of Edessa’ in Chapter 3.
- 109 Zosimus 1. 19–22.
- 110 Despite its significance, there is very little artefactual evidence for the Roman millennium. A gold aureus of Philip the Arab set in a brooch in the British Museum (Registration number:

- 1814,0704.1170) is thought to have been struck for the occasion. For the mosaic see Dunbabin 1999: 167–9.
- 111 Eusebius 6. 34. A letter of Dionysius, the Bishop of Alexandria, also refers to emperors ‘who were avowed Christians’ before Valerian, which is presumably a reference to Philip. See Eusebius 7. 10.
- 112 Anonymous Velesianus, quoted in Lieu and Montserrat 1996: 45.
- 113 Aurelius Victor (28. 2) is the only pagan source for his Christianity. Although his Christianity is not mentioned, it is significant that Philip gets a bad press from Zosimus (1. 18), ‘who had an axe to grind, and grind it he did. He was the last of the pagan historians’ (Buchanan and Davis in the introduction to their translation of Zosimus, 1967).
- 114 E.g. Downey, 1961: 306–8, who rejects it, or Shahid 1984a: 36–7, 65–93, who supports it. Bowersock (1983: 125–7) takes the middle road. See also Trimmingham 1979: 58–60.
- 115 See Eusebius 10. 1–8.
- 116 Shahid 1984a: 155–6.
- 117 Drijvers 1980: 89–90; Turcan 1996: 133–43.
- 118 Such as the bust of Philip in the Vatican Museum – see Kleiner 1992: 368–9.
- 119 Philip was not the last Roman emperor who was Arab. The Emperor Nicephorus I (802–11), or Niqfur in the Arabic sources, although heavily Romanised, was a descendant of the Ghassanid king Jabalah. The Isaurian or Syrian dynasty of emperors (717–802) whom Nicephorus displaced, while perhaps not Arab in the strict sense, were at least closely related to them – Emperor Leo III spoke Arabic as his first language, and their fanatical iconoclasm has been seen by some authorities to be derived from Islam. See Hitti 1964: 300 n. 2. For a thought-provoking new biography of Philip from the Arab perspective, see Zahran 2001.
- 120 For accounts of Lepcis (or Leptis) Magna and its remains see: Wheeler 1964: 52–9 and refs; Haynes 1965; Ward Perkins 1981: 371–91; Birley 1988b: 5–22; Raven 1993: various refs; Ward-Perkins 1993. Mattingly 2003: 116–22.
- 121 Aubet 1993.
- 122 The original Phoenician name was *Lpqy*, probably a native Libyan name. This was transcribed into Latin as *Lepcis*. Since this consonant cluster was difficult to pronounce for Latin speakers, it was usually vocalised as ‘Leptis’. By the time of the later empire, this form had passed into official written usage as well, and has become the better known form today. The name survives today in the ‘Wadi Libda’ adjacent to the site.
- 123 It is significant that the massive monumentalisation of Tyre was undertaken in Septimius Severus’ reign. See Burns 2016: 229–30.
- 124 The term ‘Libyan’ is used here to mean the native Hamitic or Berber North Africans in antiquity, rather than the modern North African country of that name.
- 125 Although native names do not necessarily represent a native presence: to take a modern example, the Australian aboriginal name of Wollongong represents an entirely Anglo-Saxon city, not Aboriginal. Natives, if present at all, would be confined to slums.
- 126 See, for example, Daniels 1970.
- 127 Di Vita 1968; Brogan and Smith 1984; Mattingly 2003: 197–200.
- 128 See, e.g., Ward-Perkins 1971.
- 129 Birley 1988a.
- 130 Perhaps as a deliberate attempt to obliterate a former Phoenician or Libyan presence, such as the forum Chemtou/Simmiththus which obliterated the Libyan royal tombs.
- 131 Mattingly 1988: 21–42.
- 132 E.g. Ward Perkins 1981: 386.
- 133 In addition to Ward-Perkins (1981), for the marble and artists at Severan Lepcis, see Walda and Walker 1984: 81–92.
- 134 Pietrzykowski 1985–6.
- 135 Chapter 6. See also MacDonald 1986: 53–4.
- 136 Jones and Ling in Ward-Perkins 1993.
- 137 Timgad and Volubilis have the only other properly colonnaded streets in Roman North Africa – and even Volubilis had eastern, or at least Ptolemaic, connections.
- 138 Ward-Perkins 1993: 53–4.
- 139 Ward Perkins 1981: 389–91.
- 140 Roberts 1985: 81–116.

- 141 Most notably, for example, in Lane Fox's (1986) admirable study. Lane Fox's account, however, only tells a part of the story, and I suspect a small part at that, nearly 800 pages notwithstanding. See also: MacMullen 1984 and 1997; Herrin 1987; Markus 1990; Chadwick 1993 (especially Chapter 3); Fowden 1993; Stark 1996; Lieu and Monserrat 1998; the various essays in Athanassiadi and Frede 1999; Lee 2000. For a recent compilation of contrasting source material, see Lieu and Monserrat 1996. I am acutely aware that there is a simply vast theological literature – with a veritable sea of potential mines! – on the subjects I cover in this section that it has not been possible to take on board. Nonetheless, the various points discussed here are still worth making in the context of this work. Note also the cautionary remarks of Boyce and Grenet 1991: 366, n. 16.
- 142 See Chapter 7, 'Early Christian architecture'.
- 143 St Augustine, *City of God* 4.
- 144 See, for example, the excellent account of the martyrdom of Perpetua and its background in Salisbury 1997; see also Bowersock 1995; Tilley 2000.
- 145 Despite the question posed in Culham's 1997 title. See also Hemelrijk 2004 on the position of women in Rome during this period.
- 146 Ball 2010: Chapter 8.
- 147 For Zoroastrianism generally, see Boyce 1979. Some studies have put Zoroaster as late as the sixth century BC and as early as about 2000 BC. Boyce, our main authority, (1979: 18) dates Zoroaster to 1700–1500 BC.
- 148 Boyce and Grenet 1991: 481; Pefia 1996: 80.
- 149 'Second Isaiah, brought into contact in Babylon with Persian propagandists, developed in striking ways the belief in Yahweh as God alone and Creator of all, thus, it seems, both vying with Zoroaster's concept of Ahura Mazda as Creator of all that is good and at the same time rejecting his dualism' – Boyce and Grenet 1991: 361 and n. 2.
- 150 Boyce 1979: 42–3; Boyce and Grenet 1991: 451. See also Corbin 1976.
- 151 Although Boyce and Grenet (1991: Chapter 11) stress the Zoroastrian origin of the Judaeo-Christian belief in a day of judgement, a messiah and the physical resurrection in a hereafter.
- 152 Boyce and Grenet 1991: Chapter 11 with refs. On pp. 409–10 they discuss the possible derivation of the word Pharisee from 'Persians'.
- 153 Boyce and Grenet 1991: 448–56.
- 154 Or probably under Hormizd I in 272, rather than Shapur, according to Colpe 1983: 877–84.
- 155 Translated by Gutas 1998: 80–1.
- 156 Boyce 1979: Chapters 6 and 7.
- 157 See Frye 1963: 247–9; Blockley 1992: 10–11; Wiesehöfer (1996: 199–200, 210–11), however, discards this view. See also Fowden 1993: 80–5.
- 158 See section on Edessa in Chapter 2. For Constantine's letter, see Lane Fox 1986: 636–7.
- 159 Stevenson 1997; Miller 1997; Boardman 2000 and 2015; Haagsma *et al.* 2003; Burkert 2004; Bridges *et al.* 2007; Tuplin 2007; Mitchell 2007; Ball 2010.
- 160 Boyce 1979; Boyce and Grenet 1991: Chapter 11.
- 161 For recent studies of these contacts – particularly religious – see, for example: Boyce and Grenet 1991: 361–71; Kingsley 1995.
- 162 Procopius 2. 24. 1–3. For Adhur Gushnasp see Boyce and Grenet 1991: 70–9 and refs. For Vesta see Frazer 1911–36: 1 (2): Chapter 14.
- 163 Dodgeon and Lieu 1991: 65.
- 164 Raditsa 1983; Ball 2010: Chapter 5.
- 165 Wright 1969. See also Chapter 2, 'Nabataean religion'.
- 166 Boyce and Grenet 1991: chapter 8. It is a mistake to assume, however, that an Iranian ethnic element necessarily remained in these populations simply because of the prevalence of Iranian religions, just as one cannot assume Palestinian or Arab elements in the populations of Roman Europe because of the popularity of Christianity.
- 167 Boyce and Grenet 1991: Part 3 with refs; Turcan 1996: 200–1. See also Frazer 1911–36: 4 (1): 191–2.
- 168 In the entire list of seven pre-Roman inscriptions for Pontus (excluding the Black Sea littoral), Cappadocia and Galatia, two are ascribed to Pharnaces I, two more are explicitly Iranian in nature, and the remaining three Hellenised Iranian. See Mitchell 1993: 86.
- 169 Duchesne-Guillemin 1983: 872.
- 170 In fact the name 'Apollonius' was often consciously chosen by the Hellenised Iranians of Anatolia because of the equation of Greek Apollo with Iranian Mithras or (occasionally) Anahita. See Boyce and Grenet 1991: 250.

- 171 Colpe 1983: 826–31; Boyce and Grenet 1991: Chapters 10 and 11; Kingsley 1995; Turcan 1996: Chapter 4.
- 172 Boyce and Grenet 1991: 239 and 256–7.
- 173 Colpe 1983: 831–4; Boyce and Grenet 1991: 376–87; Mitchell in Athanassiadi and Frede 1999.
- 174 See also Mitchell I, 1993: 188–9, and II, 1993: 43–51.
- 175 Raditsa 1983; Boyce and Grenet 1991: Chapter 8.
- 176 Boyce and Grenet 1991: 235–8.
- 177 Although it is important to recall possible Iranian elements in Nabataean religion, discussed above.
- 178 Colpe 1983: 840–3; Boyce and Grenet 1991: 309–51; Turcan 1996: Chapter 4. See also Sanders (ed.) 1996.
- 179 Boyce and Grenet 1991: 352; Turcan 1996: 159–69. Zoroastrianism continued in the Tur Abdin until shortly before the Arab conquest: Palmer 1990: 30, 78.
- 180 Lane-Fox 1986: 532–8. See also Mitchell II, 1993: 43–51, who contrasts the (sometimes contradictory) literary and epigraphic evidence for the spread of Christianity and notes how it did not spread or even seem to relate to St Paul's activities, but its spread must be related to other factors: 'There is virtually no evidence to show how the gospel had spread' (41). He concludes that Christianity found fruitful ground by existing religions paving the way, particularly in the emergence of the belief in the supreme abstract god in paganism. Although not specified, it is very easy to see the Magi and Zoroastrianism behind Christianity in Pontus and Central Anatolia.
- 181 Strabo (15. 3. 15) describes Zoroastrianism – fire worship and the Magi, as well as the worship of Anaitis and Omanus – as particularly strong in Cappadocia in his day, having witnessed its practice himself. But the significant point about Strabo's account is that it occurs in his section on *Persia*, not Cappadocia. See also Mitchell II, 1993: 73.
- 182 Many of the early church fathers were from these former Iranian Anatolian kingdoms. The Cappadocian brothers Basil the Great, Bishop of Caesarea, and Gregory, Bishop of Nysa, were from a Christian priestly family from Pontus. Gregory of Nazianzus, who became Patriarch of Constantinople, was from Cappadocia. See Mitchell II, 1993: 68. In this context it is also worth observing that St Nino, the evangeliser of Georgia, was also from Cappadocia.
- 183 Turcan 1996: 209–15.
- 184 Although Hopkins (1979) sees more of an Iranian element in the Dura Mithraeum, and Mithraism was particularly popular among troops of eastern origin: Syrians, Palmyrenes and Commagenians. See Turcan 1996: Chapter 4.
- 185 Colpe 1983: 853–6; Boyce and Grenet 1991: 468–90; Turcan 1996: Chapter 4.
- 186 Widengran 1983; Boyce and Grenet 1991: 460–5; Klimkeit 1993; Lieu 1994.
- 187 Dodgeon and Lieu 1991: 65.
- 188 Klimkeit 1993: 202–3, 208–9; Lieu 1994: Chapter 2.
- 189 John Malalas 12. 42; Lieu 1994: 130–1.
- 190 Boyce and Grenet 1991: 30–3; Bosworth 1996: Chapter 4. See also Frazer 1911–36: 1 (2): 50–1.
- 191 Cf. Elsner in Alcock 1997.
- 192 Boyce and Grenet 1991: Chapter 8; Turcan 1996: Chapter 1 and 254–8; Elsner in Alcock 1997: 180–91. See also Frazer 1911–36: 1 (1): 37–8.
- 193 Cook 1962: 59–60; Boardman 1980: 216–24; Turcan 1996: Chapter 1; Elsner in Alcock 1997: 195.
- 194 Although note the Zoroastrian elements in Judaism stressed above.
- 195 Eusebius 4. 5; 5.12.
- 196 Bartlett in Politis (ed.) 2007: 55–88.
- 197 For virgin and child cults see Drijvers 1980: 120. For Hairiti and child see Zwalf 1996: Pl. iii. See also Hitti 1957: 256 and 333–5.
- 198 Frazer 1911–36: 4 (1): Chapters 1–3, 5; Turcan 1996: 143–8.
- 199 Trimmingham 1979: 79.
- 200 Turcan 1996: Chapter 2.
- 201 Brown 1971: 96f.; Boyce and Grenet (1991: 477–8) also see the cult of Serapis as possibly beginning as an offshoot of Iranian Mithraism (*Serapis* deriving from Persian *Shahrapat*, a manifestation of Mithras), although this is disputed, and in any case evolved along quite separate lines in the Roman world.
- 202 Lane Fox 1986: 446.
- 203 Lane Fox 1986: 278–80.
- 204 Lieu 1986.

- 205 Frye 1983: 148–9. Tringham (1979: 160) sounds a cautionary note about ‘Iranian’ Christianity, which was a religion practised by non-Iranian minorities – mainly Aramaeans – in Iran, quite distinct from the Iranians themselves who in the main remained part of the official Zoroastrian ‘church’. See also Baum and Winkler 2000; Baumer 2006.
- 206 Downey 1961: 272–316; Boyce and Grenet 1991: 254–6.
- 207 Cambyses founded a temple there during his Egyptian campaign on the site of the future city; see Boyce and Grenet 1991: 254–6. For the later religious missions see: Dodgeon and Lieu 1991: 65; Lieu 1994: 24–5.
- 208 Lane Fox 1986: 268–73.
- 209 A point emphasised by Lane Fox 1986: 430–4.
- 210 Aubet 1993: 232–4; Turcan 1996: 169–70. See also Frazer 1911–36: 4 (1): Chapter 5.
- 211 Aubet 1993: 207–17. See also Frazer 1911–36: 3: 168–79; Lancel 1995: 227–56.
- 212 On the other hand, it must be pointed out that the Phoenician homeland in the Levant – particularly Tyre – remained a bulwark of paganism. See Jidejian 1996a: 153.
- 213 Raven 1993.
- 214 *Augustan History* Caracalla: 258.
- 215 Shahid 1984a: 35–6. Eusebius 6. 28 in fact describes the family as consisting mainly of Christians.
- 216 Avi-Yonah 1961: 30.
- 217 Vermeule 1956–8.
- 218 The Palmyrene temple to Sol and Malakbel at Rome was equated with the sun. See Houston 1990. The cults of Jupiter Heliopolitanus and Elagabal may have been one and the same, as postulated in Chapter 2, ‘Emesa and the Sun Kings’. See also Seyrig 1971.
- 219 Teixidor 1977: 49.
- 220 Although it has been suggested that this relates to Zoroastrian concepts, above.
- 221 Bird in Aurelius Victor: 150 n. 7; Lieu and Montserrat 1996: 75; Fowden 1993: 46, 51.
- 222 Norwich 1988: 32.
- 223 Eutropius 10.1–8.
- 224 The subject has recently come in for greater scrutiny. See, for example, Lane Fox 1986, Lieu and Montserrat 1996.
- 225 Jones 1966: 50 and 62.
- 226 Lieu and Montserrat 1996: 11.
- 227 Jones 1966: 50 and 62. Also Norwich 1988: 176–7.
- 228 Norwich 1988, 2: 123–5.
- 229 Herrin 1987: 184–5.
- 230 See Runciman, 1965: 14. See also Woodhouse 1986. Herrin (1987: 75–7) notes how paganism continued in the Greek east long after the Latin West, despite the primacy of Christianity in the former, because the continuity of the Greek language allowed far greater access to pre-Christian pagan writing.
- 231 Sozomen 3. 2; Zosimus 2. 30.1; Lieu and Montserrat 1996: 127.
- 232 ‘For two thousand years this story was popularly regarded as actual history’ – Waswo (1997: xi) on the descent of Romans from Troy. In the early Middle Ages, both the Franks and the British, not to mention other peoples as diverse as the Macedonians and Turks, traced their origins back to the Trojan diaspora as told in the Aeneid. See Waswo 1997: Chapters 6 and 7.
- 233 See Lane Fox 1986: 280–2 for a summary of the spread of Christianity through the East.
- 234 E.g. Millar (1993: 492) frequently cites Christianity in the Near East as evidence for ‘Western’ character as opposed to ‘Eastern’.
- 235 Southern (2001: 280) makes the interesting point that it was the emperors who fought most on the frontiers who persecuted the Christians: Marcus Aurelius, Maximinus, Decius, Valerian, Diocletian, Galerius; in other words, there was a conflict of interests with regard to Christian loyalty.
- 236 Perhaps nowhere more so than by Millar 1993 and 2013, where the assessment of ‘character’ of the Near East forms the main subject. See also the discussion of Greek versus ‘non-Greek’ in Sherwin-White and Kuhrt 1993: 141–9; Laurence and Berry (eds) 1998.
- 237 Millar 1993: 235 and 2013: 13.
- 238 Sherwin White and Kuhrt 1993: 141.
- 239 E.g. Millar 1993: 417, referring specifically to Petra. There are many other such references elsewhere in his book. Cf. Frye 1984: 220, on the mistaken assumption of a Parthian ‘Senate’ by Greek

- and Latin authors. See also Grainger's (1995: 192–3) very salutary remarks on the Greek and Latin inscriptions in the East providing no convincing evidence for Roman style governing institutions, despite appearances. See also Edwell's (2008: 48–9) cautionary remarks on the use of such terms at Palmyra.
- 240 Millar 1993: 411.
- 241 Stoneman 1992: 53–4.
- 242 Greek traveller to interpreter observing an Arab tribal assembly: 'What are they doing?'
Interpreter to Greek traveller: 'They're holding a *majlis*.'
Traveller: 'What's a *majlis*?'
Interpreter: 'It's a kind of assembly.'
Traveller: 'Ah, a *boule*!'
Traveller to a Greek author: 'The Arabs have a *boule*.'
Modern Classicist on reading Greek author: 'The Arabs had Greek institutions.'
- 243 See Macdonald's (1998) very cautionary remarks on the limitations of epigraphy and of 'epigraphists who indulge in fantastic philological gymnastics' (p. 177), and of consequent perceptions of ethnicity in the Roman East.
- 244 Isaac 1992: 304–7.
- 245 E. g., Bowersock 1983: 96–9, Millar 1993: 138.
- 246 Millar 1993: 316 (my italics).
- 247 E.g. Sartre 1985; Grainger 1995: 180. Cf Birley 1988a, whose analysis of Latin names at Lepcis Magna finds them almost entirely thinly disguised Punic or Libyan names.
- 248 For example, Millar 1993: 456.
- 249 Indeed, in Fergus Millar's continual labouring of this very point, can one detect a certain Western triumphalism?
- 250 Gawlikowski in Alcock 1997: 46. The continuity of Syriac speaking in contrast to non-Greek speakers amongst the Christians of Palestine in c. 385 is commented upon by the pilgrim Egeria. See Trimmingham 1979: 79–80.
- 251 It is significant that in the one place in the East where Greek did take root – and indeed survived until modern times – Anatolia, there are far more Latin inscriptions. In contrast to the Levant, Anatolia became far more Latinised: there were large Italian land-owning estates, Italian colonisation and settlement, etc. – a factor perhaps in the decision to move the capital to Byzantium rather than to Antioch. See in general Mitchell I, 1993.
- 252 Millar 1993: 492.
- 253 Millar 1993: 517. See Trimmingham 1979 (especially Chapter 2) who, taking the converse point of view, sees Christianity as essentially a non-Greek element in the Near East.
- 254 Millar 1993: 522.
- 255 Ayash 1994. In fact Fowden's 1999 book on Rasafa and the surrounding steppe region of Syria leaves little doubt of the local Semitic character of the remains, the cult and the region as a whole.
- 256 Isaiah 44–5; Xenophon *Cyropaedia*.
- 257 Isaac 1992: 82 and 283–4.
- 258 Umayyad and Abbasid civilisation hardly requires emphasising here. That early Islam was not only a civilisation but also a Semitic 'Renaissance' is hardly in doubt: the Syrians, for example, remained very much aware of their pre-Macedonian Semitic heritage, such as the Babylonian legacy, and viewed Islam in terms of a revival of this. See Gutas 1998.
- 259 The Indian title for the British monarchs after the proclamation of the Indian Empire by Lord Lytton was 'Qaysar-i Hind'; the German and Austrian titles were, of course, Kaiser.
- 260 Cf. Southern 2000: 181, 'After a long and distinguished history at the centre of the Byzantine Empire, Constantinople survived as a city, while Rome still survived as an idea. Rome is everywhere in the ideology and the infrastructure underpinning the fabric of western society.'
- 261 Although Sultan Mehmet, in his conquest of Constantinople, felt very consciously that he was continuing the role of the Roman emperors. See Ball 2012: 100–2.

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